

SJRIP Funds Management FY 2010 Final Report

Principal: Mark McKinstry
U.S. Bureau of Reclamation
125 South State Street, UC-735
Salt Lake City, UT 84138
(801) 524-3835
mmckinstry@usbr.gov
May 12, 2011

Project History

In 2001 Public Law 106-392 (and amended with 107-375 [2002] and 109-183 [2006]) authorized appropriations for capital and base funding in support of the Upper Colorado and San Juan River Basins Endangered Fish Recovery Programs. These two RIPs were established by law to recover endangered fish in the upper Colorado and San Juan River Basins while providing coverage for continued water development. Reclamation entered into a cooperative agreement for the SJRIP in 1992, recently extended to 2023, with Reclamation's responsibilities outlined therein. Base funding for the SJRIP was limited to \$2.0 mil/yr adjusted for inflation using the CPI starting in 2003. All funding must go towards accomplishing the objectives of the Recovery Implementation Programs. All types of funding instruments are authorized including contracts, grants, cooperative agreements, and interagency agreements. The annual RIP funding expires in 2011 unless reauthorized by Congress; however, power revenues may continue to be used to fund O&M of capital projects and monitoring activities for the fish until 2023.

Program activities for the SJRIP in FY 2010 included monitoring and research, fish propagation, operation and maintenance of capital facilities constructed using federal and state monies, non-native fish control, habitat restoration and improvement, workshops, and program management.

Program Management funds support Reclamation staff involved in program management. Funds are used for the administration of funding agreements, including issuing requisitions for program supplies, and the preparation and oversight of work conducted under interagency agreements, cooperative agreements, contracts, and grants. The funds are also used for formation and participation in the technical and peer-review committees not covered under Reclamation's commitment to fund participation on the Biology Committee, implementation of committee assignments not specifically identified in a scope of work, and reporting. Management support for Capital Fund projects, including technical oversight, budgeting, preparation of bids and funding agreements is covered in a separate scope of work. Participation in Hydrology and Biology Committee meetings and business is paid for separately by Reclamation with funds unrelated to the SJRIP.

Objectives

The objectives of the "SJRIP Funds Management SOW " are:

1) Manage and administer funding for Recovery Program projects related to the Biology Committee activities. Funding Recovery Program projects requires establishment or modification of approximately 50-60 Reclamation funding agreements or contracts each year. Each financial agreement requires multiple steps and activities, including: submission of requests for Federal assistance for Recovery Program-approved projects; working with Recovery Program's office on funding issues; reviewing and approving (if warranted) project budgets; requesting obligations to cover funding agreement or contract awards; awarding agreements or contract

funding to recipients; maintaining agreement and contract filing system including agreement instruments, invoices, and accruals; reviewing and tracking budgets; participating in audits; reviewing and approving invoices; performing periodic site visits to monitor project performance and progress; filing advanced procurement reports; organizing and participating on TPECs; drafting requests for proposals (RFPs); evaluating proposals and awarding contracts; performing agreement closeouts; answering agreement inquiries from auditors, assistance recipients, and the Recovery Program; recording project performance and status of deliverables; and filing recipient performance reports.

Deliverables/Due Dates

Requests from the Recovery Program for funding are processed as they are received. Generally, most continued-funding requests (i.e., modifications to existing agreements) are completed by November for the current fiscal year. This date can vary depending on several factors: if a new agreement is required; if a Continuing Resolution is in place, which hampers our ability to obligate the entire allocation; and if the work is being proposed as a sole-source agreement or contract. Other deadlines for committee activities are set by the Recovery Program participants during the development of the annual work plan. An annual report on program management activities is submitted at the annual meeting each year.

Key Findings

In FY2010 27 projects received continued funding. A total of 28 separate Financial Assistance awards were made in FY2010 along with 10 contracts in support of SJRIP activities. Administrative management costs for Reclamation totaled \$137,135 or 5.7% of the total \$2,412,000 budget. The budget for FY2010 was approved by September 11, 2009 and all modifications were completed and mailed to contractors by November 30, 2009, with the exceptions of a modification to the habitat monitoring and fish-habitat use contract which was completed and mailed to BIA in February, but was never signed..

Program revenues included \$2,412,000 in Basin Fund Power Revenues and \$206,918 from the USFWS (Table 1). Total revenues available to the SJRIP in 2009 were \$2,618,918.

Program expenditures of Power Revenues totaled \$2,283,276 with an excess of \$128,724 due to the inability to obligate the funding for the habitat and temperature monitoring to BIA (Table 2). The detailed obligations for FY 2010 are shown in Table 3.

Table 1. Available Funding for FY 2010

Source	Funding
Reclamation Power Revenues	\$2,412,000.00
USFWS Region 2	\$206,918.00
Carryover	\$0.00
Deobligations	\$0.00
Total Revenues	\$2,618,918.00

Table 2. Summary of Expenditures for FY 2010

SUMMARY	
Element 1- Development, Integration, Evaluation of Information	\$146,639.00
Element 2 – Management and Augmentation of Populations	\$433,147.00
Element 3 – Protection, Management, and Augmentation of Habitat	\$218,537.00
Element 4 – Interactions Between Native and Nonnative Fish	\$503,611.00
Element 5 – Monitoring of Fish and Habitat	\$572,752.00
Element 6 – Information and Education	\$23,785.00
Element 7 - Program Management	\$384,805.00
Additional Purchases	\$0
Total Obligated Budget	\$2,283,276.00
Program Budget from Power Revenues and Deobligations	\$2,412,000.00
Difference in Revenues and Obligations	+\$128,724.00
Carryover to FY2011(Habitat and Temp Monitoring)	\$80,850.00

Table 3. Detailed Obligations for FY 2009

Project Title	Contractor	Agreement #	FY09 Power Revenues	Comments
Element 1 – Development, Integration, and Evaluation of Information for Recovery				
Database Management	USFWS, Program Office			
	USFWS, PO		\$37,709.00	
		Subtotal	\$37,709.00	
Data Integration				
	USFWS, Program Office			
	USFWS, PO		\$33,930.00	
		Subtotal	\$33,930.00	
Peer Review				
	USBOR with various contractors			
	Mel Warren	06-AA-40-2483	\$17,000.00	
	Steve Ross	06-PG-40-0145	\$15,000.00	
	Ron Ryel	06-PG-40-0146	\$10,000.00	
	John Pitlick	06-PG-40-0147	\$5,000.00	
		Subtotal	\$47,000.00	
Workshops (Razorback, Pikeminnow, Habitat)				
	USBOR with various contractors			
	SWCA		\$4,000.00	
	Thomas Poe		\$5,000.00	
	Tim Bonvechio		\$4,000.00	
	Patrick Martinez		\$7,300.00	
	Jackson Gross		\$3,700.00	
	Tim Patton		\$2,000.00	
	James Morel		\$1,000.00	
	David Speas		\$1,000.00	
		Subtotal	\$28,000.00	
Total Element 1			\$146,639.00	
Element 2: Management and Augmentation of Populations and Protection of Genetic Integrity				
Colorado Pikeminnow Augmentation	USFWS, GJ; USFWS, Dexter			
Stocking Colorado pikeminnow	USFWS, Albq		\$19,344.00	
Rearing Colorado pikeminnow fingerlings	USFWS, Dexter	05-AA-40-2380	\$103,037.00	
		Subtotal	\$122,381.00	
Razorback Sucker Augmentation	USFWS, Dexter/Uvalde;			

	USFWS, Albq; Navajo Nation			
Larval production	USFWS, Dexter	04-AA-40-2250	\$73,904.00	
Rearing 300mm fish	USFWS, Uvalde	06-AA-40-2514	\$127,272.00	
Rearing 300mm fish	Navajo Nation	07-FC-40-2624	\$60,192.00	
Rearing 300mm fish	USFWS, Albq	08-AA-40-2728	\$49,398.00	
		Subtotal	\$310,766.00	
Total Element 2			\$433,147.00	
Element 3 – Protection, Management, and Augmentation of Habitat				
Maintenance and Operation of the San Juan River Basin Hydrology Model	USBOR			
	USBOR		\$143,080.00	
	USGS Guaging		\$7,400.00	
		Subtotal	\$150,480.00	
Operation of PNM Fish Passage Structure and NAPI Pond Management	Navajo GF; PNM			
Operate Fish Passage	Navajo Nation	04-FC-40-2055	\$58,057.00	
Maintenance Contract	PNM	07-PG-40-0117	\$0.00	
		Subtotal	\$58,057.00	
Conservation and Habitat Planning	TNC			
	TNC		\$10,000.00	
		Subtotal	\$10,000.00	
Total Element 3			\$218,537.00	
Element 4 – Interactions Between Native and Nonnative Fish Species				
Nonnative Species Control in the Upper San Juan River	USFWS, Albq; USFWS, GJ; UTDWR; NMG&FD			
	USFWS, Albq	05-AA-40-2337	\$154,759.00	
	USFWS, GJ	05-AA-40-2352	\$82,130.00	
	UTDWR	05-FG-40-2462	\$32,741.00	
	NMG&FD	05-AA-40-2298	\$52,937.00	
		Subtotal	\$322,567.00	
Nonnative Species Control in the Lower	USFWS, Albq; USFWS, GJ;			

San Juan River	UTDWR; NMG&FD			
	UTDWR	05-FG-40-2340	\$144,358.00	
	USFWS, GJ	05-AA-40-2351	\$17,305.00	
	USFWS, Albq	05-AA-40-2336	\$13,671.00	
	NMG&FD	05-FG-40-2300	\$5,710.00	
		Subtotal	\$181,044.00	
Total Element 4			\$503,611.00	
Element 5 – Monitoring of Fish and Habitat				
Sub-Adult/Adult Large Bodied Fish Comm. Monitoring	FWS, GJ.; FWS, ALBQ; UTDWR			
	USFWS, GJ	05-AA-40-2289	\$103,572.00	
	UTDWR	05-FG-40-2347	\$4,794.00	
	USFWS, ALBQ	05-AA-40-2335	\$13,668.00	
		Subtotal	\$122,034.00	
YOY/Small Bodied Fish Monitoring	NMDGF			
	NMG&FD	05-FG-40-2299	\$89,479.00	
		Subtotal	\$89,479.00	
Larval Colorado Pikeminnow and Razorback Sucker Survey	NMG&FD			
	NMG&FD		\$224,348.00	
		Subtotal	\$224,348.00	
Specimen Curation/Identification	UNM			
	UNM	05-FG-40-2411	\$27,891.00	
		Subtotal	\$27,891.00	
Geomorphology and Habitat Mapping Monitoring	BIA; Keller-Bliesner; USBOR			
Habitat Mapping	BIA;Keller-Bliesner		(\$63,240.00)	Contract not awarded and work not completed
Helicopter flights videography	USBOR, TSC Denver	Denver TSC	\$18,000.00	
		Subtotal	\$18,000.00	

Fish-Habitat Use Evaluation	Keller-Bliesner; BioWest. ERI			
	Keller-Bliesner; BioWest. ERI		\$46,000	
		Subtotal	\$46,000.00	
Water Temperature Monitoring				
	BIA;Keller-Bliesner			
	BIA;Keller-Bliesner		(\$17,340.00)	Contract not awarded and work not completed
		Subtotal	(\$17,340.00)	
Update and Maintenance of Database				
	USFWS, Albq			
	USFWS, Albq		\$0.00	
		Subtotal	\$0.0	
Purchase of PIT Tags and Readers				
	USBOR			
	BioMark	06-CP-40-8126	\$45,000.00	
		Subtotal	\$45,000.00	
Total Element 5			\$572,752.00	
Element 6 – Information and Education				
Education and Outreach	USFWS, Denver			
	USFWS, Denver		\$16,650.00	
	USFWS, Albq		\$7,135.00	
		Subtotal	\$23,785.00	
Total Element 6			\$23,785.00	
Element 7 – Program Coordination				
Program Management	USFWS, Program Office; BOR, SLC			
	USFWS, Program Office		\$247,670.00	
	BOR, SLC		\$137,135.00	
		Subtotal	\$384,805.00	
Total Element 7			\$384,805.00	
Total Recovery Elements			\$2,283,276.00	
Additional Purchases				

Total FY 2010 Expenditures			\$2,283,276.00	