

# Selection of Surrogate Species & Setting Population Objectives:

## An Example from the Field



Lower Mississippi Valley Joint Venture's  
Waterfowl Planning

Presented By: Randy Wilson  
USFWS Migratory Bird Field Station  
Jackson, MS



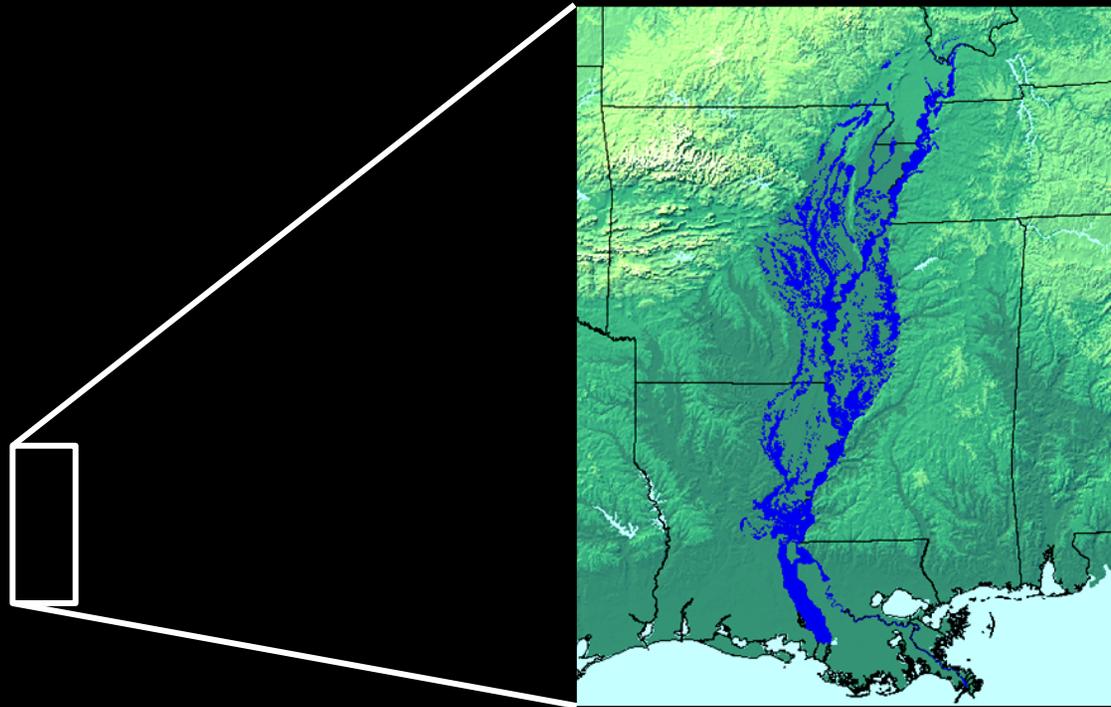
# Step 1. Develop and clearly specify management or conservation objectives.

Conservation Objective: Provide foraging habitat for overwintering ducks



## Step 2a. Identify geographic scale.

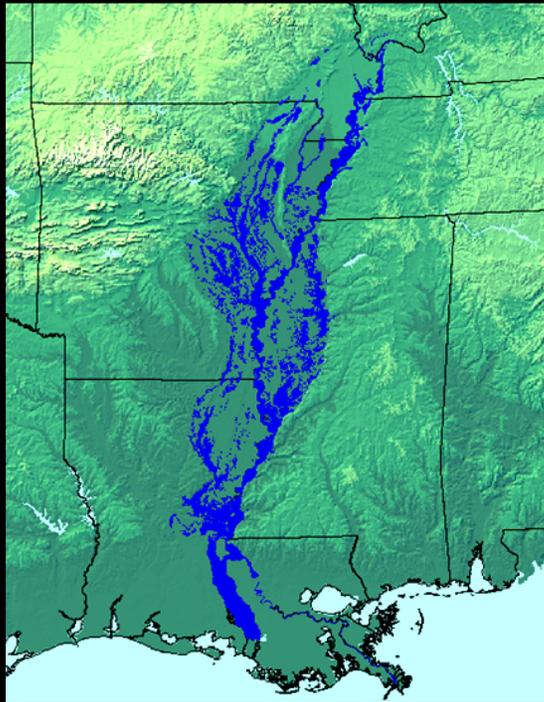
Priority Waterfowl Areas Identified in North American Waterfowl Management Plan



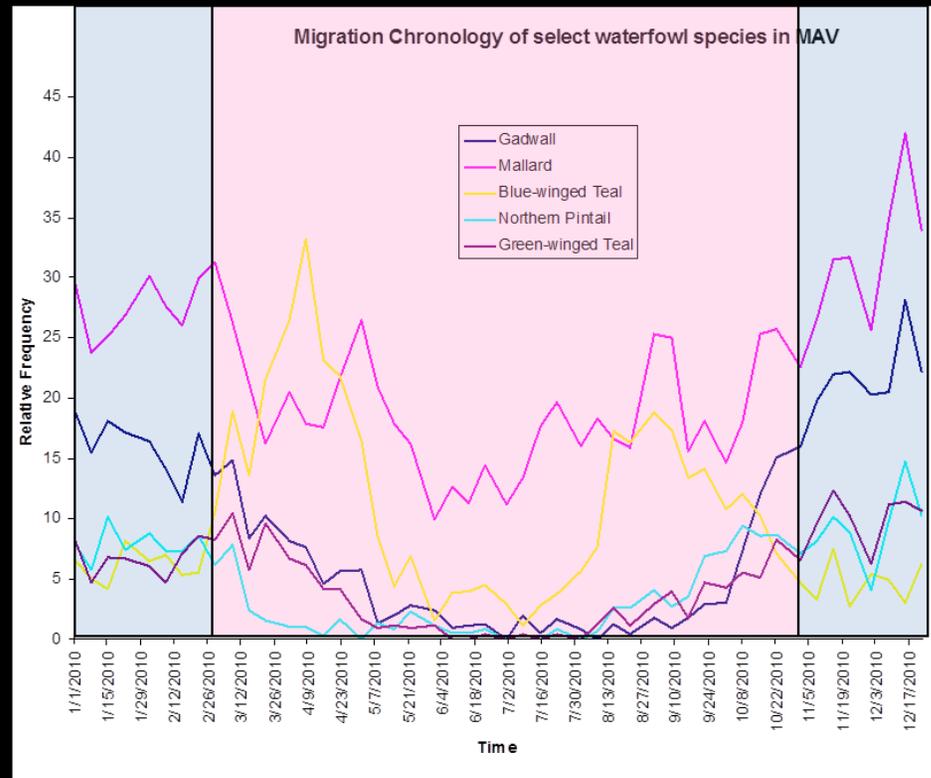
Mississippi Alluvial Valley

## Step 2b. Identify temporal scale.

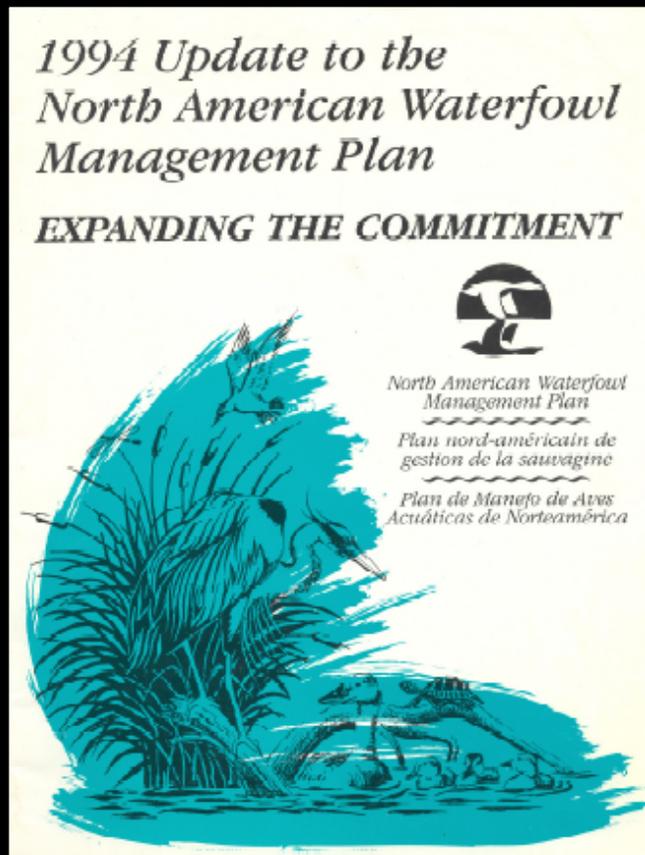
- ✓ Temporal Scale
  - 110-day wintering period
  - 10 November - 28 February



Mississippi Alluvial Valley



## Step 3. Determine which species to consider.



### DABBLING DUCKS

- Mallard
- Pintail
- Black duck
- Mottled duck
- Gadwall
- Wigeon
- Green-winged teal
- Blue-winged teal
- Shoveler
- Wood duck
- Fulvous and black-bellied whistling ducks

### DIVING DUCKS

- Redhead
- Canvasback
- Lesser and greater scaup
- Ring-necked duck
- Ruddy duck
- Bufflehead
- Common and Barrow's goldeneye

### MERGANSERS

- Hooded, red-breasted, and common merganser

### SEA DUCKS

- Harlequin
- Oldsquaw
- King, common, Steller's, and spectacled eider
- Black, white-winged, and surf scoter

## Step 4. Select criteria to use in determining surrogate species.

Criteria 1. Waterfowl species that overwinter in the Mississippi Alluvial Valley

### DABBING DUCKS

Mallard

Pintail

Black duck

~~Mottled duck~~

Gadwall

Wigeon

Green-winged teal

~~Blue-winged teal~~

Shoveler

Wood duck

~~Fulvous and black-bellied whistling ducks~~

### DIVING DUCKS

Redhead

Canvasback

Lesser and greater scaup

Ring-necked duck

Ruddy duck

~~Ruff head~~

~~Common and Barrow's goldeneye~~

### MERGANSERS

~~Hooded and bonaparte and american merganser~~

### SEA DUCKS

~~Herring gull~~

~~Oldsquaw~~

~~King, common, Eichel's, and spectacled eider~~

~~Black-winged stilt and surf Scoter~~

## Step 5. Establish Surrogates

Surrogate = all duck species that overwinter in the MAV

Note:

Objective: to provide habitat for overwintering ducks

### DABBING DUCKS

Mallard

Pintail

Black duck

~~Mottled duck~~

Gadwall

Wigeon

Green-winged teal

~~Blue-winged teal~~

Shoveler

Wood duck

~~Fulvous and black-bellied whistling ducks~~

### DIVING DUCKS

Redhead

Canvasback

Lesser and greater scaup

Ring-necked duck

Ruddy duck

~~Ruff head~~

~~Common and Barrow's goldeneye~~

### MERGANSERS

~~Hooded and bonaparte and american merganser~~

### SEA DUCKS

~~Herring gull~~

~~Oldsquaw~~

~~King, common, Eichel's, and spectacled eider~~

~~Black-winged stilt and surf Scoter~~

## Step 6. Identify species requiring special attention.

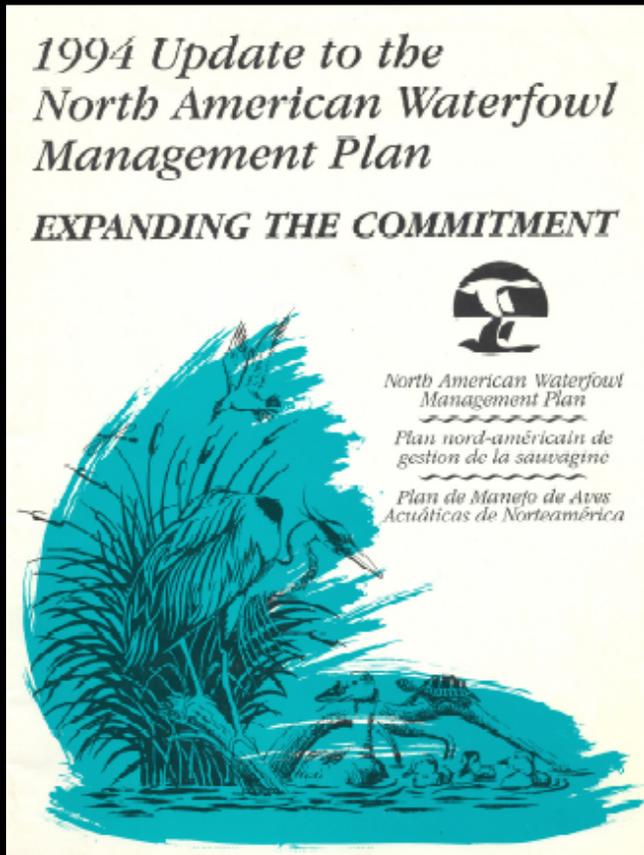
Based on the definition provided in the Draft Technical Guidance:

- Wood Duck due to its close association with bottomlands
- Snow Geese are given special attention due to their ability to quickly deplete food resources



# Step 7. Identify population objectives

## Objectives Derived from International Bird Conservation Plan



Species	Continental Objectives
Mallard	11,000,000
Northern Pintail	7,000,000
American Black Duck	1,400,000
Gadwall	2,000,000
American Wigeon	3,500,000
Green-winged Teal	3,000,000
Northern Shoveler	2,000,000
Wood Duck	3,000,000
Redhead	900,000
Canvasback	600,000
Scaup (Lesser and Greater)	8,000,000
Ring-necked Duck	1,000,000
Ruddy Duck	700,000
<b>Total</b>	<b>44,100,000</b>

# Step 7. Identify population objectives

## Stepping down continental objectives to a regional scale

### INTEGRATING RESEARCH AND MANAGEMENT TO CONSERVE WILDFOWL (*ANATIDAE*) AND WETLANDS IN THE MISSISSIPPI ALLUVIAL VALLEY, U.S.A.

K.J. REINECKE (\*) and C.R. LOESCH (\*\*)

(\*) National Biological Service, Southern Science Center, 2524 South Frontage Road,  
Suite C, Vicksburg, Mississippi, U.S.A. 39180

(\*\*) U.S. Fish and Wildlife Service, Lower Mississippi Valley Joint Venture, 2524 South Frontage  
Road, Suite C, Vicksburg, Mississippi, U.S.A. 39180

**KEY WORDS:** Wildfowl, *Anatidae*, population, evaluation, foraging habitat, habitat management, winter, Mississippi Alluvial Valley, North American Waterfowl Management Plan, U.S.A.

#### ABSTRACT

*Efforts to conserve winter habitat for wildfowl, Anatidae, in the alluvial valley of the lower Mississippi River, U.S.A., are directed by the Lower Mississippi Valley (LMV) Joint Venture of the North American Waterfowl Management Plan (NAWMP). The Joint Venture is based on a biological framework developed through cooperative planning by wildfowl researchers and managers. Important elements of the framework include: (1) numeric population goals, (2) assumptions about potential limiting factors, (3) explicit relationships between wildfowl abundance and habitat characteristics, (4) numeric foraging habitat goals, and (5) criteria for evaluating success. The population goal of the Joint Venture for the Mississippi Alluvial Valley (MAV) is to enable 4.3 million ducks to survive winter and join continental breeding populations in spring. Currently, available data suggest that foraging habitat is the primary factor limiting duck populations in the MAV. To establish a goal for foraging habitat, we assumed the length of the wintering period is 110 days and calculated that a population of 4.3 million breeding ducks (plus 15% to account for winter mortality) would need 546 million duck-days of food in the preceding winter. Then, we used estimates of daily energy requirements, food densities, and food energy values to calculate the carrying capacity or number of duck-days of food available in the three primary foraging habitats in the MAV (flooded croplands, forested wetlands, and moist-soil wetlands). Thus, availability of foraging habitat can be used as a criterion for evaluating success of the Joint Venture if accurate inventories of foraging habitat can be conducted. Development of an explicit biological framework for the Joint Venture enabled wildfowl managers and researchers to establish specific objectives for management of foraging habitat and identify priority problems requiring further study.*

#### ❖ 6 step process

- Identify species of concern and their continental goal
- Calculate proportion of ducks in MAV states relative to the total number in the lower 48 states
- Calculate proportion of ducks in MAV counties relative to the total number of ducks in MAV states
- Calculate population goals
  - Dabblers & divers – joint probabilities
- Calculate population goals
  - Wood ducks – 10% harvest assumed
- Sum across species and county by state

## Step 7. Identify population objectives

### Wintering Waterfowl Population Objectives for the Mississippi Alluvial Valley

State	Dabbling Ducks	Diving Ducks	Wood Duck	Total
Arkansas	1,474,189	78,401	322,290	1,874,880
Illinois	3,005	0	10,890	13,895
Kentucky	12,662	194	6,710	19,566
Louisiana	637,907	332,965	395,860	1,366,732
Mississippi	435,151	44,414	179,230	658,796
Missouri	65,817	3,664	26,020	95,501
Tennessee	236,884	15,066	35,500	287,450
Total	2,865,615	474,703	976,500	4,316,818

i.e., 4,316,818 ducks are expected to overwinter in the MAV.

## Step 8. Test for logic and consistency.

Definition: To ensure selected surrogates are providing a valid basis for management, it is important to evaluate their effectiveness in representing the needs of the larger set of species.

Due to the process of selecting waterfowl species overwintering in the MAV and the management strategies put forth, all other duck species are considered adequately covered (e.g., vagrant blue-wing teal).

## Step 9. Identify knowledge gaps and uncertainties.

The LMVJV Waterfowl Working Group has identified several key assumptions and uncertainties associated with the planning effort.

- Current model assumes all ducks are mallard size (292 k/cal/day).
- Current model assumes the winter period is 110 days for ducks.
- Current model assumes a 15% mortality factor during the winter period.
- Do energetic values by habitat type (crops, moist soil, bottomland forest) need to be revisited? If so, how?
- Do we need to account for inter-specific competition/deterioration of food resources? If so, how?
- Do we need to incorporate dry field feeding (energy values)? If so, how?
- Current response variable does not lend itself to seasonal and/or cross seasonal evaluations. What is the appropriate response variable(s) for evaluating JV progress towards meeting goals and objectives?
- Should Wood Ducks be included with other dabbling ducks to generate the habitat objectives (expressed as duck-use days)?  
Explicitly state objectives for diving ducks?  
Need food values for diving ducks?

## Step 10. Monitor the effectiveness of the approach.

By Definition from the technical guidance...Do surrogate species adequately represent the needs of the broader set of priority species?

Short answer is yes (see step 8). In that steps 1-10 adequately represents the needs of ducks overwintering in the MAV.

However, it is unknown to what extent this process reflects the needs of non-duck species (e.g., waterbirds, etc) using these habitats during the winter period. But again, the conservation objective was to provide habitat for overwintering ducks.

*In this example, species and population objectives are a means to establish habitat objectives (e.g., foraging habitat) which is the actual performance indicator, not populations nor species.*

*As such, the LMVJV devotes a significant amount of time and resources into tracking and monitoring habitat, not populations.*

## Assessment of Conservation Objective

- Do we have "enough" habitat?

Waterfowl Habitat Assessment Project Results for Arkansas, December and January, 1999-2005.

Date	Natural Flood	Public Managed	Private Managed (MIP)	Private Managed (MOP)	Total DEDs Observed	DED Objective	Difference
Dec-99	32,955,669	8,470,927	10,171,457	40,011,570	91,609,623	219,427,337	(127,817,714)
Dec-01	225,598,552	42,605,355	14,282,256	37,843,378	320,329,541	219,427,337	100,902,204
Jan-03	96,699,304	43,921,870	14,296,169	37,927,125	192,844,468	219,427,337	(26,582,869)
Jan-04	25,047,100	34,003,430	9,251,295	21,991,872	90,293,697	219,427,337	(129,133,640)
Jan-05	191,809,617	47,995,139	9,284,252	50,696,038	299,785,046	219,427,337	80,357,709



# Habitat Objectives

- How much habitat is needed to provide sufficient DEDs to meet population objective?
  - 2 step process to determine sufficient DEDs
    - Increase population goals by 15% to account for winter mortality
      - $4,316,818 \times 1.15 = 4,964,341$  ducks
    - Multiply adjusted goal by 110 to account for the number of days ducks are present in the winter
      - $4,964,341 \times 110 = 546,077,477$  DEDs
  - Key questions
    - How many acres is that?
    - Do we have enough?

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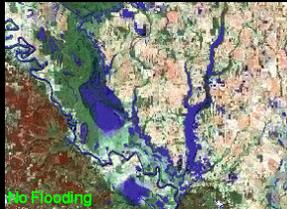
*Again, this is not applicable to the process utilized by the LMVJV because no "surrogate" was identified.*

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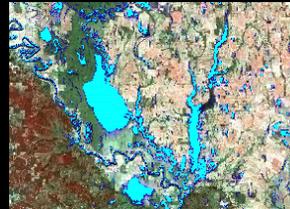
*That is, to assess progress towards the biological outcome, the LMVJV does not support nor endorse population monitoring that is not explicitly tied back to addressing assumptions or data gaps underpinning the biological planning.*

*Instead, time and energy is devoted to assessing foraging habitat quantity and quality across the landscape.*

# Habitat Assessment - Quantity



26 Jan 1985 **Stage 36.4**



7 Mar 1988 **Stage 43.5**



1 Apr 1991 **Stage 46.3**



13 Jan 1983 **Stage 59.8**



21 Mar 1987 **Stage 52.6**



11 Apr 1989 **Stage 49.8**

Naturally Flooded

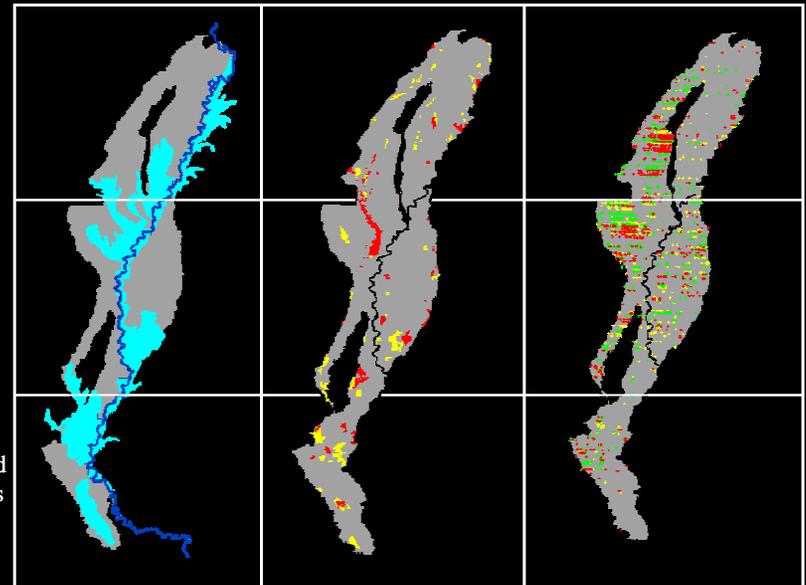
Public Managed

Private Managed

Croplands

Moist-soil

Bottomland  
Hardwoods







# Operational Compass

**LMVJV Operational Compass: Habitat Conservation**  
 Arkansas Game and Fish Commission, Ducks Unlimited, Kentucky Department of Fish and Wildlife Conservation, Missouri Department of Conservation, Oklahoma Department of Wildlife Conservation

- Primary focus on NAWMP

SHC Framework	Element/Product	NAWMP
Biological Planning	Biological Planning Unit	████████
	Priority Species	
	Population Objectives	
	Limiting Factors	
	Species/Habitat Models	
Conservation Design	Landscape/Habitat Assessment	
	Assessment of Conservation Estate	
	Decision Support Tools	
	Habitat Objectives	
	Integrate Multiple Species Objectives	
Conservation Actions	Conservation Treatments	
	Program Objectives	
Outcome-based Monitoring	Conservation Tracking System	
	Habitat Inventory and Monitoring Program	
	Population Monitoring Program	
Assumption-driven Research	Species/Habitat Model Assumptions	
	Conservation Treatment Assumptions	
	Keyfactor/Sensitivity Analyses	
	Spatial Data Analyses	