

African Elephant

TRANSMITTAL

To:	U.S. Fish & Wildlife Service
	Division of Management Authority
	Branch of Permits, MS: IA
	5275 Leesburg Pike
	Falls church, VA 22041-3803

Date:	2-27-19
Project No.:	
Project Name:	
Location:	
DEI Job No.	

We are sending you the following:

Copies	Date	Description
1		Completed Application for 1 Lion for Chris Peyerk with Check # 21266
1		Completed Application for 2 Leopards for Chris Peyerk with C21265heck #
1		Return UPS Envelope for Chris Peyerk
1		Completed Application for 1 Leopard for Irvin Rupersburg with Check # 21269
1		Completed Application for 1 Elephant for Irvin Rupersburg with Check # 21270
1		Return UPS Envelope for Irvin Rupersburg
1		Completed Application for 1 Lion for Chris Shea with Check # 21268
1		Completed Application for 2 Leopards for Chris Shea with Check # 21267
1		Return UPS Envelope for Chris Shea

Transmitted for your action:

Comments

Distribution: File

By:

Linda Jurda-Wensink



Department of the Interior
U.S. Fish and Wildlife Service

OMB No. 1018-0093
Expires 05/31/2017

Federal Fish and Wildlife Permit Application Form

RCVD MAR 5 2019

Return to: U.S. Fish and Wildlife Service
Division of Management Authority (DMA)
Branch of Permits, MS: IA
5275 Leesburg Pike
Falls Church, VA 22041-3803
1-800-358-2104 or 703-358-2104

Type of Activity:
**Import of Sport-hunted Trophies of
Southern African Leopard, African Elephant, and
Namibian Southern White Rhinoceros**

Complete Sections A or B, and C, D, and E of this application. U.S. address may be required in Section C, see instructions for details.
See attached instruction pages for information on how to make your application complete and help avoid unnecessary delays.

A. Complete if applying as an individual			
1.a. Last name Rupersburg	1.b. First name Irvin	1.c. Middle name or initial Stephen	1.d. Suffix (b) (6)
2. Date of birth (mm/dd/yyyy) (b) (6)	3. Social Security No. (b) (6)	4. Occupation (b) (6)	
5. Affiliation/ Doing business as (see instructions)			
6.a. Telephone number (b) (6)	6.b. Alternate telephone number	6.c. Fax number	6.d. E-mail address

B. Complete if applying on behalf of a business, corporation, public agency, Tribe, or institution			
1.a. Name of business, agency, Tribe, or institution		1.b. Doing business as (dba)	
2. Tax identification no.	3. Description of business, agency, Tribe, or institution		
4.a. Principal officer Last name	4.b. Principal officer First name	4.c. Principal officer Middle name/ initial	4.d. Suffix
5. Principal officer title		6. Primary contact name	
7.a. Business telephone number	7.b. Alternate telephone number	7.c. Business fax number	7.d. Business e-mail address

C. All applicants complete address information			
1.a. Physical address (Street address; Apartment #, Suite #, or Room #: no P.O. Boxes) (b) (6)			
1.b. City Shelby Township	1.c. State MI	1.d. Zip code/Postal code: (b) (6)	1.e. County/Province (b) (6)
1.f. Country USA			
2.a. Mailing Address (include if different than physical address; include name of contact person if applicable)			
2.b. City	2.c. State	2.d. Zip code/Postal code:	2.e. County/Province
2.f. Country			

D. All applicants MUST complete	
1. Attach check or money order payable to the U.S. FISH AND WILDLIFE SERVICE in the amount of \$100, nonrefundable processing fee. Federal, Tribal, State, and local government agencies, and those acting on behalf of such agencies, are exempt from the processing fee – attach documentation of fee exempt status as outlined in instructions. (50 CFR 13.11(d))	
2. Do you currently have or have you ever had any Federal Fish and Wildlife permits? Yes ☐ If yes, list the number of the most current permit you have held or that you are applying to renew/re-issue: _____ No ☒	
3. Certification: I hereby certify that I have read and am familiar with the regulations contained in Title 50, Part 13 of the Code of Federal Regulations and the other applicable parts in subchapter B of Chapter I of Title 50, and I certify that the information submitted in this application for a permit is complete and accurate to the best of my knowledge, and that any false statement herein may subject me to the criminal penalties of 18 U.S.C. 1001. (b) (6)	
Signature _____ Responsible for permit (No photocopied or stamped signatures)	Date of signature (mm/dd/yyyy) 2-22-19

Please continue to next page

E. IMPORT OF SPORT-HUNTED TROPHIES OF SOUTHERN AFRICAN LEOPARD, AFRICAN ELEPHANT, AND NAMIBIAN SOUTHERN WHITE RHINOCEROS

Note 1: If you hold an import permit for trophy/trophies that you did not use, please **return the unused original permit**. If you are requesting reissuance of a permit because you have taken a trophy, but were unable to import it prior to the expiration of the permit, please use the renewal form (3-200-52; <http://www.fws.gov/international/permits/by-form-number/index.html>) and return your original permit with that form.

Note 2: The U.S. FWS has determined that a trophy consists of raw or tanned parts of a specimen taken by a hunter during a sport hunt for personal use. It may include the bones, claws, hair, head, hide, hooves, horns, meat, skull, teeth, tusks, or any taxidermied part, including, but not limited to, a rug or taxidermied head, shoulder, or full mount. It does not include articles made from a trophy, such as worked, manufactured, or handicraft items for use as clothing, curios, ornamentation, jewelry, or other utilitarian items. If you wish to import such products, please contact the Division of Management Authority for the proper application form.

Note 3: Certain hunting trophies, including leopard, elephant, and rhinoceros hunting trophies, are subject to restrictions on their use after import into the United States. Please see 50 CFR 23.55 for more information or contact the Division of Management Authority.

Please provide the following information. Complete all questions on the application. Mark questions that are not applicable with "N/A". If applying for more than one trophy, be sure to answer questions 2 and 3 for each trophy. If importing trophies from more than one country, you must submit a separate application for each shipment in order to obtain separate import permits.

1. ENTER the quantity next to the name of the species you are applying to import (you may apply to import specimens of more than one species provided they have been/are being hunted in the same country):

Leopard (*Panthera pardus*) Quantity: _____ (Limited to the import of two per calendar year)

African elephant (*Loxodonta africana*) Quantity: ¹_____ (Import permit is not required for trophies harvested in Botswana, Namibia, South Africa, or Zimbabwe). **Note: once imported, ivory cannot be re-exported.**

Southern white rhinoceros (*Ceratotherium simum simum*) from Namibia Quantity: _____ (An import permit is not required for trophies harvested in South Africa or Swaziland. If you are looking to import from a different country, please use form 3-200-37).

2. **IF ANIMAL IS CURRENTLY LIVING IN THE WILD**, please enter the following:
 - a. Country and place (area, region, GIS coordinates, ranch AND nearest city) where wildlife is to be taken from the wild:
 - b. Date wildlife is to be hunted:
 - c. Description of the trophy and parts you intend to import (e.g., skin, skull, shoulder mount, life size mount, claws, horns, tusks).
3. **IF THE ANIMAL IS DEAD**, please enter the following:
 - a. Country and place (area, region, GIS coordinates, ranch, and/or AND nearest city) where trophy was removed from the wild: Chewore Safari Area - North Mashonaland West
 - b. Date wildlife was hunted:
October 14, 2018

- c. Description of the trophy and parts you intend to import (e.g., skin, skull, shoulder mount, life size mount, claws, horn, tusks):
- d. The current location of the trophy (address and country) [the U.S. import permit will identify this country as the country of export/re-export and must match with the export/re-export document]:

4. Complete name and address of overseas person or business shipping the trophy to you. If you are applying to import a trophy directly from Namibia, you **must** provide the name and address of the professional hunter listed on your Namibian hunting permit [this name will appear on the face of the export permit].

Name: Dee Gilmour
Business Name: Trophy Consultants International (T.C.I.)
Address: 4 Josiah Chinamano Road
Address: Belmont
City: Bulawayo
State/Province: Zimbabwe
Country, Postal Code:

5. Please be aware that the U.S. Fish and Wildlife Service may need to make a finding that your activities will enhance or benefit wild populations of the species involved. If you have any information that could support this finding (e.g., how the funds from license/trophy fees will be spent, what portion of the hunting fee will support conservation), please submit such information on a separate page with your application.

CERTIFICATION STATEMENT (original signature must be provided for either 6 or 7 below)

6. If you are a broker or taxidermist applying on behalf of a foreign national, provide documentation to show you have a **Power of Attorney** to act on your client's behalf and sign the following statement.

I acknowledge that the sport-hunted trophy/trophies to be imported has been/will be personally hunted by my client and is being imported only for my client's personal use (i.e., **not for sale, transfer, donation, or exchange that is reasonably likely to result in economic use, gain, or benefit**). I understand that my client may only import two leopard trophies in one calendar year (if applicable). In addition, I have advised my client that raw ivory, once imported into the United States, cannot be re-exported.

Taxidermist/Broker's signature: _____ Date: _____

7. If you are the hunter applying to import your own trophy, please read and sign the following statement.

I acknowledge that the sport-hunted trophy/trophies to be imported has been/will be personally hunted by me and is being imported only for my personal use (i.e., **not for sale, transfer, donation, or exchange that is reasonably likely to result in economic use, gain, or benefit**). I understand that I may only import two leopard trophies in one calendar year (if applicable). In addition, I understand that raw ivory, once imported into the United States, cannot be re-exported.

Applicant's signature: _____ Date: 2-22-19

Be aware that there may be additional permitting or approval requirements by your local or state governments, as well as required by other Federal agencies or foreign government to conduct your propose activity. While the Service will attempt to assist where possible, it is your responsibility to obtain such approval.

8. All international shipment(s) must be through a designated port. A list of designated ports (where an inspector is posted) is available from <http://www.fws.gov/le/designated-ports.html>. If you wish to use a port not listed, please contact the Office of Law Enforcement for a Designated Port Exemption Permit (form 3-200-2).

9. Name and address where you wish the permit to be mailed, if different from page 1 (All permits will be mailed via the U.S. Postal Service, unless you identify an alternative means below):

10. If you wish the permit to be delivered by means other than USPS regular mail, provide an air bill, pre-paid envelope, or billing information. If you do not have a pre-paid envelope or air bill and wish to pay for a courier service with your credit card, please check the box below. Please DO NOT include credit card number or other information; you will be contacted for this information.

☐ If a permit is issued, please send it via a courier service to the address on page 1 or question 9. I understand that you will contact me for my credit card information once the application has been processed.

11. Who should we contact if we have questions about the application? (Include name, phone number, and email):

12. **Disqualification Factor.** A conviction, or entry of a plea of guilty or nolo contendere, for a felony violation of the Lacey Act, the Migratory Bird Treaty Act, or the Bald and Golden Eagle Protection Act disqualifies any such person from receiving or exercising the privileges of a permit, unless such disqualification has been expressly waived by the Service Director in response to a written petition. (50 CFR 13.21(c)) Have you or any of the owners of the business, if applying as a business, been convicted, or entered a plea of guilty or nolo contendere, forfeited collateral, or are currently under charges for any violations of the laws mentioned above?

☐ Yes ☒ No If you answered "Yes" provide: a) the individual's name, b) date of charge, c) charge(s), d) location of incident, e) court, and f) action taken for each violation.

ZW 091/500
/2018-LOX

ZW 091/500
/2018-LOX

ELEPHANT

TROPHY EXPORT TAG

ZW 091/500/2018-LOX



ZM201823201

CERTIFICATE OF OWNERSHIP OF IVORY AND RHINOCEROS HORN
(Issued in terms of section 77 of the Parks and Wildlife (General) Regulations, 1990)

Cert. No 127101

6441a

Name Kevin Stephen
Rupersburg, JNR

Address (b) (6)

Specified officer's signature and date-stamp

(b) (6) UNITED STATES

Tusk serial number ZM201823201

Tusk mass (kg) 11 kg

Tusk length (metric) 138cm

Sex M

Authority TR 81218

Signature of holder

Notes

1. This certificate must be returned to the Director, Department of National Parks and Wildlife Management, P.O. Box CY 140, Causeway, in the event of the tusk being cut up for manufacturing purposes, lost, stolen or exported.
2. Transfer/sale of ivory or horn is to be done at National Parks offices.

ZM201823202

CERTIFICATE OF OWNERSHIP OF IVORY AND RHINOCEROS HORN
(Issued in terms of section 77 of the Parks and Wildlife (General) Regulations, 1990)

Cert. No 127102

6441b

Name Kevin Stephen
Rupersburg, JNR

Address (b) (6)

Specified officer's signature and date-stamp

(b) (6) UNITED STATES

Tusk serial number ZM201823202

Tusk mass (kg) 11 kg

Tusk length (metric) 138cm

Sex M

Authority TR 81218

Signature of holder

Notes

1. This certificate must be returned to the Director, Department of National Parks and Wildlife Management, P.O. Box CY 140, Causeway, in the event of the tusk being cut up for manufacturing purposes, lost, stolen or exported.
2. Transfer/sale of ivory or horn is to be done at National Parks offices.

(b) (6)

(b) (6)

J.P.Morgan

JPMorgan Chase Bank, N.A.
Detroit, Michigan

9-32/720

2014® Check Print
Protection for Business

2/14/2019

PAY TO THE
ORDER OF

U.S. Fish & Wildlife Service

\$ **100.00

One Hundred and 00/100*****

DOLLARS

U. S. Fish & Wildlife Service



(b) (6)

MEMO

Import Permit for Elephant for Irv Rupersburg

(b) (6)

CHRIS D. PEYERK LIVING TRUST

(b) (6)

U.S. Fish & Wildlife Service

Date	Type	Reference	Original Amt.	Balance Due	2/14/2019 Discount	Payment
2/14/2019	Bill	Import Permit EleplR	100.00	100.00		100.00
				Check Amount		100.00

Chase CP (b) (6)

Import Permit for Elephant for Irv Rupersburg

100.00



RCVD MAR 04 2019

LB

Department of Interior
U.S. Fish and Wildlife Service

Federal Fish and Wildlife Permit Application Form

U.S. Fish and Wildlife Service
Division of Management Authority
Branch of Permits, MS: IA
5275 Leesburg Pike
Falls Church, VA 22041-3803
1-800-358-2104 or 703-358-2104

Type of Activity

**IMPORT OF SPORT-HUNTED TROPHIES OF SOUTHERN
AFRICAN LEOPARD AND NAMIBIAN SOUTHERN WHITE
RHINOCEROS**

Complete Sections A or B, and C, D, and E of this application. U.S. address may be required in Section C, see instructions for details.
Instructions on how to make your application complete and help avoid unnecessary delays are attached.

Section A: Complete if applying as an individual

1.a. Last Name Boyd	1.b. First Name Ronald	1.c. Middle Name/Initial A	1.d. Suffix
2. Date of Birth (mm/dd/yyyy) (b) (6)	3. Telephone Number	3.a. Alternate Telephone Number	4. E-mail address

Section B: Complete if applying on behalf of a business, corporation, public agency, Tribe, or institution

1.a. Name of business, agency, Tribe, or institution		1.b. Doing business as (DBA)	
2. Tax identification no.		3. Description of business, agency, Tribe, or institution	
4.a. Principal officer Last name	4.b. Principal officer First Name	4.c. Principal officer Middle name/initial	4.d. Suffix
5. Principal officer title		6. Primary contact name	
7.a. Business telephone number	7.b. Alternate telephone number	7.c. Business fax number	7.d. Business e-mail address

Section C: All applicants complete address information

1.a. Physical address (Street address, Apartment #, Suite #, or Room #; no P.O. Boxes) (b) (6)				
1.b. City MANSTFIELD	1.c. State TEXAS	1.d. Zip code/Postal code (b) (6)	1.e. County/Province	1.f. Country U.S.A
2.a. Mailing address (include if different than physical address; include name of contact person if applicable)				
2.b. City	2.c. State	2.d. Zip code/Postal code	2.e. County/Province	2.f. Country

Section D: All applicants MUST complete

1. Attach the nonrefundable application processing fee in the form of a check or money order payable to the U.S. FISH AND WILDLIFE SERVICE in the amount of \$100. Federal, Tribal, State, and local government agencies, and those acting on behalf of such agencies, are exempt from the processing fee – attach documentation of fee exempt status as outlined in Instructions [50 CFR 13.11(d)].
2. Certification: I hereby certify that I have read and am familiar with the regulations contained in Title 50 Part 13 of the Code of Federal Regulations and the other applicable parts in subchapter B of Chapter I of Title 50, and I certify that the information submitted in this application for a permit is complete and that any false statement herein may subject me to the criminal penalties of 18 U.S.C. 1001. (b) (6) or stamped signatures) Date of signature (mm/dd/yyyy) 02/22/2019

continue to next page

3. Disqualification factor. A conviction, or entry of a plea of guilty or nolo contendere, for a felony violation of the Lacey Act, the Migratory Bird Treaty Act, or the Bald and Golden Eagle Protection Act disqualifies any such person from receiving or exercising the privileges of a permit, unless such disqualification has been expressly waived by the Service Director in response to a written petition. (50 CFR 13.21(c)) Have you or any of the owners of the business, if applying as a business, been convicted, or entered a plea of guilty or nolo contendere, forfeited collateral, or are currently under charges for any violations of the laws mentioned above?

☒ No ☐ Yes

If you answered "Yes" to Question 3, provide: a) the individual's name; b) date of charge; c) charge(s); d) location of incident; e) court; and f) action taken for each violation. Please be aware that a "Yes" response does not automatically disqualify you from getting a permit.

4. ENTER the quantity next to the name of the species you are applying to import (you may apply to import specimens of more than one species provided they have been/are being hunted in the same country):

Elephant (Loxodonta Africana) Qty 1

- a. Leopard (*Panthera pardus*) Quantity: ____ (Limited to the import of two per calendar year). If you wish to import a leopard taken in northern or western Africa, please use form 3-200-20.
- b. Southern white rhinoceros (*Ceratotherium simum simum*) from Namibia
Quantity: ____ (An import permit is not required for trophies harvested in South Africa or Swaziland. If you are looking to import from a different country, please use Form 3-200-20).

5. IF ANIMAL IS CURRENTLY LIVING IN THE WILD, Please enter the following information:

- a. Country and place (area, region, GIS coordinates, ranch, conservancy, management area, or hunting block, AND nearest city) where wildlife is to be taken from the wild:
- b. Date wildlife is to be hunted:
- c. Name of hunting outfitter, safari company, or professional hunter you will be hunting with:

10. If you are the hunter applying to import your own trophy, please read and sign the following statement.

I acknowledge that the sport-hunted trophy/trophies to be imported has been/will be personally hunted by me and is being imported only for my personal use (i.e., **not for sale, transfer, donation, or exchange that is reasonably likely to result in economic gain, or benefit**). If applying to import a leopard trophy, I understand that I may not import more than one trophy in one calendar year.

Applicant's signature

Date:

02/22/2019

Be aware that there may be additional permitting or approval requirements by your local or state governments, as well as required by other Federal agencies or foreign government to conduct your propose activity. While the Service will attempt to assist where possible, it is your responsibility to obtain such approval.

11. All international shipment(s) must be through a designated port. A list of designated ports (where an inspector is posted) is available from [the list of designated ports](#). If you wish to use a port not listed, please contact the Office of Law Enforcement for a Designated Port Exemption Permit (form 3-200-2).



EZEMVELO
KZN WILDLIFE

ORIGINAL

ORDINARY PERMIT

Fee: R 1 100,00
Receipt No: 2775/2017

Permit No: OP 2802/2017
Contact: Miss S.M. Hughes

This permit is issued in terms of the provisions of the National Environmental Management: Biodiversity Act 2004, Act 10 of 2004, and in pursuance of the provisions of the Nature Conservation Ordinance No 16 of 1974, Chapter 3 and the Regulations framed thereunder.

The permit is issued to:

Passport: 491007425

Mr Ronald Adrian Boyd
"KwaZulu Private Game Reserve"
P.O.Box 591
Pongola
3170

Residential Address
"KwaZulu Private Game
Reserve"
Vergelegen Farm
Magudu
Pongola
3170

Conservation District: Paulpietersburg
Province: KwaZulu-Natal

In the capacity of Hunting Client
To Hunt the following species of Game

AFRICAN ELEPHANT
1 (One)

(LOXODONTA AFRICANA)

Hunting Client:
Mr R.A. Boyd

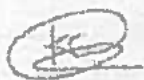
(b) (6)
Marshall
Texas (b) (6)
United States of America

Hunting Outfitter:
Mr E. Alberts

Northern Zululand Safaris PH 084 :
8536

Please read the Terms and Conditions under which this Permit is Issued

ISSUED at PIETERMARITZBURG, KwaZulu-Natal, on: 11 Jul 2017


for CHIEF EXECUTIVE


Permit Holder

OP 2802/2017

EZEMVELO KZN WILDLIFE PERMITS OFFICE
PO Box 13053, Cascades, 3202, Pietermaritzburg, KwaZulu-Natal
Tel +27 33 845 1320 / 1324 Fax: +27 33 845 1747 Fax to Email: 088 529 3320
Email: permits@kznwildlife.com Website: www.kznwildlife.com



**EZEMVELO
KZN WILDLIFE**

ORIGINAL

Professional Hunter:
Mr E Alberts

Northern Zululand Safaris PH 084 1
8536

Weapon: Rifle

Hunting Method: Walk & stalk

TERMS AND CONDITIONS UNDER WHICH THIS PERMIT IS ISSUED

1. It is valid only:
 - (i) from : 11 Jul 2017
to : 15 Sep 2017
 - (ii) in the original
 - (iii) if all 3 pages are signed by the permit holder named above
 - (iv) to the permit holder named above
2. By signing the permit or licence the holder accepts, and agrees to comply with the conditions under which it is issued.
3. This permit is not transferable
4. Any unauthorised alteration to this permit shall render it invalid
5. This permit/certificate is subject to the provisions of any applicable law in force during the period of validity of the permit/certificate.
6. This permit is valid only within the province where it was issued
7. The holder of the permit shall, at the request of a person authorised in terms of applicable legislation so to demand, forthwith produce such permit to such person.
8. This permit shall be invalid until such time that it is signed by the permit holder.
9. This permit shall be deemed invalid if it is lost or destroyed and no copy thereof shall be issued.
10. This permit may be withdrawn by an authorised person if the execution of any activity may be detrimental to the welfare of any wild animal or the safety of any person, provided that the permit holder is given notice of such intention and be granted the opportunity to appeal to such withdrawal.
11. The prescribed fee payable for the issuing of this permit shall not be refunded.

Please read the Terms and Conditions under which this Permit is issued

ISSUED at PIETERMARITZBURG, KwaZulu-Natal, on 11 Jul 2017


for CHIEF EXECUTIVE


Permit Holder

EZEMVELO KZN WILDLIFE PERMITS OFFICE
PO Box 13053, Cascades, 3202, Pietermaritzburg, KwaZulu-Natal
Tel +27 33 845 1320 / 1324 Fax: +27 33 845 1747 Fax to Email: 086 529 3320
Email: permits@kznwildlife.com Website: www.kznwildlife.com

OP 2802/2017

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**EZEMVELO
KZN WILDLIFE**

ORIGINAL

- 12 If the holder of this permit contravenes or fails to comply with any condition or requirement to which this permit is subject, he or she shall be guilty of an offence.
- 13 This permit shall be subject to any applicable norms and standards in existence at the time of issuance of this permit.
- 14 The issuing authority shall determine the species and restricted activities for which this permit will apply.
- 15 If this permit applies to hunting, the holder of this permit must: a. Have a copy of this permit authorising the hunt, on his or her person during the hunt; b. Within 21 days after the hunt, furnish the issuing authority with a written return on the hunt stating: i) the permit number and date of issuance of the permit ii) the species, sex and number of animals hunted; and iii) the location where the hunt took place.
- 16 Return the original permit to the issuing authority forthwith after expiry of the permit.
- 17 The holder of this permit may also allow the holder of a Special Game Licence to hunt the game shown herein during the Closed Season. However if this permit applies to a specimen listed in the Threatened or Protected Regulations the person hunting the animal must also be in possession of a permit issued by Ezemvelo KZN Wildlife to enable the hunting to take place.
- 18 The holder of this permit may also allow the holder of a relevant Game Licence to hunt the game shown herein. However if this permit applies to a specimen listed in the Threatened or Protected Regulations the person hunting the animal must also be in possession of a permit issued by Ezemvelo KZN Wildlife to enable the hunting to take place.
- 19 The attached return of the hunting permit must be completed by the holder. The completed permit to be returned to the Chief Executive, E KZN Wildlife, P O Box 13053 Cascades, 3202 or emailed to permits@kznwildlife.com upon expiry. Failure to comply with this condition may jeopardise the issue of future permits.
- 20 All elephant Ivory which is part of a trophy hunted by a client, whether South African or foreign, must be marked in accordance with the CITES requirements PRIOR to the tusks leaving the province. Failure to comply with this requirement might result in the tusks being brought back, at the Hunting Outfitters expense, to have the tusks marked.

Please read the Terms and Conditions under which this Permit is issued

ISSUED at PIETERMARITZBURG, KwaZulu-Natal, on 11 Jul 2017

for CHIEF EXECUTIVE

Permit Holder

EZEMVELO KZN WILDLIFE PERMITS OFFICE

PO Box 13053/ Cascades, 3202, Pietermaritzburg, KwaZulu-Natal
Tel +27 33 845 1320 / 1324. Fax: +27 33 845 1747. Fax to Email: 088 529 3320
Email: permits@kznwildlife.com Website: www.kznwildlife.com

OP 2802/2017

No. A8727



Duration of Hunt: Commenced 29 / 08 / 2017 Terminated 03 / 08 / 2017

Post Permit to (Mark correct block with X)

CLIENT

Name: Khalid Khan

Permanent Postal Address:

Maxwell

Country: USA

Passport No.: (b) (6)

Email: lfrank@fzj.de

Date: 03/20/2006

HUNTING OUTFITTER

Name: E. J. Alberts

Business Address: 1620 Seeger St

Product: 1.000.000.000 0570

ID. No. 8007085005 087

Tel No: 01161 5560 Fax No:

HO Permit No. 956 12017

Date: 05/09/2017 Signature: [Signature]

[illegible]

Address to which trophies are to be sent:

Code: _____

Country: _____ Trophic Export Data (Estimated)

1. The first step is to identify the problem or question that needs to be answered. This involves understanding the context and the specific requirements of the task.

Copies:	Client	Original	White
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Forward to Nature Conservation Authority where hunt took place
Retain for a period of three years

Taxidermist	3rd Copy	Green	Taxidermist
Professional Hunter	Last Copy	Yellow	Remains in book

NG: Voluntary conservation contributions for every trophy taken would be appreciated. These funds go toward wildlife conservation, the upliftment of communities, research projects and many more.



18 February 2019

To whom it may concern

Dear Sir / Madam

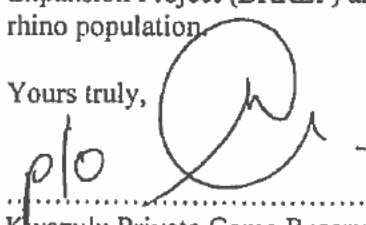
9 a) According to our surveys there were 28,168 elephants in South Africa as of December 2015. The status of African elephant, *Loxodonta Africana* populations in South Africa
Yolanda Pretorius, Marion E. Garai and Lucy A.

Bates <https://doi.org/10.1017/S0030605317001454> Published online: 15 April 2018.

b) The income generated is utilised for the management of the reserve's elephant population, such as contraception of females due to the high population density in the park. Protecting both elephant and rhino populations in private reserves has become very costly and therefore requires substantial financial investment and income from sustainable use contributes to the protection and maintenance of these populations. In addition to wildlife management, habitat management is also very costly and elephant impact on woody plants must be assessed as part of the overall management to guide decision making and again is hugely costly. Funds from sustainable use are critical part of the financial sustainability of these private reserves and ensure they persist.

c) Kwazulu Private Game Reserve has an approved Elephant Management Plan in place and the elephant population is managed in accordance with this plan.
We have +/- 70 local members of the communities around us in the employment of Kwazulu Private Game Reserve. This has a positive influence on the security of the park, as they assist with the anti-poaching on our property. We supply meat to the primary school neighbouring our farm, to feed +/- 100 pupils. We supply water to the school and to 2 of the communities neighbouring our farm. We assist the communities with ploughing their fields, supplying firewood and river sand on a weekly basis. We make donation's towards local sports teams as well as functions within the communities. Some of our guests make donations to the local communities (sports teams and school). We are a member of the Black Rhino Range Expansion Project (BRREP) and we work hand in hand with Project Rhino to protect our rhino population.

Yours truly,


.....
Kwazulu Private Game Reserve

KWAZULU PRIVATE GAME RESERVE (PTY) LTD. -- P.O. BOX 591 PONGOLA 3170
VAT NO: 4030205217 / COMPANY REGISTRATION NO: 2001/018212/07
TEL: 034 414 1085 / CELL: 083 845 5537 / FAX: 034 414 1389 / EMAIL: kwazulugame@gmail.com
DIRECTOR: JM QUELLHORST

PRINTED ON LINEMARK PAPER - HOLD TO LIGHT TO VIEW. FOR ADDITIONAL SECURITY FEATURES SEE BACK.

0005294
Office AU # 11-24
1210(8)

PERSONAL MONEY ORDER

Remitter: DOUG SWICK
Operator I.D. w369051

PAY TO THE ORDER OF ***U.S. FISH AND WILDLIFE***

One hundred dollars and no cents

Payer Address:
Memo:

WELLS FARGO BANK, N.A.
200 ALFAMESA BLVD E
FORT WORTH, TX 76134
FOR INQUIRIES CALL (480) 394-3122

(b) (6)

February 19, 2019

\$100.00

VOID IF OVER US \$ 100.00


Purchaser's Signature

Security Features Included.  Details on Back.

(b) (6)



Department of Interior
U.S. Fish and Wildlife Service
Federal Fish and Wildlife Permit Application Form

RCVD MAR 04 2019

LB

U.S. Fish and Wildlife Service
Division of Management Authority
Branch of Permits, MS: 1A
5275 Leesburg Pike
Falls Church, VA 22041-3803
1-800-358-2104 or 703-358-2104

Type of Activity

**IMPORT OF SPORT-HUNTED TROPHIES OF SOUTHERN
AFRICAN LEOPARD AND NAMIBIAN SOUTHERN WHITE
RHINOCEROS**

Complete Sections A or B, and C, D, and E of this application. U.S. address may be required in Section C, see instructions for details.
Instructions on how to make your application complete and help avoid unnecessary delays are attached.

Section A: Complete if applying as an individual

1.a. Last Name SWICK	1.b. First Name DOUGLAS	1.c. Middle Name/initial WAYNE	1.d. Suffix
2. Date of Birth (mm/dd/yyyy) (b) (6)	3. Telephone Number	3.a. Alternate Telephone Number	4. E-mail address

Section B: Complete if applying on behalf of a business, corporation, public agency, Tribe, or institution

1.a. Name of business, agency, Tribe, or institution		1.b. Doing business as (DBA)	
2. Tax identification no.		3. Description of business, agency, Tribe, or institution	
4.a. Principal officer Last name	4.b. Principal officer First Name	4.c. Principal officer Middle name/initial	4.d. Suffix
5. Principal officer title		6. Primary contact name	
7.a. Business telephone number	7.b. Alternate telephone number	7.c. Business fax number	7.d. Business e-mail address

Section C: All applicants complete address information

1.a. Physical address (Street address, Apartment #, Suite #, or Room #; no P.O. Boxes) (b) (6)				
1.b. City ARGYLE	1.c. State TEXAS	1.d. Zip code/Postal code (b) (6)	1.e. County/Province	1.f. Country USA
2.a. Mailing address (include if different than physical address; include name of contact person if applicable) (b) (6)				
2.b. City FORT WORTH	2.c. State TEXAS	2.d. Zip code/Postal code (b) (6)	2.e. County/Province	2.f. Country USA

Section D: All applicants MUST complete

1. Attach the nonrefundable application processing fee in the form of a check or money order payable to the U.S. FISH AND WILDLIFE SERVICE in the amount of \$100. Federal, Tribal, State, and local government agencies, and those acting on behalf of such agencies, are exempt from the processing fee - attach documentation of fee exempt status as outlined in instructions [50 CFR 13.11(d)].	
2. Certification: I hereby certify that I have read and am familiar with the regulations contained in Title 50 Part 13 of the Code of Federal Regulations and the other applicable parts in subchapter B of Chapter I of Title 50, and I certify that the information submitted in this application for a permit is complete and accurate to the best of my knowledge and belief. I understand that any false statement herein may subject me to the criminal penalties of 18 U.S.C. 1001.	
Signature of applicant/Principal Officer for permit (No photocopied or stamped signatures) (b) (6)	Date of signature (mm/dd/yyyy) 02/20/2019

E. IMPORT OF SPORT-HUNTED TROPHIES OF SOUTHERN AFRICAN LEOPARD AND NAMIBIAN SOUTHERN WHITE RHINOCEROS

Note 1: If you hold an import permit for trophy/trophies that you did not use, please return the unused original permit to the address on page one.

Note 2: If you are requesting reissuance of a permit because you have taken a trophy, but were unable to import it prior to the expiration of the permit, please use the renewal form (3-200-52) and return your original permit with that form.

Note 3: *Sport-hunted trophy* is defined at 50 CFR 23.74 as follows: *Sport-hunted trophy* means a whole dead animal or a readily recognizable part or derivative of an animal specifically identified on the accompanying CITES document that meets the following criteria:

- Is raw, processed, or manufactured;
- Was legally obtained by the hunter through hunting for his or her personal use;
- Is being imported, exported, or re-exported by or on behalf of the hunter as part of the transfer from its country of origin ultimately to the hunter's country of usual residence; and
- Includes worked, manufactured, or handicraft items made from the sport-hunted animal only when:
 - i. Such items are contained in the same shipment as raw or tanned parts of the sport-hunted animal and are for the personal use of the hunter;
 - ii. The quantity of such items is no more than could reasonably be expected given the number of animals taken by the hunter as shown on the license or other documentation of the authorized hunt accompanying the shipment; and
 - iii. The accompanying CITES documents (export document and, if appropriate, import permit) contain a complete itemization and description of all items included in the shipment.

Note 4: Certain hunting trophies, including leopard and rhinoceros hunting trophies, are subject to restrictions on their use after import into the United States. Please see 50 CFR 23.55 for more information or contact the Division of Management Authority.

- Complete all questions on the application.
- Mark questions that are not applicable with "N/A".
- If applying for more than one trophy, be sure to answer questions 2 and 3 for each trophy.
- If importing trophies from more than one country, you must submit a separate application for each shipment in order to obtain separate import permits.

1. Name and address where you wish the permit to be mailed, if different from page 1. If you would like expedited shipping, please enclose a self-addressed, pre-paid, computer-generated, courier service airway bill. If unspecified, all documents will be mailed via the U.S. Postal Service.
2. Who should we contact if we have questions about the application (name, phone number, and e-mail)?

3. Disqualification factor. A conviction, or entry of a plea of guilty or nolo contendere, for a felony violation of the Lacey Act, the Migratory Bird Treaty Act, or the Bald and Golden Eagle Protection Act disqualifies any such person from receiving or exercising the privileges of a permit, unless such disqualification has been expressly waived by the Service Director in response to a written petition. (50 CFR 13.21(c)) Have you or any of the owners of the business, if applying as a business, been convicted, or entered a plea of guilty or nolo contendere, forfeited collateral, or are currently under charges for any violations of the laws mentioned above?

☒ No ☐ Yes

If you answered "Yes" to Question 3, provide: a) the individual's name; b) date of charge; c) charge(s); d) location of incident; e) court, and f) action taken for each violation. Please be aware that a "Yes" response does not automatically disqualify you from getting a permit.

N/A

4. ENTER the quantity next to the name of the species you are applying to import (you may apply to import specimens of more than one species provided they have been/are being hunted in the same country):
- ELEPHANT (LOXODONTA AFRICANA) QUANTITY
- a. Leopard (*Panthera pardus*) Quantity: ____ (Limited to the import of two per calendar year). If you wish to import a leopard taken in northern or western Africa, please use form 3-200-20.
- b. Southern white rhinoceros (*Ceratotherium simum simum*) from Namibia
Quantity: ____ (An import permit is not required for trophies harvested in South Africa or Swaziland. If you are looking to import from a different country, please use Form 3-200-20).
5. IF ANIMAL IS CURRENTLY LIVING IN THE WILD, Please enter the following information:
- a. Country and place (area, region, GIS coordinates, ranch, conservancy, management area, or hunting block, AND nearest city) where wildlife is to be taken from the wild:
- b. Date wildlife is to be hunted:
- c. Name of hunting outfitter, safari company, or professional hunter you will be hunting with:

6. IF THE ANIMAL HAS ALREADY BEEN TAKEN, please enter the following:

- d. Country and place (area, region, GIS coordinates, ranch, conservancy, management area, or hunting block, AND nearest city) where wildlife is to be taken from the wild:

KWA ZULU PRIVATE GAME RESERVE, PONGOLA, KWA ZULU N

- e. Date wildlife was hunted: AUGUST 31, 2017

- f. Name of hunting outfitter, safari company, or professional hunter you will be hunting with:

EUGENE ALBERTS, HIGH FIVE SAFARIS

- g. The current location of the trophy (address and country) [the U.S. import permit will identify this country as the country of export/re-export and must match with the export/re-export document]:

LIFE FORM TAXIDERMY, 7 ALUMINIUM ROAD,
WHITE RIVER, 1240, MPUMALANGA, SOUTH AFRICA

7. Please provide a copy of any applicable foreign government permits or licenses that were required to remove this animal from the wild (if you have not hunted yet and do not currently hold any such permits or licenses, please indicate so).

8. Complete name and address of overseas person or business shipping the trophy to you.

- a. If you are applying to import a trophy directly from Namibia, you must provide the name and address of the professional hunter listed on your Namibian hunting permit [this name will appear on the face of the export permit].

Name:

Business Name:

Address:

Address:

City:

State/Province:

Country, Postal Code:

CERTIFICATION STATEMENT (original signature must be provided for either 9 or 10 below)

9. If you are a broker or taxidermist applying on behalf of a U.S. hunter or foreign national, provide documentation to show you have a Power of Attorney to act on your client's behalf and sign the following statement.

I acknowledge that the sport-hunted trophy/trophies to be imported has been/will be personally hunted by my client and is being imported only for my client's personal use (i.e., not for sale, transfer, donation, or exchange that is reasonably likely to result in economic use, gain, or benefit). If applying for the import of a leopard trophy, I understand that my client may only import two leopard trophies in one calendar year.

Taxidermist/Broker's signature: _____ Date: _____

10. If you are the hunter applying to import your own trophy, please read and sign the following statement.

I acknowledge that the sport-hunted trophy/trophies to be imported has been/will be personally hunted by me and is being imported only for my personal use (i.e., not for sale, transfer, donation, or exchange that is reasonably likely to result in economic use, gain, or benefit). If applying to import a leopard trophy, I understand that I may only import two leopard trophies in one calendar year.

Applicant's signature

(b) (6)

Date: 02/20/2019

Be aware that there may be additional permitting or approval requirements by your local or state governments, as well as required by other Federal agencies or foreign government to conduct your propose activity. While the Service will attempt to assist where possible, it is your responsibility to obtain such approval.

11. All international shipment(s) must be through a designated port. A list of designated ports (where an inspector is posted) is available from the list of designated ports. If you wish to use a port not listed, please contact the Office of Law Enforcement for a Designated Port Exemption Permit (form 3-200-2).

Professional Hunters' Association of South Africa

Tick appropriate Province block where hunt took place (separate sheet for each province)

Post Permit to (Mark correct block with X)

Date: 07-12-2011 Signature: _____

Address to which trophies are to be sent: _____
 Code: _____ Country: _____ Trophy Export Date (Estimate): _____

Total:	Conservation Levy
--------	-------------------

Contribution Levy

A82: Voluntary conservation contributions for every trophy taken would be appreciated. These funds go towards wildlife conservation, the upliftment of communities, research projects and many more.



18 February 2019

To whom it may concern

Dear Sir / Madam

9 a) According to our surveys there were 28,168 elephants in South Africa as of December 2015. The status of African elephant, *Loxodonta Africana* populations in South Africa
Yolanda Pretorius, Marion E. Garai and Lucy A.

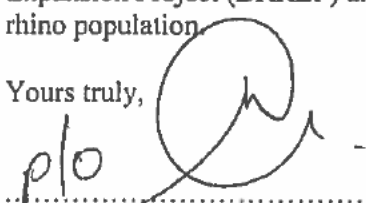
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Yours truly,


.....
Kwazulu Private Game Reserve



EZEMVELO
KZN WILDLIFE

ORIGINAL

ORDINARY PERMIT

Fee: R 1 100,00
Receipt No: 2798/2017

Permit No: OP 2801/2017
Contact: Miss S.M. Hughes

This permit is issued in terms of the provisions of the National Environmental Management Biodiversity Act 2004, Act 10 of 2004; and in pursuance of the provisions of the Nature Conservation Ordinance No 15 of 1974, Chapter 3 and the Regulations framed thereunder.

The permit is issued to:

Passport: (b) (6)
Mr Douglas Wayne Swick
(b) (6)
Argyle
Texas International
(b) (6)

Residential Address
622 Birch CT
Argyle
Texas International
762267

In the capacity of Hunting Client
To Hunt and Temporary Possess the following species of Game

AFRICAN ELEPHANT 1 (One)	(LOXODONTA AFRICANA)
-----------------------------	----------------------

Hunting Client:
Mr D Swick

"KwaZulu Private Game Reserve"
P.O.Box 591
Pongola
3170

Hunting Outfitter:
Mr E Alberts

Northern Zululand Safaris PH 084 :
8536

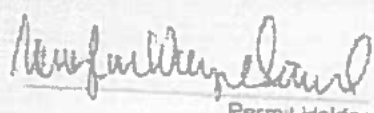
Professional Hunter:
Mr E Alberts

Northern Zululand Safaris PH 084 :
8536

Please read the Terms and Conditions under which this Permit is issued

ISSUED at PIETERMARITZBURG, KwaZulu-Natal, on 11 July 2017


for CHIEF EXECUTIVE


Permit Holder

EZEMVELO KZN WILDLIFE PERMITS OFFICE
PO Box 13053, Cascades, 3202, Pietermaritzburg, KwaZulu-Natal
Tel: +27 33 845 1320 / 1324 Fax: +27 33 845 1747 Fax to Email: 086 529 3320
Email: permits@kznwildlife.com Website: www.kznwildlife.com

OP 2801/2017



ORIGINAL

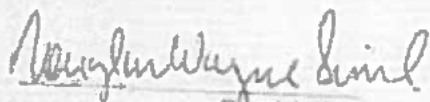
TERMS AND CONDITIONS UNDER WHICH THIS PERMIT IS ISSUED

1. It is valid only:
 - (i) from : 10 August 2017
to : 15 September 2017
 - (ii) in the original
 - (iii) if all 3 pages are signed by the permit holder named above
 - (iv) to the permit holder named above
2. By signing the permit or licence the holder accepts, and agrees to comply with the conditions under which it is issued.
3. This permit is not transferable
4. Any unauthorised alteration to this permit shall render it invalid
5. This permit/certificate is subject to the provisions of any applicable law in force during the period of validity of the permit/certificate.
6. This permit is valid only within the province where it was issued
7. The holder of the permit shall, at the request of a person authorised in terms of applicable legislation so to demand, forthwith produce such permit to such person.
8. This permit shall be invalid until such time that it is signed by the permit holder.
9. This permit shall be deemed invalid if it is lost or destroyed and no copy thereof shall be issued.
10. The prescribed fee payable for the issuing of this permit shall not be refunded.
11. If the holder of this permit contravenes or fails to comply with any condition or requirement to which this permit is subject, he or she shall be guilty of an offence.
12. This permit shall be subject to any applicable norms and standards in existence at the time of issuance of this permit.
13. The issuing authority shall determine the species and restricted activities for which this permit will apply.

Please read the Terms and Conditions under which this Permit is Issued

ISSUED at PIETERMARITZBURG, KwaZulu-Natal, on 11 July 2017


for CHIEF EXECUTIVE


Permit Holder

EZEMVELO KZN WILDLIFE PERMITS OFFICE

PO Box 13053, Cascades, 3202, Pietermaritzburg, KwaZulu-Natal
Tel +27 33 845 1320 / 1324, Fax: +27 33 845 1747, Fax to Email: 086 520 3320
Email: permits@kznwildlife.com Website: www.kznwildlife.com

OP 2801/2017



**EZEMVELO
KZN WILDLIFE**

ORIGINAL

- 14 If this permit applies to hunting, the holder of this permit must: a. Have a copy of this permit authorising the hunt, on his or her person during the hunt; b. Within 21 days after the hunt, furnish the issuing authority with a written return on the hunt stating: i) the permit number and date of issuance of the permit; ii) the species, sex and number of animals hunted; and iii) the location where the hunt took place.
- 15 Return the original permit to the issuing authority forthwith after expiry of the permit.
- 16 To be completed by the landowner, after completion of the hunt: Name and signature of the landowner... Species, number and sex of the animals hunted
- 17 The holder of this permit may also allow the holder of a Special Game Licence to hunt the game shown herein during the Closed Season. However if this permit applies to a specimen listed in the Threatened or Protected Regulations the person hunting the animal must also be in possession of a permit issued by Ezemvelo KZN Wildlife to enable the hunting to take place.
- 18 The holder of this permit may also allow the holder of a relevant Game Licence to hunt the game shown herein. However if this permit applies to a specimen listed in the Threatened or Protected Regulations the person hunting the animal must also be in possession of a permit issued by Ezemvelo KZN Wildlife to enable the hunting to take place.
- 19 The attached return of the hunting permit must be completed by the holder. The completed permit to be returned to the Chief Executive, E/KZN Wildlife, P O Box 13053 Cascades, 3202 or emailed to permits@kznwildlife.com upon expiry. Failure to comply with this condition may jeopardise the issue of future permits.
- 20 This permit is issued to the client named above and does not entitle the Professional Hunter and/or the Hunting Outfitter to hunt the species listed herein with another client, either on the property stipulated on the permit, or any other, should the hunt not be successful.

Please read the Terms and Conditions under which this Permit is Issued

ISSUED at PIETERMARITZBURG, KwaZulu-Natal, on 11 July 2017



for CHIEF EXECUTIVE

Wayne Swind
Permit Holder

EZEMVELO KZN WILDLIFE PERMITS OFFICE

PO Box 13053, Cascades, 3202, Pietermaritzburg, KwaZulu-Natal
Tel +27 33 845 1320 / 1324 Fax +27 33 845 1747 Fax to Email 086 529 3320
Email: permits@kznwildlife.com Website: www.kznwildlife.com

OP 2801/2017

PRINTED ON LINEMARK PAPER - HOLD TO LIGHT TO VIEW. FOR ADDITIONAL SECURITY FEATURES SEE BACK.

0005294
Office AU # 11-24
1210(e)

Remitter DOUG SWICK
Operator ID u389051

PERSONAL MONEY ORDER

PAY TO THE ORDER OF ***U.S. FISH AND WILDLIFE***

One hundred dollars and no cents

Payee Address:
Memo:

WELLS FARGO BANK, N.A.
200 ALTAMESA BLVD E
FORT WORTH, TX 76134
FOR INQUIRIES CALL (480) 394-3122

February 19, 2019

\$100.00

VOID IF OVER US \$1 100.00

Murphy, David
Purchaser's Signature

Security Features Included. Details on Back.

(b) (6)

(b) (6)



RCVD MAR 5 2019

**Department of Interior
U.S. Fish and Wildlife Service
Federal Fish and Wildlife Permit Application Form**

U.S. Fish and Wildlife Service
Division of Management Authority
Branch of Permits, MS: IA
5275 Leesburg Pike
Falls Church, VA 22041-3803
1-800-358-2104 or 703-358-2104

Type of Activity

IMPORT OF SPORT-HUNTED TROPHIES under Appendix I of the Convention on International Trade in Endangered Species (CITES) and/or U.S. Endangered Species Act (ESA)

Complete Sections A or B, and C, D, and E of this application. U.S. address may be required in Section C, see instructions for details.
Instructions on how to make your application complete and help avoid unnecessary delays are attached.

Section A: Complete if applying as an individual

1.a. Last Name Shea		1.b. First Name Christopher	
1.c. Middle Name/Initial Michael		1.d. Suffix	
2. Date of Birth (mm/dd/yyyy)	3. Telephone Number	3.a. Alternate Telephone Number	4. E-mail address
(b) (6)			

Section B: Complete if applying on behalf of a business, corporation, public agency, Tribe, or institution

1.a. Name of business, agency, Tribe, or institution		1.b. Doing business as (DBA)	
2. Tax identification no.		3. Description of business, agency, Tribe, or institution	
4.a. Principal officer Last name	4.b. Principal officer First Name	4.c. Principal officer Middle name/initial	4.d. Suffix
5. Principal officer title		6. Primary contact name	
7.a. Business telephone number	7.b. Alternate telephone number	7.c. Business fax number	7.d. Business e-mail address

Section C: All applicants complete address information

1.a. Physical address (Street address; Apartment #, Suite #, or Room #; no P.O. Boxes)					
(b) (6)					
1.b. City	1.c. State	1.d. Zip code/Postal code	1.e. County/Province	1.f. Country	
Shelby Township	MI	(b) (6)		USA	
2.a. Mailing address (include if different than physical address; include name of contact person if applicable)					
2.b. City	2.c. State	2.d. Zip code/Postal code	2.e. County/Province	2.f. Country	

Section D: All applicants MUST complete

1. Attach the nonrefundable application processing fee in the form of a check or money order payable to the U.S. FISH AND WILDLIFE SERVICE in the amount of \$100. Federal, Tribal, State, and local government agencies, and those acting on behalf of such agencies, are exempt from the processing fee--attach documentation of fee exempt status as outlined in instructions [50 CFR 13.11(d)]. 2. Certification: I hereby certify that I have read and am familiar with the regulations contained in Title 50 Part 13 of the Code of Federal Regulations and the other applicable parts in subchapter B of Chapter I of Title 50, and I certify that the information submitted in this application for a permit is complete and accurate to the best of my knowledge and belief. I understand that any false statement herein may subject me to the criminal penalties of 18 U.S.C. 1001.	Signature of applicant/Principal Officer for permit (No photocopied or stamped signatures) Date of signature (mm/dd/yyyy) (b) (6) 02/18/19
Please continue to next page	

E. IMPORT OF SPORT-HUNTED TROPHIES (*Appendix I of CITES and/or ESA*)

Note 1: This form should be used to request authorization to import trophies of species listed on Appendix I of CITES and/or as threatened or endangered under the ESA (examples include elephants, lions, cheetahs, wood bison, and markhor).

PLEASE USE FORM 3-200-19 FOR SOUTHERN AFRICAN LEOPARD TROPHIES AND SOUTHERN WHITE RHINOCEROS TROPHIES TAKEN IN NAMIBIA.

Note 2: If you hold an import permit for a trophy that you did not use, please **return the unused original permit**. If you are requesting reissuance of a permit because you have taken a trophy, but were unable to import it prior to the expiration of the permit, please use the renewal form (3-200-52) and return your original permit with that form.

Note 3: Applications for species listed as endangered under the U.S. Endangered Species Act are published in the Federal Register for a 30-day public comment period. Please allow at least **90 days** for the application to be processed.

Note 4: *Sport-hunted trophy* is defined at 50 CFR 23.74 as follows: *Sport-hunted trophy* means a whole dead animal or a readily recognizable part or derivative of an animal specifically identified on the accompanying CITES document that meets the following criteria:

- Is raw, processed, or manufactured;
- Was legally obtained by the hunter through hunting for his or her personal use;
- Is being imported, exported, or re-exported by or on behalf of the hunter as part of the transfer from its country of origin ultimately to the hunter's country of usual residence; and
- Includes worked, manufactured, or handicraft items made from the sport-hunted animal only when:
 - i. Such items are contained in the same shipment as raw or tanned parts of the sport-hunted animal and are for the personal use of the hunter;
 - ii. The quantity of such items is no more than could reasonably be expected given the number of animals taken by the hunter as shown on the license or other documentation of the authorized hunt accompanying the shipment; and
 - iii. The accompanying CITES documents (export document and, if appropriate, import permit) contain a complete itemization and description of all items included in the shipment.

Note 5: Certain hunting trophies, including elephants, are subject to restrictions on their use after import into the United States. Please see 50 CFR 23.55 for more information or contact the Division of Management Authority.

Please provide the following information. Complete all questions on the application. Mark questions that are not applicable with "N/A". If needed, use a separate sheet of paper. On all attachments or separate sheets you are submitting; please indicate the application question number you are addressing. If applying for more than one trophy, be sure to answer questions 4-6 for each trophy addressed in this application. If importing trophies from more than one country, you must submit a separate application for each shipment in order to obtain separate import permits.

1. Name and address where you wish the permit to be mailed, if different from page 1. If you would like expedited shipping, please enclose a self-addressed, pre-paid, computer-generated, courier service airway bill. If unspecified, all documents will be mailed via the U.S. Postal Service.
2. Who should we contact if we have questions about the application (name, phone number, and e-mail)?

3. Disqualification factor. A conviction, or entry of a plea of guilty or nolo contendere, for a felony violation of the Lacey Act, the Migratory Bird Treaty Act, or the Bald and Golden Eagle Protection Act disqualifies any such person from receiving or exercising the privileges of a permit, unless such disqualification has been expressly waived by the Service Director in response to a written petition. (50 CFR 13.21(c)) Have you or any of the owners of the business, if applying as a business, been convicted, or entered a plea of guilty or nolo contendere, forfeited collateral, or are currently under charges for any violations of the laws mentioned above?

☒ No ☐ Yes

If you answered "Yes" to Question 3, provide: a) the individual's name; b) date of charge; c) charge(s); d) location of incident; e) court; and f) action taken for each violation. Please be aware that a "Yes" response does not automatically disqualify you from getting a permit.

4. For each trophy to be imported, provide:

- a. Scientific name (genus, species, and, if applicable, subspecies) and common name.

Lion

- b. Sex (if known). Male

5. **IF ANIMAL IS CURRENTLY LIVING IN THE WILD**, please enter the following information:

- a. Country and place (area, region, GIS coordinates, ranch, conservancy, management area, or hunting block, AND nearest city) where wildlife is to be taken from the wild:

- b. Date wildlife is to be hunted:

- c. Name of hunting outfitter, safari company, or professional hunter you will be hunting with:

6. **IF THE TROPHY HAS ALREADY BEEN TAKEN**, please enter the following information:

- a. Country and place (area, region, GIS coordinates, ranch, conservancy, management area, or hunting block, AND nearest city) where wildlife was removed from the wild:

Chewore Safari Area - North

- b. Date wildlife was hunted:

04-Oct-2018

- c. Name of hunting outfitter, safari company, or professional hunter you hunted with:

Big Five Safaris (Pvt) LTD, HOP0143, Chewore North Safari Area, 54 Edinburg Rd., Vainona,
Borrowdale - P O Box CY 1949, Harare

- d. The current location of the trophy (address and country) [the U.S. import permit will identify this country as the country of export/re-export and must match with the foreign export/re-export document]:

Trophy Consultants International, 4 Josiah Chinamano Road
Belmont, Bulawayo, Zimbabwe

7. Please provide a copy of any applicable foreign government permits or licenses that were required to remove this animal from the wild (if you have not hunted yet and do not currently hold any such permits or licenses, please indicate so).

8. Complete name and address of overseas person or business shipping the trophy to you. If you are applying to import a trophy directly from **Namibia**, you must provide the name and address of the professional hunter listed on your Namibian hunting permit [this name will appear on the face of the export permit].

Name:

Business Name:

Address:

City:

State/Province:

Country, Postal Code:

9. For species listed under the U.S. Endangered Species Act, please be aware that the U.S. Fish and Wildlife Service (Service) must make a finding that your activities will enhance or benefit wild populations of the species involved. While we will communicate with the range country for the species you will hunt or hunted when making the required findings, if you have any information that could support this finding, it would be helpful for our review if you could provide it. Please submit such information on a separate page with your application.

- a. Do you have any information regarding the population status or trend data on the species hunted?

- b. In order to hunt, you likely paid for licenses or trophy fees. What were those fees and do you have any information on how those funds were used by either the landowner, local community, or government?

- c. Do you have information on other funding activities that are being carried out, or were carried out, by the safari outfitter, professional hunter, concession holder, or landowner that provide a conservation benefit to the species being hunted/species hunted?

CERTIFICATION STATEMENT (original signature must be provided for either 10 or 11 below)

10. If you are a broker or taxidermist applying on behalf of a U.S. hunter or foreign national, provide documentation to show you have a Power of Attorney to act on your client's behalf and sign the following statement:

I acknowledge that the sport-hunted trophy/trophies to be imported has been/will be personally hunted by my client and is being imported only for my client's personal use (i.e., not for sale, transfer, donation, or exchange that is reasonably likely to result in economic use, gain, or benefit). If applying for the import of an elephant trophy, I understand that my client may import no more than two elephant trophies in one calendar year.

Taxidermist/Broker's signature: _____ Date: _____

11. If you are the hunter applying to import your own trophy, please read and sign the following statement:

I acknowledge that the sport-hunted trophy/trophies to be imported has been/will be personally hunted by me and is being imported only for my personal use (i.e., not for sale, transfer, donation, or exchange that is reasonably likely to result in economic use, gain, or benefit). If applying to import an elephant trophy, I understand that I may import no more than two elephant trophies in one calendar year.

Applicant's signature: (b) (6) _____ Date: 02/18/19

Be aware that there may be additional permitting or approval requirements by your local or state governments, as well as required by other Federal agencies or foreign governments to conduct your propose activity. While the Service will attempt to assist where possible, it is your responsibility to obtain such approval.

12. All international shipments must be through a designated port. A list of designated ports (where an inspector is posted) is available from [the list of designated ports](#). If you wish to use a port not listed, please contact the Office of Law Enforcement for a Designated Port Exemption Permit (form 3-200-2).

(b) (6)

J.P.Morgan

JPMorgan Chase Bank, N.A.
Detroit, Michigan

9-32/720

EZShield® Check Fraud
Protection for Business

(b) (6)

2/14/2019

PAY TO THE
ORDER OF

U.S. Fish & Wildlife Service

\$ **100.00

One Hundred and 00/100*****

DOLLARS

U. S. Fish & Wildlife Service

(b) (6)

MEMO

Import Permit for Lion for Chris Shea

(b) (6)

CHRIS D. PEYERK (b) (6)

(b) (6)

U.S. Fish & Wildlife Service

2/14/2019

Date	Type	Reference	Original Amt.	Balance Due	Discount	Payment
2/14/2019	Bill	Import Permit LionCS	100.00	100.00		100.00
					Check Amount	100.00

Chase CP (b) (6) Import Permit for Lion for Chris Shea

100.00

RCVD MAR 12 2019



**Department of Interior
U.S. Fish and Wildlife Service
Federal Fish and Wildlife Permit Application Form**

U.S. Fish and Wildlife Service
Division of Management Authority
Branch of Permits, MS: IA
5275 Leesburg Pike
Falls Church, VA 22041-3803
1-800-358-2104 or 703-358-2104

Type of Activity

**IMPORT OF SPORT-HUNTED TROPHIES under Appendix I of
the Convention on International Trade in Endangered
Species (CITES) and/or U.S. Endangered Species Act (ESA)**

Complete Sections A or B, and C, D, and E of this application. U.S. address may be required in Section C, see instructions for details.
Instructions on how to make your application complete and help avoid unnecessary delays are attached.

Section A: Complete if applying as an individual

1.a. Last Name Spaetti	1.b. First Name Charles	1.c. Middle Name/Initial A.	1.d. Suffix n/a
2. Date of Birth (mm/dd/yyyy) (b) (6)	3. Telephone Number (b) (6)	3.a. Alternate Telephone Number (b) (6)	4. E-mail address (b) (6)

Section B: Complete if applying on behalf of a business, corporation, public agency, Tribe, or institution

1.a. Name of business, agency, Tribe, or institution Not applicable		1.b. Doing business as (DBA) (b) (6)	
2. Tax identification no. (b) (6)		3. Description of business, agency, Tribe, or institution (b) (6)	
4.a. Principal officer Last name (b) (6)	4.b. Principal officer First Name (b) (6)	4.c. Principal officer Middle name/initial (b) (6)	4.d. Suffix (b) (6)
5. Principal officer title (b) (6)		6. Primary contact name (b) (6)	
7.a. Business telephone number (b) (6)	7.b. Alternate telephone number (b) (6)	7.c. Business fax number (b) (6)	7.d. Business e-mail address (b) (6)

Section C: All applicants complete address information

1.a. Physical address (Street address; Apartment #, Suite #, or Room #; no P.O. Boxes) (b) (6)				
1.b. City Evansville	1.c. State Indiana	1.d. Zip code/Postal code (b) (6)	1.e. County/Province (b) (6)	1.f. Country USA
2.a. Mailing address (include if different than physical address; include name of contact person if applicable) same as above				
2.b. City (b) (6)	2.c. State (b) (6)	2.d. Zip code/Postal code (b) (6)	2.e. County/Province (b) (6)	2.f. Country (b) (6)

Section D: All applicants MUST complete

1. Signature (b) (6)		2. Date of signature (mm/dd/yyyy) 03/04/2019
order payable to the U.S. FISH AND WILDLIFE SERVICE in the on behalf of such agencies, are exempt from the processing fee— and in Title 50 Part 13 of the Code of Federal Regulations and the information submitted in this application for a permit is complete and therein may subject me to the criminal penalties of 18 U.S.C. 1001.		
Please continue to next page		

E. IMPORT OF SPORT-HUNTED TROPHIES (*Appendix I of CITES and/or ESA*)

Note 1: This form should be used to request authorization to import trophies of species listed on Appendix I of CITES and/or as threatened or endangered under the ESA (examples include elephants, lions, cheetahs, wood bison, and markhor).

PLEASE USE FORM 3-200-19 FOR SOUTHERN AFRICAN LEOPARD TROPHIES AND SOUTHERN WHITE RHINOCEROS TROPHIES TAKEN IN NAMIBIA.

Note 2: If you hold an import permit for trophy/trophies that you did not use, please **return the unused original permit**. If you are requesting reissuance of a permit because you have taken a trophy, but were unable to import it prior to the expiration of the permit, please use the renewal form (3-200-52) and return your original permit with that form.

Note 3: Applications for species listed as endangered under the U.S. Endangered Species Act are published in the Federal Register for a 30-day public comment period. Please allow at least **90 days** for the application to be processed.

Note 4: *Sport-hunted trophy* is defined at 50 CFR 23.74 as follows: *Sport-hunted trophy* means a whole dead animal or a readily recognizable part or derivative of an animal specifically identified on the accompanying CITES document that meets the following criteria:

- Is raw, processed, or manufactured;
- Was legally obtained by the hunter through hunting for his or her personal use;
- Is being imported, exported, or re-exported by or on behalf of the hunter as part of the transfer from its country of origin ultimately to the hunter's country of usual residence; and
- Includes worked, manufactured, or handicraft items made from the sport-hunted animal only when:
 - i. Such items are contained in the same shipment as raw or tanned parts of the sport-hunted animal and are for the personal use of the hunter;
 - ii. The quantity of such items is no more than could reasonably be expected given the number of animals taken by the hunter as shown on the license or other documentation of the authorized hunt accompanying the shipment; and
 - iii. The accompanying CITES documents (export document and, if appropriate, import permit) contain a complete itemization and description of all items included in the shipment.

Note 5: Certain hunting trophies, including elephants, are subject to restrictions on their use after import into the United States. Please see 50 CFR 23.55 for more information or contact the Division of Management Authority.

Please provide the following information. Complete all questions on the application. Mark questions that are not applicable with "N/A". If needed, use a separate sheet of paper. On all attachments or separate sheets you are submitting; please indicate the application question number you are addressing. If applying for more than one trophy, be sure to answer questions 1-6 for each trophy addressed in this application. If importing trophies from more than one country, you must submit a separate application for each shipment in order to obtain separate import permits.

1. Name and address where you wish the permit to be mailed, if different from page 1. If you would like expedited shipping, please enclose a self-addressed, pre-paid, computer-generated, courier service airway bill. If unspecified, all documents will be mailed via the U.S. Postal Service.

same as Section A, page 1

2. Who should we contact if we have questions about the application (name, phone number, and e-mail)?

Charles A. Spaetti

Phone: (b) (6)

Email: [REDACTED]

3. Have you or your client (if a broker applying on behalf of your client), been assessed a civil penalty or convicted of any criminal provision of any statute or regulation relating to the activity for which the application is filed; been convicted, or entered a plea of guilty or nolo contendere, for a felony violation of the Lacey Act, the Migratory Bird Treaty Act, or the Bald and Golden Eagle Protection Act; forfeited collateral; OR are currently under charges for any violation of the laws mentioned above?

☒ No ☐ Yes

If you answered "Yes" to Question 3, provide: a) the individual's name; b) date of charge; c) charge(s); d) location of incident; e) court, and f) action taken for each violation. Please be aware that a "Yes" response does not automatically disqualify you from getting a permit.

4. For each trophy to be imported, provide:

- a. Scientific name (genus, species, and, if applicable, subspecies) and common name.

Loxodonta Africana-African Elephant

- b. Sex (if known).

Male

5. **IF ANIMAL IS CURRENTLY LIVING IN THE WILD**, please enter the following information:

- a. Country and place (area, region, GIS coordinates, ranch, conservancy, management area, or hunting block, AND nearest city) where wildlife is to be taken from the wild:

n/a

- b. Date wildlife is to be hunted:

n/a

- c. Name of hunting outfitter, safari company, or professional hunter you will be hunting with:

n/a

6. **IF THE TROPHY HAS ALREADY BEEN TAKEN**, please enter the following:

- a. Country and place (area, region, GIS coordinates, ranch, conservancy, management area, or hunting block, AND nearest city) where trophy was removed from the wild:

Namibia-Kwandu Conservancy.

The nearest city was Katima Mulilo.

- b. Date wildlife was hunted:

The elephant was hunted and taken on August 27, 2018.

- c. Name of hunting outfitter, safari company, or professional hunter you hunted with:

Emile Kirchner
Jamy Traut Hunting Safaris CC

- d. The current location of the trophy (address and country) [the U.S. import permit will identify this country as the country of export/re-export and must match with the export/re-export document]:

The Yellow Shark Holdings (Pty.) Ltd
P.O. Box 81413
Olympia-Windhoek
Republic of Namibia

7. Please provide a copy of any applicable foreign government permits or licenses that were required to remove this animal from the wild (if you have not hunted yet and do not currently hold any such permits or licenses, please indicate so).

see attached

8. Complete name and address of overseas person or business shipping the trophy to you. If you are applying to import a trophy directly from Namibia, you must provide the name and address of the professional hunter listed on your Namibian hunting permit [this name will appear on the face of the export permit].

Shipper:

Name: The Yellow Shark Holdings (Pty.) Ltd
Business Name: (same as above)
Address: P.O. Box 81413
City: Olympia/Windhoek
State/Province:
Country, Postal Code: Namibia

Professional Hunter:

Emile Kirchner
Jamy Traut Hunting Safaris CC
P.O. Box 4890
Rehoboth
Namibia, Africa

9. For species listed under the Endangered Species Act, please be aware that the U.S. Fish and Wildlife Service must make a finding that your activities will enhance or benefit wild populations of the species involved. While we will communicate with the range country for the species you will hunt or hunted when making the required findings, if you have any information that could support this finding, it would be helpful to our review if you could provide it. Please submit such information on a separate page with your application.

- a. Do you have any information regarding the population status or trend data on the species hunted?

No

- b. In order to hunt, you likely paid for licenses or trophy fees. What were those fees and do you have any information on how those funds were used by either the landowner, community, or government?

I paid a \$100 license fee, an \$850 conservancy fee and a \$24,000 elephant trophy fee. It is my understanding that those fees were used by the government. Those fees were in addition to my outfitter's charges.

- c. Do you have information on other funding activities that are being carried out, or were carried out, by the safari outfitter, professional hunter, concession holder, or land owner that provide a conservation benefit to the species being hunted/species hunted See the attached statement on conservation and sustainability from the outfitter's website.

MINISTRY OF ENVIRONMENT AND TOURISM

Directorate Scientific Services
Private Bag 13306
Windhoek, Namibia
Enquiries: Permit Office
Tel +264-61-284 2538/2506



PERMIT No.:

182227

VALID FROM:

19.08.18

VALID TO:

31.08.18

RECEIPT No.:

5762477

PERMIT TO HUNT FOR TROPHIES

Permission is hereby granted in terms of the Nature Conservation Ordinance 1975 (Ord. 4 of 1975)

Name of Trophy Hunter:

C.A. Spretti

Residential Address:

Country:

U.S.A

To hunt the specified number of game for the sake of trophies:

SPECIES: COMMON NAME	NUMBER	SPECIES: COMMON NAME	NUMBER	SPECIES: COMMON NAME	NUMBER	SPECIES: COMMON NAME	NUMBER
Blesbok		Impala Common	IX	Springbok		Other	
Buffalo		Klipspringer		Steenbok		maayu Baboon	IX
Crocodile		Kudu	IX	Warthog		Report with photos after hunt.	
Dik-Dik		Lechwe	IX	Waterbuck		A herd must be in view with Reg. 46302	
Eland	IX	Oryx (Gemsbok)		Wildebeest, Black		1000 of 1000000	
Elephant	IX	Ostrich		Wildebeest, Blue		Oral of 1000000	
Giraffe		Reedbuck	IX	Zebra Burchell's		amono (1)	
Grey Duiker	IX	Rhinoceros, Black		Zebra Hartmann's			
Hartebeest		Rhinoceros, White		Cheetah			
Hippopotamus	IX	Roan	IX	Leopard			
Impala Black-Faced		Sable		Lion			

On the following farms / hunting concessions / safari / guestfarm:

Kwando + Maun
Conservancy

Guided by (name of guides):

E. Kirchner & J. Traut

Official MET date stamp



REPUBLIC OF NAMIBIA

MINISTRY OF ENVIRONMENT AND TOURISM

No.: A 06436

APPLICATION TO POSSESS CONTROLLED GAME PRODUCTS

C.A. SPAETTI

1. Christian name(s) and surname of applicant:

5. From where are products obtained:

Basansey
AND NAYUS CONSERVANCY

6. The following documents are attached as proof of ownership:

(a) PERMIT NO 183337

2. Identity No. / Passport No.:

C.S.A

3. Residential address:

Tel No.:

4. Postal address:



SIGNATURE OF APPLICANT

02/11/18

DATE

[illegible]



REPUBLIC OF NAMIBIA

MINISTRY OF ENVIRONMENT AND TOURISM

No.: A 06429

APPLICATION TO POSSESS CONTROLLED GAME PRODUCTS

1. Christian name(s) and surname of applicant: C.A. SPARETTI

5. From where are products obtained: KATIMA

CONSERVANCY

2. Identity No. / Passport No.:

(a) PERMIT NO 182227

3. Residential address:

4-S-A

Tel No.:

4. Postal address:



22/10/18

DATE

SIGNATURE OF APPLICANT

[illegible]



Republic of Namibia

MINISTRY OF AGRICULTURE, WATER AND FORESTRY

5c

Telephone +264-61-61-276 592
Fax. +264-61-303 151

DIRECTORATE OF VETERINARY SERVICES
Private Bag 12022
Windhoek
NAMIBIA

Permit no: TR46/2018

Date of issue: 18 OCTOBER 2018

Expiry Date: 18 JANUARY 2019

VETERINARY PERMIT FOR HIDES/ SKINS/TROPHIES INFECTIOUS THINGS FROM ANIMALS SUSCEPTIBLE TO FOOT AND MOUTH DISEASE ORIGINATING FROM AREAS NORTH OF THE VETERINARY CORDON FENCE (Issued in terms of the Animal Act, no 1 for 2011)

Authority is hereby granted to:

Name: JAMY TRAUT HUNTING SAFARIS

Address: P. O. BOX 35576 WINDHOEK, NAMIBIA

Telephone: 081 737 6501

to move south of the veterinary cordon fence the following:

SPECIES	SKULL	PIECE UPPER JAW TUSK	TUSK	TRUNK	CAPE	EAR	SKIN PANEL	TAIL	BACK SKIN	SKULL & HORN	FEET	FLAT SKIN	LOWER JAW TUSK	UPPER JAW TUSK	FULL MOUNT
BUFFALO					2				1	2					
LECHWE					2				1	2					
REEDBUCK					1				1	1					
ZEBRA												2			
IMPALA					1					1					
ELAND					1				1						
ELEPHANT			2	3		6	1	3							
CROCODILE	2											2			
HIPPO		4					1	1			2		3	3	
LION	1														1

From ZAMBEZI EAST BIG GAME CC - ZAMBEZI REGION (quarantine facility)

To KINGS TAXIDERM, 27 BAHNHOF STREET, OTJIWARONGO (Address of approved tannery)

Subject to the following conditions:

- The applicant accepts the sole responsibility of ensuring that the conditions below have been complied with, and understands his/her duty in this regard.
- The hides/ hides skins infectious things must be accompanied by this ORIGINAL PERMIT.
- The hides and skins/infectious things must be loaded into previously approved airtight containers and SEALED under veterinary supervision.
- The consignment should be presented to the State Veterinarian KATIMA-MULILO telephone 066-243142 in sealed containers. The state veterinarian KATIMA-MULILO must break the seals and inspect the trophies, hides & skin to verify that the products were quarantined north of the veterinary cordon fence and were subjected to one of the following treatment before granting permission to move south of the veterinary cordon fence.
- Hide and skins: wet-salted in sea salt with addition of 2% washing soda (Na_2CO_3) for at least 30 days before dispatch OR * sprayed with 5% washing soda (Na_2CO_3) followed by official quarantine for 30 days before dispatch OR * sprayed with 5% formalin followed by official quarantine for 30 days before dispatch.
 - Trophies consisting solely of horns, bones, hooves, claws, antlers and teeth must:
 - Be immersed in boiling water for an appropriate time so as to ensure that any matter other than horn bone and teeth is removed, followed by official quarantine for 30 days OR
 - have been soaked with agitation in a formic acid solution (100 kg salt (NaCl) and 12 kg formic acid per 1000 liters of water) maintained at below pH 3.0 for at least 48 hours followed by official quarantine for at least 30 days OR
 - Soaked with agitation in a 4% (w/v) solution of sodium carbonate (washing soda, Na_2CO_3) maintained at pH 11.5 Or above for at least 48 hours followed by official quarantine for at least 30 days
- The State Veterinarian OTJIWARONGO (South of VCF) must be advised by telephone or fax of the probable arrival time of arrival at the taxidermist/tannery/quarantine facility south of the veterinary cordon fence and the seals may not be broken without permission or veterinary supervision. Trucks must immediately proceed to the approved taxidermist/tannery or quarantine by the most direct route via the main tarred road.
- After off-loading the containers, vehicles must be disinfected to the satisfaction of the Veterinary official.
- This permit is valid for one consignment only and cannot be used after the expiry date.

Signature:  2018-10-18
CHIEF VETERINARY OFFICER

Name in full

(5c HS 10/12)

PLEASE NOTE - Movements are subject to compliance with Ministry of Environment and Tourism where applicable.

TO FOOT AND MOUTH DISEASE FROM AREAS NORTH OF THE VETERINARY CORDON FENCE

Namibian Permit Number TR46/2018 SV Ref. Number Kiv/TFH/18
 (consecutive serial number)
 Namibian CITES/MET Permit 183047, 182227, 181920, 181912, 182044
182394, 181854, 181434, 180859, 180810

A. Description

1. Amount and description of product

Species (which product derived)	Description of product	Nature of packaging	Number of units	Weight in kg
SEE ATTACHED LIST				

2. ORIGIN OF hides/skin/infectious things: LAMBEZI REGION

2.1 Name and address of consignor: DREYER S JURIAAN

Telephone Number 0812878267 Fax Number

3.0 Destination of hides/skins/infectious thing Name and address of consignee

KINGS TAXIDERM

3.2 Physical address of approved tannery/taxidermist or quarantine facility at destination

Street address 27 BAHNHOF STREET, OTJWARONGSO

Telephone Number 067 306578 Fax Number

4. Means of transportation (air, road, rail) Road

4.1 Description of transport vehicle (flight number, Registration number)

Toyota Land Cruiser
Brown Reg: JTS2 Nr. Trailer Reg: N192-669W.



REPUBLIC OF NAMIBIA

MINISTRY OF AGRICULTURE, WATER AND FORESTRY

Directorate of Veterinary Services

P.O. Box 116

Katima Mulilo

Ngoma Road

Email: jvule84@gmail.com

DVS - ZAMBEZI

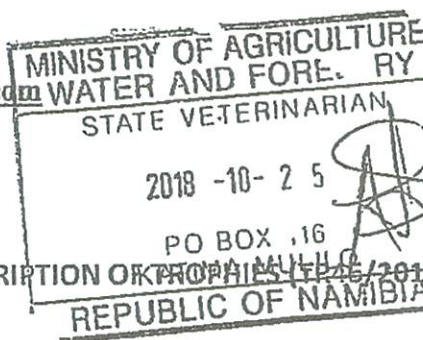
Fax: (066) 253763

Tel: (066) 253142

Enq: Dr. J. Yule

25 October 2018

RE: AMOUNT AND DESCRIPTION OF PROPOSALS (2018/2019) Page 1 of 2



Species (from which product derived)	Description of product	Nature of packaging	Number of Units	Weight in Kg
Buffalo.	2x Cape 2x skulls & horn 1x Backskin	Canvas	5	50kg
lechwe	2x Cape 1x backskin 2x skull w/ horns	Canvas	5	30kg
Reedbuck	1x Cape 1x backskin 1x Skull w/ horns	Canvas	3	25
Zebra	2x flat skin	Canvas	2	40

Impals	1x cape 1x skull with horns	Canvas		4
Eland	1x cape 1x back skin	Canvas	2	3
Elephant	2x tusks 3x trunks 6x ears 1x skin panel, 3x tails	Canvas	15	85
Crocodile	2x skull 2x flat skin	Canvas	2	18
Hippo	4x pieces of upper jaw with tusks 1x skin panel 1x tail, 3x upper jaw with tusks 2x feet, 3x lower jaw with tusks	Canvas	14	105.
Lion	1x skull 1x full mount	Canvas	2	40.

Page 2 of 2

TR46/2018



MINISTRY OF AGRICULTURE,
WATER AND FORE. RY
STATE VETERINARIAN
2018 -10- 2 5
PO BOX 110
KATIMA MULILO
REPUBLIC OF NAMIBIA

VETERINARY SERVICES PERMIT TO MOVE ANIMALS

Ref: TR 4/2078

In terms of the Animal Diseases and Parasites Act 1956 (Act 13 of 1956), as amended and subject to the conditions specified below, permission is hereby granted to -

Name: _____

Address: _____

To move with/To transport: Game trophies: Elephant, Crocodile, Hippo, Man, Buffalo, Lechwe, Reedbuck, Zebra, Kudu, Eland

From the establishment: Jamie Grant Africa No. _____ in the district/region of Kayabheri

To the establishment: Kings Academy No. _____ in the district/region of Oppenlandup

Owner: Jamie Grant

CONDITIONS

1. This permit-
 - (a) is valid for 14 days from date of issue and for movement only;
 - (b) must accompany the animals mentioned above and must be produced for inspection on demand by any land owner stock owner a police officer, or any officer of the Directorate of Veterinary Services or authorised person;
 - (c) does not authorise the removal of animals in quarantine or animals infected or suspected of being infected with a disease or infection or suspected of being infected with a viral parasite;
 - (d) must be kept at the place of destination until collected by an authorised person;
2. The route followed must avoid all quarantined areas and areas infected or suspected of being infected with any diseases to which the animals are susceptible.
3. The holder of this permit must endorse or cause to endorse in the space provided below on this permit and the copy thereof the species and number of animals to be removed before departure from the place of origin; identifying marks/brands to be enumerated.
4. The holder of this permit must hand the copy of the permit over to the owner/supervisor on the farm of origin.
5. Contact with other animals not subjected to these conditions must be avoided.
6. On arrival of animals at destination the State Veterinarian concerned must be informed immediately.

Other: _____



Signature: [Signature] Date: 25/10/18 State Veterinarian: [Signature]

Artificially infected animals - to be completed prior to embarkation

Species: _____ No. _____ marks (where applicable) _____

Species: _____ No. _____ marks (where applicable) _____

Date: _____

Katima Strike Vet ... name of office certify that:

1. The products described in A
2. The products originate from an area which has been free from Foot-and-Mouth Disease within a radius of 160 km for at least 12 months
3. Hides and skins have undergone the complete tanning process or processing up to "wet blue" or in the case of unprocessed hides and skins of ungulates or parts thereof, including elephant feet were:
 - * wet-salted in sea salt with addition of 2% washing soda (Na_2CO_3) for at least 30 days under official supervision before dispatch.
 - OR
 - * sprayed with 5% washing soda (Na_2CO_3) and quarantined under official supervision for at least 30 days before dispatch.
 - OR
 - * sprayed with 5% formalin and quarantined under official supervision for at least 30 days before dispatch.
 - Delete where not applicable
4. Trophies consisting solely of horns, bones, hooves, claws, antlers and teeth must:
 - (i) have been immersed in boiling water for an appropriate time so as to ensure that any matter other than horn, bone and teeth is removed; and then quarantined for 30 days OR
 - (ii) have been soaked with agitation in a formic acid solution (100 kg salt (NaCl) and 12 kg formic acid per 1000 litres of water) maintained at below pH 3.0 for at least 48 hours and then quarantined for 30 days.
 - (iii) Or soaked with agitation in a 4% (w/v) solution of sodium carbonate (washing soda, Na_2CO_3) maintained at pH 11.5 or above for at least 48 hours and then quarantined for 30 days.

Date of treatment 17-9-18 Date of release 24-10-18
• Delete where not applicable

5. The trophies were individually packaged in transparent containers which were closed immediately after treatment without being in contact with any other products of animal origin to avoid any subsequent contamination.
6. The packages were loaded into sealed containers under my direct supervision and sealed.

Seal number(s) 205544393 up to 205544398; 205544376 up to 205544378
205544400, 205319218, SCSS E7865692, 205319222, 205319210, 205319123, 205319219,
7. The state veterinarian destination at has been alerted by means of fax or telephone to expect the arrival of the consignment at Katima (name of approved taxidermist).

8. Done at (place) Katima on 25/10/18 (date)

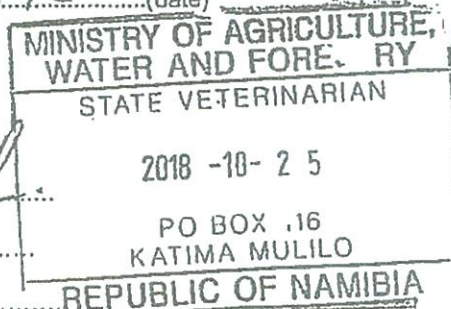
9. Signature

OFFICIAL VETERINARIAN

Official Stamp

Name in Print: Yule Justin

Address P.O. Box 116 Katima Mulilo



A COPY OF THE COMPLETED PERMIT MUST BE FAXED TO THE STATE VETERINARIAN AT DESTINATION PRIOR TO DEPARTURE.

ENDORSEMENT BY VETERINARY OFFICIALS AT GATES

Checked by me and found correct: Name of gate, Number of containers, seals intact, vehicle data etc.

P.V. Hamutema, Okavango Gate

SIGNATURE AND DESIGNATION

26/10/2018
DATE

Section E, question 9(c)

Conservation and sustainability

Conservation is one of the major cornerstones of the Namibian experience. Jamy Traut Hunting Safaris does not only focus on a unique hunting experience, but also realize the long-term responsibilities towards conservation together with its social responsibility. We have the following social and environmental programs in place:

- Programs that introduced the relocation of White Rhino on private owned land.
- All the meat from all our animals, from the elephant to the steenbok and duiker, are utilized to help sustain the local communities,
- First hand involvement in anti-poaching units across Namibia.
- We are converting to solar power in our camps.
- A strict game management plan is maintained, to ensure that good quality trophies are taken consistently.
- Actively involved in management of human-wildlife conflict with Elephants
- Working with the Africat foundation in the north-west of Namibia to monitor Lion populations
- Make contributions to conservation through education
- Donation programs are run in all areas where safaris are conducted or as needs arise.
- Involved with rural communities in Namibia to build a better future for the youth.
- Jamy Traut Hunting Safaris promotes ethical and sustained wildlife practices.
- Jamy Traut is a proud member of NAPHA (Namibian Professional Hunters Association, Dallas Safari Club and Safari Club International.

CERTIFICATION STATEMENT (original signature must be provided for either 10 or 11 below)

10. If you are a broker or taxidermist applying on behalf of a U.S. hunter or foreign national, provide documentation to show you have a Power of Attorney to act on your client's behalf and sign the following statement.

I acknowledge that the sport-hunted trophy/trophies to be imported has been/will be personally hunted by my client and is being imported only for my client's personal use (i.e., not for sale, transfer, donation, or exchange that is reasonably likely to result in economic use, gain, or benefit). If applying for the import of a leopard trophy, I understand that my client may only import two leopard trophies in one calendar year.

Taxidermist/Broker's signature: n/a Date:

11. If you are the hunter applying to import your own trophy, please read and sign the following statement.

I acknowledge that the (b) (6) /will be personally hunted by me and is being imported only on, or exchange that is reasonably likely to result in economic use, gain, or benefit. If applying for the import of a leopard trophy, I understand that I may only import two leopard trophies in one calendar year.

Applicant's signature: (b) (6) Date: 03/04/2019

Be aware that there may be additional requirements from local or state governments, as well as required by other Federal agencies or foreign government to conduct your proposed activity. While the Service will attempt to assist where possible, it is your responsibility to obtain such approval.

12. All international shipment(s) must be through a designated port. A list of designated ports (where an inspector is posted) is available from **the list of designated ports**. If you wish to use a port not listed, please contact the Office of Law Enforcement for a Designated Port Exemption Permit (form 3-200-2).

CHARLES A. SPAETTI

03-01

(b) (6)

(b) (6)

EVANSVILLE, IN (b) (6)

March 4, 2019

Date

CHECK AMOUNT
FRAUD - PROTECTION

PAY to the
order of

U.S. Fish and Wildlife Service

\$ 100.00

One hundred and 00/100

Dollars



Photo
Safe
Deposit
Details on back



OLD NATIONAL BANK

oldnational.com

For Form 3-200-20

(b) (6)

Charles A. Spaetti



MAR 13 2019

Department of Interior
U.S. Fish and Wildlife Service
Federal Fish and Wildlife Permit Application Form

U.S. Fish and Wildlife Service
Division of Management Authority
Branch of Permits, MS: IA
5275 Leesburg Pike
Falls Church, VA 22041-3803
1-800-358-2104 or 703-358-2104

Type of Activity

IMPORT OF SPORT-HUNTED TROPHIES under Appendix I of the Convention on International Trade in Endangered Species (CITES) and/or U.S. Endangered Species Act (ESA)

Complete Sections A or B, and C, D, and E of this application. U.S. address may be required in Section C, see instructions for details. Instructions on how to make your application complete and help avoid unnecessary delays are attached.

Section A: Complete if applying as an individual

1. a. Last Name Eliacostas	1. b. First Name George	1. c. Middle Name/Initial	1. d. Suffix Mr.
2. Date of Birth (mm/dd/yyyy)	3. Telephone Number	3. a. Alternate Telephone Number	4. E-mail address
(b) (6)			

Section B: Complete if applying on behalf of a business, corporation, public agency, Tribe, or institution

1. a. Name of business, agency, Tribe, or institution		1. b. Doing business as (DBA)	
2. Tax identification no.		3. Description of business, agency, Tribe, or institution	
4. a. Principal officer Last name	4. b. Principal officer First Name	4. c. Principal officer Middle name/initial	4. d. Suffix
5. Principal officer title		6. Primary contact name	
7. a. Business telephone number	7. b. Alternate telephone number	7. c. Business fax number	7. d. Business e-mail address

Section C: All applicants complete address information

1. a. Physical address (Street address; Apartment #, Suite #, or Room #; no P.O. Boxes) (b) (6)				
1. b. City Homer Glen	1. c. State Illinois	1. d. Zip code/Postal code (b) (6)	1. e. County/Province	1. f. Country USA
2. a. Mailing address (include if different than physical address; include name of contact person if applicable)				
2. b. City	2. c. State	2. d. Zip code/Postal code	2. e. County/Province	2. f. Country

Section D: All applicants MUST complete

1. Attach the nonrefundable application processing fee in the form of a check or money order payable to the U.S. FISH AND WILDLIFE SERVICE in the amount of \$100. Federal, Tribal, State, and local government agencies, and those acting on behalf of such agencies, are exempt from the processing fee—attach documentation of fee exempt status as outlined in instructions [50 CFR 13.11(d)].
2. Certification: I hereby certify that I have read and am familiar with the regulations contained in Title 50 Part 13 of the Code of Federal Regulations and the other applicable parts in subchapter B of Chapter I of Title 50 , and I certify that the information submitted in this application for a permit is complete and accurate to the best of my knowledge and belief. I understand that any false statement herein may subject me to the criminal penalties of 18 U.S.C. 1001.

Signature of applicant/Principal Officer for permit (No photocopied or stamped signatures) Date of signature (mm/dd/yyyy)

(b) (6)

03-06-2019

next page

E. IMPORT OF SPORT-HUNTED TROPHIES (*Appendix I of CITES and/or ESA*)

Note 1: This form should be used to request authorization to import trophies of species listed on Appendix I of CITES and/or as threatened or endangered under the ESA (examples include elephants, lions, cheetahs, wood bison, and markhor).

PLEASE USE FORM 3-200-19 FOR SOUTHERN AFRICAN LEOPARD TROPHIES AND SOUTHERN WHITE RHINOCEROS TROPHIES TAKEN IN NAMIBIA.

Note 2: If you hold an import permit for a trophy that you did not use, please **return the unused original permit**. If you are requesting reissuance of a permit because you have taken a trophy, but were unable to import it prior to the expiration of the permit, please use the renewal form ([3-200-52](#)) and return your original permit with that form.

Note 3: Applications for species listed as endangered under the U.S. Endangered Species Act are published in the Federal Register for a 30-day public comment period. Please allow at least **90 days** for the application to be processed.

Note 4: *Sport-hunted trophy* is defined at [50 CFR 23.74](#) as follows: *Sport-hunted trophy* means a whole dead animal or a readily recognizable part or derivative of an animal specifically identified on the accompanying CITES document that meets the following criteria:

- Is raw, processed, or manufactured;
- Was legally obtained by the hunter through hunting for his or her personal use;
- Is being imported, exported, or re-exported by or on behalf of the hunter as part of the transfer from its country of origin ultimately to the hunter's country of usual residence; and
- Includes worked, manufactured, or handicraft items made from the sport-hunted animal only when:
 - i. Such items are contained in the same shipment as raw or tanned parts of the sport-hunted animal and are for the personal use of the hunter;
 - ii. The quantity of such items is no more than could reasonably be expected given the number of animals taken by the hunter as shown on the license or other documentation of the authorized hunt accompanying the shipment; and
 - iii. The accompanying CITES documents (export document and, if appropriate, import permit) contain a complete itemization and description of all items included in the shipment.

Note 5: Certain hunting trophies, including elephants, are subject to restrictions on their use after import into the United States. Please see 50 CFR 23.55 for more information or contact the Division of Management Authority.

Please provide the following information. Complete all questions on the application. Mark questions that are not applicable with "N/A". If needed, use a separate sheet of paper. On all attachments or separate sheets you are submitting; please indicate the application question number you are addressing. If applying for more than one trophy, be sure to answer questions 4-6 for each trophy addressed in this application. If importing trophies from more than one country, you must submit a separate application for each shipment in order to obtain separate import permits.

1. Name and address where you wish the permit to be mailed, if different from page 1. If you would like expedited shipping, please enclose a self-addressed, pre-paid, computer-generated, courier service airway bill. If unspecified, all documents will be mailed via the U.S. Postal Service.
2. Who should we contact if we have questions about the application (name, phone number, and e-mail)?

AS PER CONTACT INFORMATION ABOVE

3. Disqualification factor. A conviction, or entry of a plea of guilty or nolo contendere, for a felony violation of the Lacey Act, the Migratory Bird Treaty Act, or the Bald and Golden Eagle Protection Act disqualifies any such person from receiving or exercising the privileges of a permit, unless such disqualification has been expressly waived by the Service Director in response to a written petition. (50 CFR 13.21(c)) Have you or any of the owner of the business, if applying as a business, been convicted, or entered a plea of guilty or nolo contendere, forfeited collateral, or are currently under charges for any violations of the laws mentioned above?

☒ No ☐ Yes

If you answered "Yes" to Question 3, provide: a) the individual's name; b) date of charge; c) charge(s); d) location of incident; e) court; and f) action taken for each violation. Please be aware that a "Yes" response does not automatically disqualify you from getting a permit.

4. For each trophy to be imported, provide:

a. Scientific name (genus, species, and, if applicable, subspecies) and common name.

LOXODONTA AFRICANA

b. Sex (if known). MALE

5. **IF ANIMAL IS CURRENTLY LIVING IN THE WILD**, please enter the following information:

a. Country and place (area, region, GIS coordinates, ranch, conservancy, management area, or hunting block, AND nearest city) where wildlife is to be taken from the wild:

b. Date wildlife is to be hunted:

c. Name of hunting outfitter, safari company, or professional hunter you will be hunting with:

6. **IF THE TROPHY HAS ALREADY BEEN TAKEN**, please enter the following information:

a. Country and place (area, region, GIS coordinates, ranch, conservancy, management area, or hunting block, AND nearest city) where wildlife was removed from the wild:

COUNTRY:ZIMBABWE GIS:VC141789. GUNUNDWE RANCH "SAVE VALLEY CONSERVANCY"
NEAREST CITY MASVINGO:MASVINGO PROVINCE

b. Date wildlife was hunted:

09/JULY/2018

- c. Name of hunting outfitter, safari company, or professional hunter you hunted with:

OUTFITTER:DESFOUNTAIN & JONES SAFARIS PVT/LTD.

PROFESSIONAL HUNTER:MR.DALE DESFOUNTAIN.LICENCE NUMBER:90.VALID

- d. The current location of the trophy (address and country) [the U.S. import permit will identify this country and the country of export/re-export and must match with the foreign export/re-export document]:

DESFOUNTAIN AND JONES SAFARIS,9 DOON ROAD BORROWDALE HARARE ZIMBABWE
AFRICA

7. Please provide a copy of any applicable foreign government permits or licenses that were required to remove this animal from the wild (if you have not hunted yet and do not currently hold any such permits or licenses, please indicate so). ATTACHED

8. Complete name and address of overseas person or business shipping the trophy to you. If you are applying to import a trophy directly from **Namibia**, you must provide the name and address of the professional hunter listed on your Namibian hunting permit [this name will appear on the face of the export permit].

Name:

Business Name: LYNN DUNCAN

Address: LD FORWARDING CO PVT/LTD

City: 106 B CORONATION AVENUE/GREENDALE

State/Province: HARARE

Country, Postal Code: ZIMBABWE

9. For species listed under the U.S. Endangered Species Act, please be aware that the U.S. Fish and Wildlife Service (Service) must make a finding that your activities will enhance or benefit wild populations of the species involved. While we will communicate with the range country for the species you will hunt or hunted when making the required findings, if you have any information that could support this finding, it would be helpful for our review if you could provide it. Please submit such information on a separate page with your application.

- a. Do you have any information regarding the population status or trend data on the species hunted?

A total of 600 elephant were translocated into the save valley conservancy up to January 1998,2019 the population estimate via aerial game census puts the population at 4860 individuals.

- b. In order to hunt, you likely paid for licenses or trophy fees. What were those fees and do you have any information on how those funds were used by either the landowner, local community, or government?

\$27450.00 was part of the safari value.The payments received by D&J Safaris helps maintain their concession,by active rangers protecting the animals that roam freely.Also the drilling of water holes pumping costs salaries etc.

- c. Do you have information on other funding activities that are being carried out, or were carried out, by the safari outfitter, professional hunter, concession holder, or landowner that provide a conservation benefit to the species being hunted/species hunted?

Building and support of local schools and clinics bordering the concession as well as community awareness programs educating the populous of the value of the African wildlife NB:NO ELEPHANTS HAVE BEEN POACHED IN THE SVC IN OVER 20 YEARS

CERTIFICATION STATEMENT (original signature must be provided for either 10 or 11 below)

10. If you are a broker or taxidermist applying on behalf of a U.S. hunter or foreign national, provide documentation to show you have a Power of Attorney to act on your client's behalf and sign the following statement:

I acknowledge that the sport-hunted trophy/trophies to be imported has been/will be personally hunted by my client and is being imported only for my client's personal use (i.e., not for sale, transfer, donation, or exchange that is reasonably likely to result in economic use, gain, or benefit). If applying for the import of an elephant trophy, I understand that my client may import no more than two elephant trophies in one calendar year.

Taxidermist/Broker's signature: _____ Date: _____

11. If you are the hunter applying to import your own trophy, please read and sign the following statement:

I acknowledge that the sport-hunted trophy/trophies to be imported has been/will be personally hunted by me and is being imported only for my personal use (i.e., not for sale, transfer, donation, or exchange that is reasonably likely to result in economic use, gain, or benefit). If applying to import an elephant trophy, I understand that I may import no more than two elephant trophies in one calendar year.

Applicant's signature _____

Date: 3/6/2019

Be aware that there may be additional permitting or approval requirements by your local or state governments, as well as required by other Federal agencies or foreign governments to conduct your proposed activity. While the Service will attempt to assist where possible, it is your responsibility to obtain such approval.

12. All international shipments must be through a designated port. A list of designated ports (where an inspector is posted) is available from [the list of designated ports](#). If you wish to use a port not listed, please contact the Office of Law Enforcement for a Designated Port Exemption Permit (form 3-200-2).

HUNTING RETURN FORM - TRAS2

APPLICATION FOR HUNTING NP/CITES

Issued in terms of Exchange Control Act (Chapter 22:05),
The Parks and Wild Life Act (Chapter 20:14) and the Tourism Act (Chapter 14:20)

0000080611
COMPLETED

1. DETAILS OF THE SAFARI OPERATOR

Name of Operator Desfontain And Jones Safaris(PVT) LTD

ZTA (HOP) No. HOP0294

Address P O Box 9164
Hillside
Bulawayo

Name of Professional Hunter DALE DESFOUNTAIN

Telephone

Number of Accompanying Guests

Are the trophies being exported after tanning and mounting?

Are the trophies being exported after primary treatment?

Name of Processing Agent CHIPITANI SAFARI COMPANY P/L
or Taxidermist

Name of Shipping Agent LD FORWARDING CO. LTD

Name of Foreign Agent Global Outfitters/ Gary Deschene

Client's Full Name GEORGE ELIACOSTAS

Passport Number

Country of Issue UNITED STATES

Address

Licence No.

Fax

1

Address

Address

Address

GEORGE ELIACOSTAS

(b) (6)

UNITED STATES

(b) (6)

GLEN ELLYN 1L

(b) (6)

90

0772353180

8 RIVONIA ROAD
MOUNT PLEASANT
HARARE

P O BOX GD467
GREENDALE
HARARE
TEL 492650

P O Box 70 ULM Montana 59485

2. HUNTING AREAS

	Hunting Area Name	Province	Signature of Land Authority
1	Msaise	Masvingo	
2	Gunundwe	Masvingo	
3			
4			
5			
6			
7			
8			
9			
10			
11			
12			
13			
14			
15			

Date of Safari From 02-07-2018

Date of Safari To 13-07-2018

Daily Rate Charged For Big Game USD 500.00

Daily Rate Charged For Plains Game USD .00

Daily Rate Charged for Non hunting guests USD 75.00

Number of Accompanying Guests 1

ZPWMA
Date Stamp

3. DETAILS OF DEPOSITS

(All inclusive of Daily Rate, Trophy Fees, Processing, Handling charges and any other incidentals)

(i) Safari Operator

	Value Date	ECA Number	ECA Date	Currency	Invoice Amount	Amount Paid
1						
2						
3						
4						

(ii) Taxidermist

	Value Date	ECA Number	ECA Date	Currency	Invoice Amount	Amount Paid
1						
2						
3						
4						

4. FINANCIAL DATA

Total Daily rate	6,000.00	Total Guests rate	900.00
Less Commission	.00	Total Trophy fees	20,550.00
Other fees (Incidentals)	.00	Grand Total USD	27,450.00
Percentage Commission Charged	.00	Total Processing Fees	

5. DETAILS OF SPECIES HUNTED

	SPECIES	SEX	W/K	CONCESSION/FARM	GRID REF	DATE SHOT	SCI TROPHY SIZE	TROPHY FEES
1	KUDU	M	Killed	Msaise	VC 982749	06-JUL-2018	115	1,200
2	PORCUPINE	M	Killed	Msaise	VC 582715	12-JUL-2018		200
3	ELAND	M	Killed	Msaise	VC 298746	06-JUL-2018	89	1,400
4	BUFFALO	M	Killed	Msaise	VC 657699	02-JUL-2018	102	4,000
5	WILDEBEEST	M	Killed	Msaise	VC 879710	03-JUL-2018	68	800
6	BABOON	M	Killed	Msaise	VC 125749	13-JUL-2018		100
7	ZEBRA	M	Killed	Msaise	VC 379747	03-JUL-2018		800
8	ZEBRA	M	Killed	Msaise	VC 231715	09-JUL-2018		800
9	IMPALA	M	Killed	Msaise	VC 944710	05-JUL-2018	56	250
10	ELEPHANT - WITH TUSKS	M	Killed	Gunundwe	VC 141789	09-JUL-2018		11,000
11								
12								
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30								

GEORGE ELIACOSTAS

10-11

(b) (6)

(b) (6)

HOMER GLEN, IL (b) (6)

3-7-2015

Date

Pay to the
Order of

U.S. Fed + U.S. 1st

\$

100.00

One hundred

Dollars



Photo
Safe
Deposit®
Details on back

 PNCBANK

PNC Bank, N.A. 071

(b) (6)

For

Person + MIA

(b) (6)

ENDORSE HERE

X

☐ CHECK HERE IF MOBILE DEPOSIT

DO NOT WRITE, STAMP OR SIGN BELOW THIS LINE

RESERVED FOR FINANCIAL INSTITUTION USE

(b) (6)

GEORGE E. ... 10-11

Security Features exceed industry standards and include:

- ImageMatchSM: Matching account and check number on back (Patent No. 9,240,088)
- MobileMarkSM: Mobile Deposit check mark to indicate check has been deposited via mobile device
- The Security Weave[®] pattern on back designed to deter fraud
- Microprint (MP) lines printed on front and back
- The words "ORIGINAL DOCUMENT" across the back
- Photo Safe Deposit[®] Icon visible on front and back

Do not cash if:

- Any of the features listed above are missing or appear altered
- Fugitive Ink on back looks pink or has disappeared
- Brown stains and colored spots appear on both front and back



GEORGE E. ...
10-11



MAR 11 2019

Department of Interior
U.S. Fish and Wildlife Service
Federal Fish and Wildlife Permit Application Form

U.S. Fish and Wildlife Service
Division of Management Authority
Branch of Permits, MS: IA
5275 Leesburg Pike
Falls Church, VA 22041-3803
1-800-358-2104 or 703-358-2104

Type of Activity

IMPORT OF SPORT-HUNTED TROPHIES under Appendix I of the Convention on International Trade in Endangered Species (CITES) and/or U.S. Endangered Species Act (ESA)

Complete Sections A or B, and C, D, and E of this application. U.S. address may be required in Section C, see instructions for details.
Instructions on how to make your application complete and help avoid unnecessary delays are attached.

Section A: Complete if applying as an individual

1.a. Last Name CROELL		1.b. First Name CURTIS		1.c. Middle Name/Initial K	1.d. Suffix
2. Date of Birth (mm/dd/yyyy) (b) (6)		3. Telephone Number (b) (6)		3.a. Alternate Telephone Number	
				4. E-mail address cindi.rulon@procargousa.com	

Section B: Complete if applying on behalf of a business, corporation, public agency, Tribe, or institution

1.a. Name of business, agency, Tribe, or institution		1.b. Doing business as (DBA)			
2. Tax identification no.		3. Description of business, agency, Tribe, or institution			
4.a. Principal officer Last name	4.b. Principal officer First Name	4.c. Principal officer Middle name/initial		4.d. Suffix	
5. Principal officer title		6. Primary contact name			
7.a. Business telephone number	7.b. Alternate telephone number	7.c. Business fax number	7.d. Business e-mail address		

Section C: All applicants complete address information

1.a. Physical address (Street address; Apartment #, Suite #, or Room #; no P.O. Boxes) (b) (6)					
1.b. City LAWLER	1.c. State IOWA	1.d. Zip code/Postal code (b) (6)	1.e. County/Province	1.f. Country USA	
2.a. Mailing address (include if different than physical address; include name of contact person if applicable)					
2.b. City	2.c. State	2.d. Zip code/Postal code	2.e. County/Province	2.f. Country	

Section D: All applicants MUST complete

1. Attach the nonrefundable application processing fee in the form of a check or money order payable to the U.S. FISH AND WILDLIFE SERVICE in the amount of \$100 . Federal, Tribal, State, and local government agencies, and those acting on behalf of such agencies, are exempt from the processing fee—attach documentation of fee exempt status as outlined in instructions [50 CFR 13.11(d)].	
2. Certification: I hereby certify that I have read and am familiar with the regulations contained in Title 50 Part 13 of the Code of Federal Regulations and the other applicable parts in subchapter B of Chapter I of Title 50 , and I certify that the information submitted in this application for a permit is complete and accurate to the best of my knowledge and belief. I understand that any false statement herein may subject me to the criminal penalties of 18 U.S.C. 1001.	
Signature of applicant/Principal Officer for permit (No photocopied or stamped signatures) Date of signature (mm/dd/yyyy) (b) (6) <i>atty in fact</i> 03/11/2019	
Please continue to next page	

E. IMPORT OF SPORT-HUNTED TROPHIES (*Appendix I of CITES and/or ESA*)

Note 1: This form should be used to request authorization to import trophies of species listed on Appendix I of CITES and/or as threatened or endangered under the ESA (examples include elephants, lions, cheetahs, wood bison, and markhor).

PLEASE USE FORM 3-200-19 FOR SOUTHERN AFRICAN LEOPARD TROPHIES AND SOUTHERN WHITE RHINOCEROS TROPHIES TAKEN IN NAMIBIA.

Note 2: If you hold an import permit for a trophy that you did not use, please **return the unused original permit**. If you are requesting reissuance of a permit because you have taken a trophy, but were unable to import it prior to the expiration of the permit, please use the renewal form (3-200-52) and return your original permit with that form.

Note 3: Applications for species listed as endangered under the U.S. Endangered Species Act are published in the Federal Register for a 30-day public comment period. Please allow at least **90 days** for the application to be processed.

Note 4: *Sport-hunted trophy* is defined at 50 CFR 23.74 as follows: *Sport-hunted trophy* means a whole dead animal or a readily recognizable part or derivative of an animal specifically identified on the accompanying CITES document that meets the following criteria:

- Is raw, processed, or manufactured;
- Was legally obtained by the hunter through hunting for his or her personal use;
- Is being imported, exported, or re-exported by or on behalf of the hunter as part of the transfer from its country of origin ultimately to the hunter's country of usual residence; and
- Includes worked, manufactured, or handicraft items made from the sport-hunted animal only when:
 - i. Such items are contained in the same shipment as raw or tanned parts of the sport-hunted animal and are for the personal use of the hunter;
 - ii. The quantity of such items is no more than could reasonably be expected given the number of animals taken by the hunter as shown on the license or other documentation of the authorized hunt accompanying the shipment; and
 - iii. The accompanying CITES documents (export document and, if appropriate, import permit) contain a complete itemization and description of all items included in the shipment.

Note 5: Certain hunting trophies, including elephants, are subject to restrictions on their use after import into the United States. Please see 50 CFR 23.55 for more information or contact the Division of Management Authority.

Please provide the following information. Complete all questions on the application. Mark questions that are not applicable with "N/A". If needed, use a separate sheet of paper. On all attachments or separate sheets you are submitting; please indicate the application question number you are addressing. If applying for more than one trophy, be sure to answer questions 4-6 for each trophy addressed in this application. If importing trophies from more than one country, you must submit a separate application for each shipment in order to obtain separate import permits.

1. Name and address where you wish the permit to be mailed, if different from page 1. If you would like expedited shipping, please enclose a self-addressed, pre-paid, computer-generated, courier service airway bill. If unspecified, all documents will be mailed via the U.S. Postal Service.

PRO CARGO USA
15619 MORALES ROAD
HOUSTON, TEXAS 77032 ATTN: CINDI RULON

SELF ADDRESSED FED EX ENVELOPE ENCLOSED

2. Who should we contact if we have questions about the application (name, phone number, and e-mail)?

CINDI RULON CINDI.RULON@PROCARGOUSA.COM
281-220-3176

3. Disqualification factor. A conviction, or entry of a plea of guilty or nolo contendere, for a felony violation of the Lacey Act, the Migratory Bird Treaty Act, or the Bald and Golden Eagle Protection Act disqualifies any such person from receiving or exercising the privileges of a permit, unless such disqualification has been expressly waived by the Service Director in response to a written petition. (50 CFR 13.21(c)) Have you or any of the owners of the business, if applying as a business, been convicted, or entered a plea of guilty or nolo contendere, forfeited collateral, or are currently under charges for any violations of the laws mentioned above?

☒ No ☐ Yes

If you answered "Yes" to Question 3, provide: a) the individual's name; b) date of charge; c) charge(s); d) location of incident; e) court; and f) action taken for each violation. Please be aware that a "Yes" response does not automatically disqualify you from getting a permit.

4. For each trophy to be imported, provide:

a. Scientific name (genus, species, and, if applicable, subspecies) and common name.

LOXODONTA AFRICANA- AFRICAN ELEPHANT

b. Sex (if known). MALE

5. **IF ANIMAL IS CURRENTLY LIVING IN THE WILD**, please enter the following information:

a. Country and place (area, region, GIS coordinates, ranch, conservancy, management area, or hunting block, AND nearest city) where wildlife is to be taken from the wild:

b. Date wildlife is to be hunted:

c. Name of hunting outfitter, safari company, or professional hunter you will be hunting with:

6. **IF THE TROPHY HAS ALREADY BEEN TAKEN**, please enter the following information:

a. Country and place (area, region, GIS coordinates, ranch, conservancy, management area, or hunting block, AND nearest city) where wildlife was removed from the wild:

BWABATA WEST CONSERVANCY NEAR DIVUNDU NAMIBIA

b. Date wildlife was hunted:

SEPTEMBER 7, 2018

- c. Name of hunting outfitter, safari company, or professional hunter you hunted with:

PROFESSIONAL HUNTER J.H PIENAAR

- d. The current location of the trophy (address and country) [the U.S. import permit will identify this country as the country of export/re-export and must match with the foreign export/re-export document]:

TROPHHAENDIENSTE TQAXIDERMY P.O. BOX 21068 WINDHOEK, NAMIBIA

7. Please provide a copy of any applicable foreign government permits or licenses that were required to remove this animal from the wild (if you have not hunted yet and do not currently hold any such permits or licenses, please indicate so). ATTACHED

8. Complete name and address of overseas person or business shipping the trophy to you. If you are applying to import a trophy directly from **Namibia**, you must provide the name and address of the professional hunter listed on your Namibian hunting permit [this name will appear on the face of the export permit].

Name: J.H. PIENAAR
Business Name: TROHAENDIENSTE TAXIDERMY
Address: PO BOX 21068
City: WINDHOEK
State/Province: NAMIBIA
Country, Postal Code:

9. For species listed under the U.S. Endangered Species Act, please be aware that the U.S. Fish and Wildlife Service (Service) must make a finding that your activities will enhance or benefit wild populations of the species involved. While we will communicate with the range country for the species you will hunt or hunted when making the required findings, if you have any information that could support this finding, it would be helpful for our review if you could provide it. Please submit such information on a separate page with your application.

- a. Do you have any information regarding the population status or trend data on the species hunted?

SINCE 1975 THE POPULATION HAS BEEN GROWING AT AN AVERAGE RATE OF 4.5% PER ANNUM WITH MANAGED HUNTING. THE POPULATION WAS 7,500 IN 1975 AND NOW NUMBERS OVER 26,000.

- b. In order to hunt, you likely paid for licenses or trophy fees. What were those fees and do you have any information on how those funds were used by either the landowner, local community, or government?

40% OF THE TROPHY FEES I PAID WENT TO THE GAME PRODUCTS TRUST FUND, A GOVERNMENT ENTITY THAT OVERSEES REPAIRS, MAINTENANCE AND SALARIES FOR GOVERNMENT RANGERS AND THEIR EQUIPMENT USED TO MONITOR AREAS WHERE ELEPHANTS ROAM. 40% GOES TO THE LOCAL COMMUNITY.

- c. Do you have information on other funding activities that are being carried out, or were carried out, by the safari outfitter, professional hunter, concession holder, or landowner that provide a conservation benefit to the species being hunted/species hunted?

THE OUTFITTER IS ACTIVELY INVOLVED IN ANTI-POACHING EFFORTS AND THE PROFESSIONAL HUNTER IS A TRUSTEE ON HUNTERS UNITED AGAINST POACHING (HUAP)

CERTIFICATION STATEMENT (original signature must be provided for either 10 or 11 below)

10. If you are a broker or taxidermist applying on behalf of a U.S. hunter or foreign national, provide documentation to show you have a Power of Attorney to act on your client's behalf and sign the following statement:

I acknowledge that the sport-hunted trophy/trophies to be imported has been/will be personally hunted by my client and is being imported only for my client's personal use (i.e., not for sale, transfer, donation, or exchange that is reasonably likely to result in economic use, gain, or benefit). If applying for the import of an elephant trophy, I understand that my client may import no more than two elephant trophies in one calendar year.

Taxidermist/Broker's signature

(b) (6)

att'y in fact

Date: 03/11/2019

11. If you are the hunter applying to import your own trophy, please read and sign the following statement:

I acknowledge that the sport-hunted trophy/trophies to be imported has been/will be personally hunted by me and is being imported only for my personal use (i.e., not for sale, transfer, donation, or exchange that is reasonably likely to result in economic use, gain, or benefit). If applying to import an elephant trophy, I understand that I may import no more than two elephant trophies in one calendar year.

Applicant's signature: _____ Date: _____

Be aware that there may be additional permitting or approval requirements by your local or state governments, as well as required by other Federal agencies or foreign governments to conduct your propose activity. While the Service will attempt to assist where possible, it is your responsibility to obtain such approval.

12. All international shipments must be through a designated port. A list of designated ports (where an inspector is posted) is available from the list of designated ports. If you wish to use a port not listed, please contact the Office of Law Enforcement for a Designated Port Exemption Permit (form 3-200-2).



**CONVENTION ON
INTERNATIONAL TRADE IN
ENDANGERED SPECIES OF
WILD FAUNA AND FLORA**

PERMIT / CERTIFICATE No.

☒ EXPORT

☐ RE-EXPORT

☐ IMPORT

☐ OTHER:

Date of issue: Certificate No. 0058047

0058047

Original

2. Valid until

19/05/2019

3. Importer (name and address)

KURTIS KRISTOPHER CROELL

(b) (6)

LAWYER

IA (b) (6)

3a. Country of Import

USA

5. Special conditions

Not valid if not certified by the Namibian customs
Tusks no's: NA 18 0008 24.20 & NA 18 0007 31.65

All imports and exports remain subject to veterinary regulations.
For live animals, this permit or certificate is only valid if the transport conditions conform to the Guidelines for Transport of Live Animals or, in the case of air transport, to the IATA Live Animals Regulations.

5a. Purpose of the transaction (see reverse)

H

5b. Security stamp No.

1847183

4. Exporter / Re-exporter (name, address and country)

J. H. PIENAAR

PRIVATE BAG 2105

GOBABIS

NAMIBIA

Signature of the applicant

6. Name, address, national seal/ stamp and country of Management Authority



**MINISTRY OF ENVIRONMENT AND
TOURISM**

Directorate: Scientific Services
Private Bag 13306
Windhoek
NAMIBIA

7./8. SCIENTIFIC NAME (genus and species)
AND COMMON NAME OF ANIMAL OR PLANT

9. Description of specimens, including
identifying marks or numbers
(age /sex if live)

10. Appendix No.
and source
(see reverse)

11. Quantity
(including unit)

11a. Total exported/
Quota

A 7./8. *Loxodonta africana*
African elephant

9. 2x Tusks

10. II W

11. 2 Item

11a. 46/90
(2018)

12. Country of origin * Permit No. Date

12a. Country of last re-export Certificate No. Date

12b. No. of the operation ** or
date of acquisition ***

B 7./8. *Loxodonta africana*
African elephant

9. skin panels

10. II W

11. 1 Item

11a. /

12. Country of origin * Permit No. Date

12a. Country of last re-export Certificate No. Date

12b. No. of the operation ** or
date of acquisition ***

C 7./8.

9.

10.

11.

11a. /

12. Country of origin * Permit No. Date

12a. Country of last re-export Certificate No. Date

12b. No. of the operation ** or
date of acquisition ***

D 7./8.

9.

10.

11.

11a.

12. Country of origin * Permit No. Date

12a. Country of last re-export Certificate No. Date

12b. No. of the operation ** or
date of acquisition ***

* Country in which the specimens were taken from the wild, bred in captivity or artificially propagated (only in case of re-export)
** Only for specimens of Appendix-I species bred in captivity or artificially propagated for commercial purposes
*** for pre-Convention specimens

13. THIS PERMIT IS ISSUED BY:

J. Shapi

Windhoek

19/11/2018

Place

Date

**MINISTRY OF ENVIRONMENT
AND TOURISM
REPUBLIC OF NAMIBIA**

19 NOV 2018

Private Bag 13306 Windhoek
Tel: 2842823/2842861

Security stamp, signature and official seal

14. EXPORT ENDORSEMENT:

15. Bill of Lading / Air Waybill Number:

Block	Quantity
A	
B	
C	
D	

Port of Export / Re-export

Date

Signature

Official stamp and title

Original

Namprint: 2308

MINISTRY OF ENVIRONMENT AND TOURISM

Directorate Scientific Services
Private Bag 13306
Windhoek, Namibia
Enquiries: Permit Office
Tel +264-61-284 2538/2506


PERMIT No.:
182631
VALID FROM:
01/09/2018
VALID TO:
15/09/2018
RECEIPT No.:
PS76803V
PERMIT TO HUNT FOR TROPHIES
5464

Permission is hereby granted in terms of the Nature Conservation Ordinance 1975 (Ord. 4 of 1975)

Name of Trophy Hunter: **K.K. Croell**

Residential Address:

Country: **U.S.A**

To hunt the specified number of game for the sake of trophies:

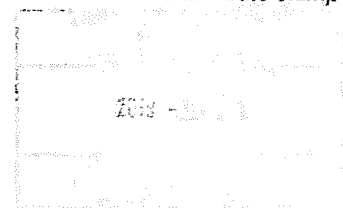
SPECIES: COMMON NAME	NUMBER	SPECIES: COMMON NAME	NUMBER	SPECIES: COMMON NAME	NUMBER	SPECIES: COMMON NAME	NUMBER
Blesbok	✓	Impala Common	2x	Springbok		Other	✓
Buffalo	1x	Klipspringer		Steenbok		*Please report back within 48 hours after the hunt	
Crocodile		Kudu		Warthog	1x		
Dik-Dik		Lechwe		Waterbuck		*All action must be done in accordance with Regulation 106 (Gazette no 3556, 1997), of the nature (cons ordinance 4 of 1975 (4 of 1975))	
Eland		Oryx (Gemsbok)		Wildebeest, Black			
Elephant	1x	Ostrich		Wildebeest, Blue			
Giraffe		Reedbuck	2x	Zebra Burchell's			
Grey Duker		Rhinoceros, Black		Zebra Hartmann's			
Hartebeest		Rhinoceros, White		Cheetah			
Hippopotamus		Roan		Leopard			
Impala Black-Faced		Sable		Lion			

On the following farms / hunting concessions / safari / guestfarm: **Bwabwata West Conservancy**

Guided by (name of guides): **J.H. Pender (Jr + Sr)**

Elvis Merkel E.Mkel

Official MET date stamp



IMPORTANT: This permit is not valid if altered in any way and is not transferable.

The following certificate must be completed immediately after each animal that has been hunted (please print)

[illegible]

*L= Left, R=Right, H=Horn, B=Base, RW=Rowland Ward

No trophy hunter may hunt unless accompanied by a professional hunter, master hunting guide or hunting guide.

This permit must be kept on the person of the permit holder at all times while hunting.

Only guide(s) as specified on the permit may conduct the hunt.



REPUBLIC OF NAMIBIA

MINISTRY OF ENVIRONMENT AND TOURISM

No.: A 04569

APPLICATION TO POSSESS CONTROLLED GAME PRODUCTS

1. Christian name(s) and surname of applicant:

K. K. Croell

2. Identity No. / Passport No.:

LISA

3. Residential address:

4. Postal address: P.O. Box 21068
Windhoek

(b) (6)

SIGNATURE OF APPLICANT

5. From where are products obtained:

Bwabwata

6. The following documents are attached as proof of ownership:

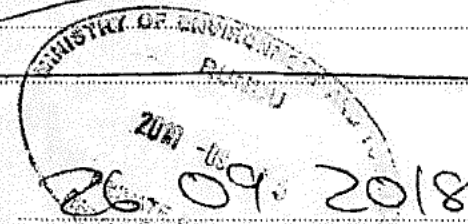
(a) Permit No: 182631

(b)

(c)

(d)

(e)



DATE

[illegible]



John Rulon CHB

15619 Morales Rd. Houston, Texas 77032 281-220-3158

BELOW IS A POWER OF ATTORNEY FORM WHICH IS REQUIRED BY U.S. CUSTOMS, TO ALLOW US TO TRANSACT U.S. CUSTOMS CLEARANCE ON YOUR BEHALF.
U.S. Customs Services 141.32 C.R.

CUSTOMS POWER OF ATTORNEY

SS# (b) (6)
Cell Phone (b) (6)
Email address (b) (6)

x Individual

KNOW ALL MEN BY THESE PRESENTS: That x Kurtis K. Croell (Full Name of Person), residing at (b) (6) Lawler, Iowa, United States (b) (6) hereby constitutes

and appoints each of the following persons - John Rulon CHB

may act through any of its offices or any employees with Power of Attorney on file with this licensed broker as required by the Regional Commission of Customs as a true and lawful agent and attorney of the grantor named above for and in the name, place, stead of said grantor from this date and in all Customs Districts, and in no other name, to make, endorse, sign, declare, or swear to any entry, withdrawal, declaration, certificate, bill of lading, or other document required by law or regulation in connection with the importation, transportation, or exportation of any merchandise shipped or consigned by or to said grantor; to perform any act or condition which may be required by law or regulation in connection with such merchandise; to receive any merchandise deliverable to said grantor; To make endorsements on bills of lading conferring authority to transfer title, make entry or collect drawback, and to make, sign, declare, or swear to any statement, supplemental statement, schedule, supplemental schedule, certificate of delivery, certificate of manufacture, certificate, and delivery abstract of manufacturing records, declaration of proprietor on drawback entry, declaration of exporter on drawback entry, or any other affidavit or document which may be required by law or regulation for drawback purposes, regardless of whether such bill of lading, sworn statement, schedule, certificate, abstract, declaration, or other affidavit or document is intended for filing in any customs district. To sign, seal, and deliver for and as the act of said grantor any bond required by law or regulation in connection with the entry or withdrawal of imported merchandise or merchandise exported with or without benefit of drawback, or in connection with the entry, clearance, lading, unloading or navigation of any vessel or other means of conveyance owned or operated by said grantor, and any and all bonds which may be voluntarily given and accepted under applicable laws and regulations, consignee's and owners declarations provided for in section 485, Tariff Act of 1930, as amended, or affidavits in connection with the entry of merchandise. To sign and swear to any document, and to perform any act that may be necessary or required by law or regulation in connection with the entering, clearing, lading, unloading, or operation of any vessel or other means of conveyance owned or operated said grantor. To authorize other Customs Brokers to act as grantor's agent; to receive, endorse and collect checks issued for Customs duty refunds in grantors name drawn on the Treasurer of the United States; if the grantor is a nonresident of the United States, to accept service of process on behalf of the grantor. And generally to transact at the customhouses in said district any and all customs business, including making, signing, and filling of protests under section 514 of the Tariff Act of 1930. In which said grantor is or may or may be concerned or interested and which may properly be transacted or performed by an agent and attorney, giving to said agent attorney full power and authority to do anything whatever requisite and necessary to be done in the premises as fully as said grantor could do if present and acting, hereby ratifying and confirming all that the said agent and attorney shall lawfully do by virtue of these presents; the foregoing power of attorney to remain in full force and effect until notice of revocation in writing is duly given to and received by the District Director of customs of the district aforesaid. If the donor of this power of attorney is a partnership, the said power shall in no case have any force or effect after the expiration of 2 years from the date of its execution. Or until revoked. Pursuant to 19 CFR 111.35(a), election is made waiving the right to receive invoices, supporting documents and correspondences from John Rulon CHB, who is directed to forward all documents and inquiries concerning our imports to their customs brokerage services, to our freight forwarder, who will pay John Rulon CHB, for duties, and services performed on our behalf. It is understood the right to revoke said waiver is continuing.

Customs Rules on Discharge of Importers Liability for Duties

The U.S. Customs Service has issued a final amendment of the regulations to provide an alternative procedure for an importer record to pay duties on imported merchandise through a licensed customhouse broker. Present rules provide for the payment of duties to Customs by a broker on behalf of the importer. Under the alternative procedure, the importer may elect to submit to the broker a separate check or bank draft for the duties payable to the "U.S. Customs Service". The broker would then deliver the importer's check or bank draft to Customs. The new rule also requires brokers to provide written notification to their clients, advising that if the client is an importer of record, payment to the broker will not relieve the client of liability for Customs charges in the event that the charges are not paid by the broker. Brokers will be required to provide this notification to all active clients annually during the month of February. Additionally, brokers will be required to provide such information on a power of attorney executed on or after the effective date of the new rule. These amendments are effective as of September 27, 1982

(Print name) x Kurt Croell
has caused these presents to be sealed and signed:

(Signature) (b) (6)

((Date) x November 9, 2018



RCUD MAR 29 2019

LB

Department of Interior
U.S. Fish and Wildlife Service
Federal Fish and Wildlife Permit Application Form

U.S. Fish and Wildlife Service
Division of Management Authority
Branch of Permits, MS: IA
5275 Leesburg Pike
Falls Church, VA 22041-3803
1-800-358-2104 or 703-358-2104

Type of Activity

**IMPORT OF SPORT-HUNTED TROPHIES under Appendix I of
the Convention on International Trade in Endangered
Species (CITES) and/or U.S. Endangered Species Act (ESA)**

Complete Sections A or B, and C, D, and E of this application. U.S. address may be required in Section C, see instructions for details.
Instructions on how to make your application complete and help avoid unnecessary delays are attached.

Section A: Complete if applying as an individual

1.a. Last Name DooLittle	1.b. First Name Matthew	1.c. Middle Name/Initial Dale	1.d. Suffix
2. Date of Birth (mm/dd/yyyy) (b) (6)	3. Telephone Number	3.a. Alternate Telephone Number	4. E-mail address

Section B: Complete if applying on behalf of a business, corporation, public agency, Tribe, or institution

1.a. Name of business, agency, Tribe, or institution		1.b. Doing business as (DBA)	
2. Tax identification no.		3. Description of business, agency, Tribe, or institution	
4.a. Principal officer Last name	4.b. Principal officer First Name	4.c. Principal officer Middle name/initial	4.d. Suffix
5. Principal officer title		6. Primary contact name	
7.a. Business telephone number	7.b. Alternate telephone number	7.c. Business fax number	7.d. Business e-mail address

Section C: All applicants complete address information

1.a. Physical address (Street address, Apartment #, Suite #, or Room #; no P.O. Boxes) (b) (6)				
1.b. City Holland	1.c. State Michigan	1.d. Zip code/Postal code (b) (6)	1.e. County/Province	1.f. Country USA
2.a. Mailing address (include if different than physical address; include name of contact person if applicable) N/A				
2.b. City	2.c. State	2.d. Zip code/Postal code	2.e. County/Province	2.f. Country

Section D: All applicants MUST complete

1. Attach the nonrefundable application processing fee in the form of a check or money order payable to the U.S. FISH AND WILDLIFE SERVICE in the amount of \$100. Federal, Tribal, State, and local government agencies, and those acting on behalf of such agencies, are exempt from the processing fee—attach documentation of fee exempt status as outlined in instructions [50 CFR 13.11(d)].
2. Certification: I hereby certify that I have read and am familiar with the regulations contained in <i>Title 50 Part 13 of the Code of Federal Regulations</i> and the other applicable parts in subchapter B of Chapter I of Title 50, and I certify that the information submitted in this application for a permit is complete and accurate to the best of my knowledge and belief. I understand that any false statement herein may subject me to the criminal penalties of 18 U.S.C. 1001. (b) (6)
Signature of applicant or Principal Officer for permit (No photocopied or stamped signatures) Date of signature (mm/dd/yyyy) 03/26/2019
Please continue to next page

E. IMPORT OF SPORT-HUNTED TROPHIES (*Appendix I of CITES and/or ESA*)

Note 1: This form should be used to request authorization to import trophies of species listed on Appendix I of CITES and/or as threatened or endangered under the ESA (examples include elephants, lions, cheetahs, wood bison, and markhor).

PLEASE USE FORM 3-200-19 FOR SOUTHERN AFRICAN LEOPARD TROPHIES AND SOUTHERN WHITE RHINOCEROS TROPHIES TAKEN IN NAMIBIA.

Note 2: If you hold an import permit for a trophy that you did not use, please **return the unused original permit**. If you are requesting reissuance of a permit because you have taken a trophy, but were unable to import it prior to the expiration of the permit, please use the renewal form [\(3-200-52\)](#) and return your original permit with that form.

Note 3: Applications for species listed as endangered under the U.S. Endangered Species Act are published in the **Federal Register** for a 30-day public comment period. Please allow at least **90 days** for the application to be processed.

Note 4: *Sport-hunted trophy* is defined at [50 CFR 23.74](#) as follows: *Sport-hunted trophy* means a whole dead animal or a readily recognizable part or derivative of an animal specifically identified on the accompanying CITES document that meets the following criteria:

- Is raw, processed, or manufactured;
- Was legally obtained by the hunter through hunting for his or her personal use;
- Is being imported, exported, or re-exported by or on behalf of the hunter as part of the transfer from its country of origin ultimately to the hunter's country of usual residence; and
- Includes worked, manufactured, or handicraft items made from the sport-hunted animal only when:
 - i. Such items are contained in the same shipment as raw or tanned parts of the sport-hunted animal and are for the personal use of the hunter;
 - ii. The quantity of such items is no more than could reasonably be expected given the number of animals taken by the hunter as shown on the license or other documentation of the authorized hunt accompanying the shipment; and
 - iii. The accompanying CITES documents (export document and, if appropriate, import permit) contain a complete itemization and description of all items included in the shipment.

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1. Name and address where you wish the permit to be mailed, if different from page 1. If you would like expedited shipping, please enclose a self-addressed, pre-paid, computer-generated, courier service airway bill. If unspecified, all documents will be mailed via the U.S. Postal Service.

N/A

2. Who should we contact if we have questions about the application (name, phone number, and e-mail)?

Matthew Doolittle Phone: (b) (6)

3. Disqualification factor. A conviction, or entry of a plea of guilty or nolo contendere, for a felony violation of the Lacey Act, the Migratory Bird Treaty Act, or the Bald and Golden Eagle Protection Act disqualifies any such person from receiving or exercising the privileges of a permit, unless such disqualification has been expressly waived by the Service Director in response to a written petition. (50 CFR 13.21(c)) Have you or any of the owners of the business, if applying as a business, been convicted, or entered a plea of guilty or nolo contendere, forfeited collateral, or are currently under charges for any violations of the laws mentioned above?

☒ No ☐ Yes

If you answered "Yes" to Question 3, provide: a) the individual's name; b) date of charge; c) charge(s); d) location of incident; e) court; and f) action taken for each violation. Please be aware that a "Yes" response does not automatically disqualify you from getting a permit.

N/A

4. For each trophy to be imported, provide:

a. Scientific name (genus, species, and, if applicable, subspecies) and common name.

Scientific name: *Loxodonta africana* Common Name: African Elephant

b. Sex (if known). Female

5. IF ANIMAL IS CURRENTLY LIVING IN THE WILD, please enter the following information:

a. Country and place (area, region, GIS coordinates, ranch, conservancy, management area, or hunting block, AND nearest city) where wildlife is to be taken from the wild:

N/A

b. Date wildlife is to be hunted:

N/A

c. Name of hunting outfitter, safari company, or professional hunter you will be hunting with:

N/A

6. IF THE TROPHY HAS ALREADY BEEN TAKEN, please enter the following information:

a. Country and place (area, region, GIS coordinates, ranch, conservancy, management area, or hunting block, AND nearest city) where wildlife was removed from the wild:

Atherstone Nature Reserve is based in the Limpopo province of South Africa near a small town called Dwaalboom. Biggest town is 90KM away called Thabazimbi.

b. Date wildlife was hunted:

September 22, 2018 through September 25, 2018

- c. Name of hunting outfitter, safari company, or professional hunter you hunted with:

Numzaan Safaris (company conducting the hunt) Stef Swanepoel (Hunting Outfitter)

- d. The current location of the trophy (address and country) [the U.S. import permit will identify this country as the country of export/re-export and must match with the foreign export/re-export document]:

Swift Dip Portion 1 Bethanie Makoppa 0381 Limpopo Province South Africa

7. Please provide a copy of any applicable foreign government permits or licenses that were required to remove this animal from the wild (if you have not hunted yet and do not currently hold any such permits or licenses, please indicate so). See separate attached information

8. Complete name and address of overseas person or business shipping the trophy to you. If you are applying to import a trophy directly from Namibia, you must provide the name and address of the professional hunter listed on your Namibian hunting permit [this name will appear on the face of the export permit].

Name:

Business Name: Safari Air Cargo Systems

Address: 34 5th Ave, Bredell AH,

City: Kempton Park

State/Province: 1619

Country, Postal Code: South Africa

9. For species listed under the U.S. Endangered Species Act, please be aware that the U.S. Fish and Wildlife Service (Service) must make a finding that your activities will enhance or benefit wild populations of the species involved. While we will communicate with the range country for the species you will hunt or hunted when making the required findings, if you have any information that could support this finding, it would be helpful for our review if you could provide it. Please submit such information on a separate page with your application.

- a. Do you have any information regarding the population status or trend data on the species hunted?

Please see separate attached information

- b. In order to hunt, you likely paid for licenses or trophy fees. What were those fees and do you have any information on how those funds were used by either the landowner, local community, or government?

Please see separate attached information

- c. Do you have information on other funding activities that are being carried out, or were carried out, by the safari outfitter, professional hunter, concession holder, or landowner that provide a conservation benefit to the species being hunted/species hunted?

Please see separate attached information

CERTIFICATION STATEMENT (original signature must be provided for either 10 or 11 below)

10. If you are a broker or taxidermist applying on behalf of a U.S. hunter or foreign national, provide documentation to show you have a Power of Attorney to act on your client's behalf and sign the following statement:

I acknowledge that the sport-hunted trophy/trophies to be imported has been/will be personally hunted by my client and is being imported only for my client's personal use (i.e., not for sale, transfer, donation, or exchange that is reasonably likely to result in economic use, gain, or benefit). If applying for the import of an elephant trophy, I understand that my client may import no more than two elephant trophies in one calendar year.

Taxidermist/Broker's signature: _____ Date: _____

11. If you are the hunter applying to import your own trophy, please read and sign the following statement:

I acknowledge that the sport-hunted trophy/trophies to be imported has been/will be personally hunted by me and is being imported only for my personal use (i.e., not for sale, transfer, donation, or exchange that is reasonably likely to result in economic use, gain, or benefit). If applying to import an elephant trophy, I understand that I may import no more than two elephant trophies in one calendar year.

Applicant's signature

Date: 03/26/2019

Be aware that there may be additional permitting or approval requirements by your local or state governments, as well as required by other Federal agencies or foreign governments to conduct your propose activity. While the Service will attempt to assist where possible, it is your responsibility to obtain such approval.

12. All international shipments must be through a designated port. A list of designated ports (where an inspector is posted) is available from [the list of designated ports](#). If you wish to use a port not listed, please contact the Office of Law Enforcement for a Designated Port Exemption Permit (form 3-200-2).

**Conservation Force
Operator Enhancement Questionnaire**

v.5/8/18

1. Name of area operator: Stef Swanepoel
 - a. Years in business: 26 Years
 - b. Name of company conducting hunt: Numzaan Safaris
2. Name of concession: Atherstone Nature Reserve Size in km²: 23 000 hectares or 56 000 acres
 - a. Land tenure: ☐ Government lease ☐ Community ☐ Private ranch ☐ Private conservancy
 - b. Length of concession agreement: 1 Year Can it be renewed? ☒ Yes ☐ No
 - c. Length of renewal, if applicable: Year by Year
 - d. Estimated total amount received in trophy fees last year, if applicable: \$ \$ N/A
 - e. Are there any special obligations under the concession agreement such as annual reporting, poaching control, community investment, community employment, etc.? If so, please explain:
Please see attached document
 - f. Please provide the concession location and nearest city. Please attach a map or maps with the boundaries marked and showing the nearest city: Atherstone Nature Reserve is based in the Limpopo province of South Africa near a small town called Dwaalboom. Biggest town is 90KM away called Thabazimbi
 - g. If the concession borders a national park, please provide the park name: N/A
 - h. Description of general and distinctive habitat features and any water features: Atherstone Nature Reserve consist typically bushveld terrain. It is flat with no mountains or rivers. Typical vegetation consist of mainly Acacia Trees and very little Marula trees. Grass plains do exist in the reserve but are limited
3. Are there any communities living in the concession? NQ Yes ☐ No
 - a. If so, estimated number of people or villages in the concession? _____
4. Are there communities bordering this concession? ☐ Yes ☒ No
 - a. If so, estimated number of people or villages around the concession? _____

5. Relevant game populations in the concession:¹

Species name	Relative Abundance (dense/avg /sparse)	Est. population	3-Year trend (up/ down/ stable)	How monitored?
Elephant				
Lion				
Leopard				
Prey species				

6. Total employment number: _____
 - a. Please identify the number and type of all employees:

¹ The new FWS import permit application form asks the applicant: "9. a. Do you have any information regarding the population status or trend on the species hunted?"

**Conservation Force
Operator Enhancement Questionnaire**

v.5/8/18

Type	Total number of this type	Number of this type who are local/from nearby communities
Professional Hunters	26	6
Trackers	28	20
Anti-poaching scouts/rangers	2	2
Other anti-poaching		
Cooks/housekeepers	12	10
Community coordinators		
Managers	6	5
Biologists		
Others: _____		

7. Number of staff employed in the off-season: 74

a. Please identify the number and type of staff that are engaged when the season is not open:

Type	Total number of this type	Number of locals/from nearby communities	When employed?
Anti-poaching staff	2	2	
Managers	1	1	
Maintenance	2	2	
Road opening/construction			
Community relations personnel			
Others: _____			

8. Anti-poaching efforts:²

- a. Number of anti-poaching staff: 2
- b. Are anti-poaching patrols conducted during the hunting season, off-season, or both? Yes
- c. Months during which anti-poaching patrols are conducted: January - December
- d. Number of anti-poaching patrols per month: Daily
- e. Description of anti-poaching equipment, vehicles, etc.: 2 Vehicles, Quad Bike, Kabota side by side, 2 x Binoculars, 2 x Bicycle, 2 x fully equipped anti poaching scouts
- f. Who is responsible for organizing and coordinating anti-poaching from prevention through successful prosecution? Reserve management in conjunction with private land owners
- g. Do you offer rewards to poaching informants leading to arrest? ☒ Yes ☐ No
- h. Do you offer rewards to poaching informants upon conviction? ☒ Yes ☐ No
- i. Please describe the company's anti-poaching expenditures below. What currency are the expenditures given in? _____

² The new FWS import permit application asks for activities that provide a "conservation benefit" to the species. The FWS considers anti-poaching a "conservation benefit."

**Conservation Force
Operator Enhancement Questionnaire**

v.5/8/18

Type of expense	Spending 2015	Spending 2016	Spending 2017	Remarks
Salaries for anti-poaching scouts	R100 000	R120 000	R 60 000	Cost of scouts is becoming unbearable
Equipment	R10 000	R5 000	R 10 000	
Vehicles	R200 000	R15 000	R 15 000	
Petrol	R20 000	R 20 000	R 20 000	
Rewards paid				
Other: _____				
Other: _____				
TOTALS				

9. Anti-poaching results:

Category	2015	2016	2017	Remarks
Poaching incidents discovered	2	3		Caught 4 P
Elephant carcasses observed				
Lion carcasses observed				
Leopard carcasses observed				
Ivory poachers arrested				
Meat poachers arrested				
Other poachers arrested		4		Rhino Poachers
Poachers convicted		4		
Snares/gin traps collected				
Firearms confiscated		1		
Vehicles confiscated				
Bicycles confiscated				
Boats/nets confiscated				
Tusks recovered				
Other: _____				

10. Community investment:³

- Does the company contribute money or goods/services to local communities? ☒ Yes ☐ No
- If so, what percentage or amount is shared, how often, and why (e.g., required by law, required by contract, voluntary contribution)? Government employees are given carcasses from the hunting in Atherstone Reserve. We Further contribute food and drinks to the local police station on their information and sport days.
- Does the company provide game meat to nearby communities? ☒ Yes ☐ No
- If so, please estimate the amount and/or value of meat contributed in prior year and describe the meat contribution program: We provide to the local communities on a weekly basis to the value of \$200 per week.
- If the company performs problem animal activities for surrounding communities (e.g., patrolling fields during the harvest, chasing away problem animals, conducting PAC hunts or PAC killings), please describe. Numzaan Safaris is one of the firste outfitters that Nature conservation contacts if there is an problem animal to be delt within the area.

³ The new FWS import permit application asks for activities that provide a "conservation benefit" to the species. The FWS considers community investment a "conservation benefit."

**Conservation Force
Operator Enhancement Questionnaire**

v.5/8/18

- f. Please describe any consolation payments made to local residents damaged by game species. What currency are the expenditures reported in? Rand

Species	Damage caused	Consolation paid 2015	Consolation paid 2016	Consolation paid 2017
Elephant				
Lion				
Leopard				
Other				

- g. Please describe any community projects paid for by the company. What currency are the expenditures reported in? Rand

Type of project	Spending 2015	Spending 2016	Spending 2017	Remarks
Education (e.g., classrooms, offices)				
Education (e.g., school fees, supplies)				
Health (e.g., clinics, offices)				
Health (e.g., medical salaries, equipment)				
Community scout salaries				
Other community anti-poaching		R80 000		
Local government activities				
Water infrastructure improvements	R10 000	R15 000	R 25 000	
Sports teams funded	R5 000	R10 000	R 27 000	
Construction materials donated		R10 000	R10 000	
Other: <u>Meat</u>	R 20 000	R 30 000	R40 000	
Other: <u>Training</u>	R 5 000	R 10 000	R12 000	
TOTALS				

11. How many U.S. clients did the company have in the past three years, what percentage of the company's clients were from the U.S., and what fees did they pay?

	Hunting elephant	Hunting lion	Hunting leopard	Hunting all species
Number of U.S. clients	2015: 2016: 2017:	2015: 2016: 2017:	2015: 2016: 2017:	2015: 400 2016: 500 2017: 600
Percentage of U.S.	2015:	2015:	2015:	2015: 100%

**Conservation Force
Operator Enhancement Questionnaire**

v.5/8/18

clients	2016:	2016:	2016:	2016: 100%
	2017:	2017:	2017:	2017: 100%
Trophy fees paid by U.S. clients	2015:	2015:	2015:	2015: \$2'800 000
	2016:	2016:	2016:	2016: \$3'500 000
	2017:	2017:	2017:	2017: \$4'200 000

12. Approximate dollar amount and/or percentage of the company's revenue from U.S. clients:
100%

13. Other information: Please provide a narrative of other enhancement information about the operation, such as any special hunting policies (e.g., aging, size, resting areas), concession improvements (e.g., digging and maintaining boreholes, restocking), management activities, research, etc. Please see attached document

14. I declare that the foregoing is true and correct.

Signature: _____

Name: _____ Title: _____

2.e

Are there any special obligations under the concession agreement such as annual reporting, poaching control, community investment, community employment, ect.? If so please explain

The Private Landowners which own 30% of the land within Atherstone Nature Reserve. Therefore they are also responsible for the general upkeep and wellbeing of the percentage of animals within the reserve. From the yearly game counts the private land owners receive 30% of the game quota that is allocated either for hunting or live game sales.

The Private Land owners have assisted the reserve in equipping boreholes, drilling boreholes, diesel of anti poaching patrols as well as diesel for the pumping of water to dams, we have assisted with equipping the reserve with all new batteries for the 144km of electric fence line as well as the fencing material for the fence posts ect. The Private Landowners have a very healthy relationship with the reserve management and assist whenever they are asked.

The community has a very well run security cluster which the private land owners are members of and has assisted in the past to capture Rhino poachers. This was all run and executed by David Freeburn. All labour is sourced from the local community.

13

Other information: Please provide a narrative of other enhancement information about the operation, such as any special hunting policies (e.g., aging, size, resting areas), concessions improvements (e.g., digging and maintaining boreholes, restocking), management activities, research, etc.

The Private Landowners and Atherstone Nature Reserve have very strong working relationship. Atherstone runs the day to day management and the Private Landowners assist when they are needed. To date the private sector has equipped numerous boreholes as well as drilled for new boreholes.

The Private Landowners have equipped much needed pvc pipes to dams and reservoirs. They help with the maintenance of vehicles, heavy machinery as well a quad bikes. The continuous maintenance of the 87KM fence line have been funded by the private land owners. New batteries and energizers have been replaced to make sure that the fences are Elephant proof.

The Elephant management plan has been a collaboration with Biodiversity, Atherstone and the private landowners which has now been accepted by the Minister of Tourism, Edna Molewa and has been adopted by Atherstone. It is the intention of Atherstone Nature reserve with the help of the private landowners to implement the Elephant management plan. Within this management plan ages, sexes and herd structures are discussed in detail.

SOUTH AFRICAN PROFESSIONAL HUNTING REGISTER AND TROPHY EXPORT APPLICATION

Jurisdiction of Hunt: Commenced 22 / 09 / 2018.. Terminated 25 / 09 / 2018.
 Tick appropriate Province block where hunt took place (separate sheet for each Province):



A. LP N° 21300

KwaZulu-Natal (KZN)	Free State (FS)	Gauteng (GP)	Limpopo Province (LP) ☒	North West (NW)	Mpumalanga (MP)	Western Cape (WC)	Eastern Cape (EC)	Northern Cape (NC)
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POST PERMIT TO (Mark correct block with X)

PROFESSIONAL HUNTER

Name: DAVID FRIEDBERG

Business Address: PO BOX 71 DURBAN

0319

ID No: 8103225708087

PH Permit No: 20140187666

Date: 25/09/2018 Sign: [Signature]

CLIENT

Name: Matthew D. Dabell

Permanent Postal Address: Starc Ct, Holland, NL 15A

Code: 00

Passport: 00

Tel/Fax: 00

Date: 25/09/18 Sign: [Signature]

HUNTING OUTITTER

Name: STEVE SWANEPOEL

Business Address: PLOT 83 SPITSKOP LIMPOPO

ID No: 6602025011088

Tel No: 0828541280

HO Permit No: 2ALP1

Date: 25/09/2018 Sign: [Signature]

Farm Name Registration No.	Magisterial District	Name & Residential Address of Land Owner	Hunting Permit Certificate/Licence No.	Date of Hunt From To	Species Killed or Wounded	Number Killed or Wounded	Sex F M	Part of Trophy to be Exported
<u>Grootvlei K0160</u>	<u>Wanrhoeve</u>	<u>MA SWEENEY</u> <u>Wanrhoeve Battersdown</u> <u>NATURE RESERVE</u> <u>DURBAN</u> <u>0319</u>	<u>2342</u>	<u>24th 25th</u>	<u>1</u> <u>Red Kestrel</u>	<u>1</u>		<u>Tails +</u> <u>EARS +</u> <u>PAWEL</u>

Copies: Client Original

1st copy: Forward to Nature Conservation authority where hunt took place

Hunting Outfitter 2nd copy: Retain for a period of three years

Professional Hunter Last copy: Remains in book

Address to which trophies are to be sent:

Code

Country

Trophy Export Date (Estimate)



**Department of Interior
U.S. Fish and Wildlife Service
Federal Fish and Wildlife Permit Application Form**

U.S. Fish and Wildlife Service
Division of Management Authority
Branch of Permits, MS: IA
5275 Leesburg Pike
Falls Church, VA 22041-3803
1-800-358-2104 or 703-358-2104

Type of Activity

**IMPORT OF SPORT-HUNTED TROPHIES under Appendix I of
the Convention on International Trade in Endangered
Species (CITES) and/or U.S. Endangered Species Act (ESA)**

Complete Sections A or B, and C, D, and E of this application. U.S. address may be required in Section C, see instructions for details.
Instructions on how to make your application complete and help avoid unnecessary delays are attached.

Section A: Complete if applying as an individual

1.a. Last Name CUPPETILLI	1.b. First Name MARK	1.c. Middle Name/Initial VICTOR	1.d. Suffix
2. Date of Birth (mm/dd/yyyy) (b) (6)	3. Telephone Number	3.a. Alternate Telephone Number	4. E-mail address cindi.rulon@procargousa.com

Section B: Complete if applying on behalf of a business, corporation, public agency, Tribe, or institution

1.a. Name of business, agency, Tribe, or institution		1.b. Doing business as (DBA)	
2. Tax identification no.		3. Description of business, agency, Tribe, or institution	
4.a. Principal officer Last name	4.b. Principal officer First Name	4.c. Principal officer Middle name/initial	4.d. Suffix
5. Principal officer title		6. Primary contact name	
7.a. Business telephone number	7.b. Alternate telephone number	7.c. Business fax number	7.d. Business e-mail address

Section C: All applicants complete address information

1.a. Physical address (Street address; Apartment #, Suite #, or Room #; no P.O. Boxes) (b) (6)				
1.b. City NEW BRAUNFELS	1.c. State TEXAS	1.d. Zip code/Postal code (b) (6)	1.e. County/Province	1.f. Country U.S.A.
2.a. Mailing address (include if different than physical address; include name of contact person if applicable) (b) (6)				
2.b. City	2.c. State	2.d. Zip code/Postal code	2.e. County/Province	2.f. Country

Section D: All applicants MUST complete

1.	Attach the nonrefundable application processing fee in the form of a check or money order payable to the U.S. FISH AND WILDLIFE SERVICE in the amount of \$100 . Federal, Tribal, State, and local government agencies, and those acting on behalf of such agencies, are exempt from the processing fee--attach documentation of fee exempt status as outlined in instructions [50 CFR 13.11(d)].
2.	Certification: I hereby certify that I have read and am familiar with the regulations contained in Title 50 Part 13 of the Code of Federal Regulations and the other applicable parts in subchapter B of Chapter I of Title 50 , and I certify that the information submitted in this application for a permit is complete and accurate to the best of my knowledge and belief. I understand that any false statement herein may subject me to the criminal penalties of 18 U.S.C. 1001.
Signature of applicant/Principal Officer for permit (No photocopied or stamped signatures) Date of signature (mm/dd/yyyy) (b) (6) <i>any in fact</i> 04/08/2019	
Please continue to next page	

E. IMPORT OF SPORT-HUNTED TROPHIES (Appendix I of CITES and/or ESA)

Note 1: This form should be used to request authorization to import trophies of species listed on Appendix I of CITES and/or as threatened or endangered under the ESA (examples include elephants, lions, cheetahs, wood bison, and markhor).

PLEASE USE FORM 3-200-19 FOR SOUTHERN AFRICAN LEOPARD TROPHIES AND SOUTHERN WHITE RHINOCEROS TROPHIES TAKEN IN NAMIBIA.

Note 2: If you hold an import permit for a trophy that you did not use, please **return the unused original permit**. If you are requesting reissuance of a permit because you have taken a trophy, but were unable to import it prior to the expiration of the permit, please use the renewal form (3-200-52) and return your original permit with that form.

Note 3: Applications for species listed as endangered under the U.S. Endangered Species Act are published in the Federal Register for a 30-day public comment period. Please allow at least **90 days** for the application to be processed.

Note 4: *Sport-hunted trophy* is defined at 50 CFR 23.74 as follows: *Sport-hunted trophy* means a whole dead animal or a readily recognizable part or derivative of an animal specifically identified on the accompanying CITES document that meets the following criteria:

- Is raw, processed, or manufactured;
- Was legally obtained by the hunter through hunting for his or her personal use;
- Is being imported, exported, or re-exported by or on behalf of the hunter as part of the transfer from its country of origin ultimately to the hunter's country of usual residence; and
- Includes worked, manufactured, or handicraft items made from the sport-hunted animal only when:
 - i. Such items are contained in the same shipment as raw or tanned parts of the sport-hunted animal and are for the personal use of the hunter;
 - ii. The quantity of such items is no more than could reasonably be expected given the number of animals taken by the hunter as shown on the license or other documentation of the authorized hunt accompanying the shipment; and
 - iii. The accompanying CITES documents (export document and, if appropriate, import permit) contain a complete itemization and description of all items included in the shipment.

Note 5: Certain hunting trophies, including elephants, are subject to restrictions on their use after import into the United States. Please see 50 CFR 23.55 for more information or contact the Division of Management Authority.

Please provide the following information. Complete all questions on the application. Mark questions that are not applicable with "N/A". If needed, use a separate sheet of paper. On all attachments or separate sheets you are submitting; please indicate the application question number you are addressing. If applying for more than one trophy, be sure to answer questions 4-6 for each trophy addressed in this application. If importing trophies from more than one country, you must submit a separate application for each shipment in order to obtain separate import permits.

1. Name and address where you wish the permit to be mailed, if different from page 1. If you would like expedited shipping, please enclose a self-addressed, pre-paid, computer-generated, courier service airway bill. If unspecified, all documents will be mailed via the U.S. Postal Service.

PRO CARGO USA ATTN CINDI RULON SELF ADDRESSED FEDEX ENVELOPE
ENCLOSED
15619 MORALES ROAD HOUSTON, TEXAS 77032

2. Who should we contact if we have questions about the application (name, phone number, and e-mail)?

CINDI RULON PRO CARGO USA 281-220-3176 CINDI.RULON@PROCARGOUSA.COM

3. Disqualification factor. A conviction, or entry of a plea of guilty or nolo contendere, for a felony violation of the Lacey Act, the Migratory Bird Treaty Act, or the Bald and Golden Eagle Protection Act disqualifies any such person from receiving or exercising the privileges of a permit, unless such disqualification has been expressly waived by the Service Director in response to a written petition. (50 CFR 13.21(c)) Have you or any of the owners of the business, if applying as a business, been convicted, or entered a plea of guilty or nolo contendere, forfeited collateral, or are currently under charges for any violations of the laws mentioned above?

☒ No ☐ Yes

If you answered "Yes" to Question 3, provide: a) the individual's name; b) date of charge; c) charge(s); d) location of incident; e) court; and f) action taken for each violation. Please be aware that a "Yes" response does not automatically disqualify you from getting a permit.

4. For each trophy to be imported, provide:

a. Scientific name (genus, species, and, if applicable, subspecies) and common name.

LOXODONTA AFRICANA AFRICAN ELEPHANT

b. Sex (if known). MALE

5. ~~IF ANIMAL IS CURRENTLY LIVING IN THE WILD~~, please enter the following information:

a. Country and place (area, region, GIS coordinates, ranch, conservancy, management area, or hunting block, AND nearest city) where wildlife is to be taken from the wild:

b. Date wildlife is to be hunted:

c. Name of hunting outfitter, safari company, or professional hunter you will be hunting with:

6. IF THE TROPHY HAS ALREADY BEEN TAKEN, please enter the following information:

a. Country and place (area, region, GIS coordinates, ranch, conservancy, management area, or hunting block, AND nearest city) where wildlife was removed from the wild:

MUNYAMADZI GAME MANAGEMENT AREA IN THE NYAMPALA HUNTING BLOCK
GPS SOUTH 12.24148 EAST 31.76574

b. Date wildlife was hunted:

SEPTEMBER 8, 2018

- c. Name of hunting outfitter, safari company, or professional hunter you hunted with:

BAOBAB SAFARI PROFESSIONAL HUNTER AHMED PATEL

- d. The current location of the trophy (address and country) [the U.S. import permit will identify this country as the country of export/re-export and must match with the foreign export/re-export document]:

BRIDGE SHIPPING ZAMBIA PLOT 39375/M KABWE HIGHWAY ROAD NEW INDUSTRIAL AREA NDOLA
ZAMBIA

7. Please provide a copy of any applicable foreign government permits or licenses that were required to remove this animal from the wild (if you have not hunted yet and do not currently hold any such permits or licenses, please indicate so). ATTACHED

8. Complete name and address of overseas person or business shipping the trophy to you. If you are applying to import a trophy directly from **Namibia**, you must provide the name and address of the professional hunter listed on your Namibian hunting permit [this name will appear on the face of the export permit].

Name:

Business Name: BRIDGE SHIPPING
Address: PLOT 39375M KABWE HIGHWAY ROAD
City: NDOLA
State/Province: ZAMBIA
Country, Postal Code:

9. For species listed under the U.S. Endangered Species Act, please be aware that the U.S. Fish and Wildlife Service (Service) must make a finding that your activities will enhance or benefit wild populations of the species involved. While we will communicate with the range country for the species you will hunt or hunted when making the required findings, if you have any information that could support this finding, it would be helpful for our review if you could provide it. Please submit such information on a separate page with your application.

- a. Do you have any information regarding the population status or trend data on the species hunted?

SEE ATTACHED OPERATOR ENHANCEMENT QUESTIONNAIRE

- b. In order to hunt, you likely paid for licenses or trophy fees. What were those fees and do you have any information on how those funds were used by either the landowner, local community, or government?

SEE ATTACHED OPERATOR ENHANCEMENT QUESTIONNAIRE

- c. Do you have information on other funding activities that are being carried out, or were carried out, by the safari outfitter, professional hunter, concession holder, or landowner that provide a conservation benefit to the species being hunted/species hunted?

IN ADDITION TO THE ATTACHED OPERATOR ENHANCEMENT QUESTIONNAIRE, PLEASE SEE THE PICTURES SHOWING THE MEAT DISTRIBUTION OF THE ELEPHANT MORE THAN 200 VILLAGERS RECEIVED MEAT FROM THE HUNT AS WELL AS OTHER BENEFITS FROM THE HUNTING OPERATION.

CERTIFICATION STATEMENT (original signature must be provided for either 10 or 11 below)

10. If you are a broker or ~~taxidermist~~ applying on behalf of a U.S. hunter or ~~foreign national~~, provide documentation to show you have a Power of Attorney to act on your client's behalf and sign the following statement:

I acknowledge that the sport-hunted trophy/trophies to be imported has been/will be personally hunted by my client and is being imported only for my client's personal use (i.e., not for sale, transfer, donation, or exchange that is reasonably likely to result in economic use, gain, or benefit). If applying for the import of an elephant trophy, I understand that my client may import no more than two elephant trophies in one calendar year.

Taxidermist/Broker's signature

(b) (6)

very in fact

Date:

04/08/2019

11. If you are the hunter applying to import your own trophy, please read and sign the following statement:

I acknowledge that the sport-hunted trophy/trophies to be imported has been/will be personally hunted by me and is being imported only for my personal use (i.e., not for sale, transfer, donation, or exchange that is reasonably likely to result in economic use, gain, or benefit). If applying to import an elephant trophy, I understand that I may import no more than two elephant trophies in one calendar year.

Applicant's signature: _____ Date: _____

Be aware that there may be additional permitting or approval requirements by your local or state governments, as well as required by other Federal agencies or foreign governments to conduct your propose activity. While the Service will attempt to assist where possible, it is your responsibility to obtain such approval.

12. All international shipments must be through a designated port. A list of designated ports (where an inspector is posted) is available from the list of designated ports. If you wish to use a port not listed, please contact the Office of Law Enforcement for a Designated Port Exemption Permit (form 3-200-2).

Original

NPW FORM 2

0001589

S/No.....



REPUBLIC OF ZAMBIA
Zambia Wildlife Act, 2015
(No. 14 of 2015)

THE ZAMBIA WILDLIFE
(Licences and Fees) Regulations, 2007
(Regulations 3 (2))

HUNTING LICENCE - NON RESIDENT

Station of Issue..... Chilanga Date of Issue..... 23/08/2018

DETAILS OF LICENSEE

Full Name..... WALIC CUPPERELL NRC No./Passport No.....

Nationality..... Age.....

Tel/Mobile No..... E-mail.....

Full Name of Safari Company..... Bachob Safaris

Certificate of Incorporation No..... Date of Incorporation.....

Postal Address..... Lusaka Residential Address.....

Firearm Licence/Tourist Firearm Import No..... Calibre of Firearm.....

Type of Hunt.....

Any other relevant information, which may be considered in support of this application (e.g. previous hunting licences held or previous hunting experience).

The above named Safari Hunting Outfitter and its bona fide Clients are authorised by this licence under the guidance of the Professional Hunter to hunt the following Game and/or protected animals within the Game Management Area or hunting block specified hereunder and for the specified period and upon payment of the fees specified below:

Species of Animals	Number	Sex	Hunting Area	Hunting Period	Fees - The Kwacha equivalent of US\$
<u>Elephant</u>	<u>1st</u>	<u>M</u>	<u>Nyampala</u>	<u>2018</u>	<u>000128</u>
					<u>0002591</u>

Total Animals fees paid - the kwacha equivalent of

US\$.....

Concession Fees paid - the kwacha equivalent of

US\$.....

Grand Total, the kwacha equivalent of

US\$.....



Chairperson of the Committee

Note: Original copy to Licensee ***Duplicate to Accounts*** Triplicate to Commercial*** Quadruplicate to be retained in the book

ORIGINAL



NPW FORM 12

0001809

S/No.....

REPUBLIC OF ZAMBIA

The Zambia Wildlife Act

(No. 14 of 2015)

(Licences and Fees) Regulations, 2007

(Regulation 7(5))

RECORD OF GAME AND/OR PROTECTED ANIMALS KILLED OR WOUNDED

Name of Professional Hunter: ARNOLD PATEL NRC/Passport No.: 39 579240/111Residential Address: PO BOX 396 A. MANKENI RD LUSAKAName of Safari Company on behalf of which the Professional Hunter is submitting this return: KAOBAB SAFARIS

DETAILS OF CLIENT

Name of client: MARK CUPPETELLI NRC/Passport No.: (b) (6)Tel/Mobile No.: Nationality: (b) (6)

Postal Address: Residential Address:

Type of Licence: G.M.A. PERMIT Licence No.: 0001126Date of Issue: 27-08-18 Place of Issue: CITILANGAName of Hunting Block where hunting was conducted: NUNYATIAHunting period From: 01-09-18 To: 14-09-18

Date Killed or wounded	Species	Sex	Number killed	Number Wounded	GPS Location	Trophy for export		Foreign currency value charged to client
						Yes	No	
01-09-18	HIPPO	M	ONE	NIL	S11 H4263	E3220113		0001648
05-09-18	CROCODILE	M	ONE	NIL	S12 K8439	E3207345		0001649
08-09-18	ELPHANT	M	ONE	NIL	S12 24148	E3176524		0001589
10-09-18	2ND HIPPO	M	ONE	NIL	S12 68438	E3207345		0001571

DETAILS OF TROPHY MEASUREMENTS

Species	Length of Skin	Length of Tusk	Average length of horn	Length of Skull
HIPPO		24"		
CROCODILE	3.90 cm			
ELPHANT		R 179 cm L 174.5 cm	R 32.15 L 32.40	
HIPPO		25"		

Certification by -

(a) Client

I certify that the animals listed on this return were hunted or wounded by me during the period stated and that the total amount of foreign currency stated and paid by me to the safari company is the full amount I was charged.

(b) Professional Hunter

I certify that the animals listed in this return were actually hunted by the client in accordance with the terms and conditions of the Safari Hunting Licence and that the total foreign currency stated above is the full amount paid by the client for the hunt.

Date: 12-09-18
Date: 12-09-18
Date: 12-09-18

Signature of Client: (b) (6)
Signature of Professional Hunter: Arnold Patel
Name of Wildlife Police Officer: Robby Mwanasa
Employment No. of W.P.O.: C.R.B.
Signature of W.P.O.: (Signature)

Notes: Original copy to Committee ** Duplicate to Safari Company ** Triplicate to Commercial ** Quadruplicate to be retained in the book



WAITING FOR
MEAT DISTRIBUTION...





**Conservation Force
Operator Enhancement Questionnaire**

v.5/8/18

1. Name of area operator: **Baobab Safaris Limited**
 - a. Years in business: **35 Years**
 - b. Name of company conducting hunt: **Baobab Safaris Limited**
2. Name of concession: **Nyampala Hunting block (Munyamadzi G.M.A)** Size in km²: **2185**
 - a. Land tenure: **Government and community lease**
 - b. Length of concession agreement: **7 Years** Can it be renewed? **Yes**
 - c. Length of renewal, if applicable: **Unclear at this time**
 - d. Estimated total amount received in trophy fees last year, if applicable: **\$200,000.00**
 - e. Are there any special obligations under the concession agreement such as annual reporting, poaching control, community investment, community employment, etc.? If so, please explain:

Over and above the obligatory concession fees paid to the Government and Local Community, Baobab Safaris Limited spends an additional \$60,000.00 Annually for the below mentioned activities:

- Infrastructure Development pledge annually
- Resource Monitoring pledge annually
- Community development pledge annually
- Law enforcement pledge annually
- Fire management pledge annually
- Annual Evaluation of compliance by hunting outfitter

These funds where used for the following activities:

- Purchase a new Land Cruiser pick up for community use as well as Anti Poaching use.
- Two students from the community are currently in college studying Tourism funded directly by the safaris outfitter.
- Community is directly paying bursaries for 19 students from these funds.
- The construction of 12 brand new Scout houses, 6 at Kalimba and 6 at Mayembe to assist with Law Enforcement in those areas.
- Purchase of computer and printing equipment for Kanele wildlife headquarters in the area as while as Community resource board offices.
- Purchase of 4 shotguns for Anti poaching patrols.
- Funds were also used for delivery of 70% meat to all areas of the blocks.
- Repairing the NTUNTA-NABWALYA Road as access to the areas is completely restricted during the rainy season.

**Conservation Force
Operator Enhancement Questionnaire**

v.5/8/18

Airstrip cleaning crews	15	15 locals
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7. Number of staff employed in the off-season: **37**

a. Please identify the number and type of staff that are engaged when the season is not open:

Type	Total number of this type	Number of locals/from nearby communities	When employed?
Anti-poaching staff	31	31 locals employed by Community Resource Board	All year
Managers	Nil	-	-
Maintenance	4	4 locals	All year
Road opening/construction	Nil	-	-
Community relations personnel	2	2 locals	All year
Others: _____			

8. Anti-poaching efforts:²

- a. Number of anti-poaching staff: **63 community scouts Employed by Community Resource Board, supported by 2 hunting operators that operate the 2 hunting concessions within this Game management Area, also supported by Frankfurt Zoological Society and Department of National Parks and Wildlife.**
- b. Are anti-poaching patrols conducted during the hunting season, off-season, or both? **Both**
- c. Months during which anti-poaching patrols are conducted: **All year round**
- d. Number of anti-poaching patrols per month: **10 long patrols, 6 short patrols and 20 day patrols.**
- e. Description of anti-poaching equipment, vehicles, etc.: **Spotter planes (FZS), Vehicles, Rifles, camping equipment, communication equipment, bicycles, uniforms, boots and rain coats.**
- f. Who is responsible for organizing and coordinating anti-poaching from prevention through successful prosecution? **The Department of National Parks and Wildlife principle ranger based at Kanele headquarters.**
- g. Do you offer rewards to poaching informants leading to arrest? **Yes**
- h. Do you offer rewards to poaching informants upon conviction? **Yes**
- i. Please describe the company's anti-poaching expenditures below. What currency are the expenditures given in? **US\$**

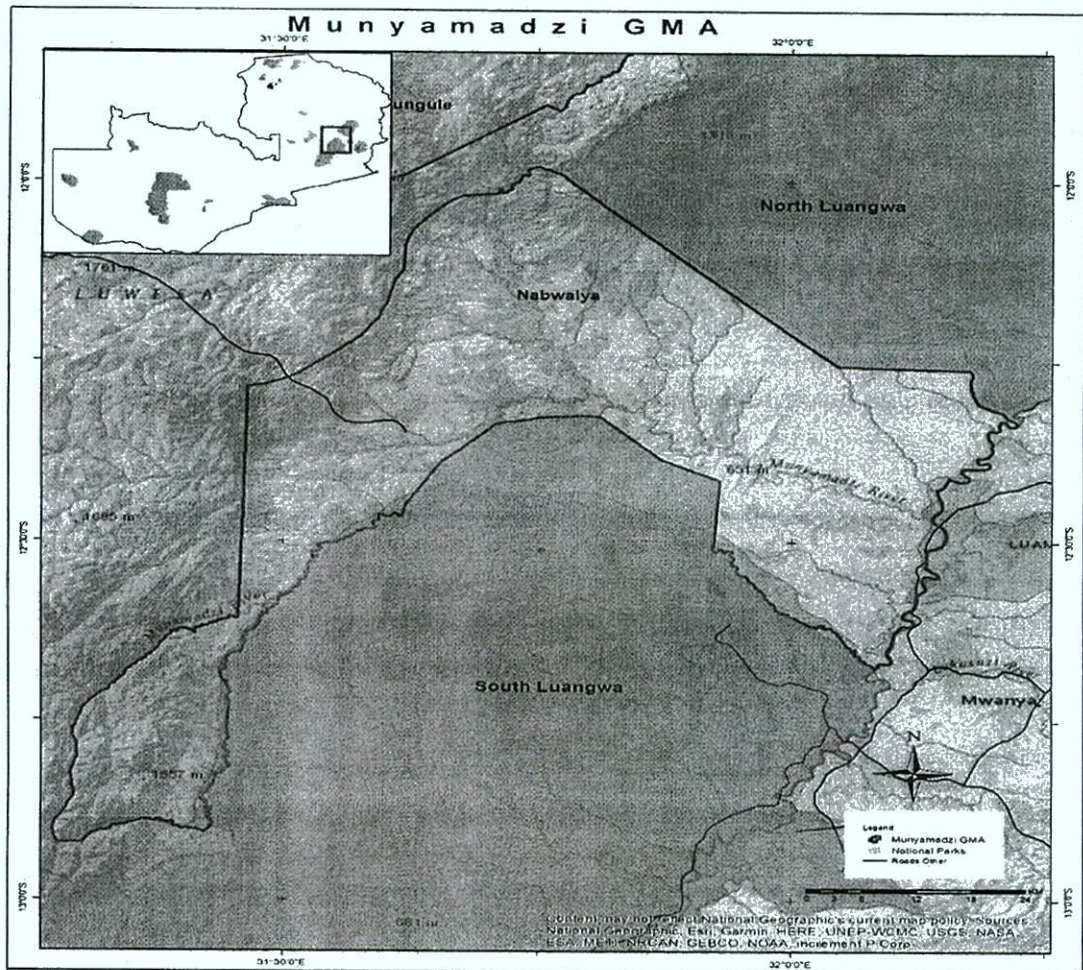
² The new FWS import permit application asks for activities that provide a "conservation benefit" to the species. The FWS considers anti-poaching a "conservation benefit."

Conservation Force
Operator Enhancement Questionnaire

v.5/8/18

- Building and refurbishing of schools, clinics, teachers houses, mothers shelters, a guest house, repairing and drilling of new boreholes.

f. Please provide the concession location and nearest city. Please attach a map or maps with the boundaries marked and showing the nearest city: **In Between Luambe, South Luangwa and North Luangwa**



- g. If the concession borders a national park, please provide the park name: **South Luangwa National Park, Luambe National Park and North Luangwa National Park.**
- h. Description of general and distinctive habitat features and any water features:
- The water features are: Luangwa River, Munyamadzi River, Mupamandzi River and Mutinondo River.**

Conservation Force
Operator Enhancement Questionnaire

v.5/8/18

The Habitat features are: Mopani Woodland, Semi Savanna, Miombo Woodlands and Riverine Bush.

3. Are there any communities living in the concession? **Yes**
 - a. If so, estimated number of people or villages in the concession? **14,220 under 105 Headmen and one chief.**
4. Are there communities bordering this concession? **Yes**
 - a. If so, estimated number of people or villages around the concession? **The bordering areas are also Game Management areas and they have their own conservation plans in place.**
5. Relevant game populations in the concession:¹

Species name	Relative Abundance (dense/avg./sparse)	Est. population	3-Year trend (up/down/ stable)	How monitored?
Elephant	Dense	± 3,000	Up	-Regular aerial patrols by Frankfurt Zoological Society and daily sighting by operator. - Patrol records from scouts
Lion	Dense	± 85	Up	-Camera trap footage; -Shared information with Zambia Carnivore Project, conservation South Luangwa, Frankfurt Zoological Society. -Daily sightings -Data recorded on patrols.
Leopard	Dense	± 120	Up	-Camera trap footage. - Shared information with Zambia Carnivore Project, Conservation South Luangwa,

¹ The new FWS import permit application form asks the applicant: "9. a. Do you have any information regarding the population status or trend on the species hunted?"

Conservation Force
Operator Enhancement Questionnaire

v.5/8/18

				Frankfurt Zoological Society -Daily Sighting -Data recorded on patrols
Prey species				
- Buffalo	Dense	± 2,500	Up	- Regular aerial patrols. - Data collected by scouts - Daily sightings by outfitter.
- Bushbuck	Dense	± 350	Up	
- Bushpig	Average	± 200	Up	
- Crocodile	Dense	± 1,000	Up	
- Duiker C	Average	± 100	Stable	
- Eland	Sparse	± 150	Stable	
- Grysbok	Average	± 80	Stable	
- Hartebeest	Sparse	± 80	Stable	
- Hippopotamus	Dense	± 5,000	Up	
- Hyena	Average	± 250	Up	
- Impala	Dense	± 8,000	Up	
- Klipspringer	Sparse	± 50	Stable	
- Kudu	Average	± 250	Stable	
- Puku	Average	± 1,000	Up	
- Reedbuck	Sparse	± 50	Stable	
- Roan Antelope	Sparse	± 150	Stable	
- Warthog	Dense	± 1,000	Up	
- Waterbuck C	Average	± 250	Up	
- Wildebeest C	Dense	± 1,250	Up	
- Zebra	Dense	± 3,000	Up	

6. Total employment number: 82

a. Please identify the number and type of all employees:

Type	Total number of this type	Number of this type who are local/from nearby communities
Professional Hunters	3	3 Zambian Citizens
Trackers	6	6 locals
Anti-poaching scouts/rangers	31	31 locals employed by Community Resource Board funded by hunting operations
Other anti-poaching	6	Wildlife Offices under D.N.P.W
Cooks/housekeepers	6	6 locals
Community coordinators	3	3 community
Managers	2	Not local
Biologists	2	Employed by the Department of National Parks and Wildlife
Others: Road cleaning crews	15	15 locals

Conservation Force
Operator Enhancement Questionnaire

v.5/8/18

Type of expense	Spending 2015	Spending 2016	Spending 2017	Remarks
Salaries for anti-poaching scouts	\$18,600.00	\$18,600.00	\$18,600.00	
Equipment	\$4,000.00	\$3,000.00	\$3,000.00	
Vehicles	-	\$25,000.00	\$25,000.00	
Petrol	\$4,000.00	\$4,000.00	\$4,000.00	
Rewards paid	\$3,500.00	\$3,250.00	\$3,750.00	
Other: Fire management	\$2,500.00	\$2,500.00	\$2,500.00	
Other: Infrastructure	\$10,000.00	\$10,000.00	\$10,000.00	
Community development	\$17,400.00	-	-	
Totals	\$60,000	\$66,350.00	\$66,850.00	

9. Anti-poaching results:

Category	2015	2016	2017	Remarks
Poaching incidents discovered	32	28	23	
Elephant carcasses observed	9	7	2	
Lion carcasses observed	4	2	-	
Leopard carcasses observed	-	-	1	
Ivory poachers arrested	3	1	-	
Meat poachers arrested	45	30	22	
Other poachers arrested	30	20	14	
Poachers convicted	31	29	27	
Snares/gin traps collected	150	60	24	
Firearms confiscated	26	20	14	
Vehicles confiscated	-	-	-	
Bicycles confiscated	18	12	6	
Boats/nets confiscated	24 nets	15 nets	12 nets	
Tusks recovered	8 pairs	5 pairs	2 Pairs	
Other: _____				

10. Community investment:³

- a. Does the company contribute money or goods/services to local communities? **Yes**
- b. If so, what percentage or amount is shared, how often, and why (e.g., required by law, required by contract, voluntary contribution)?
 - **As required by law and contract, 20% of concession fees goes directly to the community and 80% goes to the Department of National Parks and Wildlife.**

³ The new FWS import permit application asks for activities that provide a "conservation benefit" to the species. The FWS considers community investment a "conservation benefit."

Conservation Force
Operator Enhancement Questionnaire

v.5/8/18

- Over and above this, the company pays \$60,000.00 to the community/Department of National Parks and Wildlife for infrastructure development for law enforcement, resource protection, fire management and community development.
- Trophy fees are shared between the government and local community on a 50/50 share basis. 50% of the money goes direct to the community for community projects annually.
- Voluntary donations are also provided such as solar powered street lights to wildlife camps for security purposes.
- c. Does the company provide game meat to nearby communities? **Yes**
- d. If so, please estimate the amount and/or value of meat contributed in prior year and describe the meat contribution program: **Over 70% of meat was delivered free of any charges totalling approximately 33,990 kgs calculated at \$5 per kg equates to the value of \$169,950.00**
- e. If the company performs problem animal activities for surrounding communities (e.g., patrolling fields during the harvest, chasing away problem animals, conducting PAC hunts or PAC killings), please describe: **This is mainly done in the off season when crops are in the fields by the Department of National Parks and Wildlife, community scouts using funds provide by the safaris outfitter.**
 - Chilli fences are erected
 - Chilli bombs
 - Chilli blocks are made
 - Beehives are put around the crops.
 - Fireworks are procured and distributed to all Village Area Groups (VAGs).
 - PAC hunts are conducted if the outfitter is called upon to assist, but are usually done by D.N.P.W.
- f. Please describe any consolation payments made to local residents damaged by game species. What currency are the expenditures reported in? **Maize is bought and given to the local residents so that they can survive through the difficult dry season.**

Species	Damage caused	Consolation paid 2015	Consolation paid 2016	Consolation paid 2017
Elephant	Maize	450 x 50kg bags of maize grain provided for elephant damage as well as drought relief.	500 x 50kg bags of maize grain is provided for elephant damage as well as drought relief.	500 x 50kg bags of maize grain is provided for elephant damage as well as drought relief.
Lion	NIL	-	-	-
Leopard	Nil	-	-	-
Crocodile	6 people killed	2 people killed. Funeral expenses paid	2 people killed Funeral expenses paid	3 people killed Funeral expenses paid
Hippo	1 person killed	1 person killed	-	-

**Conservation Force
Operator Enhancement Questionnaire**

v.5/8/18

g. Please describe any community projects paid for by the company. What currency are the expenditures reported in? **Dollars**

Type of project	Spending 2015	Spending 2016	Spending 2017	Remarks
Education (e.g., classrooms, offices)	1 school built	1 school built	2 schools built	
Education (e.g., school fees, supplies)	Paying bursaries for 19 students in college and 2 in university	Paying bursaries for 19 students in college and 2 in university	Paying bursaries for 19 students in college and 2 in university	
Health (e.g., clinics, offices)	Providing fuel and maintenance for the ambulance	Providing fuel and maintenance for the ambulance	Providing fuel and maintenance for the ambulance	
Health (e.g., medical salaries, equipment)	-Construction of mothers shelter at local clinic - Purchase of medicines to the clinics when required.	- Construction of mothers shelter at local clinic - Purchase of medicines to the clinics when required	- Construction of mothers shelter at local clinic - Purchase of medicines to the clinics when required	
Community scout salaries	\$18,600.00	\$18,600.00	\$18,600.00	
Other community anti-poaching	Drama clubs funded and sensitisation of villagers to the benefits of conservation	Drama clubs funded and sensitisation of villagers to the benefits of conservation	Drama clubs funded and sensitisation of villagers to the benefits of conservation	
Local government activities	- Honey production training. - Conservation farming training. - Guest house construction. -Dugout canoes procured for crossing rivers in wet season. - Quarterly meetings.	-Quarterly meetings held. - Access Road clearing. - Conservation farming training. -Dugout canoes procured for crossing rivers in wet season.	-Quarterly meetings held. - Access Road clearing - Conservation farming training. - Guest house Construction. -Dugout canoes procured for crossing rivers in wet season.	
Water infrastructure improvements	Repairing 4 borehole pumps.	Drill 1 borehole at Chilima scout camp.	Drill 2 boreholes.	

**Conservation Force
Operator Enhancement Questionnaire**

v.5/8/18

Sports teams funded	Soccer clubs funded.	Soccer clubs funded	Soccer clubs funded.	
Construction materials donated	Cement, roofing sheets, paints and block making moulds provided.	Cement, roofing sheets, paints and block making moulds provided.	Cement, roofing sheets, paints and block making moulds provided.	
Other: _____	Subsistence allowance during court seasons for sustenance.	Subsistence allowance during court seasons	Subsistence allowance during court seasons	
Other: _____	-Salaries paid for 25 community Teachers. -Construction of a guest house for the community Resource Board income, the guest house has 30 beds.	Salaries paid for 25 community Teachers.	Salaries paid for 25 community Teachers.	
TOTALS				

11. How many U.S. clients did the company have in the past three years, what percentage of the company's clients were from the U.S., and what fees did they pay?

	Hunting elephant	Hunting lion	Hunting leopard	Hunting all species
Number of U.S. clients	2015: Nil 2016: 1 2017: Nil	2015: Nil 2016: 1 2017: 2	2015: 2 2016: 1 2017: 4	2015: 5 2016: 5 2017: 9
Percentage of U.S. clients	2015: Nil 2016: 100% 2017: Nil	2015: Nil 2016: 100% 2017: 100%	2015: 75% 2016: 25% 2017: 100%	2015: 45% 2016: 45% 2017: 85%
Trophy fees paid by U.S. clients	2015: Nil 2016: \$15,000.00 2017: Nil	2015: Nil 2016: \$15,000.00 2017: \$30,000.00	2015: \$14,000.00 2016: \$7,000.00 2017: \$28,000.00	2015: \$67,500.00 2016: \$67,500.00 2017: \$121,000.00

12. Approximate dollar amount and/or percentage of the company's revenue from U.S. clients:

65% Americans through the 3 year period.

\$350,000.00 in trophy fees alone for the 3 year period.

Conservation Force
Operator Enhancement Questionnaire

v.5/8/18

13. Other information: Please provide a narrative of other enhancement information about the operation, such as any special hunting policies (e.g., aging, size, resting areas), concession improvements (e.g., digging and maintaining boreholes, restocking), management activities, research, etc.
- Baobab Safaris Ltd has made it mandatory for all its professional hunters to take available online refresher training courses for aging predators to keep them abreast with international standards on aging Lions and Leopards.
 - The outfit has also been following an age based off take approach since the re-introduction of Lion and Leopard trophy hunting in Zambia, the company has cited 7 years as the benchmark for the minimum age of cats to be harvested. The company also insists that the professional hunters be very conservative when estimating ages of cats to be hunted, as a result many cats are passed up before the correct specimen that meets the criteria is found.
 - It is company policy to harvest mature males from different zones of the hunting block rather than from the same parts of the hunting areas.
 - From 2015 to date, 10 boreholes have been serviced and drilled to provide clean drinking water.
 - Construction is at the planning stages for another safari camp to be built in the area that is meant to be used for used for consumptive and non-consumptive tourism activities, as a means of Job Creation and Increased Revenue for all Stakeholders.
 - Sensitising community members particularly at grass root level of the benefits of Safari hunting and conservation.
 - Every year the community endeavours to select and train between 5 and 10 new wildlife scouts that are employed within the Munyamadzi Corridor and North Luangwa conservation project area that this G.M.A is part of.
 - Land use plans and general management plans are currently being finalised for the G.M.A, this is to reduce habitant loss, to avoid silting of the rivers, to reduce human - animal conflicts.
 - Zambia Carnivore programme and Frankfurt Zoological Society have got Lions, Wild dogs and Elephants collared that roam these areas and data sharing of sightings and pictures from camera traps as well as conventional cameras are shared by our professional hunters, this data sharing is proving to be useful to the success of these research projects.

14. I declare that the foregoing is true and correct.

Signature: (b) (6)

Name: RASHID NADDERA Title: DIRECTOR



John Rulon CHB

15619 Morales Rd. Houston, Texas 77032 281-220-3158

BELOW IS A POWER OF ATTORNEY FORM WHICH IS REQUIRED BY U.S. CUSTOMS, TO ALLOW US TO TRANSACT U.S. CUSTOMS CLEARANCE ON YOUR BEHALF.

U.S. Customs Services 141.32 C.R.

CUSTOMS POWER OF ATTORNEY

SS# (b) (6)

Cell Phone (b) (6)

Email address: (b) (6)

☒ Individual

KNOW ALL MEN BY THESE PRESENTS: That, x MARK VICTOR CUPPETILLI (Full Name of Person), residing at x (b) (6) NEW BRAUNFELS, TEXAS hereby constitutes and appoints each of the following persons - John Rulon CHB

may act through any of its offices or any employees with Power of Attorney on file with this licensed broker as required by the Regional Commission of Customs as a true and lawful agent and attorney of the grantor named above for and in the name, place, stead of said grantor from this date and in all Customs Districts, and in no other name, to make, endorse, sign, declare, or swear to any entry, withdrawal, declaration, certificate, bill of lading, or other document required by law or regulation in connection with the importation, transportation, or exportation of any merchandise shipped or consigned by or to said grantor; to perform any act or condition which may be required by law or regulation in connection with such merchandise; to receive any merchandise deliverable to said grantor: To make endorsements on bills of lading conferring authority to transfer title, make entry or collect drawback, and to make, sign, declare, or swear to any statement, supplemental statement, schedule, supplemental schedule, certificate of delivery, certificate of manufacture, certificate, and delivery abstract of manufacturing records, declaration of proprietor on drawback entry, declaration of exporter on drawback entry, or any other affidavit or document which may be required by law or regulation for drawback purposes, regardless of whether such bill of lading, sworn statement, schedule, certificate, abstract, declaration, or other affidavit or document is intended for filing in any customs district. To sign, seal, and deliver for and as the act of said grantor any bond required by law or regulation in connection with the entry or withdrawal of imported merchandise or merchandise exported with or without benefit of drawback, or in connection with the entry, clearance, lading, unloading or navigation of any vessel or other means of conveyance owned or operated by said grantor, and any and all bonds which may be voluntarily given and accepted under applicable laws and regulations, consignee's and owners declarations provided for in section 485, Tariff Act of 1930, as amended, or affidavits in connection with the entry of merchandise: To sign and swear to any document, and to perform any act that may be necessary or required by law or regulation in connection with the entering, clearing, lading, unloading, or operation of any vessel or other means of conveyance owned or operated said grantor: To authorize other Customs Brokers to act as grantor's agent; to receive, endorse and collect checks issued for Customs duty refunds in grantors name drawn on the Treasurer of the United States; If the grantor is a nonresident of the United States, to accept service of process on behalf of the grantor. And generally to transact at the customhouses in said district any and all customs business, including making, signing, and filing of protests under section 514 of the Tariff Act of 1930. in which said grantor is or may or may be concerned or interested and which may properly be transacted or performed by an agent and attorney, giving to said agent attorney full power and authority to do anything whatever requisite and necessary to be done in the premises as fully as said grantor could do if present and acting, hereby ratifying and confirming all that the said agent and attorney shall lawfully do by virtue of these presents; the forgoing power of attorney to remain in full force and effect until notice of revocation in writing is duly given to and received by the District Director of customs of the district aforesaid. If the donor of this power of attorney is a partnership, the said power shall in no case have any force or effect after the expiration of 2 years from the date of its execution. Or until revoked. Pursuant to 19 CFR 111.36(a), election is made waiving the right to receive invoices, supporting documents and correspondences from John Rulon CHB, who is directed to forward all documents and inquiries concerning our imports to their customs brokerage services, to our freight forwarder, who will pay John Rulon CHB, for duties, and services performed on our behalf. It is understood the right to revoke said waiver is continuing.

Customs Rules on Discharge of Importers Liability for Duties

The U.S. Customs Service has issued a final amendment of the regulations to provide an alternative procedure for an Importer record to pay duties on imported merchandise through a licensed customhouse broker. Present rules provide for the payment of duties to Customs by a broker on behalf of the importer. Under the alternative procedure, the Importer may elect to submit to the broker a separate check or bank draft for the duties payable to the "U.S. Customs Service". The broker would then deliver the Importer's check or bank draft to Customs. The new rule also requires brokers to provide written notification to their clients, advising that if the client is an Importer of record, payment to the broker will not relieve the client of liability for Customs charges in the event that the charges are not paid by the broker. Brokers will be required to provide this notification to all active clients annually during the month of February. Additionally, brokers will be required to provide such information on a power of attorney executed on or after the effective date of the new rule. These amendments are effective as of September 27, 1982

IN WITNESS WHERE OF, the said (Print name) x MARK VICTOR CUPPETILLI
has caused these presents to be sealed and signed:

(Signature) x (b) (6)

((Date) x 8/16/18



CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES OF WILD FAUNA AND FLORA

IMPORT PERMIT

Page 1 of 2

1. Original Permit/Certificate No.

13US88593A/9

2. Valid

10/31/2013

3. Permittee (name and address, country):

CATHERINE HAMMOND RONDEAU

(b)(6)

LEONARD, M (b)(6)

U.S.A.

4. Consignee (name and address, country):

GERARD PASANISI SAFARI

P.O. BOX 21880

DAR ES SALAAM

TANZANIA

5. Special Conditions

MUST COMPLY WITH ATTACHED GENERAL PERMIT CONDITIONS.

SPECIMEN(S) MAY NOT BE SOLD OR TRANSFERRED FOR ANY FINANCIAL REMUNERATION.

SEE ATTACHED PAGE 2 FOR ADDITIONAL CONDITIONS.

THIS AMENDS AND REPLACES 12US88593A/9 ISSUED 11/01/2012.

5a. Purpose of Transaction

H

6. U.S. Management Authority

U.S. FISH AND WILDLIFE SERVICE

DIVISION OF MANAGEMENT AUTHORITY

4401 N. FAIRFAX DRIVE

ROOM 212

ARLINGTON, VA 22203-3247

May not be used for commercial purposes. For live animals, only valid if the transport conditions comply with the CITES Guidelines for Transport of Live Animals or, in the case of air transport, with IATA Live Animals Regulations.

09/12/2013

Issuing Date

U.S. GITES
Management Authority

United States Management Authority

AUTHORITY: Endangered Species Act of 1973 (16 USC 1531 et. seq.)

7/8. Common Name and Scientific name (genus and species) of Animal or Plant

9. Description of Part or Derivative, including identifying marks or numbers (age/sex if live)

10. Appendix No. and Source

A. Common Name
AFRICAN
ELEPHANTScientific Name
LOXODONTA
AFRICANA

9. IMPORT IMPORT PERSONAL SPORT HUNTED TROPHY (shipment may contain bones, hair, hide, tusks, teeth, or any taxidermied part. DOES NOT include worked, manufactured, or handicraft items such as curios, jewelry, or other utilitarian items.)

10. 1 W

11. Quantity (including units)
1 NO12. Country of Origin
TANZANIA

B. Common Name

LEOPARD

Scientific Name
PANTHERA
PARDUS

9. IMPORT PERSONAL SPORT HUNTED TROPHY (shipment may contain bones, claws, hide, skull, teeth, or any taxidermied part. DOES NOT include worked, manufactured, or handicraft items such as curios, jewelry, or other utilitarian items.)

10. 1 W

11. Quantity (including units)
1 NO12. Country of Origin
TANZANIA

C. Common Name

Scientific Name

9.

10.

11. Quantity (including units)

12. Country of Origin

D. Common Name

Scientific Name

9.

10.

11. Quantity (including units)

12. Country of Origin

E. Common Name

Scientific Name

9.

10.

11. Quantity (including units)

12. Country of Origin

513437

13US88593A/9

SPECIAL PERMIT CONDITIONS

for TANZANIAN LEOPARD AND ELEPHANT TROPHY IMPORT

MUST IMPORT THROUGH A DESIGNATED PORT AS LISTED IN CONDITION 10 OF GENERAL PERMIT CONDITIONS.

U.S. THREATENED SPECIES: TUSKS MUST BE MARKED AS PER [50 CFR 17.40(e)]. IN ACCORDANCE WITH THE AFRICAN ELEPHANT CONSERVATION ACT, RAW IVORY, INCLUDING SPORT-HUNTED TROPHIES THAT ARE WHOLLY OR PARTIALLY IVORY, MAY NOT BE RE-EXPORTED FROM THE U.S. ELEPHANT MUST NOT HAVE BEEN TAKEN FROM ANY MORATORIUM AREA INCLUDING LONGIDO CONTROLLED HUNTING AREA (CHA).

U.S. THREATENED SPECIES [50 CFR 17.40 (f)]. PERMITTEE MAY ONLY IMPORT TWO LEOPARD TROPHIES PER CALENDAR YEAR. EACH LEOPARD SKIN MUST HAVE A SELF-LOCKING TAG ATTACHED TO IT WHICH INDICATES THE STATE OF EXPORT, THE NUMBER OF THE SPECIMEN IN RELATION TO THE ANNUAL QUOTA, AND THE CALENDAR YEAR TO WHICH THE QUOTA APPLIES. THE EXPORT PERMIT (OR RE-EXPORT CERTIFICATE) MUST CONTAIN THE TAGGING INFORMATION AS OUTLINED ABOVE.

TROPHY MUST HAVE BEEN TAKEN DURING 2012 HUNTING SEASON.

09/12/2013

Date

U.S. CITES
Management Authority

Chief, Branch of Permits
Division of Management Authority



CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES OF WILD FAUNA AND FLORA

IMPORT PERMIT

Page 1 of 2

1. Original Permit/Certificate No.
14US88593A/9

2. Valid
09/16/2015

3. Permittee (name and address, country)

CATHERINE HAMMOND RONDEAU

(b)(6)
LEONARD, MI (b)(6)
U.S.A.

4. Consignee (name and address, country)

GERARD PASANISI SAFARI
P.O. BOX 21880
DAR ES SALAAM
TANZANIA

5. Special Conditions

MUST COMPLY WITH ATTACHED GENERAL PERMIT CONDITIONS.

SPECIMEN(S) MAY NOT BE SOLD OR TRANSFERRED FOR ANY FINANCIAL REMUNERATION.

SEE ATTACHED PAGE 2 FOR ADDITIONAL CONDITIONS.

THIS RE-ISSUES AND REPLACES 12US88593A/9 ISSUED
09/12/2013.

5a. Purpose of Transaction

H

6. U.S. Management Authority

U.S. FISH AND WILDLIFE SERVICE
DIVISION OF MANAGEMENT AUTHORITY
BRANCH OF PERMITS, MS: IA
5275 LEESBURG PIKE
FALLS CHURCH VA 22041-3803

-May not be used for commercial purposes. For live animals, only valid if the transport conditions comply with the CITES Guidelines for Transport of Live Animals or, in the case of air transport, with IATA Live Animals Regulations.

09/17/2014

Issuing Date

U.S. CITES
Management Authority

United States Management Authority

AUTHORITY: Endangered Species Act of 1973 (16 USC 1531 et. seq.)

7/8. Common Name and Scientific name (genus and species) of Animal or Plant	9. Description of Part or Derivative, including identifying marks or numbers (age/sex if live)	10. Appendix No. and Source
A. Common Name AFRICAN ELEPHANT Scientific Name LOXODONTA AFRICANA	9. IMPORT IMPORT PERSONAL SPORT HUNTED TROPHY (shipment may contain bones, hair, hide, tusks, teeth, or any taxidermied part. DOES NOT include worked, manufactured, or handicraft items such as curios, jewelry, or other utilitarian items.)	10. 1 W 11. Quantity (including units) 1 NO 12. Country of Origin TANZANIA
B. Common Name LEOPARD Scientific Name PANTHERA PARDUS	9. IMPORT PERSONAL SPORT HUNTED TROPHY (shipment may contain bones, claws, hide, skull, teeth, or any taxidermied part. DOES NOT include worked, manufactured, or handicraft items such as curios, jewelry, or other utilitarian items.)	10. 1 W 11. Quantity (including units) 1 NO 12. Country of Origin TANZANIA
C. Common Name [REDACTED] Scientific Name [REDACTED]	9. [REDACTED]	10. [REDACTED] 11. Quantity (including units) [REDACTED] 12. Country of Origin [REDACTED]
D. Common Name [REDACTED] Scientific Name [REDACTED]	9. [REDACTED]	10. [REDACTED] 11. Quantity (including units) [REDACTED] 12. Country of Origin [REDACTED]
E. Common Name [REDACTED] Scientific Name [REDACTED]	9. [REDACTED]	10. [REDACTED] 11. Quantity (including units) [REDACTED] 12. Country of Origin [REDACTED]

478614

14US88593A/9

SPECIAL PERMIT CONDITIONS

for TANZANIAN LEOPARD AND ELEPHANT TROPHY IMPORT

MUST IMPORT THROUGH A DESIGNATED PORT AS LISTED IN CONDITION 10 OF GENERAL PERMIT CONDITIONS.

U.S. THREATENED SPECIES: TUSKS MUST BE MARKED AS PER [50 CFR 17.40(e)]. IN ACCORDANCE WITH THE AFRICAN ELEPHANT CONSERVATION ACT, RAW IVORY, INCLUDING SPORT-HUNTED TROPHIES THAT ARE WHOLLY OR PARTIALLY IVORY, MAY NOT BE RE-EXPORTED FROM THE U.S. ELEPHANT MUST NOT HAVE BEEN TAKEN FROM ANY MORATORIUM AREA INCLUDING LONGIDO CONTROLLED HUNTING AREA (CHA).

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TROPHY MUST HAVE BEEN TAKEN DURING 2012 HUNTING SEASON.

09/17/2014
Date

U.S. CITES
Management Authority


Chief, Branch of Permits
Division of Management Authority

478619



Department of the Interior
U.S. Fish and Wildlife Service

Expires 11/30/2010
OMB No. 1018-0093

Federal Fish and Wildlife Permit Application Form

Return to: Division of Management Authority (DMA)
U.S. Fish and Wildlife Service
4401 N. Fairfax Drive, Room 212
Arlington, VA 22203
1-800-358-2104 or 703-358-2104

Type of Activity:
Import of Sport-hunted Trophies of
Southern African Leopard, African Elephant, and
Namibian Southern White Rhinoceros

Complete Sections A or B, and C, D, and E of this application. U.S. address may be required in Section C, see instructions for details.
See attached instruction pages for information on how to make your application complete and help avoid unnecessary delays.

A. Complete if applying as an individual				
1 a. Last name Rondeau	1 b. First name Catherine	1 c. Middle name or initial Hammond	1 d. Suffix Mrs	
2. Date of birth (mm/dd/yyyy) (b)(6)	3. Social Security No. (b)(6)	4. Occupation (b)(6)		
5. Affiliation/ Doing business as (see instructions) (b)(6)				
6. Telephone number (b)(6)				
6 b. Alternate telephone number (b)(6)				
6 c. Business fax number (b)(6)				
6 d. Business e-mail address (b)(6)				
B. Complete if applying on behalf of a business, corporation, public agency or institution				
1 a. Name of business, agency, or institution		1 b. Doing business as (dba)		
2. Tax identification no.		3. Description of business, agency, or institution		
4 a. Principal officer Last name		4 b. Principal officer First name	4 c. Principal officer Middle name/ initial	4 d. Suffix
5. Principal officer title		6. Primary contact		
7 a. Business telephone number	7 b. Alternate telephone number	7 c. Business fax number	7 d. Business e-mail address	
C. All applicants complete address information				
1 a. Physical address (Street address, Apartment #, Suite # -- P.O. Boxes) (b)(6)				
1 b. City Leonard	1 c. State MI	1 d. Zip code/Postal code (b)(6)	1 e. County/Province	1 f. Country USA
2 a. Mailing Address (include if different than physical address, include name) (b)(6)				
2 b. City Oakland	2 c. State MI	2 d. Zip code/Postal code (b)(6)	2 e. County/Province	2 f. Country USA
D. All applicants MUST complete				
1. Attach check or money order payable to the U.S. FISH AND WILDLIFE SERVICE in the amount of \$100. Federal, tribal, State, and local government agencies, and those acting on behalf of such agencies, are exempt from the processing fee -- attach documentation of fee exempt status as outlined in instructions. (50 CFR 13.11(d))				
2. Do you currently have or have you ever had any Federal Fish and Wildlife permits? 10US25986A19 Yes ☒ If yes, list the number of the most current permit you have held or that you are applying to renew/replace. I had and used No ☐ a permit in 2010 for an elephant + another in 2010 for a leopard.				
3. Certification: I hereby certify that I have read and am familiar with the regulations contained in Title 50, Part 13 of the Code of Federal Regulations and the other applicable parts in subchapter B of Chapter I of Title 50, and I certify that the information submitted in this application for a permit is complete and accurate to the best of my knowledge. (b)(6) in may subject me to the criminal penalties of 18 U.S.C. 1001.				
Signature (Print name) of applicant/person responsible for permit (No photocopied or stamped signatures)				Date of signature (mm/dd/yyyy) 9-12-2012

Please continue to next page

E. IMPORT OF SPORT-HUNTED TROPHIES OF SOUTHERN AFRICAN LEOPARD, AFRICAN ELEPHANT, AND NAMIBIAN SOUTHERN WHITE RHINOCEROS

Note 1: If you hold an import permit for a trophy/trophies that you did not use, ^{NO} please return the unused original permit. If you are requesting reissuance of a permit because you have taken a trophy, but were unable to import it prior to the expiration of the permit, ^{VNO} please use the renewal form, (3-200-52), [www.fws.gov/forms] and return your original permit with that form.

Note 2: The U.S. FWS has determined that a trophy consists of raw or tanned parts of a specimen taken by a hunter during a sport hunt for personal use. It may include the bones, claws, hair, head, hide, hooves, horns, meat, skull, teeth, tusks, or any taxidermied part, including, but not limited to, a rug or taxidermied head, shoulder, or full mount. It does not include articles made from a trophy, such as worked, manufactured, or handicraft items for use as clothing, curios, ornamentation, jewelry, or other utilitarian items. If you wish to import such products, please contact the Division of Management Authority for the proper application form. ^{I am requesting a new elephant a new leopard permit for use}

Please provide the following information. Complete all pages of the application. Mark questions that are not applicable with "N/A". If applying for more than one trophy, be sure to answer questions 2 and 3 for each trophy. If importing trophies from more than one country, you must submit a separate application for each shipment in order to obtain separate import permits.

1. ENTER the quantity next to the name of the species you are applying to import (you may apply to import specimens of more than one species provided they have been/are being hunted in the same country):

Leopard (*Panthera pardus*) Quantity: 1 (Limited to the import of two per calendar year)

African elephant (*Loxodonta africana*) Quantity: 1 (Import permit is not required for trophies harvested in Botswana, Namibia, South Africa, or Zimbabwe). **Note: once imported, ivory can not be re-exported.**

Southern white rhinoceros (*Ceratotherium simum simum*) Quantity: 0 (Import permit is not required for trophies harvested in South Africa)

2. **IF ANIMAL IS CURRENTLY LIVING IN THE WILD**, please enter the following:

a. Country and place (area, region, or ranch AND nearest city) where wildlife is to be taken from the wild:

Tanzania's Selous Reserve

b. Date wildlife is to be hunted:

Nov. 2 - 24, 2012

c. Description of the trophy and/or parts you intend to import (e.g., skin, skull, shoulder mount, life size mount, claws, horn):

Leopard = full body skin with claws, skull, teeth.
Elephant = 2 tusks, 2 ears, 4 feet, 1 trunk, tail with hair

3. **IF THE ANIMAL IS DEAD**, please enter the following:

a. Country and place (area, region, or ranch AND nearest city) where wildlife was removed from the wild (provide a map if possible):

b. Date wildlife was hunted:

c. Description and size of the trophy and/or parts (e.g., skin, skull, shoulder mount, life size mount, claws, horn):

d. The current location of the trophy (address and country):

4. **Complete name and address** of overseas person or business shipping the trophy to you (this name will appear on the face of the permit). If you are applying to import a trophy from Namibia, you **must** provide the name and address of the professional hunter listed on your Namibian hunting permit.

Name: Tanganyika Wildlife Safari

Address: Uhuru Street, Plot-38, near Buguruni Police Post
City/Country: P.O. Box 21880

Buguruni Kisiwani, Dar-es-Salaam, Tanzania, East Africa

5. Please be aware that the U.S. Fish and Wildlife Service must make a finding that your activities will enhance or benefit wild populations of the species involved. If you have any information that could support this finding (e.g., how the funds from license/trophy fees will be spent, what portion of the hunting fee will support conservation), please submit such information on a separate page with your application.

CERTIFICATION STATEMENT

6. If you are a broker or taxidermist applying on behalf of a foreign national, provide documentation to show you have a Power of Attorney to act on your client's behalf and sign the following statement (disregard no. 7).

I acknowledge that the sport-hunted trophy/trophies to be imported has been/will be personally hunted by my client and is being imported only for my client's personal use (i.e., **not for sale, transfer, donation, or exchange that is reasonably likely to result in economic use, gain, or benefit**). I understand that my client may only import two leopard trophies in one calendar year (if applicable). In addition, I have advised my client that raw ivory, once imported into the United States, cannot be re-exported.

Taxidermist/Broker's signature: _____ Date: _____

7. If you are the hunter applying to import your own trophy, please read and sign the following statement.

I acknowledge that the sport-hunted trophy/trophies to be imported has been/will be personally hunted by me and is being imported only for my personal use (i.e., **not for sale, transfer, donation, or exchange that is reasonably likely to result in economic use, gain, or benefit**). I understand that I may only import two leopard trophies in one calendar year (if applicable). In addition, I understand that raw ivory, once imported into the United States, cannot be re-exported.

Applicant's signature: (b)(6) Date: 9-12-12

8. Have you obtained all required local, Federal, or foreign government approval(s) to conduct the activity you propose? (Be aware that there may be other requirements necessary to conduct this activity such as a hunting license or collection permit.) Yes ☒ (provide a copy of the approval(s)) Have applied Not required ☐

9. Enter the U.S. port through which the [export/re-export/import] will occur. The outfitter in Tanzania is holding my hunting license on my behalf.
New York City
(All wildlife, including parts and products, must be declared to and inspected by a Wildlife Inspector from the U.S. Fish and Wildlife Service Office of Law Enforcement. A list of designated ports (where an inspector is posted) is available from <http://www.fws.gov/law/LOA/LOA.html>.)

10. Address where you wish permit mailed (if different than page 1):
(b)(6) Oakland, MI (b)(6), USA
(same as on page 1)

11. If you wish the permit to be sent to you by means other than regular mail, provide an air bill, pre-paid envelope, or billing information:

N/A

12. Who should we contact if we have questions about the application? (Include name, phone number, and email.)

Catherine Rondeau

(b)(6)

(b)(6)

(b) (6)

(b) (6)

9-12-12

Date

Pay to the
Order of

U.S. Fish + Wildlife Service

\$ 200⁰⁰

Two hundred ⁰⁰/₁₀₀

Dollars



Flagstar
Bank

Jackson, MI 48201
1-888-24VOICE

For elephant + leopard CITES

(b) (6)

(b) (6)



CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES OF WILD FAUNA AND FLORA

IMPORT PERMIT

Page 1 of 2

1. Original Permit/Certificate No.
12US88593A/92. Valid until
10/31/2013

3. Permittee (name and address, country)

CATHERINE HAMMOND RONDEAU

(b)(6)
LEONARD, M (b)(6)
U.S.A.

4. Consignee (name and address, country)

TANGYANIKA WILDLIFE SAFARI
UHURU STREET, PLOT 38
NEAR BUGURUNI POLICE POST, P.O. BOX 21880
BUGURUNI KISIWANI
DAR ES SALAAM
TANZANIA

5. Special Conditions

MUST COMPLY WITH ATTACHED GENERAL PERMIT CONDITIONS.

SPECIMEN(S) MAY NOT BE SOLD OR TRANSFERRED FOR ANY FINANCIAL
REMUNERATION

SEE ATTACHED PAGE 2 FOR ADDITIONAL CONDITIONS.

5a. Purpose of Transaction

H

6. U.S. Management Authority

U.S. FISH AND WILDLIFE SERVICE
DIVISION OF MANAGEMENT AUTHORITY
4401 N. FAIRFAX DRIVE
ROOM 212
ARLINGTON, VA 22203-3247May not be used for commercial purposes. For live animals, only valid
if the transport conditions comply with the CITES Guidelines for
Transport of Live Animals or, in the case of air transport, with IATA Live
Animals Regulations.

11/01/2012

Issuing Date

Management Authority

United States Management Authority

AUTHORITY: Endangered Species Act of 1973 (16 USC 1531 et seq.)

7/8. Common Name and Scientific name (genus and
species) of Animal or Plant9. Description of Part or Derivative, including identifying marks
or numbers (age/sex if live)10. Appendix No. and
Source

A. Common Name

AFRICAN
ELEPHANT

Scientific Name

LOXODONTA
AFRICANA9. IMPORT IMPORT PERSONAL SPORT HUNTED TROPHY
(shipment may contain bones, hair, hide, tusks, teeth, or
any taxidermied part. DOES NOT include worked,
manufactured, or handicraft items such as curios, jewelry, or
other utilitarian items.)

10. 1 W

11. Quantity (including units)

1

NO

12. Country of Origin
TANZANIA

B. Common Name

LEOPARD

Scientific Name

PANTHERA
PARDUS9. IMPORT PERSONAL SPORT HUNTED TROPHY
(shipment may contain bones, claws, hide, skull, teeth, or
any taxidermied part. DOES NOT include worked,
manufactured, or handicraft items such as curios, jewelry, or
other utilitarian items.)

10. 1 W

11. Quantity (including units)

1

NO

12. Country of Origin
TANZANIA

C. Common Name

Scientific Name

9.

10.

11. Quantity (including units)

12. Country of Origin

D. Common Name

Scientific Name

9.

10.

11. Quantity (including units)

12. Country of Origin

E. Common Name

Scientific Name

9.

10.

11. Quantity (including units)

12. Country of Origin

371235

USDS 11/1/12 GS

12US88593A/9

SPECIAL PERMIT CONDITIONS

for TANZANIAN LEOPARD AND ELEPHANT TROPHY IMPORT

MUST IMPORT THROUGH A DESIGNATED PORT AS LISTED IN CONDITION 10 OF GENERAL PERMIT CONDITIONS.

U.S. THREATENED SPECIES: TUSKS MUST BE MARKED AS PER [50 CFR 17.40(e)]. IN ACCORDANCE WITH THE AFRICAN ELEPHANT CONSERVATION ACT, RAW IVORY, INCLUDING SPORT-HUNTED TROPHIES THAT ARE WHOLLY OR PARTIALLY IVORY, MAY NOT BE RE-EXPORTED FROM THE U.S. ELEPHANT MUST NOT HAVE BEEN TAKEN FROM ANY MORATORIUM AREA INCLUDING LONGIDO CONTROLLED HUNTING AREA (CHA).

U.S. THREATENED SPECIES [50 CFR 17.40 (f)]. PERMITTEE MAY ONLY IMPORT TWO LEOPARD TROPHIES PER CALENDAR YEAR. EACH LEOPARD SKIN MUST HAVE A SELF-LOCKING TAG ATTACHED TO IT WHICH INDICATES THE STATE OF EXPORT, THE NUMBER OF THE SPECIMEN IN RELATION TO THE ANNUAL QUOTA, AND THE CALENDAR YEAR TO WHICH THE QUOTA APPLIES. THE EXPORT PERMIT (OR RE-EXPORT CERTIFICATE) MUST CONTAIN THE TAGGING INFORMATION AS OUTLINED ABOVE.

TROPHY MUST HAVE BEEN TAKEN DURING 2012 HUNTING SEASON.

11/01/2012

Date

 **U.S. OITES
Management Authority**

**Chief, Branch of Permits
Division of Management Authority**



United States Department of the Interior
FISH AND WILDLIFE SERVICES
Washington, D.C. 20240



FL-1

June 6, 2013

Catherine Hammond Rondeau

(b)(6)

Leonard, MI

(b)(6)

Dear Ms. Hammond Rondeau:

On 04/12/2013, you were notified, and requested to provide additional information in regard to your application PRT- 88593A. In that request, you were informed that you needed to respond within 45 days or your application would be abandoned. Since we have not received the requested information, we have abandoned your application, and your application has been administratively closed.

If, in the future, you wish to pursue the activity for which you originally applied, you will need to submit a new application, along with any appropriate fees, to this office. Please be aware that in most cases, we will not "transfer" information from your old application to a new application. All relevant information would need to be supplied at the time a new application is submitted.

If we can be of assistance, you may contact our office at 703-358-2104 or fax 703-358-2281.

Sincerely,

Division of Management Authority
Branch of Permits



United States Department of the Interior

FISH AND WILDLIFE SERVICE
Washington, D.C. 20240



AUG 14 2012

MEMORANDUM

To: Chief, Division of Management Authority

From: Chief, Division of Scientific Authority *Rosemarie S. Green*

Subject: General Advice on Importation of Sport-hunted Trophies of African Elephants from Tanzania for the Calendar Year 2012

This responds to your request for a finding as required under the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES) on various applications for the importation of sport-hunted trophies of African elephants (*Loxodonta africana*) from the United Republic of Tanzania (Tanzania) for calendar year 2012.

Please be advised that, with the information currently available, we are able to find that the importation of sport-hunted trophies of African elephants from Tanzania will be for purposes that are not detrimental to the survival of the species. This General Advice applies only to sport-hunted trophies of African elephants that were lawfully taken in Tanzania during calendar year 2012 (i.e., January 1, 2012, through December 31, 2012), provided that they are to be imported by the persons who hunted them for personal use or personal display.

If new information becomes available during 2012 that suggests that this General Advice is no longer valid, it will be suspended and reconsidered by the Division of Scientific Authority. If, after reconsideration, the Division of Scientific Authority believes that the General Advice is no longer valid, we will issue a new General Advice or require that subsequent permit applications be considered on a case-by-case basis.

BASIS FOR ADVICE:

A significant amount of information relevant to this General Advice was made available at the Fifteenth Meeting of the CITES Conference of the Parties (CoP15), including Tanzania's proposal to transfer its population of African elephants from Appendix I to Appendix II (CoP15 Prop. 4 (Rev. 1)), in addition to the Report of the Panel of Experts on Tanzania's proposal (CoP15 Doc. 68, Annex 6a). Much of the information used in making the current finding was derived from these sources, as noted below.

In addition, since CoP15, a significant amount of new information relevant to this issue has become available, including:

TAKE PRIDE
IN AMERICA



United States Department of the Interior

FISH AND WILDLIFE SERVICE
WASHINGTON, D.C. 20240

ADDRESS ONLY THE DIRECTOR
FISH AND WILDLIFE SERVICE

JUN 10 1982

Memorandum

To: Chief, Federal Wildlife Permit Office
From: Chief, Office of the Scientific Authority
Subject: Importation of Leopard Trophies

The Scientific Authority advises the Management Authority that the importation of sport-hunted leopard (Panthera pardus) trophies taken in the following countries will be for purposes that are not detrimental to the survival of the species involved: Botswana, South Africa (Transvaal only), Tanzania, Zambia, and Zimbabwe. This advice applies to all leopard trophies lawfully taken in these countries on or after July 1, 1975, provided they are to be imported by the persons who hunted them for personal use or display.

Basis for Advice:

1. The factors that were considered in developing advice on leopard trophy imports are set forth in an attachment to our memorandum of March 25, 1982 (copy attached). Advice was developed in accordance with a resolution of the Parties on this subject (Conf. 2.11).
2. Each of the five countries mentioned above: (a) has laws under which the regulated sport-hunting of leopards is allowed, (b) limits the quantity, or spatial or seasonal distribution of the take of leopards, (c) bases these limits on the goal of managing leopards to sustain their populations, (d) maintains a licensing system for persons who take or process leopards (except in South Africa), and (e) implements a permitting system to regulate trade in accordance with CITES.
3. Our advice is based on a comprehensive review of the best available information on the status and management of the leopard in each of the 18 countries where it is classified as a Threatened species under the U.S. Endangered Species Act of 1973. We have information that sport-hunting of leopards is not permitted in 9 of these countries: Angola, Burundi, Gabon, Kenya, Lesotho, Malawi, Rwanda, Swaziland, and Uganda. We do not now have enough information to advise on Namibia. The available information indicates that it would not be appropriate to allow leopard trophy imports from Congo, Mozambique, or Zaire.

- 2 -

4. In the case of those countries not presently allowing the sport-hunting of leopards, we do not find it appropriate to advise in favor of importing specimens that were taken prior to a hunting ban. Circumstances that led to such bans were operating against the conservation of leopards in those countries. A detailed account of such circumstances in Kenya is provided by Patrick Hamilton's 1981 report to the Service on the status of the leopard and cheetah in that country.
5. We will continue to review information on the leopard, and may amend this advice as necessary. Please send us a copy of each permit issued under this advice, so that we can monitor the number of trophies imported from each country.

Richard L. Sachowski
for the Scientific Authority

Concur: Richard M. Smith
Associate Director-Research

6/13/82
Date

Attachment



United States Department of the Interior

FISH AND WILDLIFE SERVICE
Washington, D.C. 20240

In Reply Refer To:
FWS/AIA/OMA

Memorandum

MAY 6 1997

To: The File

From: Chief, Branch of Permits

Subject: Enhancement Finding for African Elephants Taken as Sport-hunted Trophies in Tanzania

The African elephant (Loxodonta africana) is listed as threatened under the U.S. Endangered Species Act with a special rule at 50 CFR 17.40(e). The special rule sets forth requirements for the import of sport-hunted trophies, including marking requirements for ivory. Under paragraph 17.40(e)(3)(iii)(C), the Service must make a finding that the killing of the elephant whose trophy is intended for import would enhance the survival of the species.

Based on available information, the OMA believes that Tanzania is effectively conserving and managing the elephant population throughout the country and finds that the import of sport-hunted elephant trophies from Tanzania enhances the survival of the species. This finding applies to all African elephant trophies lawfully taken in Tanzania, provided they are imported by the person who hunted them for personal use.

Basis for Enhancement Finding:

Population Status: Aerial surveys of elephant populations have been undertaken by the Tanzania Wildlife Conservation Monitoring (TWCM) unit at two- to three-year intervals to monitor population trends, distribution, and recovery. Ground survey techniques have also been incorporated. They involve scientists and field staff undertaking routine monitoring duties during law enforcement patrols to determine population trends, distribution, and age and sex ratios of key populations.

Tanzania has the largest elephant population of any range state. In the early 1970's, the population was estimated at 365,000 elephants. By 1991, a population survey conducted by TWCM showed the population had dropped to approximately 57,334 elephants. This drop was primarily a result of extensive poaching which occurred during the 1970's and 1980's. A secondary factor was a reduction in habitat due to expanding human populations. The current estimates available indicate the population in Tanzania ranges from a low of 87,842 to a high of about 100,000 elephants.

- Tanzania National Elephant Management Plan 2010-2015 (TAWIRI 2012);
- The Wildlife Conservation Act, 2009 (Government of the United Republic of Tanzania 2009a);
- The Wildlife Conservation Act, 2009 (Act Supplement No. 5; 20th March, 2009; Government of the United Republic of Tanzania 2009b);
- The Wildlife Conservation (Tourist Hunting) Regulations, 2010 (Subsidiary Legislation Supplement No. 25; 2nd July, 2010; Government of the United Republic of Tanzania 2010);
- Third African Elephant Meeting (1-3 November 2010; Gigiri, Kenya; various documents); and
- Documents from the 62nd Meeting of the CITES Standing Committee (Geneva 2012).

Much of the information used in making the current finding was derived from these sources, as noted below, as well as previously cited information (see AOSA106; dated July 23, 2010; [Division of Scientific Authority 2010]).

Conservation and Management

1. African elephants are widely distributed throughout Tanzania, covering 49% of the country's land area. About 37% of the elephant's range in that country is in protected areas (PA). This proportion of protected range is relatively high compared to other African elephant range countries (Blanc *et al.* 2007). These protected areas comprise 26% of the country's land area within six ecosystems throughout the country, including: Tarangire-Manyara, Serengeti, Selous-Mikumi, Ruaha-Rungwa, Katavi-Rukwa, and Moyowosi-Kigosi. The network of PAs includes 15 National Parks (NP) (4% of the country's land surface), Ngorongoro Conservation Area (1%), 28 Game Reserves (GR) (14%), and 33 Game Controlled Areas (GCA) and/or Wildlife Management Areas (WMA) (5%). Some of these areas were designated as recently as 2005 (CoP15 Doc. 68, Annex 6a [page 1]).

2. In Tanzania, the only consumptive use of African elephants is sport hunting [CoP15 Prop. 4 (Rev. 1)], which is covered by The Wildlife Conservation (Tourist Hunting) Regulations, 2010 (Government of the United Republic of Tanzania 2010). These regulations control hunting by concession area, season, minimum trophy sizes (18 kg and 160 cm per tusk), annual quotas, post-hunt reporting, trophy registration, marking, and export requirements [CoP15 Doc. 68 Annex 6a [page 11]; Part V, Regulation 24.-(5)(b)].

3. The trophy quota for African elephants is distributed among approximately 150 hunting concessions/blocks [Ministry of Natural Resources and Tourism (United Republic of Tanzania), in litt., 2008] with the number of trophy permits allotted to each concession determined by elephant survey information (Ministry of Natural Resources and Tourism, in litt., 2005).

4. According to the Ministry of Natural Resources and Tourism (in litt., 2008), 25% of the revenue accrued from the sport hunting of elephants goes to the conservation and protection of

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African elephants and other wildlife species through the Tanzania Wildlife Protection Fund (TWPF). In addition, 25% of the game fees received from hunters is given to the local communities in the areas where the sport hunting took place. Apart from being the source of revenue to local communities, sport hunting plays an important role in creating employment for the members of the local communities, for example, as trackers, skimmers, tent and mess attendants, and guards (Ministry of Natural Resources and Tourism, in litt., 2008). The Ministry of Natural Resources and Tourism (in litt., 2008) also reported that the sport hunting program assisted in curbing illegal harvesting and developing infrastructure such as roads, hospitals, and schools, as well as creating a market for local artwork.

5. The 1998 Wildlife Policy of the United Republic of Tanzania was revised in 2007 and provides guidelines for the management of African elephants through the development, review, and updating of specific management plans (CoP15 Doc. 68, Annex 6a [page 4]).

6. This past year, Tanzania published its country-level strategy and action plan, the "Tanzania National Elephant Management Plan 2010-2015." This plan provides updated information on several biological and ecological topics, including: distribution and range, abundance, population trends and demography, elephant corridors, and human-elephant conflicts. It identifies nine different strategic objectives, as well as numerous specific objectives and their associated targets, actions, timelines, actors, and indicators. The strategic objectives include: Human-Elephant Conflict, Elephant Corridors, Law Enforcement, Benefits and Sustainable Utilization, Ivory Stockpile and Management System, Research and Monitoring, Elephant Health and Welfare, Cross-border Cooperation, and Elephant Information Management (TAWIRI 2012).

Population Status and Trends

7. In 2006, the Tanzania Wildlife Research Institute [TAWIRI 2007 (cited in CoP15 Doc. 68, Annex 6a [page 1])] estimated the African elephant populations of Tanzania at $139,915 \pm 12,338$ (SE) animals based on census surveys covering 227,328 km² conducted using both total and sample counts. According to the Panel of Experts, this estimate was not significantly different from that of $111,475 \pm 18,728$ (95% CL) elephants estimated in 2000-2003. The Panel of Experts noted that the 2006 estimate did not include 2,873 additional elephants from areas not formally surveyed, which provided a country-wide "best estimate" of $142,788 \pm 12,405$ (SE) elephants in 2006 (CoP15 Doc. 68, Annex 6a [page 1]). In 2006, according to the African Elephant Status Report (Blanc *et al.*, 2007), the "definite" category estimate was 108,816 elephants, in addition to 27,937 "probable," 29,350 "possible," and 900 "speculative" category estimates.

8. In 2009, a similar survey covering 229,318 km² across the same six ecosystems produced a total population estimate of $105,439 \pm 6,080$ (SE) African elephants [TAWIRI 2010a (cited in CoP15 Doc. 68, Annex 6a)]. A "best estimate," which included an additional 3,583 elephants, provided a country-wide estimate of $109,022 \pm 6,135$ (SE) elephants in 2009. The Panel of

Experts noted that these results suggested a significant decline compared to the 2006 estimate of 142,788 elephants and that the decline could be attributed largely to a downward population trend recorded in the Selous-Mikumi ecosystem (CoP15 Doc. 68, Annex 6a [page 1]); however, Tanzania later clarified that methodological issues during the 2006 survey is believed to have resulted in an overestimate, and therefore, the two years' results cannot be compared due to different study techniques. Although a survey was also conducted in 2011, the final survey report is not yet available (SC62 Inf. 1).

9. Demographic parameters were calculated between 2009 – 2010 from 2,182 African elephants from six major elephant populations in Tarangire, Serengeti, Ruaha-Rungwa, Selous, Katavi-Rukwa, and Ugalla (TAWIRI 2012). This demographic survey of African elephants revealed that the proportion of the population < 5 years of age varied from 41% in Tarangire to 25% in Ugalla River Game Reserve, with values above 30% (Tarangire, Selous, Serengeti, and Ruaha-Rungwa). These results were indicative of good to high growth rates. The Panel of Experts, citing Foley and Faust (2010), further pointed out that Tarangire had a growth rate of > 6% and was one of the highest growth rates ever recorded for an African elephant population. It was noted that those populations with the proportion of their herds < 5 years of age below 30% (Katavi and Ugalla Game Reserve), which is indicative of low recruitment and growth rates, suggested one or more population stressors, such as higher infant mortality or increased stress associated with human-elephant conflict or illegal activity (i.e., poaching) (CoP15 Doc. 68, Annex 6a [pages 1-2]).

10. The Panel of Experts concluded that while estimates at that time suggested that the Tanzanian African elephant populations were stable or possibly decreasing, elephant populations still remained large (> 100,000 individuals) and were demographically healthy. The Panel of Experts noted that the populations of African elephants were geographically widespread across the country and occupied diverse ecosystems, and that a high proportion of the populations (> 80% individuals) occupied protected areas. In the overall opinion of the Panel of Experts, the African elephant populations in Tanzania were viable at the time of the analysis (CoP15 Doc. 68, Annex 6a [page 2]).

11. The Panel of Experts, however, raised concerns about the future mobility of the African elephant populations in Tanzania. They noted, for example, that associated human settlements were increasing in size and number around protected areas and were accompanied by increasing human-elephant conflicts. These settlements and the associated conflicts were probably the most important factors limiting the elephants' mobility and range. It was the opinion of the Panel of Experts that -- at the rates of habitat change and land conversion at the time -- the corridors that still remained in Tanzania would be converted to unsuitable habitat in less than 5 years (CoP15 Doc. 68, Annex 6a [page 4]).

12. According to Jones et al. (2009), Tanzania was working to minimize risks to African elephants, other wildlife, people, and property through improvements in spatial planning involving the identification, maintenance, and restoration of wildlife corridors. The "Tanzania

National Elephant Management Plan 2010-2015" lays out a strategic objective to restore lost corridors and to increase protection for corridors that are identified to still be in use (TAWIRI 2012).

Sustainability of Off-Take

13. In Tanzania, African elephant deaths occur as a result of several factors, including: 1) natural mortality; 2) trophy hunting; 3) problem animal control; and 4) poaching. In order to evaluate whether off-take from trophy hunting was sustainable, all losses to the African elephant population must be considered.

Legal Off-Take

14. Since 2007, the annual CITES Export Quota for the United Republic of Tanzania has been 400 tusks (sport-hunting quota of 200 elephants). During 2003-2006, the quota was 200 tusks from 100 individuals, while during 1997-2002 the quota was 100 tusks from 50 individuals. Tanzania, however, typically has not exported its full quota allotment in sport-hunted trophies or African elephant tusks. During 1997-2009, for example, annual tusk exports typically were about 40-45% of the allowed quantities and never exceeded the approved annual quota (UNEP-WCMC CITES Trade Database; available on the internet at: <http://www.unep-wcmc.org/citestrade>; accessed on 8 March 2010).

15. The Panel of Experts also assessed the sustainability of legal off-take from African elephant populations in Tanzania. Complete records on natural mortality for the entire country or on the killing of problem elephants were not available, but the Panel of Experts was able to estimate the level of such off-take by analyzing the data from the ivory store databases of Tanzania. Based on 21 years of data for that country, an average of 231 elephants died annually from natural mortality, while another 287 individuals died annually from elephant control measures (CoP15 Doc. 68, Annex 6a [page 5]).

16. Based a sport-hunting quota of 200 African elephants, as well as the estimates cited earlier for natural mortality and problem animal control in Tanzania, the Panel of Experts estimated that the overall legal off-take of African elephants from the several populations in that country was about 718 annually, which was 0.7% of the 2009 elephant population estimate of 109,022 individuals. Even if natural mortalities were considerably higher due, for example, to low carcass detection rates by observers in difficult terrain, the Panel of Experts believed that the legal off-take was still less than the annual population growth rate of 3-5% and, therefore, was sustainable (CoP15 Doc. 68, Annex 6a [page 14]).

17. The Panel of Experts also assessed whether the hunting of trophy-quality males in Tanzania was sustainable. According to an earlier analysis by Martin (1986), an annual harvest rate of 0.5-1.0% of the total African elephant population was sustainable. Based on the available population information, the Panel of Experts estimated that nationwide there was a potential off-take of 325 trophy-quality African elephant males (0.3% of the total population; CoP15 Doc. 68,

Annex 6a [page 14]). The Panel of Experts noted that this value of 0.3% was less than the value of 0.5-1% of total African elephant numbers and concluded that the hunting was sustainable.

Illegal Off-Take

18. According to the Panel of Experts, official poaching statistics provided by the Wildlife Division of Tanzania indicated 258 reported poaching incidents that were detected during 2005-2009, including 82 poaching incidents in 2009, the highest number poached annually during that time period. The Panel of Experts noted, however, that the total number of poaching incidents was likely underestimated given low African elephant carcass detection rates (CoP15 Doc. 68, Annex 6a [page 5]).

19. The Panel of Experts cited the following evidence that poaching has led to elephant population declines in the Selous-Mikumi ecosystem:

a) PIKE values collected at the Selous-Mikumi MIKE site have progressively increased between 2003 and 2009 (CITES Secretariat, 2010).

b) Joint Wildlife Division/Selous Rhino Project aerial observations and foot patrols recorded more than a threefold increase in encounter rates of recently dead elephant carcasses between 2007 and 2008 (TAWIRI, 2010b).

c) Tourism operators operating in the northern Selous reported to the Panel an increase in elephant (and other wildlife) poaching since 2007/8, including several incidents close to tourist camps.

d) A significant proportion of the large seizures of ivory made in Asia in 2006 have been traced by forensic DNA work to elephants killed in the Selous-Niassa area (Wasser et al., 2009).

Note: PIKE = Proportion of illegally killed elephants

20. The Panel of Experts also pointed to evidence that the Selous-Mikumi ecosystem was a "hotspot" for African elephant poaching. They observed that the ivory that had been collected by wildlife enforcement officials at Udzungwa National Park was from confiscations. According to these officials, the confiscations consisted of illegally-sourced ivory coming out of nearby Kilombero Game Controlled Area in the Selous-Mikumi ecosystem. In addition, the highest number of tusks confiscated by field-based Wildlife Division offices originated from Morogoro and Lindi, which are adjacent to the Selous-Mikumi ecosystem (CoP15 Doc. 68, Annex 6a [page 5]).

21. Given the factors discussed above, the Panel of Experts concluded that the level of off-take at that time was not sustainable in the Selous-Mikumi ecosystem, which represents about 40% of the total African elephant population in Tanzania. They also noted, however, that legal and

illegal off-take appeared to be sustainable in the five other elephant ecosystems where the populations were stable or increasing. Expressing concern about the potential for off-take levels in the Selous-Mikumi ecosystem to have a future negative impact on the African elephant population as a whole, the Panel of Experts stated:

"Whilst not unequivocally substantiated, the Selous-Mikumi situation described above could affect long-term population sustainability."
(CoP15 Doc. 68, Annex 6a [page 3])"

22. Based on a more recent analysis of MIKE data, the levels of illegal killing across the African elephants' range are of serious and increasing concern. Between 2009 and 2011, PIKE values remained high at the Selous-Mikumi MIKE site (SC62 Inf. 1). Moreover, a March 2012 IUCN African Elephant Specialist Group survey indicated that poaching over the last 12 months had increased in sites in Tanzania (SC62 Doc. 46.1 (Rev. 1)).

23. Another concern that the Panel of Experts raised, which relates to the commitment by the Tanzania to combat poaching, was the financial mechanism by which the Wildlife Division was funded. The Wildlife Division's revenue share is paid directly to the central Treasury, and the Treasury is then supposed to distribute the budgeted monies to the Wildlife Division. The Panel of Experts raised the concern that over a 3-year period (2007-2009), the Wildlife Division had received only 63% (USD 2,634,975 per year) of its approved budget from the central Treasury. The Panel noted that given these funding limitations, the Wildlife Division would not be able to meet its needs and obligations regarding the conservation, management, and protection of African elephants. The Panel of Experts also noted, however, that between 2005 and 2009, the Tanzania Wildlife Protection Fund contributed on average a total of USD 12,894,564 annually to the Wildlife Division. According to the Panel of Experts, these funds, when combined with the Treasury allocations, should have put the Wildlife Division in a "strong position" to meet its enforcement obligations, including containment of threats to elephants in the Selous-Mikumi ecosystem (CoP15 Doc. 68, Annex 6a [page 3]). Recent African elephant poaching levels in the Selous-Mikumi ecosystem, however, suggest that enforcement may have been inadequate.

24. The Panel of Experts also noted that the National Parks and the Ngorongoro Conservation Area Authorities of Tanzania were adequately funded because they generated and retained 100% of their revenue share through park and conservation area fees (CoP15 Doc. 68, Annex 6a [page 3]).

25. Although the Panel of Experts raised concerns over the illegal killing of elephants in the Selous-Mikumi ecosystem, they also acknowledged the efforts by authorities of Tanzania to combat the increases in poaching. For example, in July 2009, a series of planning meetings led to anti-poaching patrols that were joint and cooperative, and involved rangers and scouts from the Selous Game Reserve, the Udzungwa Mountains National Park, and the Mikumi National Park. In December 2009, for example, the commander of special police operations led an anti-poaching operation, code-named "Operation Butterfly," in the Selous Game Reserve. This operation led to the arrest of 70 poachers and the recovery of elephant and hippopotamus ivory

(Midala 2010, as cited in TAWIRI 2012). Noting these, as well as other anti-poaching efforts by the Tanzanian authorities, the Panel of Experts stated:

"There is a clear indication of concern by the authorities to minimize poaching of elephant and other wildlife species. The various efforts to deploy staff and execute special anti-poaching operations in various parts of the country, particularly in the vast Selous Game Reserve, are noteworthy."
(CoP15 Doc. 68, Annex 6a [page 14]).

26. The Director of Wildlife of Tanzania informed the Panel of Experts that regulations were being finalized to implement The Wildlife Conservation Act, 2009, which would reportedly increase revenue retention, increase penalties up to 30 years, and provide additional powers to law enforcement personnel (CoP15 Doc. 68, Annex 6a [page 11]). Copies of those laws and regulations were provided to the U.S. Fish and Wildlife Service (Service) at a February 17, 2011, meeting with Tanzanian officials.

27. The "Tanzania National Elephant Management Plan 2010-2015" is based on several new wildlife laws and implementing regulations (TAWIRI 2012): The Wildlife Conservation Act, 2009 (Government of the United Republic of Tanzania 2009a); The Wildlife Conservation Act, 2009 (Act Supplement No. 5; 20th March, 2009; Government of the United Republic of Tanzania 2009b); and The Wildlife Conservation (Tourist Hunting) Regulations, 2010 (Subsidiary Legislation Supplement No. 25; 2nd July, 2010; Government of the United Republic of Tanzania 2010). During the February 2011, meeting, the Service received copies of these measures, but it was not clear when they would be fully implemented. For example, the Subsidiary Legislation (Supplement No. 25; 2nd July, 2010) of The Wildlife Conservation (Tourist Hunting) Regulations, 2010, in Part V, 24.-(5)(b), directs that no person shall hunt an elephant with tusks weighing below 18 kg per tusk or measuring not less than 160 cm per tusk (Government of the United Republic of Tanzania 2010). In another example, the Act Supplement (No. 5; 20th March, 2009) of The Wildlife Conservation Act, 2009, in Part IV, calls for the protection of wildlife corridors, dispersal areas, buffer zones, and migratory routes (Government of the United Republic of Tanzania 2009b). Both of these measures are critically important to the conservation of the African elephant, but it is not clear when they will become operational and backed by the force of law in Tanzania. Until the new laws are fully implemented, these African elephant conservation and management measures, while improving, are still in a transitional phase.

Conclusion

28. With the information currently available, we believe that the status of the African elephant population in Tanzania and ongoing management efforts in that country are adequate to ensure that the sport hunting of African elephants, as administered by the Government of the United Republic of Tanzania, does not adversely affect the status of the species in Tanzania.

29. Therefore, for calendar year 2012, we find that the import of sport-hunted trophies of African elephants taken in Tanzania will be for purposes that are not detrimental to the survival of the species.

CONCERNS:

Although we are able to make the current non-detriment finding, we still have concerns about the future sustainability of the total levels of off-take from the African elephant populations in Tanzania. These concerns – in part – were also noted by the Panel of Experts (CoP15 Doc. 68, Annex 6a [pages 13-16]):

- A. Efforts have been made by Tanzania to combat poaching in the Selous-Mikumi ecosystem. We also acknowledge that additional resources are now available to promote the conservation status of the African elephant in that country, including: "Tanzania National Elephant Management Plan 2010-2015" (TAWIRI 2012); The Wildlife Conservation Act, 2009 (Government of the United Republic of Tanzania 2009a); The Wildlife Conservation Act, 2009 (Act Supplement No. 5; 20th March, 2009; Government of the United Republic of Tanzania 2009b); and The Wildlife Conservation (Tourist Hunting) Regulations, 2010 (Subsidiary Legislation Supplement No. 25; 2nd July, 2010; Government of the United Republic of Tanzania 2010). The Service is interested in receiving an update on whether the management and regulatory measures mentioned above have been fully implemented, and if not, the targeted time period for full implementation of these measures. In particular, we remain concerned about the availability of future resources to combat poaching in Tanzania, especially in the Selous-Mikumi ecosystem. In this regard, we are interested in receiving updated information on recent initiatives and their effectiveness in combating poaching in Tanzania, particularly in the Selous-Mikumi ecosystem.
- B. It is our understanding that the elephant population in the Selous ecosystem in Tanzania was surveyed in 2011 but that the results have not yet been available. We are interested in receiving these results as soon as they are available.
- C. We note that most of the 23 known elephant corridors in Tanzania are in poor condition (TAWIRI 2012). We recognize that the "Tanzania National Elephant Management Plan 2010-2015" includes a strategic framework for increasing protection for these corridors and for restoring lost corridors and that laws and regulations are available to protect these corridors. Given the importance of protecting these corridors from ongoing threats and the risks to the African elephant populations in the future as a result of human-elephant conflict in and around these areas, we are interested in receiving updated information on Tanzania's success to date in achieving its objectives related to the protection of African elephant corridors, as laid out in the "Tanzania National Elephant Management Plan 2010-2015" (TAWIRI 2012).

- D. Based on recent information obtained through the National Website of Tanzania, we understand that new procedures have been implemented regarding the allocation of tourist hunting blocks [see: Invitation For Applications For Tourist Hunting Blocks Allocation For The Period 2013 – 2018; (Made under Section 9 (2) of the Wildlife Conservation Act, Cap.283 (Tourist Hunting Regulations of 2010); <http://www.tanzania.go.tz/ministriesf.html>; accessed on March 9, 2011); Government of the United Republic of Tanzania 2011]. These changes deal primarily with the hunting block fees that will be charged by the government. We are interested in receiving information about these changes and how they could affect African elephant harvest quotas or off-take levels associated with the corresponding hunting blocks. Given the recent uncertainty about the total population sizes and trends of African elephant populations in the United Republic of Tanzania, especially in the Selous-Mikumi ecosystem, we will continue to monitor African elephant population survey results, as well as overall harvest quotas and off-take levels for this species in the United Republic of Tanzania.

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United States Department of the Interior

FISH AND WILDLIFE SERVICE
Washington, D.C. 20240

FEE -4

MEMORANDUM

To: Chief, Division of Management Authority
From: Chief, Division of Scientific Authority *Robert P. Galois*
Subject: General advice for permit renewals and amendments

This general advice supersedes the memorandum on "Scientific Authority review of permit amendments and renewals" issued by our office (then Office of Scientific Authority) on February 14, 1983.

The Division of Management Authority (DMA) receives a large number of requests for renewals and amendments of CITES import and export permits, as well as Certificates of Scientific Exchange (COSE), for which the Division of Scientific Authority (DSA) has previously issued non-detriment findings.

In the case of permits for which DMA has used a general advice from DSA, we have determined that no additional advice from DSA will be necessary provided that the renewal or amendment request still meets the conditions contained in the general advice.

In the case of permits for which DSA has issued permit-specific non-detriment findings, no additional advice from DSA will be needed provided that the renewal or amendment request involves activities affecting the species in question in a way that was contemplated in our original advice. However, additional DSA advice will be needed when:

- 1) there is a change in sender or recipient;
- 2) there is a change in purpose of the activity (e.g., from display to captive breeding purposes in the case of live animals; from research to commercial purposes in the case of tissue



Sport hunted trophy permit re-issuance application.....88593A

Braxton, Ailteas <ailteas_braxton@fws.gov>

Fri, Apr 12, 2013 at 12:40 PM

To: (b)(6)

Ms. Rondeau,

I am currently processing your application. Please forward the original copy of the permit to my attention as soon as possible.

I can not continue to process without this.

Thank you
Ailteas Braxton
Legal Examiner
U.S. Fish and Wildlife Services
Division of Management Authority
ailteas_braxton@fws.gov
703 358-1978 direct dial
703 358-2281 fax

If the information requested above is not received within 45 days, your application will be considered incomplete and will be administratively closed.



Department of the Interior
U.S. Fish and Wildlife Service

OMB No. 1018-0093
Expires 02/28/2014

Federal Fish and Wildlife Permit Application Form

Return to: U.S. Fish and Wildlife Service
(Enter address from pages 4 and 5 of application)

Type of Activity:
REISSUANCE, RENEWAL, OR AMENDMENT OF A PERMIT
(For this application, all permits, registrations, and certificates are referred to as a permit.)

Complete Sections A or B, C, D, and E of this application. U.S. address may be required in Section C, see instructions for details.
See attached instruction pages for information on how to make your application complete and help avoid unnecessary delays.

A. Complete if applying as an individual			
1. a. Last name Rondeau	1. b. First name Catherine	1. c. Middle name or initial Hammond	1. d. Suffix
2. Date of birth (mm/dd/yyyy) (b)(6)	3. Social Security No. (b)(6)	4. Occupation (b)(6)	5. Affiliation/ Doing business as (see instructions)

(b)(6)			
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B. Complete if applying on behalf of a business, corporation, public agency, tribe, or institution			
1. a. Name of business, agency, tribe, or institution		1. b. Doing business as (dba)	
2. Tax identification no.		3. Description of business, agency, tribe, or institution	
4. a. Principal officer Last name	4. b. Principal officer First name	4. c. Principal officer Middle name/ initial	4. d. Suffix
5. Principal officer title		6. Primary contact	
7. a. Business telephone number	7. b. Alternate telephone number	7. c. Business fax number	7. d. Business e-mail address

C. All applicants complete address information					
1. a. Physical address (Street address, Apartment #, Suite #, or Room #; no P.O. Boxes) (b)(6)					
1. b. City Leonard	1. c. State MI	1. d. (b)(6)	1. e. (b)(6)	1. f. Country USA	
2. a. Mailing Address (include if different than physical address, include name of contact person if applicable) Same					
2. b. City	2. c. State	2. d. Zip code/Postal code	2. e. County/Province	2. f. Country	

D. All applicants MUST complete	
1.	Attach check or money order payable to the U.S. FISH AND WILDLIFE SERVICE in the amount indicated on pages 6 and 7. Federal, tribal, State, and local government agencies, and those acting on behalf of such agencies, are exempt from the processing fee – attach documentation of fee exempt status as outlined in instructions. (50 CFR 13.11(d))
2.	Do you currently have or have you ever had any Federal Fish and Wildlife permits? attached Yes ☒ If yes, list the number of the most current permit you have held or that you are applying to renew/re-issue: 12US88593A/9 No ☐
3.	Certification: I hereby certify that I have read and am familiar with the regulations contained in Title 50, Part 13 of the Code of Federal Regulations and the other applicable parts in subchapter B of Chapter I of Title 50, and I certify that the information submitted in this application for a permit is complete and accurate to the best of my knowledge (b)(6) 3-22-2013 Signature (in blue ink) of applicant/person responsible for permit (No photocopied or stamped signatures) Date of signature (mm/dd/yyyy)

E. REISSUANCE, RENEWAL, OR AMENDMENT OF A PERMIT (For this application, all permits, registrations, and certificates are referred to as a permit.)

NOTE 1: Applications *must* be submitted to the office that issued the initial permit. This form cannot be used for lost or damaged permit. Lost or damaged permit must use form 3-200-66.

NOTE 2: If you are renewing your Designated Port Exemption permit, use form 3-200-2 (<http://www.fws.gov/forms/3-200-2.pdf>). If you are renewing your Import/Export license (required for commercial activities), use form 3-200-3 (<http://www.fws.gov/forms/3-200-3.pdf>) and submit to appropriate Office of Law Enforcement address.

NOTE 3: If you are renewing or amending a master file for multiple shipments or a COSE, use the appropriate form for proposed activity (form 3-200-29 for samples; 3-200-30 for circus/traveling exhibits; 3-200-33 for Artificially Propagated Plants; 3-200-39 for COSEs) (<http://www.fws.gov/forms/display.cfm?number1=200>).

1. **Permit number.** Enter the permit number to be reissued/renewed 12US88593A/9. The original permit **must** be submitted with this application.
2. **Past activities.**
 - a. Provide copies of all cleared documents and form 3-177 (FWS declaration of wildlife) associated with this permit.
 - b. Provide a summary detailing activities conducted under this permit, as well as a brief statement of why you are seeking reissuance/renewal.
3. **Annual Report.** If required by your permit, provide an annual report as conditioned. (Disregard if you have already submitted your annual report.)
4. **Captive-Bred Wildlife Registration (CBW):** If the location of activities has changed, attach a description and photographs or diagrams (no blueprints) of the current facilities. If a change in personnel (e.g., principal officer, curator, and primary animal care staff) has occurred, provide a brief description of their expertise in caring/handling of the species.
5. **Sport-hunted trophies:** If you did not hunt during the hunting season stated in your original application, you are not eligible for a renewal. Please submit a new application form.

6. **Certification.** Complete one of the statements below and supply any additional documentation requested:

a. For **NO CHANGES** to original application:

I certify that the information submitted in support of my original application for the permit indicated above has not changed and is still currently correct. I hereby request reissuance or renewal of this permit.

Permittee's signature: _____ Date: _____

b. For **CHANGES** to original application:

I certify that the information submitted in support of my original application for the permit indicated above is still currently correct EXCEPT for the changes noted on the attached, signed page(s). I hereby request re-issuance or renewal of this permit with the indicated changes.

[On an attached page(s), provide a complete description of any changes (e.g., change in principal officer, personnel, address, location of activities, port location.) Please sign each attached page. Also, please note that we may ask you to submit additional information.]

Permittee's signature: (b) (6) Date: 3-23-2013

7. Address where you wish permit mailed (if different than page 1): Same address

8. If you wish the permit to be sent to you by means other than regular mail, provide an air bill, pre-paid envelope, or billing information: n/a

9. Who should we contact if we have questions about the application? (Include name, phone number, and email):

Catherine Hammond Rondeau

(b)(6)

Catherine Rondeau

(b)(6)

March 23, 2013

RE: CITES permit # 12US88593A/9

Dear Sir or Madam,

Could you please reissue the attached CITES permit? I shot an elephant during the 2012 hunting season, and I am currently making arrangements to have it shipped from the hunting grounds to the United States.

I would like to change the consignee in box 4 to read:

Gerard Pasanisi Safari
PO Box 21880
Dar Es Salaam
Tanzania

I have enclosed the \$50 fee.

If you have any questions, please, please call or email me.

Thank you very much,

(b)(6)

Catherine Rondeau

CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES OF WILD FAUNA AND FLORA

IMPORT PERMIT

Page 1 of 2

Original Permit/Certificate No.
12U888593A/9Valid until
10/31/2013

3. Permittee (name and address, country)

CATHERINE HAMMOND RONDEAU

(b)(6)

LEONARD M.

U.S.A.

4. Consignee (name and address, country)

TANGYANIKA WILDLIFE SAFARI

UHURU STREET, PLOT 38

NEAR BUGURUNI POLICE POST, P.O. BOX 21880

BUGURUNI KISIWANI

DAR ES SALAAM

TANZANIA

5. Special Conditions

MUST COMPLY WITH ATTACHED GENERAL PERMIT CONDITIONS.

SPECIMEN(S) MAY NOT BE SOLD OR TRANSFERRED FOR ANY FINANCIAL REMUNERATION.

SEE ATTACHED PAGE 2 FOR ADDITIONAL CONDITIONS.

5a. Purpose of Transaction

H

6. U.S. Management Authority

U.S. FISH AND WILDLIFE SERVICE

DIVISION OF MANAGEMENT AUTHORITY

4401 N. FAIRFAX DRIVE

ROOM 212

ARLINGTON, VA 22203-3247

11/01/2012

Issuing Date

Management Authority

United States Management Authority

AUTHORITY: Endangered Species Act of 1973 (16 USC 1531 et. seq.)

May not be used for commercial purposes. For live animals, only valid if the transport conditions comply with the CITES Guidelines for Transport of Live Animals or, in the case of air transport, with IATA Live Animals Regulations.

7/5. Common Name and Scientific name (genus and species) of Animal or Plant

8. Description of Part or Derivative, including identifying marks or numbers (age/sex if live)

10. Appendix No. and Source

A. Common Name

AFRICAN

ELEPHANT

Scientific Name

LOXODONTA

AFRICANA

8. IMPORT IMPORT PERSONAL SPORT HUNTED TROPHY (shipment may contain bones, hair, hide, tusks, teeth, or any taxidermied part. DOES NOT include worked, manufactured, or handcraft items such as curios, jewelry, or other utilitarian items.)

10. 1 W

11. Quantity (including units)

NO

12. Country of Origin
TANZANIA

B. Common Name

LEOPARD

Scientific Name

PANTHERA

PARDUS

8. IMPORT PERSONAL SPORT HUNTED TROPHY (shipment may contain bones, claws, hide, skull, teeth, or any taxidermied part. DOES NOT include worked, manufactured, or handcraft items such as curios, jewelry, or other utilitarian items.)

10. 1 W

11. Quantity (including units)

NO

12. Country of Origin
TANZANIA

C. Common Name

Scientific Name

8.

10.

11. Quantity (including units)

12. Country of Origin

D. Common Name

Scientific Name

8.

10.

11. Quantity (including units)

12. Country of Origin

E. Common Name

Scientific Name

8.

10.

11. Quantity (including units)

12. Country of Origin



CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES OF WILD FAUNA AND FLORA

IMPORT PERMIT

Page 1 of 1

1. Original Permit/Certificate No.
13US88593A/9
2. Valid
10/31/2013

3. Permittee (name and address, country)

CATHERINE HAMMOND RONDEAU
(b)(6)
LEONARD, MI (b)(6)
U.S.A.

4. Consignee (name and address, country)

GERARD PASANISI SAFARI
P.O. BOX 21880
DAR ES SALAAM
TANZANIA

5. Special Conditions

MUST COMPLY WITH ATTACHED GENERAL PERMIT CONDITIONS.

SPECIMEN(S) MAY NOT BE SOLD OR TRANSFERRED FOR ANY FINANCIAL REMUNERATION.

SEE ATTACHED PAGE 2 FOR ADDITIONAL CONDITIONS.

THIS AMENDS AND REPLACES 12US88593A/9 ISSUED 11/01/2012.

5a. Purpose of Transaction

H

6. U.S. Management Authority

U.S. FISH AND WILDLIFE SERVICE
DIVISION OF MANAGEMENT AUTHORITY
4401 N. FAIRFAX DRIVE
ROOM 212
ARLINGTON, VA 22203-3247

-May not be used for commercial purposes. For live animals, only valid if the transport conditions comply with the CITES Guidelines for Transport of Live Animals or, in the case of air transport, with IATA Live Animals Regulations.

09/12/2013

Issuing Date

**U.S. CITES
Management Authority**

United States Management Authority

AUTHORITY: Endangered Species Act of 1973 (16 USC 1531 et. seq.)

7/B. Common Name and Scientific name (genus and species) of Animal or Plant

9. Description of Part or Derivative, including identifying marks or numbers (age/sex if live)

10. Appendix No. and Source

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ELEPHANT

Scientific Name

LOXODONTA
AFRICANA

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10. 1 W

11. Quantity (including units)
1 NO

12. Country of Origin
TANZANIA

B. Common Name

LEOPARD

Scientific Name

PANTHERA
PARDUS

9. IMPORT PERSONAL SPORT HUNTED TROPHY (shipment may contain bones, claws, hide, skull, teeth, or any taxidermied part. DOES NOT include worked, manufactured, or handicraft items such as curios, jewelry, or other utilitarian items.)

10. 1 W

11. Quantity (including units)
1 NO

12. Country of Origin
TANZANIA

C. Common Name

Scientific Name

9. [REDACTED]

10. [REDACTED]

11. Quantity (including units)

12. Country of Origin

D. Common Name

Scientific Name

9. [REDACTED]

10. [REDACTED]

11. Quantity (including units)

12. Country of Origin

E. Common Name

Scientific Name

9. [REDACTED]

10. [REDACTED]

11. Quantity (including units)

12. Country of Origin

513415



CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES OF WILD FAUNA AND FLORA

IMPORT PERMIT

Page 1 of 2

1. Original Permit/Certificate No.

12US88593A/9

2. Valid until

10/31/2013

3. Permittee (name and address, country)

CATHERINE HAMMOND RONDEAU

(b) (6)
LEONARD, MI (b) (6)
U.S.A.

4. Consignee (name and address, country)

TANGYANIKA WILDLIFE SAFARI
UHURU STREET, PLOT 38
NEAR BUGURUNI POLICE POST, P.O. BOX 21880
BUGURUNI KISIWANI
DAR ES SALAAM
TANZANIA

5. Special Conditions

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REMUNERATION

SEE ATTACHED PAGE 2 FOR ADDITIONAL CONDITIONS.

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H

6. U.S. Management Authority

U.S. FISH AND WILDLIFE SERVICE
DIVISION OF MANAGEMENT AUTHORITY
4401 N. FAIRFAX DRIVE
ROOM 212
ARLINGTON, VA 22203-3247*-May not be used for commercial purposes. For live animals, only valid
if the transport conditions comply with the CITES Guidelines for
Transport of Live Animals or, in the case of air transport, with IATA Live
Animals Regulations*

11/01/2012

Issuing Date

U.S. CITES
Management Authority

United States Management Authority

AUTHORITY: Endangered Species Act of 1973 (16 USC 1531 et. seq.)

7/B. Common Name and Scientific name (genus and
species) of Animal or Plant9. Description of Part or Derivative, including identifying marks
or numbers (age/sex if live)10. Appendix No. and
Source

A. Common Name

AFRICAN
ELEPHANTScientific Name
LOXODONTA
AFRICANA9. IMPORT IMPORT PERSONAL SPORT HUNTED TROPHY
(shipment may contain bones, hair, hide, tusks, teeth, or
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manufactured, or handicraft items such as curios, jewelry, or
other utilitarian items.)

10. 1 W

11. Quantity (including units)
1 NO12. Country of Origin
TANZANIA

B. Common Name

LEOPARD

Scientific Name
PANTHERA
PARDUS9. IMPORT PERSONAL SPORT HUNTED TROPHY
(shipment may contain bones, claws, hide, skull, teeth, or
any taxidermied part. DOES NOT include worked,
manufactured, or handicraft items such as curios, jewelry, or
other utilitarian items.)

10. 1 W

11. Quantity (including units)
1 NO12. Country of Origin
TANZANIA

C. Common Name

Scientific Name

9.

10.

11. Quantity (including units)

12. Country of Origin

D. Common Name

Scientific Name

9.

10.

11. Quantity (including units)

12. Country of Origin

E. Common Name

Scientific Name

9.

10.

11. Quantity (including units)

12. Country of Origin

371235

12US88593A/9

SPECIAL PERMIT CONDITIONS

for TANZANIAN LEOPARD AND ELEPHANT TROPHY IMPORT

MUST IMPORT THROUGH A DESIGNATED PORT AS LISTED IN CONDITION 10 OF GENERAL PERMIT CONDITIONS.

U.S. THREATENED SPECIES: TUSKS MUST BE MARKED AS PER [50 CFR 17.40(e)]. IN ACCORDANCE WITH THE AFRICAN ELEPHANT CONSERVATION ACT, RAW IVORY, INCLUDING SPORT-HUNTED TROPHIES THAT ARE WHOLLY OR PARTIALLY IVORY, MAY NOT BE RE-EXPORTED FROM THE U.S. ELEPHANT MUST NOT HAVE BEEN TAKEN FROM ANY MORATORIUM AREA INCLUDING LONGIDO CONTROLLED HUNTING AREA (CHA).

U.S. THREATENED SPECIES [50 CFR 17.40 (f)]. PERMITTEE MAY ONLY IMPORT TWO LEOPARD TROPHIES PER CALENDAR YEAR. EACH LEOPARD SKIN MUST HAVE A SELF-LOCKING TAG ATTACHED TO IT WHICH INDICATES THE STATE OF EXPORT, THE NUMBER OF THE SPECIMEN IN RELATION TO THE ANNUAL QUOTA, AND THE CALENDAR YEAR TO WHICH THE QUOTA APPLIES. THE EXPORT PERMIT (OR RE-EXPORT CERTIFICATE) MUST CONTAIN THE TAGGING INFORMATION AS OUTLINED ABOVE.

TROPHY MUST HAVE BEEN TAKEN DURING 2012 HUNTING SEASON.

11/01/2012

Date

**U.S. OITES
Management Authority**

Chief, Branch of Permits
Division of Management Authority

371236



8/16/13
L/m

Nichols, Shaunta <shaunta_nichols@fws.gov>

CITES permit

cathy rondeau <catherinehammond@outlook.com>

Tue, Aug 13, 2013 at 4:54 PM

To: "shaunta_nichols@fws.gov" <shaunta_nichols@fws.gov>, (b)(6)
(b)(6)

Hi Shaunta,

How are you? I am hoping you can help me out.

I received a CITES permit (No. 88593A) many months ago. In March, I sent an application to have it changed because a name was wrong on it. On April 1, I received a letter stating that you had received my application. On April 12, I received a request to turn in the original permit. This was surprising as nowhere on the website or in the instructions did it say I needed to turn in the original permit. I sent it in on April 13.

I called 800-358-2104 today to find out about the revised permit and was told that it has been abandoned. I checked with the nice man to see if my email, work address, and phone numbers are correct in your system, and they are. So, I was surprised to hear that my permit was abandoned with no further communication.

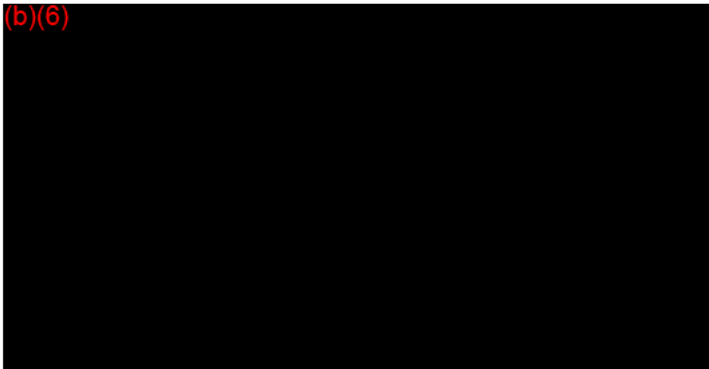
I left a message on Ailteas's voice mail, and I have not heard back from her.

Could you please help me get my revised permit? I really don't have the money to pay again, when I have already paid twice.

Thank you very much,

Cathy Hammond Rondeau

(b)(6)



RCVD JUL 17 2014

7-4-14

Dear Sir or Madame,

I am seeking to replace the attached CITES permit for the elephant I shot in 2012 because it is expired.

I had to replace this permit once already because the consignee's name did not match exactly on the CITES & the Tanzania export permit. By the time I got the attached replacement, all the other paperwork had expired.

Neither the first permit nor this one were used in any way. The elephant tusks etc are still in storage in Tanzania.

Thank you.

(b) (6)

RCVD JUL 17 2014

LB



Department of the Interior
U.S. Fish and Wildlife Service

OMB No. 1018-0093
Expires 05/31/2017

Federal Fish and Wildlife Permit Application Form

Return to: U.S. Fish and Wildlife Service
(Enter address from pages 4 and 5 of application)

Type of Activity:
REISSUANCE, RENEWAL, OR AMENDMENT OF A PERMIT
(For this application, all permits, registrations, and certificates are referred to as a permit.)

Complete Sections A or B, C, D, and E of this application. U.S. address may be required in Section C, see instructions for details.
See attached instruction pages for information on how to make your application complete and help avoid unnecessary delays.

A. Complete if applying as an individual			
1.a. Last name Rondeau	1.b. First name Catherine	1.c. Middle name or initial Hammond	1.d. Suffix Mrs.
2. Date of birth (mm/dd/yyyy) (b) (6)	3. Social Security No. (b) (6)	4. Occupation (b) (6)	5. Affiliation/ Doing business as (see instructions)
6.a. Telephone number (b) (6)	6.b. Alternate telephone number (b) (6)	6.c. Fax number (b) (6)	6.d. E-mail address (b) (6)

B. Complete if applying on behalf of a business, corporation, public agency, Tribe, or institution			
1.a. Name of business, agency, Tribe, or institution N/A		1.b. Doing business as (dba)	
2. Tax identification no.		3. Description of business, agency, Tribe, or institution	
4.a. Principal officer Last name	4.b. Principal officer First name	4.c. Principal officer Middle name/ initial	4.d. Suffix
5. Principal officer title		6. Primary contact name	
7.a. Business telephone number	7.b. Alternate telephone number	7.c. Business fax number	7.d. Business e-mail address

C. All applicants complete address information			
1.a. Physical address (Street address, Apartment #, Suite #, or Room #, no P.O. Boxes) (b) (6)			
1.b. City Leonard	1.c. State MI	1.d. Zip code/Postal code (b) (6)	1.e. Country USA
2.a. Mailing Address (include if different than physical address; include name of contact person if applicable) (b) (6)			
2.b. City Oakland	2.c. State MI	2.d. Zip code/Postal code (b) (6)	2.e. Country USA

D. All applicants MUST complete	
1. Attach check or money order payable to the U.S. FISH AND WILDLIFE SERVICE in the amount indicated on pages 6 and 7. Federal, Tribal, State, and local government agencies, and those acting on behalf of such agencies, are exempt from the processing fee - attach documentation of fee exempt status as outlined in instructions. (50 CFR 13.11(d))	
2. Do you currently have or have you ever had any Federal Fish and Wildlife permits? Yes ☒ If yes, list the number of the most current permit you have held or that you are applying to renew/re-issue: 12US88593A/9 No ☐	
3. Certification: I hereby certify that I have read and am familiar with the regulations contained in Title 50, Part 13 of the Code of Federal Regulations and the other applicable parts in subchapter B of Chapter I of Title 50, and I certify that the information submitted in this application for a permit is complete and accurate to the best of my knowledge and belief. I understand that providing false information is a violation of the criminal penalties of 18 U.S.C. 1001. (b) (6) Signature (in blue ink) of applicant/person responsible for permit (No photocopied or stamped signatures) Date of signature (mm/dd/yyyy) 7-4-2014	

E. REISSUANCE, RENEWAL, OR AMENDMENT OF A PERMIT (For this application, all permits, registrations, and certificates are referred to as a permit.)

NOTE 1: If you are renewing your Designated Port Exemption permit, use form 3-200-2 (<http://www.fws.gov/forms/3-200-2.pdf>) and submit to appropriate Office of Law Enforcement address. If you are renewing your Import/Export license (required for commercial activities), use form 3-200-3 (<http://www.fws.gov/forms/3-200-3.pdf>) and submit to appropriate Office of Law Enforcement address.

NOTE 2: This form **cannot** be used for lost or damaged permit. If you need to replace a lost or damaged permit, please use form 3-200-66, <http://www.fws.gov/international/pdf/permit-application-form-3-200-66-replacement-document.pdf>. The application **must** be submitted to the office that issued the initial permit. Lost or damaged permit

NOTE 3: Some activities, such as all master files for multiple shipments, Certificate of Scientific Exchange (COSE), circus/traveling exhibits, and artificially propagated plants, can only be re-issued, renewed, or amended by submitting a new application for permits for those activities. Please refer to <http://www.fws.gov/international/permits/by-form-number/index.html> to determine if another application form would be more appropriate or contact the Division of Management Authority for more information.

13US88593A/9

1. **Permit number.** Enter the permit number to be reissued/renewed/amend _____.
2. Submit the original permit with this application. ✓
3. **Past activities.**
 - a. Provide copies of all cleared documents and form 3-177 (FWS declaration of wildlife) associated with this permit.
 - b. Provide a summary detailing activities conducted under this permit, as well as a brief statement of why you are seeking reissuance/renewal. ✓
4. **Annual Report.** If required by your permit, provide an annual report as conditioned (Please disregard if you have already submitted your annual report.)
5. **Sport-hunted trophies:** If you did not hunt during the hunting season stated in your original application, you are not eligible for a renewal. Please submit a new application form.

6. **Certification** - Complete one of the statements below and supply any additional documentation requested: (original signature is required)

a. For **NO CHANGES** to original application:

I certify that the information submitted in support of my original application for the permit indicated above has not changed and is still currently correct. I hereby request reissuance or renewal of this permit.

Permittee's signature:

(b) (6)

Date:

7-4-14

b. For **CHANGES** to original application:

On an attached page(s), provide a complete description of any changes (e.g., change in principal officer, personnel, address, location of activities, types of activities). Please sign each attached page. Also note that we need to request additional information regarding the changes after reviewing your initial request.

I certify that the information submitted in support of my original application for the permit indicated above is still currently correct EXCEPT for the changes noted on the attached, signed page(s). I hereby request re-issuance or renewal of this permit with the indicated changes.

Permittee's signature: _____

Date: _____

7. All international shipment(s) must be through a designated port, unless otherwise authorized. A list of designated ports (where an inspector is posted) is available from <http://www.fws.gov/le/designated-ports.html>. If you wish to use a port not listed, please contact either the Office of Law Enforcement for a Designated Port Exemption Permit (form 3-200-2) or the Division of Management Authority.

8. Name and address where you wish the permit to be mailed, if different from page 1 (All permits will be mailed via the U.S. Postal Service, unless you identify an alternative means below):

N/A

9. If you wish the permit to be delivered by means other than USPS regular mail, provide an air bill, pre-paid envelope, or billing information. If you do not have a pre-paid envelope or air bill and wish to pay for a courier service with your credit card, please check the box below. Please DO NOT include credit card number or other information; you will be contacted for this information.

☐ If a permit is issued, please send it via a courier service to the address on page 1 or question 8. I understand that you will contact me for my credit card information once the application has been processed.

11. Who should we contact if we have questions about the application? (Include name, phone number, and email):

Catherine Hammond Rondeau

(b)(6)

12. **Disqualification Factor.** A conviction, or entry of a plea of guilty or nolo contendere, for a felony violation of the Lacey Act, the Migratory Bird Treaty Act, or the Bald and Golden Eagle Protection Act disqualifies any such person from receiving or exercising the privileges of a permit, unless such disqualification has been expressly waived by the Service Director in response to a written petition. [50 CFR 13.21(c)]. Have you or any of the owners of the business, if applying as a business, been convicted, or entered a plea of guilty or nolo contendere, forfeited collateral, or are currently under charges for any violations of the laws mentioned above?

☐ Yes ☒ No If you answered "Yes" provide: a) the individual's name, b) date of charge, c) charge(s), d) location of incident, e) court, and f) action taken for each violation.

(b) (6)



CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES OF WILD FAUNA AND FLORA

IMPORT PERMIT

Page 1 of 2

1. Original Permit/Certificate No.
13US88593A/92. Valid
10/31/2013

3. Permittee (name and address, country)

CATHERINE HAMMOND RONDEAU

(b)(6)
LEONARD, MI (b)(6)
U.S.A.

4. Consignee (name and address, country)

GERARD PASANISI SAFARI

P.O. BOX 21880
DAR ES SALAAM
TANZANIA

5. Special Conditions

MUST COMPLY WITH ATTACHED GENERAL PERMIT CONDITIONS.

SPECIMEN(S) MAY NOT BE SOLD OR TRANSFERRED FOR ANY FINANCIAL
REMUNERATION

SEE ATTACHED PAGE 2 FOR ADDITIONAL CONDITIONS.

THIS AMENDS AND REPLACES 12US88593A/9 ISSUED
11/01/2012.

5a. Purpose of Transaction

H

6. U.S. Management Authority

U.S. FISH AND WILDLIFE SERVICE
DIVISION OF MANAGEMENT AUTHORITY
4401 N. FAIRFAX DRIVE
ROOM 212
ARLINGTON, VA 22203-3247*-May not be used for commercial purposes. For live animals, only valid
if the transport conditions comply with the CITES Guidelines for
Transport of Live Animals or, in the case of air transport, with IATA Live
Animals Regulations.*

09/12/2013

Issuing Date

United States Management Authority

AUTHORITY: Endangered Species Act of 1973 (16 USC 1531 et. seq.)

7/8. Common Name and Scientific name (genus and
species) of Animal or Plant

A. Common Name

AFRICAN
ELEPHANTScientific Name
LOXODONTA
AFRICANA9. Description of Part or Derivative, including identifying marks
or numbers (age/sex if live)9. IMPORT IMPORT PERSONAL SPORT HUNTED TROPHY
(shipment may contain bones, hair, hide, tusks, teeth, or
any taxidermied part. DOES NOT include worked,
manufactured, or handicraft items such as curios, jewelry, or
other utilitarian items.)10. Appendix No. and
Source

10. 1 W

11. Quantity (including units)
1 NO12. Country of Origin
TANZANIA

B. Common Name

LEOPARD

Scientific Name
PANTHERA
PARDUS9. IMPORT PERSONAL SPORT HUNTED TROPHY
(shipment may contain bones, claws, hide, skull, teeth, or
any taxidermied part. DOES NOT include worked,
manufactured, or handicraft items such as curios, jewelry, or
other utilitarian items.)

10. 1 W

11. Quantity (including units)
1 NO12. Country of Origin
TANZANIA

C. Common Name

Scientific Name

9.

10.

11. Quantity (including units)

12. Country of Origin

D. Common Name

Scientific Name

9.

10.

11. Quantity (including units)

12. Country of Origin

E. Common Name

Scientific Name

9.

10.

11. Quantity (including units)

12. Country of Origin

513437

13US88593A/9

SPECIAL PERMIT CONDITIONS

for TANZANIAN LEOPARD AND ELEPHANT TROPHY IMPORT

MUST IMPORT THROUGH A DESIGNATED PORT AS LISTED IN CONDITION 10 OF GENERAL PERMIT CONDITIONS.

U.S. THREATENED SPECIES: TUSKS MUST BE MARKED AS PER [50 CFR 17.40(e)]. IN ACCORDANCE WITH THE AFRICAN ELEPHANT CONSERVATION ACT, RAW IVORY, INCLUDING SPORT-HUNTED TROPHIES THAT ARE WHOLLY OR PARTIALLY IVORY, MAY NOT BE RE-EXPORTED FROM THE U.S. ELEPHANT MUST NOT HAVE BEEN TAKEN FROM ANY MORATORIUM AREA INCLUDING LONGIDO CONTROLLED HUNTING AREA (CHA).

U.S. THREATENED SPECIES [50 CFR 17.40 (f)]. PERMITTEE MAY ONLY IMPORT TWO LEOPARD TROPHIES PER CALENDAR YEAR. EACH LEOPARD SKIN MUST HAVE A SELF-LOCKING TAG ATTACHED TO IT WHICH INDICATES THE STATE OF EXPORT, THE NUMBER OF THE SPECIMEN IN RELATION TO THE ANNUAL QUOTA, AND THE CALENDAR YEAR TO WHICH THE QUOTA APPLIES. THE EXPORT PERMIT (OR RE-EXPORT CERTIFICATE) MUST CONTAIN THE TAGGING INFORMATION AS OUTLINED ABOVE.

TROPHY MUST HAVE BEEN TAKEN DURING 2012 HUNTING SEASON.

09/12/2013

Date

**U.S. CITES
Management Authority**

**Chief, Branch of Permits
Division of Management Authority**

513416

RCVD JUL 17 2014

(b) (6)

Pay to the Order of US Fish + Wildlife 7-4-2014 Date

One hundred 00/100 \$ 100.00

Flagstar Bank
Rochester, NY 43000
1-888-841-0000

For (b) (6) Dollars  Security Features Details on Back

(b) (6)

RCVD JUL 17 2014

7-4-14

DEAR SIR OR Madame,

I am seeking to replace the attached CITES permit for the elephant I shot in 2012 because it is expired.

I had to replace this permit once already because the consignee's name did not match exactly on the CITES & the Tanzania export permit. By the time I got the attached replacement, all the other paperwork had expired.

Neither the first permit nor this one were used in any way. The elephant tusks etc are still in storage in Tanzania.

Thank you

(b) (6)



Department of the Interior
U.S. Fish and Wildlife Service

Federal Fish and Wildlife Permit Application Form

RCVD JUL 17 2014

LB

OMB No. 1018-0093
Expires 05/31/2017

Return to: U.S. Fish and Wildlife Service
(Enter address from pages 4 and 5 of application)

Type of Activity:
REISSUANCE, RENEWAL, OR AMENDMENT OF A PERMIT
(For this application, all permits, registrations, and certificates are referred to as a permit.)

Complete Sections A or B, C, D, and E of this application. U.S. address may be required in Section C, see instructions for details.
See attached instruction pages for information on how to make your application complete and help avoid unnecessary delays.

A. Complete if applying as an individual			
1.a. Last name Rondeau	1.b. First name Catherine	1.c. Middle name or initial Hammond	1.d. Suffix Mrs.
5. Affiliation/ Doing business as (see instructions)			
6.a. Telephone number	6.b. Alternate telephone number	6.c. Fax number	6.d. E-mail address

B. Complete if applying on behalf of a business, corporation, public agency, Tribe, or institution			
1.a. Name of business, agency, Tribe, or institution N/A		1.b. Doing business as (dba)	
2. Tax identification no.		3. Description of business, agency, Tribe, or institution	
4.a. Principal officer Last name	4.b. Principal officer First name	4.c. Principal officer Middle name/ initial	4.d. Suffix
5. Principal officer title		6. Primary contact name	
7.a. Business telephone number	7.b. Alternate telephone number	7.c. Business fax number	7.d. Business e-mail address

C. All applicants complete address information			
1.a. Physical address (Street address; Apartment #, Suite #, or Room #; no P.O. Boxes)			
1.b. City Leonard	1.c. State MI	1.d. Zip code/Postal code: (b)(6)	1.e. Country USA
2.a. Mailing Address (include if different than physical address; include name of contact person if applicable)			
2.b. City Oakland	2.c. State MI	2.d. Zip code/Postal code: (b)(6)	2.e. Country USA

D. All applicants MUST complete	
1. Attach check or money order payable to the U.S. FISH AND WILDLIFE SERVICE in the amount indicated on pages 6 and 7. Federal, Tribal, State, and local government agencies, and those acting on behalf of such agencies, are exempt from the processing fee -- attach documentation of fee exempt status as outlined in instructions. (50 CFR 13.11(d))	
2. Do you currently have or have you ever had any Federal Fish and Wildlife permits? Yes ☒ If yes, list the number of the most current permit you have held or that you are applying to renew/re-issue: 12US88593A/9 No ☐	
3. Certification: I hereby certify that I have read and am familiar with the regulations contained in Title 50, Part 13 of the Code of Federal Regulations and the other applicable parts in subchapter B of Chapter I of Title 50, and I certify that the information submitted in this application for a permit is complete and accurate to the best of my knowledge and belief. I understand that any false statement herein may subject me to the criminal penalties of 18 U.S.C. 1001. (b)(6) Signature (do not affix of applicant person responsible for permit. (No photocopied or stamped signatures) Date of signature (mm/dd/yyyy) 7-4-2014	

E. REISSUANCE, RENEWAL, OR AMENDMENT OF A PERMIT (For this application, all permits, registrations, and certificates are referred to as a permit.)

NOTE 1: If you are renewing your Designated Port Exemption permit, use form 3-200-2 (<http://www.fws.gov/forms/3-200-2.pdf>) and submit to appropriate Office of Law Enforcement address. If you are renewing your Import/Export license (required for commercial activities), use form 3-200-3 (<http://www.fws.gov/forms/3-200-3.pdf>) and submit to appropriate Office of Law Enforcement address.

NOTE 2: This form **cannot** be used for lost or damaged permit. If you need to replace a lost or damaged permit, please use form 3-200-66, <http://www.fws.gov/international/pdf/permit-application-form-3-200-66-replacement-document.pdf>. The application **must** be submitted to the office that issued the initial permit. Lost or damaged permit

NOTE 3: Some activities, such as all master files for multiple shipments, Certificate of Scientific Exchange (COSE), circus/traveling exhibits, and artificially propagated plants, can only be re-issued, renewed, or amended by submitting a new application for permits for those activities. Please refer to <http://www.fws.gov/international/permits/by-form-number/index.html> to determine if another application form would be more appropriate or contact the Division of Management Authority for more information.

13US88593A/9

1. **Permit number.** Enter the permit number to be reissued/renewed/amend ____.
2. Submit the original permit with this application. ✓
3. **Past activities.**
 - a. Provide copies of all cleared documents and form 3-177 (FWS declaration of wildlife) associated with this permit.
 - b. Provide a summary detailing activities conducted under this permit, as well as a brief statement of why you are seeking reissuance/renewal. ✓
4. **Annual Report.** If required by your permit, provide an annual report as conditioned (Please disregard if you have already submitted your annual report.)
5. **Sport-hunted trophies:** If you did not hunt during the hunting season stated in your original application, you are not eligible for a renewal. Please submit a new application form.

6. **Certification** - Complete one of the statements below and supply any additional documentation requested: (original signature is required)

a. For **NO CHANGES** to original application:

I certify that the information submitted in support of my original application for the permit indicated above has not changed and is still currently correct. I hereby request reissuance or renewal of this permit.

Permittee's signature: _____

(b) (6)

Date: _____

7-14-14

b. For **CHANGES** to original application:

On an attached page(s), provide a complete description of any changes (e.g., change in principal officer, personnel, address, location of activities, types of activities). Please sign each attached page. Also note that we need to request additional information regarding the changes after reviewing your initial request.

I certify that the information submitted in support of my original application for the permit indicated above is still currently correct EXCEPT for the changes noted on the attached, signed page(s). I hereby request re-issuance or renewal of this permit with the indicated changes.

Permittee's signature: _____

Date: _____

7. All international shipment(s) must be through a designated port, unless otherwise authorized. A list of designated ports (where an inspector is posted) is available from <http://www.fws.gov/le/designated-ports.html>. If you wish to use a port not listed, please contact either the Office of Law Enforcement for a Designated Port Exemption Permit (form 3-200-2) or the Division of Management Authority.

8. Name and address where you wish the permit to be mailed, if different from page 1 (All permits will be mailed via the U.S. Postal Service, unless you identify an alternative means below):

N/A

9. If you wish the permit to be delivered by means other than USPS regular mail, provide an air bill, pre-paid envelope, or billing information. If you do not have a pre-paid envelope or air bill and wish to pay for a courier service with your credit card, please check the box below. Please DO NOT include credit card number or other information; you will be contacted for this information.

☐ If a permit is issued, please send it via a courier service to the address on page 1 or question 8. I understand that you will contact me for my credit card information once the application has been processed.

11. Who should we contact if we have questions about the application? (Include name, phone number, and email):

Catherine Hammond Rondeau

(b) (6)

12. **Disqualification Factor.** A conviction, or entry of a plea of guilty or nolo contendere, for a felony violation of the Lacey Act, the Migratory Bird Treaty Act, or the Bald and Golden Eagle Protection Act disqualifies any such person from receiving or exercising the privileges of a permit, unless such disqualification has been expressly waived by the Service Director in response to a written petition. [50 CFR 13.21(c)]. Have you or any of the owners of the business, if applying as a business, been convicted, or entered a plea of guilty or nolo contendere, forfeited collateral, or are currently under charges for any violations of the laws mentioned above?

☐ Yes ☒ No If you answered "Yes" provide: a) the individual's name, b) date of charge, c) charge(s), d) location of incident, e) court, and f) action taken for each violation.

(b) (6)



CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES OF WILD FAUNA AND FLORA

IMPORT PERMIT

Page 1 of 2

1. Original Permit/Certificate No.

13US88593A/9

2. Valid

10/31/2013

3. Permittee (name and address, country)

CATHERINE HAMMOND RONDEAU

(b)(6)

LEONARD, MI (b)(6)

U.S.A.

4. Consignee (name and address, country)

GERARD PASANISI SAFARI

P.O. BOX 21680

DAR ES SALAAM

TANZANIA

5. Special Conditions

MUST COMPLY WITH ATTACHED GENERAL PERMIT CONDITIONS.

SPECIMEN(S) MAY NOT BE SOLD OR TRANSFERRED FOR ANY FINANCIAL REMUNERATION.

SEE ATTACHED PAGE 2 FOR ADDITIONAL CONDITIONS.

THIS AMENDS AND REPLACES 12US88593A/9 ISSUED 11/01/2012.

5a. Purpose of Transaction

H

6. U.S. Management Authority

U.S. FISH AND WILDLIFE SERVICE

DIVISION OF MANAGEMENT AUTHORITY

4401 N. FAIRFAX DRIVE

ROOM 212

ARLINGTON, VA 22203-3247

-May not be used for commercial purposes. For live animals, only valid if the transport conditions comply with the CITES Guidelines for Transport of Live Animals or, in the case of air transport, with IATA Live Animals Regulations.

09/12/2013

Issuing Date

U.S. CITES
Management Authority

United States Management Authority

AUTHORITY: Endangered Species Act of 1973 (16 USC 1531 et. seq.)

7/8. Common Name and Scientific name (genus and species) of Animal or Plant

9. Description of Part or Derivative, including identifying marks or numbers (age/sex if live)

10. Appendix No. and Source

A. Common Name

AFRICAN
ELEPHANT

Scientific Name

LOXODONTA
AFRICANA

9. IMPORT IMPORT PERSONAL SPORT HUNTED TROPHY (shipment may contain bones, hair, hide, tusks, teeth, or any taxidermied part. DOES NOT include worked, manufactured, or handicraft items such as curios, jewelry, or other utilitarian items.)

10. 1 W

11. Quantity (including units)
1 NO12. Country of Origin
TANZANIA

B. Common Name

LEOPARD

Scientific Name

PANTHERA
PARDUS

9. IMPORT PERSONAL SPORT HUNTED TROPHY (shipment may contain bones, claws, hide, skull, teeth, or any taxidermied part. DOES NOT include worked, manufactured, or handicraft items such as curios, jewelry, or other utilitarian items.)

10. 1 W

11. Quantity (including units)
1 NO12. Country of Origin
TANZANIA

C. Common Name

Scientific Name

9. [REDACTED]

10. [REDACTED]

11. Quantity (including units)

12. Country of Origin

D. Common Name

Scientific Name

9. [REDACTED]

10. [REDACTED]

11. Quantity (including units)

12. Country of Origin

E. Common Name

Scientific Name

9. [REDACTED]

10. [REDACTED]

11. Quantity (including units)

12. Country of Origin

513437

13US88593A/9

SPECIAL PERMIT CONDITIONS

for TANZANIAN LEOPARD AND ELEPHANT TROPHY IMPORT

MUST IMPORT THROUGH A DESIGNATED PORT AS LISTED IN CONDITION 10 OF GENERAL PERMIT CONDITIONS.

U.S. THREATENED SPECIES: TUSKS MUST BE MARKED AS PER [50 CFR 17.40(e)]. IN ACCORDANCE WITH THE AFRICAN ELEPHANT CONSERVATION ACT, RAW IVORY, INCLUDING SPORT-HUNTED TROPHIES THAT ARE WHOLLY OR PARTIALLY IVORY, MAY NOT BE RE-EXPORTED FROM THE U.S. ELEPHANT MUST NOT HAVE BEEN TAKEN FROM ANY MORATORIUM AREA INCLUDING LONGIDO CONTROLLED HUNTING AREA (CHA).

U.S. THREATENED SPECIES [50 CFR 17.40 (f)]. PERMITTEE MAY ONLY IMPORT TWO LEOPARD TROPHIES PER CALENDAR YEAR. EACH LEOPARD SKIN MUST HAVE A SELF-LOCKING TAG ATTACHED TO IT WHICH INDICATES THE STATE OF EXPORT, THE NUMBER OF THE SPECIMEN IN RELATION TO THE ANNUAL QUOTA, AND THE CALENDAR YEAR TO WHICH THE QUOTA APPLIES. THE EXPORT PERMIT (OR RE-EXPORT CERTIFICATE) MUST CONTAIN THE TAGGING INFORMATION AS OUTLINED ABOVE.

TROPHY MUST HAVE BEEN TAKEN DURING 2012 HUNTING SEASON.

09/12/2013

Date

U.S. CITES
Management Authority

Chief, Branch of Permits
Division of Management Authority

513416

RCVD JUL 17 2014

CATHE
(b)(6)

Pay to the
Order of US Fish + Wildlife 7-4-2014 Date

One hundred 00/100 \$ 100.00

Flagstar
Bank
Rochester, MI 48306
1-888-247-0125

(b)(6)

(b)(6)

(b)(6)



8/16/13
L/m

Nichols, Shaunta <shaunta_nichols@fws.gov>

CITES permit

cathy rondeau (b) (6)

Tue, Aug 13, 2013 at 4:54 PM

To: "shaunta_nichols@fws.gov" <shaunta_nichols@fws.gov>, (b)(6)
(b)(6)

Hi Shaunta,

How are you? I am hoping you can help me out.

I received a CITES permit (No. 88593A) many months ago. In March, I sent an application to have it changed because a name was wrong on it. On April 1, I received a letter stating that you had received my application. On April 12, I received a request to turn in the original permit. This was surprising as nowhere on the website or in the instructions did it say I needed to turn in the original permit. I sent it in on April 13.

I called 800-358-2104 today to find out about the revised permit and was told that it has been abandoned. I checked with the nice man to see if my email, work address, and phone numbers are correct in your system, and they are. So, I was surprised to hear that my permit was abandoned with no further communication.

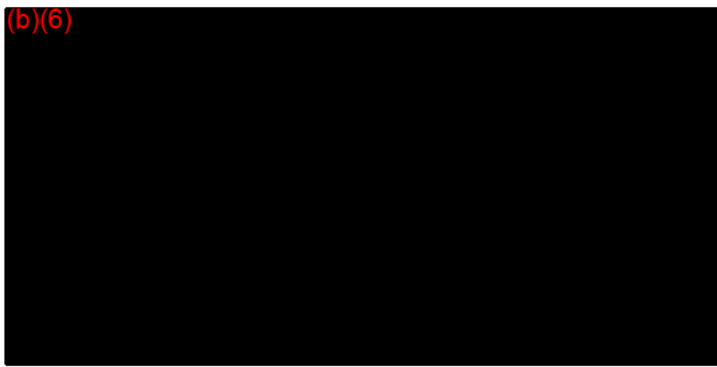
I left a message on Ailteas's voice mail, and I have not heard back from her.

Could you please help me get my revised permit? I really don't have the money to pay again, when I have already paid twice.

Thank you very much,

Cathy Hammond Rondeau

(b)(6)

A large rectangular black box redacting the signature and contact information of Cathy Hammond Rondeau.



United States Department of the Interior

FISH AND WILDLIFE SERVICE
Washington, D.C. 20240

FEE - 4

MEMORANDUM

To: Chief, Division of Management Authority
From: Chief, Division of Scientific Authority *Robert P. Galt*
Subject: General advice for permit renewals and amendments

This general advice supersedes the memorandum on "Scientific Authority review of permit amendments and renewals" issued by our office (then Office of Scientific Authority) on February 14, 1983.

The Division of Management Authority (DMA) receives a large number of requests for renewals and amendments of CITES import and export permits, as well as Certificates of Scientific Exchange (COSE), for which the Division of Scientific Authority (DSA) has previously issued non-detriment findings.

In the case of permits for which DMA has used a general advice from DSA, we have determined that no additional advice from DSA will be necessary provided that the renewal or amendment request still meets the conditions contained in the general advice.

In the case of permits for which DSA has issued permit-specific non-detriment findings, no additional advice from DSA will be needed provided that the renewal or amendment request involves activities affecting the species in question in a way that was contemplated in our original advice. However, additional DSA advice will be needed when:

- 1) there is a change in sender or recipient;
- 2) there is a change in purpose of the activity (e.g., from display to captive breeding purposes in the case of live animals; from research to commercial purposes in the case of tissue



Sport hunted trophy permit re-issuance application.....88593A

Braxton, Ailteas <ailteas_braxton@fws.gov>

Fri, Apr 12, 2013 at 12:40 PM

To: (b)(6)

Ms. Rondeau,

I am currently processing your application. Please forward the original copy of the permit to my attention as soon as possible.

I can not continue to process without this.

Thank you
Ailteas Braxton
Legal Examiner
U.S. Fish and Wildlife Services
Division of Management Authority
ailteas_braxton@fws.gov
703 358-1978 direct dial
703 358-2281 fax

If the information requested above is not received within 45 days, your application will be considered incomplete and will be administratively closed.



Department of the Interior
U.S. Fish and Wildlife Service

OMB No. 1018-0093
Expires 02/28/2014

Federal Fish and Wildlife Permit Application Form

Return to: U.S. Fish and Wildlife Service
(Enter address from pages 4 and 5 of application)

Type of Activity:
REISSUANCE, RENEWAL, OR AMENDMENT OF A PERMIT
(For this application, all permits, registrations, and certificates are referred to as a permit.)

Complete Sections A or B, C, D, and E of this application. U.S. address may be required in Section C, see instructions for details.
See attached instruction pages for information on how to make your application complete and help avoid unnecessary delays.

A. Complete if applying as an individual			
1 a. Last name Rondeau	1 b. First name Catherine	1 c. Middle name or initial Hammond	1 d. Suffix
2. (b)(6)	3. Social Security No. (b)(6)	4. (b)(6)	5. Affiliation/ Doing business as (see instructions)
6 a. Telephone number (b)(6)	6 b. Alternate telephone number	6 c. Fax number (b)(6)	6 d. (b)(6)

B. Complete if applying on behalf of a business, corporation, public agency, tribe, or institution			
1 a. Name of business, agency, tribe, or institution		1 b. Doing business as (dba)	
2. Tax identification no.		3. Description of business, agency, tribe, or institution	
4 a. Principal officer Last name	4 b. Principal officer First name	4 c. Principal officer Middle name/ initial	4 d. Suffix
5. Principal officer title		6. Primary contact	
7 a. Business telephone number	7 b. Alternate telephone number	7 c. Business fax number	7 d. Business e-mail address

C. All applicants complete address information			
1 a. Physical address (Street address; Apartment #, Suite #, or Room #; no P.O. Boxes) (b)(6)			
1 b. City Leonard	1 c. State MI	1 d. Zip code/Postal code (b)(6)	1 e. Country USA
2 a. Mailing Address (include if different than physical address; include name of contact person if applicable) Same			
2 b. City	2 c. State	2 d. Zip code/Postal code	2 e. Country/Province
2 f. Country			

D. All applicants MUST complete	
1. Attach check or money order payable to the U.S. FISH AND WILDLIFE SERVICE in the amount indicated on pages 6 and 7. Federal, tribal, State, and local government agencies, and those acting on behalf of such agencies, are exempt from the processing fee - attach documentation of fee exempt status as outlined in instructions. (50 CFR 13.11(d))	
2. Do you currently have or have you ever had any Federal Fish and Wildlife permits? attached Yes ☒ If yes, list the number of the most current permit you have held or that you are applying to renew/re-issue: 124588593A/9 No ☐	
3. Certification: I hereby certify that I have read and am familiar with the regulations contained in Title 50, Part 13 of the Code of Federal Regulations and the other applicable parts in subchapter B of Chapter I of Title 50 and I certify that the information submitted in this application for a permit is complete and accurate to the best of my knowledge and belief. (b)(6) Signature (in blue ink) of applicant/person responsible for permit (No photocopied or stamped signatures) 3-22-2013 Date of signature (mm/dd/yyyy)	

E. REISSUANCE, RENEWAL, OR AMENDMENT OF A PERMIT (For this application, all permits, registrations, and certificates are referred to as a permit.)

NOTE 1: Applications *must* be submitted to the office that issued the initial permit. This form cannot be used for lost or damaged permit. Lost or damaged permit must use form 3-200-66.

NOTE 2: If you are renewing your Designated Port Exemption permit, use form 3-200-2 (<http://www.fws.gov/forms/3-200-2.pdf>). If you are renewing your Import/Export license (required for commercial activities), use form 3-200-3 (<http://www.fws.gov/forms/3-200-3.pdf>) and submit to appropriate Office of Law Enforcement address.

NOTE 3: If you are renewing or amending a master file for multiple shipments or a COSE, use the appropriate form for proposed activity (form 3-200-29 for samples; 3-200-30 for circus/traveling exhibits; 3-200-33 for Artificially Propagated Plants; 3-200-39 for COSEs) (<http://www.fws.gov/forms/display.cfm?number1=200>).

1. **Permit number.** Enter the permit number to be reissued/renewed 12US88593A/9. The original permit **must** be submitted with this application.
2. **Past activities.**
 - a. Provide copies of all cleared documents and form 3-177 (FWS declaration of wildlife) associated with this permit.
 - b. Provide a summary detailing activities conducted under this permit, as well as a brief statement of why you are seeking reissuance/renewal.
3. **Annual Report.** If required by your permit, provide an annual report as conditioned. (Disregard if you have already submitted your annual report.)
4. **Captive-Bred Wildlife Registration (CBW):** If the location of activities has changed, attach a description and photographs or diagrams (no blueprints) of the current facilities. If a change in personnel (e.g., principal officer, curator, and primary animal care staff) has occurred, provide a brief description of their expertise in caring/handling of the species.
5. **Sport-hunted trophies:** If you did not hunt during the hunting season stated in your original application, you are not eligible for a renewal. Please submit a new application form.

6. **Certification.** Complete one of the statements below and supply any additional documentation requested:

a. For **NO CHANGES** to original application:

I certify that the information submitted in support of my original application for the permit indicated above has not changed and is still currently correct. I hereby request reissuance or renewal of this permit.

Permittee's signature: _____ Date: _____

b. For **CHANGES** to original application:

I certify that the information submitted in support of my original application for the permit indicated above is still currently correct EXCEPT for the changes noted on the attached, signed page(s). I hereby request re-issuance or renewal of this permit with the indicated changes.

[On an attached page(s), provide a complete description of any changes (e.g., change in principal officer, personnel, address, location of activities, port location.) Please sign each attached page. Also, please note that we may ask you to submit additional information.]

Permittee's signature: (b) (6) Date: 3-23-2013

7. Address where you wish permit mailed (if different than page 1): Same address

8. If you wish the permit to be sent to you by means other than regular mail, provide an air bill, pre-paid envelope, or billing information: n/a

9. Who should we contact if we have questions about the application? (Include name, phone number, and email):

Catherine Hammond Rondeau

(b)(6)

Catherine Rondeau

(b)(6)

Leonard, MI (b)(6)

(b)(6)

(b)(6)

March 23, 2013

RE: CITES permit # 12US88593A/9

Dear Sir or Madam,

Could you please reissue the attached CITES permit? I shot an elephant during the 2012 hunting season, and I am currently making arrangements to have it shipped from the hunting grounds to the United States.

I would like to change the consignee in box 4 to read:

Gerard Pasanisi Safari
PO Box 21880
Dar Es Salaam
Tanzania

I have enclosed the \$50 fee.

If you have any questions, please, please call or email me.

Thank you very much,

(b)(6)

Catherine Rondeau



CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES OF WILD FAUNA AND FLORA

IMPORT PERMIT

Page 1 of 2

1. Original Permit/Certificate No.
12US88593A/92. Valid until
10/31/2013

3. Permittee (name and address, country)

CATHERINE HAMMOND RONDEAU

(b)(6)

LEONARD, MI (b)(6)
U.S.A.

4. Consignee (name and address, country)

TANGYANIKA WILDLIFE SAFARI

UHURU STREET, PLOT 38

NEAR BUGURUNI POLICE POST, P.O. BOX 21880

BUGURUNI KISIWANI

DAR ES SALAAM

TANZANIA

5. Special Conditions

MUST COMPLY WITH ATTACHED GENERAL PERMIT CONDITIONS.

SPECIMEN(S) MAY NOT BE SOLD OR TRANSFERRED FOR ANY FINANCIAL
REMUNERATION.

SEE ATTACHED PAGE 2 FOR ADDITIONAL CONDITIONS.

6a. Purpose of Transaction

H

6. U.S. Management Authority

U.S. FISH AND WILDLIFE SERVICE

DIVISION OF MANAGEMENT AUTHORITY

4401 N. FAIRFAX DRIVE

ROOM 212

ARLINGTON, VA 22203-3247

May not be used for commercial purposes. For live animals, only valid
if the transport conditions comply with the CITES Guidelines for
Transport of Live Animals or, in the case of air transport, with IATA Live
Animals Regulations.

11/01/2012

Management Authority

Issuing Date

United States Management Authority

AUTHORITY: Endangered Species Act of 1973 (16 USC 1531 et. seq.)

7/8. Common Name and Scientific name (genus and
species) of Animal or Plant9. Description of Part or Derivative, including identifying marks
or numbers (age/sex if live)10. Appendix No. and
Source

A. Common Name

AFRICAN
ELEPHANT

Scientific Name

LOXODONTA
AFRICANA9. IMPORT IMPORT PERSONAL SPORT HUNTED TROPHY
(shipment may contain bones, hair, hide, tusks, teeth, or
any taxidermied part. DOES NOT include worked,
manufactured, or handicraft items such as curios, jewelry, or
other utilitarian items.)

10. 1 W

11. Quantity (including units)

NO

12. Country of Origin
TANZANIA

B. Common Name

LEOPARD

Scientific Name

PANTHERA
PARDUS9. IMPORT PERSONAL SPORT HUNTED TROPHY
(shipment may contain bones, claws, hide, skull, teeth, or
any taxidermied part. DOES NOT include worked,
manufactured, or handicraft items such as curios, jewelry, or
other utilitarian items.)

10. 1 W

11. Quantity (including units)

NO

12. Country of Origin
TANZANIA

C. Common Name

Scientific Name

9. [REDACTED]

10. [REDACTED]

11. Quantity (including units)

12. Country of Origin

D. Common Name

Scientific Name

9. [REDACTED]

10. [REDACTED]

11. Quantity (including units)

12. Country of Origin

E. Common Name

Scientific Name

9. [REDACTED]

10. [REDACTED]

11. Quantity (including units)

12. Country of Origin

371235



United States Department of the Interior

FISH AND WILDLIFE SERVICE
Washington, D.C. 20240

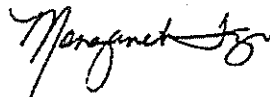
In Reply Refer To:
FWS/AIA/OMA

Memorandum

MAY 6 1997

To: The File

From: Chief, Branch of Permits



Subject: Enhancement Finding for African Elephants Taken as Sport-hunted Trophies in Tanzania

The African elephant (Loxodonta africana) is listed as threatened under the U.S. Endangered Species Act with a special rule at 50 CFR 17.40(e). The special rule sets forth requirements for the import of sport-hunted trophies, including marking requirements for ivory. Under paragraph 17.40(e)(3)(iii)(C), the Service must make a finding that the killing of the elephant whose trophy is intended for import would enhance the survival of the species.

Based on available information, the OMA believes that Tanzania is effectively conserving and managing the elephant population throughout the country and finds that the import of sport-hunted elephant trophies from Tanzania enhances the survival of the species. This finding applies to all African elephant trophies lawfully taken in Tanzania, provided they are imported by the person who hunted them for personal use.

Basis for Enhancement Finding:

Population Status: Aerial surveys of elephant populations have been undertaken by the Tanzania Wildlife Conservation Monitoring (TWCM) unit at two- to three-year intervals to monitor population trends, distribution, and recovery. Ground survey techniques have also been incorporated. They involve scientists and field staff undertaking routine monitoring duties during law enforcement patrols to determine population trends, distribution, and age and sex ratios of key populations.

Tanzania has the largest elephant population of any range state. In the early 1970's, the population was estimated at 365,000 elephants. By 1991, a population survey conducted by TWCM showed the population had dropped to approximately 57,334 elephants. This drop was primarily a result of extensive poaching which occurred during the 1970's and 1980's. A secondary factor was a reduction in habitat due to expanding human populations. The current estimates available indicate the population in Tanzania ranges from a low of 87,842 to a high of about 100,000 elephants.



United States Department of the Interior

FISH AND WILDLIFE SERVICE
WASHINGTON, D.C. 20240

ADDRESS ONLY THE DIRECTOR
FISH AND WILDLIFE SERVICE

JUN 10 1982

Memorandum

To: Chief, Federal Wildlife Permit Office
From: Chief, Office of the Scientific Authority
Subject: Importation of Leopard Trophies

The Scientific Authority advises the Management Authority that the importation of sport-hunted leopard (Panthera pardus) trophies taken in the following countries will be for purposes that are not detrimental to the survival of the species involved: Botswana, South Africa (Transvaal only), Tanzania, Zambia, and Zimbabwe. This advice applies to all leopard trophies lawfully taken in these countries on or after July 1, 1975, provided they are to be imported by the persons who hunted them for personal use or display.

Basis for Advice:

1. The factors that were considered in developing advice on leopard trophy imports are set forth in an attachment to our memorandum of March 25, 1982 (copy attached). Advice was developed in accordance with a resolution of the Parties on this subject (Conf. 2.11).
2. Each of the five countries mentioned above: (a) has laws under which the regulated sport-hunting of leopards is allowed, (b) limits the quantity, or spatial or seasonal distribution of the take of leopards, (c) bases these limits on the goal of managing leopards to sustain their populations, (d) maintains a licensing system for persons who take or process leopards (except in South Africa), and (e) implements a permitting system to regulate trade in accordance with CITES.
3. Our advice is based on a comprehensive review of the best available information on the status and management of the leopard in each of the 18 countries where it is classified as a Threatened species under the U.S. Endangered Species Act of 1973. We have information that sport-hunting of leopards is not permitted in 9 of these countries: Angola, Burundi, Gabon, Kenya, Lesotho, Malawi, Rwanda, Swaziland, and Uganda. We do not now have enough information to advise on Namibia. The available information indicates that it would not be appropriate to allow leopard trophy imports from Congo, Mozambique, or Zaire.
4. In the case of those countries not presently allowing the sport-hunting of leopards, we do not find it appropriate to advise in favor of importing specimens that were taken prior to a hunting ban. Circumstances that led to such bans were operating against the conservation of leopards in those countries. A detailed account of such circumstances in Kenya is provided by Patrick Hamilton's 1981 report to the Service on the status of the leopard and cheetah in that country.
5. We will continue to review information on the leopard, and may amend this advice as necessary. Please send us a copy of each permit issued under this advice, so that we can monitor the number of trophies imported from each country.

Richard L. Sachowski
for the Scientific Authority

Concur:

Richard M. Smith
Associate Director-Research

6/13/82
Date

Attachment



United States Department of the Interior

FISH AND WILDLIFE SERVICE
Washington, D.C. 20240



AUG 14 2012

MEMORANDUM

To: Chief, Division of Management Authority

From: Chief, Division of Scientific Authority *Rosemarie Green*

Subject: General Advice on Importation of Sport-hunted Trophies of African Elephants from Tanzania for the Calendar Year 2012

This responds to your request for a finding as required under the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES) on various applications for the importation of sport-hunted trophies of African elephants (*Loxodonta africana*) from the United Republic of Tanzania (Tanzania) for calendar year 2012.

Please be advised that, with the information currently available, we are able to find that the importation of sport-hunted trophies of African elephants from Tanzania will be for purposes that are not detrimental to the survival of the species. This General Advice applies only to sport-hunted trophies of African elephants that were lawfully taken in Tanzania during calendar year 2012 (i.e., January 1, 2012, through December 31, 2012), provided that they are to be imported by the persons who hunted them for personal use or personal display.

If new information becomes available during 2012 that suggests that this General Advice is no longer valid, it will be suspended and reconsidered by the Division of Scientific Authority. If, after reconsideration, the Division of Scientific Authority believes that the General Advice is no longer valid, we will issue a new General Advice or require that subsequent permit applications be considered on a case-by-case basis.

BASIS FOR ADVICE:

A significant amount of information relevant to this General Advice was made available at the Fifteenth Meeting of the CITES Conference of the Parties (CoP15), including Tanzania's proposal to transfer its population of African elephants from Appendix I to Appendix II (CoP15 Prop. 4 (Rev. 1)), in addition to the Report of the Panel of Experts on Tanzania's proposal (CoP15 Doc. 68, Annex 6a). Much of the information used in making the current finding was derived from these sources, as noted below.

In addition, since CoP15, a significant amount of new information relevant to this issue has become available, including:

TAKE PRIDE
IN AMERICA

- Tanzania National Elephant Management Plan 2010-2015 (TAWIRI 2012);
- The Wildlife Conservation Act, 2009 (Government of the United Republic of Tanzania 2009a);
- The Wildlife Conservation Act, 2009 (Act Supplement No. 5; 20th March, 2009; Government of the United Republic of Tanzania 2009b);
- The Wildlife Conservation (Tourist Hunting) Regulations, 2010 (Subsidiary Legislation Supplement No. 25; 2nd July, 2010; Government of the United Republic of Tanzania 2010);
- Third African Elephant Meeting (1-3 November 2010; Gigiri, Kenya; various documents); and
- Documents from the 62nd Meeting of the CITES Standing Committee (Geneva 2012).

Much of the information used in making the current finding was derived from these sources, as noted below, as well as previously cited information (see AOSA106; dated July 23, 2010; [Division of Scientific Authority 2010]).

Conservation and Management

1. African elephants are widely distributed throughout Tanzania, covering 49% of the country's land area. About 37% of the elephant's range in that country is in protected areas (PA). This proportion of protected range is relatively high compared to other African elephant range countries (Blanc *et al.* 2007). These protected areas comprise 26% of the country's land area within six ecosystems throughout the country, including: Tarangire-Manyara, Serengeti, Selous-Mikumi, Ruaha-Rungwa, Katavi-Rukwa, and Moyowosi-Kigosi. The network of PAs includes 15 National Parks (NP) (4% of the country's land surface), Ngorongoro Conservation Area (1%), 28 Game Reserves (GR) (14%), and 33 Game Controlled Areas (GCA) and/or Wildlife Management Areas (WMA) (5%). Some of these areas were designated as recently as 2005 (CoP15 Doc. 68, Annex 6a [page 1]).

2. In Tanzania, the only consumptive use of African elephants is sport hunting [CoP15 Prop. 4 (Rev. 1)], which is covered by The Wildlife Conservation (Tourist Hunting) Regulations, 2010 (Government of the United Republic of Tanzania 2010). These regulations control hunting by concession area, season, minimum trophy sizes (18 kg and 160 cm per tusk), annual quotas, post-hunt reporting, trophy registration, marking, and export requirements [CoP15 Doc. 68 Annex 6a [page 11]; Part V, Regulation 24.-(5)(b)].

3. The trophy quota for African elephants is distributed among approximately 150 hunting concessions/blocks [Ministry of Natural Resources and Tourism (United Republic of Tanzania), in litt., 2008] with the number of trophy permits allotted to each concession determined by elephant survey information (Ministry of Natural Resources and Tourism, in litt., 2005).

4. According to the Ministry of Natural Resources and Tourism (in litt., 2008), 25% of the revenue accrued from the sport hunting of elephants goes to the conservation and protection of

2

African elephants and other wildlife species through the Tanzania Wildlife Protection Fund (TWPF). In addition, 25% of the game fees received from hunters is given to the local communities in the areas where the sport hunting took place. Apart from being the source of revenue to local communities, sport hunting plays an important role in creating employment for the members of the local communities, for example, as trackers, skimmers, tent and mess attendants, and guards (Ministry of Natural Resources and Tourism, in litt., 2008). The Ministry of Natural Resources and Tourism (in litt., 2008) also reported that the sport hunting program assisted in curbing illegal harvesting and developing infrastructure such as roads, hospitals, and schools, as well as creating a market for local artwork.

5. The 1998 Wildlife Policy of the United Republic of Tanzania was revised in 2007 and provides guidelines for the management of African elephants through the development, review, and updating of specific management plans (CoP15 Doc. 68, Annex 6a [page 4]).

6. This past year, Tanzania published its country-level strategy and action plan, the "Tanzania National Elephant Management Plan 2010-2015." This plan provides updated information on several biological and ecological topics, including: distribution and range, abundance, population trends and demography, elephant corridors, and human-elephant conflicts. It identifies nine different strategic objectives, as well as numerous specific objectives and their associated targets, actions, timelines, actors, and indicators. The strategic objectives include: Human-Elephant Conflict, Elephant Corridors, Law Enforcement, Benefits and Sustainable Utilization, Ivory Stockpile and Management System, Research and Monitoring, Elephant Health and Welfare, Cross-border Cooperation, and Elephant Information Management (TAWIRI 2012).

Population Status and Trends

7. In 2006, the Tanzania Wildlife Research Institute [TAWIRI 2007 (cited in CoP15 Doc. 68, Annex 6a [page 1])] estimated the African elephant populations of Tanzania at $139,915 \pm 12,338$ (SE) animals based on census surveys covering 227,328 km² conducted using both total and sample counts. According to the Panel of Experts, this estimate was not significantly different from that of $111,475 \pm 18,728$ (95% CL) elephants estimated in 2000-2003. The Panel of Experts noted that the 2006 estimate did not include 2,873 additional elephants from areas not formally surveyed, which provided a country-wide "best estimate" of $142,788 \pm 12,405$ (SE) elephants in 2006 (CoP15 Doc. 68, Annex 6a [page 1]). In 2006, according to the African Elephant Status Report (Blanc *et al.*, 2007), the "definite" category estimate was 108,816 elephants, in addition to 27,937 "probable," 29,350 "possible," and 900 "speculative" category estimates.

8. In 2009, a similar survey covering 229,318 km² across the same six ecosystems produced a total population estimate of $105,439 \pm 6,080$ (SE) African elephants [TAWIRI 2010a (cited in CoP15 Doc. 68, Annex 6a)]. A "best estimate," which included an additional 3,583 elephants, provided a country-wide estimate of $109,022 \pm 6,135$ (SE) elephants in 2009. The Panel of

Experts noted that these results suggested a significant decline compared to the 2006 estimate of 142,788 elephants and that the decline could be attributed largely to a downward population trend recorded in the Selous-Mikumi ecosystem (CoP15 Doc. 68, Annex 6a [page 1]); however, Tanzania later clarified that methodological issues during the 2006 survey is believed to have resulted in an overestimate, and therefore, the two years' results cannot be compared due to different study techniques. Although a survey was also conducted in 2011, the final survey report is not yet available (SC62 Inf. 1).

9. Demographic parameters were calculated between 2009 – 2010 from 2,182 African elephants from six major elephant populations in Tarangire, Serengeti, Ruaha-Rungwa, Selous, Katavi-Rukwa, and Ugalla (TAWIRI 2012). This demographic survey of African elephants revealed that the proportion of the population < 5 years of age varied from 41% in Tarangire to 25% in Ugalla River Game Reserve, with values above 30% (Tarangire, Selous, Serengeti, and Ruaha-Rungwa). These results were indicative of good to high growth rates. The Panel of Experts, citing Foley and Faust (2010), further pointed out that Tarangire had a growth rate of > 6% and was one of the highest growth rates ever recorded for an African elephant population. It was noted that those populations with the proportion of their herds < 5 years of age below 30% (Katavi and Ugalla Game Reserve), which is indicative of low recruitment and growth rates, suggested one or more population stressors, such as higher infant mortality or increased stress associated with human-elephant conflict or illegal activity (i.e., poaching) (CoP15 Doc. 68, Annex 6a [pages 1-2]).

10. The Panel of Experts concluded that while estimates at that time suggested that the Tanzanian African elephant populations were stable or possibly decreasing, elephant populations still remained large (> 100,000 individuals) and were demographically healthy. The Panel of Experts noted that the populations of African elephants were geographically widespread across the country and occupied diverse ecosystems, and that a high proportion of the populations (> 80% individuals) occupied protected areas. In the overall opinion of the Panel of Experts, the African elephant populations in Tanzania were viable at the time of the analysis (CoP15 Doc. 68, Annex 6a [page 2]).

11. The Panel of Experts, however, raised concerns about the future mobility of the African elephant populations in Tanzania. They noted, for example, that associated human settlements were increasing in size and number around protected areas and were accompanied by increasing human-elephant conflicts. These settlements and the associated conflicts were probably the most important factors limiting the elephants' mobility and range. It was the opinion of the Panel of Experts that -- at the rates of habitat change and land conversion at the time -- the corridors that still remained in Tanzania would be converted to unsuitable habitat in less than 5 years (CoP15 Doc. 68, Annex 6a [page 4]).

12. According to Jones et al. (2009), Tanzania was working to minimize risks to African elephants, other wildlife, people, and property through improvements in spatial planning involving the identification, maintenance, and restoration of wildlife corridors. The "Tanzania

National Elephant Management Plan 2010-2015” lays out a strategic objective to restore lost corridors and to increase protection for corridors that are identified to still be in use (TAWIRI 2012).

Sustainability of Off-Take

13. In Tanzania, African elephant deaths occur as a result of several factors, including: 1) natural mortality; 2) trophy hunting; 3) problem animal control; and 4) poaching. In order to evaluate whether off-take from trophy hunting was sustainable, all losses to the African elephant population must be considered.

Legal Off-Take

14. Since 2007, the annual CITES Export Quota for the United Republic of Tanzania has been 400 tusks (sport-hunting quota of 200 elephants). During 2003-2006, the quota was 200 tusks from 100 individuals, while during 1997-2002 the quota was 100 tusks from 50 individuals. Tanzania, however, typically has not exported its full quota allotment in sport-hunted trophies or African elephant tusks. During 1997-2009, for example, annual tusk exports typically were about 40-45% of the allowed quantities and never exceeded the approved annual quota (UNEP-WCMC CITES Trade Database; available on the internet at: <http://www.unep-wcmc.org/citestrade>; accessed on 8 March 2010).

15. The Panel of Experts also assessed the sustainability of legal off-take from African elephant populations in Tanzania. Complete records on natural mortality for the entire country or on the killing of problem elephants were not available, but the Panel of Experts was able to estimate the level of such off-take by analyzing the data from the ivory store databases of Tanzania. Based on 21 years of data for that country, an average of 231 elephants died annually from natural mortality, while another 287 individuals died annually from elephant control measures (CoP15 Doc. 68, Annex 6a [page 5]).

16. Based a sport-hunting quota of 200 African elephants, as well as the estimates cited earlier for natural mortality and problem animal control in Tanzania, the Panel of Experts estimated that the overall legal off-take of African elephants from the several populations in that country was about 718 annually, which was 0.7% of the 2009 elephant population estimate of 109,022 individuals. Even if natural mortalities were considerably higher due, for example, to low carcass detection rates by observers in difficult terrain, the Panel of Experts believed that the legal off-take was still less than the annual population growth rate of 3-5% and, therefore, was sustainable (CoP15 Doc. 68, Annex 6a [page 14]).

17. The Panel of Experts also assessed whether the hunting of trophy-quality males in Tanzania was sustainable. According to an earlier analysis by Martin (1986), an annual harvest rate of 0.5-1.0% of the total African elephant population was sustainable. Based on the available population information, the Panel of Experts estimated that nationwide there was a potential off-take of 325 trophy-quality African elephant males (0.3% of the total population; CoP15 Doc. 68,

Annex 6a [page 14]). The Panel of Experts noted that this value of 0.3% was less than the value of 0.5-1% of total African elephant numbers and concluded that the hunting was sustainable.

Illegal Off-Take

18. According to the Panel of Experts, official poaching statistics provided by the Wildlife Division of Tanzania indicated 258 reported poaching incidents that were detected during 2005-2009, including 82 poaching incidents in 2009, the highest number poached annually during that time period. The Panel of Experts noted, however, that the total number of poaching incidents was likely underestimated given low African elephant carcass detection rates (CoP15 Doc. 68, Annex 6a [page 5]).

19. The Panel of Experts cited the following evidence that poaching has led to elephant population declines in the Selous-Mikumi ecosystem:

a) PIKE values collected at the Selous-Mikumi MIKE site have progressively increased between 2003 and 2009 (CITES Secretariat, 2010).

b) Joint Wildlife Division/Selous Rhino Project aerial observations and foot patrols recorded more than a threefold increase in encounter rates of recently dead elephant carcasses between 2007 and 2008 (TAWIRI, 2010b).

c) Tourism operators operating in the northern Selous reported to the Panel an increase in elephant (and other wildlife) poaching since 2007/8, including several incidents close to tourist camps.

d) A significant proportion of the large seizures of ivory made in Asia in 2006 have been traced by forensic DNA work to elephants killed in the Selous-Niassa area (Wasser et al., 2009).

Note: PIKE = Proportion of illegally killed elephants

20. The Panel of Experts also pointed to evidence that the Selous-Mikumi ecosystem was a "hotspot" for African elephant poaching. They observed that the ivory that had been collected by wildlife enforcement officials at Udzungwa National Park was from confiscations. According to these officials, the confiscations consisted of illegally-sourced ivory coming out of nearby Kilombero Game Controlled Area in the Selous-Mikumi ecosystem. In addition, the highest number of tusks confiscated by field-based Wildlife Division offices originated from Morogoro and Lindi, which are adjacent to the Selous-Mikumi ecosystem (CoP15 Doc. 68, Annex 6a [page 5]).

21. Given the factors discussed above, the Panel of Experts concluded that the level of off-take at that time was not sustainable in the Selous-Mikumi ecosystem, which represents about 40% of the total African elephant population in Tanzania. They also noted, however, that legal and

illegal off-take appeared to be sustainable in the five other elephant ecosystems where the populations were stable or increasing. Expressing concern about the potential for off-take levels in the Selous-Mikumi ecosystem to have a future negative impact on the African elephant population as a whole, the Panel of Experts stated:

"Whilst not unequivocally substantiated, the Selous-Mikumi situation described above could affect long-term population sustainability."
(CoP15 Doc. 68, Annex 6a [page 3])"

22. Based on a more recent analysis of MIKE data, the levels of illegal killing across the African elephants' range are of serious and increasing concern. Between 2009 and 2011, PIKE values remained high at the Selous-Mikumi MIKE site (SC62 Inf. 1). Moreover, a March 2012 IUCN African Elephant Specialist Group survey indicated that poaching over the last 12 months had increased in sites in Tanzania (SC62 Doc. 46.1 (Rev. 1)).

23. Another concern that the Panel of Experts raised, which relates to the commitment by the Tanzania to combat poaching, was the financial mechanism by which the Wildlife Division was funded. The Wildlife Division's revenue share is paid directly to the central Treasury, and the Treasury is then supposed to distribute the budgeted monies to the Wildlife Division. The Panel of Experts raised the concern that over a 3-year period (2007-2009), the Wildlife Division had received only 63% (USD 2,634,975 per year) of its approved budget from the central Treasury. The Panel noted that given these funding limitations, the Wildlife Division would not be able to meet its needs and obligations regarding the conservation, management, and protection of African elephants. The Panel of Experts also noted, however, that between 2005 and 2009, the Tanzania Wildlife Protection Fund contributed on average a total of USD 12,894,564 annually to the Wildlife Division. According to the Panel of Experts, these funds, when combined with the Treasury allocations, should have put the Wildlife Division in a "strong position" to meet its enforcement obligations, including containment of threats to elephants in the Selous-Mikumi ecosystem (CoP15 Doc. 68, Annex 6a [page 3]). Recent African elephant poaching levels in the Selous-Mikumi ecosystem, however, suggest that enforcement may have been inadequate.

24. The Panel of Experts also noted that the National Parks and the Ngorongoro Conservation Area Authorities of Tanzania were adequately funded because they generated and retained 100% of their revenue share through park and conservation area fees (CoP15 Doc. 68, Annex 6a [page 3]).

25. Although the Panel of Experts raised concerns over the illegal killing of elephants in the Selous-Mikumi ecosystem, they also acknowledged the efforts by authorities of Tanzania to combat the increases in poaching. For example, in July 2009, a series of planning meetings led to anti-poaching patrols that were joint and cooperative, and involved rangers and scouts from the Selous Game Reserve, the Udzungwa Mountains National Park, and the Mikumi National Park. In December 2009, for example, the commander of special police operations led an anti-poaching operation, code-named "Operation Butterfly," in the Selous Game Reserve. This operation led to the arrest of 70 poachers and the recovery of elephant and hippopotamus ivory

(Midala 2010, as cited in TAWIRI 2012). Noting these, as well as other anti-poaching efforts by the Tanzanian authorities, the Panel of Experts stated:

"There is a clear indication of concern by the authorities to minimize poaching of elephant and other wildlife species. The various efforts to deploy staff and execute special anti-poaching operations in various parts of the country, particularly in the vast Selous Game Reserve, are noteworthy."
(CoP15 Doc. 68, Annex 6a [page 14]).

26. The Director of Wildlife of Tanzania informed the Panel of Experts that regulations were being finalized to implement The Wildlife Conservation Act, 2009, which would reportedly increase revenue retention, increase penalties up to 30 years, and provide additional powers to law enforcement personnel (CoP15 Doc. 68, Annex 6a [page 11]). Copies of those laws and regulations were provided to the U.S. Fish and Wildlife Service (Service) at a February 17, 2011, meeting with Tanzanian officials.

27. The "Tanzania National Elephant Management Plan 2010-2015" is based on several new wildlife laws and implementing regulations (TAWIRI 2012): The Wildlife Conservation Act, 2009 (Government of the United Republic of Tanzania 2009a); The Wildlife Conservation Act, 2009 (Act Supplement No. 5; 20th March, 2009; Government of the United Republic of Tanzania 2009b); and The Wildlife Conservation (Tourist Hunting) Regulations, 2010 (Subsidiary Legislation Supplement No. 25; 2nd July, 2010; Government of the United Republic of Tanzania 2010). During the February 2011, meeting, the Service received copies of these measures, but it was not clear when they would be fully implemented. For example, the Subsidiary Legislation (Supplement No. 25; 2nd July, 2010) of The Wildlife Conservation (Tourist Hunting) Regulations, 2010, in Part V, 24.-(5)(b), directs that no person shall hunt an elephant with tusks weighing below 18 kg per tusk or measuring not less than 160 cm per tusk (Government of the United Republic of Tanzania 2010). In another example, the Act Supplement (No. 5; 20th March, 2009) of The Wildlife Conservation Act, 2009, in Part IV, calls for the protection of wildlife corridors, dispersal areas, buffer zones, and migratory routes (Government of the United Republic of Tanzania 2009b). Both of these measures are critically important to the conservation of the African elephant, but it is not clear when they will become operational and backed by the force of law in Tanzania. Until the new laws are fully implemented, these African elephant conservation and management measures, while improving, are still in a transitional phase.

Conclusion

28. With the information currently available, we believe that the status of the African elephant population in Tanzania and ongoing management efforts in that country are adequate to ensure that the sport hunting of African elephants, as administered by the Government of the United Republic of Tanzania, does not adversely affect the status of the species in Tanzania.

29. Therefore, for calendar year 2012, we find that the import of sport-hunted trophies of African elephants taken in Tanzania will be for purposes that are not detrimental to the survival of the species.

CONCERNS:

Although we are able to make the current non-detriment finding, we still have concerns about the future sustainability of the total levels of off-take from the African elephant populations in Tanzania. These concerns – in part -- were also noted by the Panel of Experts (CoP15 Doc. 68, Annex 6a [pages 13-16]):

- A. Efforts have been made by Tanzania to combat poaching in the Selous-Mikumi ecosystem. We also acknowledge that additional resources are now available to promote the conservation status of the African elephant in that country, including: "Tanzania National Elephant Management Plan 2010-2015" (TAWIRI 2012); The Wildlife Conservation Act, 2009 (Government of the United Republic of Tanzania 2009a); The Wildlife Conservation Act, 2009 (Act Supplement No. 5; 20th March, 2009; Government of the United Republic of Tanzania 2009b); and The Wildlife Conservation (Tourist Hunting) Regulations, 2010 (Subsidiary Legislation Supplement No. 25; 2nd July, 2010; Government of the United Republic of Tanzania 2010). The Service is interested in receiving an update on whether the management and regulatory measures mentioned above have been fully implemented, and if not, the targeted time period for full implementation of these measures. In particular, we remain concerned about the availability of future resources to combat poaching in Tanzania, especially in the Selous-Mikumi ecosystem. In this regard, we are interested in receiving updated information on recent initiatives and their effectiveness in combating poaching in Tanzania, particularly in the Selous-Mikumi ecosystem.
- B. It is our understanding that the elephant population in the Selous ecosystem in Tanzania was surveyed in 2011 but that the results have not yet been available. We are interested in receiving these results as soon as they are available.
- C. We note that most of the 23 known elephant corridors in Tanzania are in poor condition (TAWIRI 2012). We recognize that the "Tanzania National Elephant Management Plan 2010-2015" includes a strategic framework for increasing protection for these corridors and for restoring lost corridors and that laws and regulations are available to protect these corridors. Given the importance of protecting these corridors from ongoing threats and the risks to the African elephant populations in the future as a result of human-elephant conflict in and around these areas, we are interested in receiving updated information on Tanzania's success to date in achieving its objectives related to the protection of African elephant corridors, as laid out in the "Tanzania National Elephant Management Plan 2010-2015" (TAWIRI 2012).

- D. Based on recent information obtained through the National Website of Tanzania, we understand that new procedures have been implemented regarding the allocation of tourist hunting blocks [see: Invitation For Applications For Tourist Hunting Blocks Allocation For The Period 2013 – 2018; (Made under Section 9 (2) of the Wildlife Conservation Act, Cap.283 (Tourist Hunting Regulations of 2010); <http://www.tanzania.go.tz/ministriesf.html>; accessed on March 9, 2011); Government of the United Republic of Tanzania 2011]. These changes deal primarily with the hunting block fees that will be charged by the government. We are interested in receiving information about these changes and how they could affect African elephant harvest quotas or off-take levels associated with the corresponding hunting blocks. Given the recent uncertainty about the total population sizes and trends of African elephant populations in the United Republic of Tanzania, especially in the Selous-Mikumi ecosystem, we will continue to monitor African elephant population survey results, as well as overall harvest quotas and off-take levels for this species in the United Republic of Tanzania.

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Department of the Interior
U.S. Fish and Wildlife Service

Expires 11/30/2010
OMB No. 1018-0093

Federal Fish and Wildlife Permit Application Form

Return to: Division of Management Authority (DMA)
U.S. Fish and Wildlife Service
4401 N. Fairfax Drive, Room 212
Arlington, VA 22203
1-800-358-2104 or 703-358-2104

Type of Activity:
Import of Sport-hunted Trophies of
Southern African Leopard, African Elephant, and
Namibian Southern White Rhinoceros

Complete Sections A or B, and C, D, and E of this application. U.S. address may be required in Section C, see instructions for details.
See attached instruction pages for information on how to make your application complete and help avoid unnecessary delays.

A. Complete if applying as an individual				
1.a. Last name Rondeau	1.b. First name Catherine	1.c. Middle name or initial Hammond	1.d. Suffix Mrs	
2. Date of birth (mm/dd/yyyy) (b)(6)	3. Social Security No. (b)(6)	4. Occupation (b)(6)	5. Affiliation/ Doing business as (see instructions)	
6.a. Telephone number (b)(6)				

B. Complete if applying on behalf of a business, corporation, public agency or institution				
1.a. Name of business, agency, or institution		1.b. Doing business as (dba)		
2. Tax identification no.		3. Description of business, agency, or institution		
4.a. Principal officer Last name	4.b. Principal officer First name	4.c. Principal officer Middle name/ initial	4.d. Suffix	
5. Principal officer title		6. Primary contact		
7.a. Business telephone number	7.b. Alternate telephone number	7.c. Business fax number	7.d. Business e-mail address	

C. All applicants complete address information				
1.a. Physical address (Street address, Apartment #, Suite #, or P.O. Boxes) (b)(6)				
1.b. City Leonard	1.c. State MI	1.d. Zip code/Postal code (b)(6)	1.e. Country USA	
2.a. Mailing Address (include if different than physical address, include name of contact person if applicable) (b)(6)				
2.b. City Oakland	2.c. State MI	2.d. Zip code/Postal code (b)(6)	2.e. Country USA	

D. All applicants MUST complete	
1.	Attach check or money order payable to the U.S. FISH AND WILDLIFE SERVICE in the amount of \$100. Federal, tribal, State, and local government agencies, and those acting on behalf of such agencies, are exempt from the processing fee - attach documentation of fee exempt status as outlined in instructions. (50 CFR 13.11(d))
2.	Do you currently have or have you ever had any Federal Fish and Wildlife permits? Yes ☒ If yes, list the number of the most current permit you have held or that you are applying to renew: 10US25986 A19 No ☐ a permit in 2010 for an elephant + another in 2010 for a leopard.
3.	Certification. I hereby certify that I have read and am familiar with the regulations contained in Title 50, Part 13 of the Code of Federal Regulations and the other applicable parts in subchapter B of Chapter I of Title 50, and I certify that the information submitted in this application for a permit is complete and accurate to the best of my knowledge. I understand that I may be subject to the criminal penalties of 18 U.S.C. 1001. (b)(6) Signature (b)(6) Date of signature (mm/dd/yyyy) 9-12-2012

Please continue to next page

E. IMPORT OF SPORT-HUNTED TROPHIES OF SOUTHERN AFRICAN LEOPARD, AFRICAN ELEPHANT, AND NAMIBIAN SOUTHERN WHITE RHINOCEROS

Note 1: If you hold an import permit for a trophy/trophies that you did not use, ^{NO} please return the unused original permit. If you are requesting reissuance of a permit because you have taken a trophy, but were unable to import it prior to the expiration of the permit, please use the renewal form, (3-200-52), [www.fws.gov/forms] and return your original permit with that form. ^{NO}

Note 2: The U.S. FWS has determined that a trophy consists of raw or tanned parts of a specimen taken by a hunter during a sport hunt for personal use. It may include the bones, claws, hair, head, hide, hooves, horns, meat, skull, teeth, tusks, or any taxidermied part, including, but not limited to, a rug or taxidermied head, shoulder, or full mount. It does not include articles made from a trophy, such as worked, manufactured, or handicraft items for use as clothing, curios, ornamentation, jewelry, or other utilitarian items. If you wish to import such products, please contact the Division of Management Authority for the proper application form. ^{I am requesting a new elephant a new leopard permit for new use}

Please provide the following information. Complete all pages of the application. Mark questions that are not applicable with "N/A". If applying for more than one trophy, be sure to answer questions 2 and 3 for each trophy. If importing trophies from more than one country, you must submit a separate application for each shipment in order to obtain separate import permits.

1. ENTER the quantity next to the name of the species you are applying to import (you may apply to import specimens of more than one species provided they have been/are being hunted in the same country):

Leopard (*Panthera pardus*) Quantity: 1 (Limited to the import of two per calendar year)

African elephant (*Loxodonta africana*) Quantity: 1 (Import permit is not required for trophies harvested in Botswana, Namibia, South Africa, or Zimbabwe). **Note: once imported, ivory can not be re-exported.**

Southern white rhinoceros (*Ceratotherium simum simum*) Quantity: 0 (Import permit is not required for trophies harvested in South Africa)

2. **IF ANIMAL IS CURRENTLY LIVING IN THE WILD**, please enter the following:

- a. Country and place (area, region, or ranch AND nearest city) where wildlife is to be taken from the wild:

Tanzania's Selous Reserve

- b. Date wildlife is to be hunted:

Nov. 2 - 24, 2012

- c. Description of the trophy and/or parts you intend to import (e.g., skin, skull, shoulder mount, life size mount, claws, horn):

Leopard = full body skin with claws, skull, teeth.
Elephant = 2 tusks, 2 ears, 4 feet, 1 trunk, tail with hair.
full body skin, four teeth

3. **IF THE ANIMAL IS DEAD**, please enter the following:

- a. Country and place (area, region, or ranch AND nearest city) where wildlife was removed from the wild (provide a map if possible):

- b. Date wildlife was hunted:

- c. Description and size of the trophy and/or parts (e.g., skin, skull, shoulder mount, life size mount, claws, horn):

- d. The current location of the trophy (address and country):

4. **Complete name and address** of overseas person or business shipping the trophy to you (this name will appear on the face of the permit). If you are applying to import a trophy from Namibia, you **must** provide the name and address of the professional hunter listed on your Namibian hunting permit.

Name: Tanganyika Wildlife Safari

Address: Uhuru Street, Plot-38, near Buguruni Police Post
City/Country: P.O. Box 21880

Buguruni Kisiwani, Dar-es-Salaam, Tanzania, East Africa

5. Please be aware that the U.S. Fish and Wildlife Service must make a finding that your activities will enhance or benefit wild populations of the species involved. If you have any information that could support this finding (e.g., how the funds from license/trophy fees will be spent, what portion of the hunting fee will support conservation), please submit such information on a separate page with your application.

CERTIFICATION STATEMENT

6. If you are a broker or taxidermist applying on behalf of a foreign national, provide documentation to show you have a Power of Attorney to act on your client's behalf and sign the following statement (disregard no. 7).

I acknowledge that the sport-hunted trophy/trophies to be imported has been/will be personally hunted by my client and is being imported only for my client's personal use (i.e., **not for sale, transfer, donation, or exchange that is reasonably likely to result in economic use, gain, or benefit**). I understand that my client may only import two leopard trophies in one calendar year (if applicable). In addition, I have advised my client that raw ivory, once imported into the United States, cannot be re-exported.

Taxidermist/Broker's signature: _____ Date: _____

7. If you are the hunter applying to import your own trophy, please read and sign the following statement.

I acknowledge that the sport-hunted trophy/trophies to be imported has been/will be personally hunted by me and is being imported only for my personal use (i.e., **not for sale, transfer, donation, or exchange that is reasonably likely to result in economic use, gain, or benefit**). I understand that I may only import two leopard trophies in one calendar year (if applicable). In addition, I understand that raw ivory, once imported into the United States, cannot be re-exported.

Applicant's signature: (b)(6) Date: 9-12-12

8. Have you obtained all required local, Federal, or foreign government approval(s) to conduct the activity you propose? (Be aware that there may be other requirements necessary to conduct this activity such as a hunting license or collection permit.) Yes ☒ (provide a copy of the approval(s)) Have applied Not required ☐

9. Enter the U.S. port through which the [export/re-export/import] will occur. The outfitter in Tanzania is holding my hunting license on my behalf, New York City
(All wildlife, including parts and products, must be declared to and inspected by a Wildlife Inspector from the U.S. Fish and Wildlife Service Office of Law Enforcement. A list of designated ports (where an inspector is posted) is available from <http://www.fws.gov/law>.)

10. Address where you wish permit mailed (if different than page 1): (b)(6) Oakland, MI (b)(6) USA
(same as on page 1)

11. If you wish the permit to be sent to you by means other than regular mail, provide an air bill, pre-paid envelope, or billing information: N/A

12. Who should we contact if we have questions about the application? (Include name, phone number, and email.)

Catherine Rondeau (b)(6)

(b) (6)

(b) (6)

9-12-12

Date

Pay to the
Order of

U.S. Fish + Wildlife Service

\$ 200⁰⁰

Two hundred ⁰⁰/₁₀₀

Dollars



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Jackson, MI 49201
1-888-24VOICE

For

elephant + leopard CITES

(b) (6)

(b) (6)



CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES OF WILD FAUNA AND FLORA

IMPORT PERMIT

Page 1 of 2

1. Original Permit/Certificate No.

12US88593A/9

2. Valid until

10/31/2013

3. Permittee (name and address, country)

CATHERINE HAMMOND RONDEAU

(b)(6)
LEONARD MI (b)(6)
U.S.A.

4. Consignee (name and address, country)

TANGYANIKA WILDLIFE SAFARI
UHURU STREET, PLOT 38
NEAR BUGURUNI POLICE POST, P.O. BOX 21880
BUGURUNI KISIWANI
DAR ES SALAAM
TANZANIA

5. Special Conditions

MUST COMPLY WITH ATTACHED GENERAL PERMIT CONDITIONS.

SPECIMEN(S) MAY NOT BE SOLD OR TRANSFERRED FOR ANY FINANCIAL
REMUNERATION.

SEE ATTACHED PAGE 2 FOR ADDITIONAL CONDITIONS.

5a. Purpose of Transaction

H

6. U.S. Management Authority

U.S. FISH AND WILDLIFE SERVICE
DIVISION OF MANAGEMENT AUTHORITY
4401 N. FAIRFAX DRIVE
ROOM 212
ARLINGTON, VA 22203-3247May not be used for commercial purposes. For live animals, only valid
if the transport conditions comply with the CITES Guidelines for
Transport of Live Animals or, in the case of air transport, with IATA Live
Animals Regulations

11/01/2012

Issuing Date

Management Authority

United States Management Authority

AUTHORITY: Endangered Species Act of 1973 (16 USC 1531 et seq.)

7/8. Common Name and Scientific name (genus and
species) of Animal or Plant9. Description of Part or Derivative, including identifying marks
or numbers (age/sex if live)10. Appendix No. and
Source

A. Common Name

AFRICAN
ELEPHANT

Scientific Name

LOXODONTA
AFRICANA9. IMPORT IMPORT PERSONAL SPORT HUNTED TROPHY
(shipment may contain bones, hair, hide, tusks, teeth, or
any taxidermied part. DOES NOT include worked,
manufactured, or handicraft items such as curios, jewelry, or
other utilitarian items.)

10. 1. W

11. Quantity (Including units)

1 NO

12. Country of Origin
TANZANIA

B. Common Name

LEOPARD

Scientific Name

PANTHERA
PARDUS9. IMPORT PERSONAL SPORT HUNTED TROPHY
(shipment may contain bones, claws, hide, skull, teeth, or
any taxidermied part. DOES NOT include worked,
manufactured, or handicraft items such as curios, jewelry, or
other utilitarian items.)

10. 1. W

11. Quantity (Including units)

1 NO

12. Country of Origin
TANZANIA

C. Common Name

Scientific Name

9. [REDACTED]

10. [REDACTED]

11. Quantity (Including units)

12. Country of Origin

D. Common Name

Scientific Name

9. [REDACTED]

10. [REDACTED]

11. Quantity (Including units)

12. Country of Origin

E. Common Name

Scientific Name

9. [REDACTED]

10. [REDACTED]

11. Quantity (Including units)

12. Country of Origin

371235

USPS 11/1/12 65

12US88593A/9

SPECIAL PERMIT CONDITIONS

for TANZANIAN LEOPARD AND ELEPHANT TROPHY IMPORT

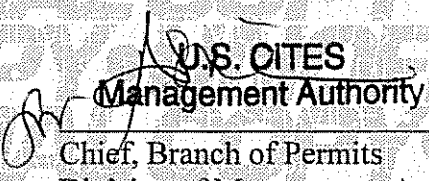
MUST IMPORT THROUGH A DESIGNATED PORT AS LISTED IN CONDITION 10 OF GENERAL PERMIT CONDITIONS.

U.S. THREATENED SPECIES: TUSKS MUST BE MARKED AS PER [50 CFR 17.40(e)]. IN ACCORDANCE WITH THE AFRICAN ELEPHANT CONSERVATION ACT, RAW IVORY, INCLUDING SPORT-HUNTED TROPHIES THAT ARE WHOLLY OR PARTIALLY IVORY, MAY NOT BE RE-EXPORTED FROM THE U.S. ELEPHANT MUST NOT HAVE BEEN TAKEN FROM ANY MORATORIUM AREA INCLUDING LONGIDO CONTROLLED HUNTING AREA (CHA).

U.S. THREATENED SPECIES [50 CFR 17.40 (f)]. PERMITTEE MAY ONLY IMPORT TWO LEOPARD TROPHIES PER CALENDAR YEAR. EACH LEOPARD SKIN MUST HAVE A SELF-LOCKING TAG ATTACHED TO IT WHICH INDICATES THE STATE OF EXPORT, THE NUMBER OF THE SPECIMEN IN RELATION TO THE ANNUAL QUOTA, AND THE CALENDAR YEAR TO WHICH THE QUOTA APPLIES. THE EXPORT PERMIT (OR RE-EXPORT CERTIFICATE) MUST CONTAIN THE TAGGING INFORMATION AS OUTLINED ABOVE.

TROPHY MUST HAVE BEEN TAKEN DURING 2012 HUNTING SEASON.

11/01/2012
Date


U.S. CITES
Management Authority
Chief, Branch of Permits
Division of Management Authority



United States Department of the Interior
FISH AND WILDLIFE SERVICES
Washington, D.C. 20240



FL-1

June 6, 2013

Catherine Hammond Rondeau

(b)(6)

Leonard, MI (b)(6)

Dear Ms. Hammond Rondeau:

On 04/12/2013, you were notified, and requested to provide additional information in regard to your application PRT- 88593A. In that request, you were informed that you needed to respond within 45 days or your application would be abandoned. Since we have not received the requested information, we have abandoned your application, and your application has been administratively closed.

If, in the future, you wish to pursue the activity for which you originally applied, you will need to submit a new application, along with any appropriate fees, to this office. Please be aware that in most cases, we will not "transfer" information from your old application to a new application. All relevant information would need to be supplied at the time a new application is submitted.

If we can be of assistance, you may contact our office at 703-358-2104 or fax 703-358-2281.

Sincerely,

Division of Management Authority
Branch of Permits



CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES OF WILD FAUNA AND FLORA

IMPORT PERMIT

Page 1 of 2

1. Original Permit/Certificate No.

13US88593A/9

2. Valid

10/31/2013

3. Permittee (name and address, country)

CATHERINE HAMMOND RONDEAU

(b)(6)
LEONARD, MI (b)(6)
U.S.A.

4. Consignee (name and address, country)

GERARD PASANISI SAFARI
P.O. BOX 21880
DAR ES SALAAM
TANZANIA

5. Special Conditions

MUST COMPLY WITH ATTACHED GENERAL PERMIT CONDITIONS.

SPECIMEN(S) MAY NOT BE SOLD OR TRANSFERRED FOR ANY FINANCIAL REMUNERATION.

SEE ATTACHED PAGE 2 FOR ADDITIONAL CONDITIONS.

THIS AMENDS AND REPLACES 12US88593A/9 ISSUED 11/01/2012.

5a. Purpose of Transaction

H

6. U.S. Management Authority

U.S. FISH AND WILDLIFE SERVICE
DIVISION OF MANAGEMENT AUTHORITY
4401 N. FAIRFAX DRIVE
ROOM 212
ARLINGTON, VA 22203-3247

May not be used for commercial purposes. For live animals, only valid if the transport conditions comply with the CITES Guidelines for Transport of Live Animals or, in the case of air transport, with IATA Live Animals Regulations.

09/12/2013

Issuing Date

U.S. CITES
Management Authority

United States Management Authority

AUTHORITY: Endangered Species Act of 1973 (16 USC 1531 et. seq.)

7/8. Common Name and Scientific name (genus and species) of Animal or Plant

9. Description of Part or Derivative, including identifying marks or numbers (age/sex if live)

10. Appendix No. and Source

A. Common Name
AFRICAN
ELEPHANTScientific Name
LOXODONTA
AFRICANA

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10. 1 W

11. Quantity (including units)

1

NO

12. Country of Origin
TANZANIA

B. Common Name

LEOPARD
Scientific Name
PANTHERA
PARDUS

9. IMPORT PERSONAL SPORT HUNTED TROPHY (shipment may contain bones, claws, hide, skull, teeth, or any taxidermied part. DOES NOT include worked, manufactured, or handicraft items such as curios, jewelry, or other utilitarian items.)

10. 1 W

11. Quantity (including units)

1

NO

12. Country of Origin
TANZANIA

C. Common Name

Scientific Name

9. [REDACTED]

10. [REDACTED]

11. Quantity (including units)

12. Country of Origin

D. Common Name

Scientific Name

9. [REDACTED]

10. [REDACTED]

11. Quantity (including units)

12. Country of Origin

E. Common Name

Scientific Name

9. [REDACTED]

10. [REDACTED]

11. Quantity (including units)

12. Country of Origin

513437

13US88593A/9

SPECIAL PERMIT CONDITIONS

for TANZANIAN LEOPARD AND ELEPHANT TROPHY IMPORT

MUST IMPORT THROUGH A DESIGNATED PORT AS LISTED IN CONDITION 10 OF GENERAL PERMIT CONDITIONS.

U.S. THREATENED SPECIES: TUSKS MUST BE MARKED AS PER [50 CFR 17.40(e)]. IN ACCORDANCE WITH THE AFRICAN ELEPHANT CONSERVATION ACT, RAW IVORY, INCLUDING SPORT-HUNTED TROPHIES THAT ARE WHOLLY OR PARTIALLY IVORY, MAY NOT BE RE-EXPORTED FROM THE U.S. ELEPHANT MUST NOT HAVE BEEN TAKEN FROM ANY MORATORIUM AREA INCLUDING LONGIDO CONTROLLED HUNTING AREA (CHA).

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TROPHY MUST HAVE BEEN TAKEN DURING 2012 HUNTING SEASON.

09/12/2013

Date

U.S. CITES
Management Authority

Chief, Branch of Permits
Division of Management Authority



CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES OF WILD FAUNA AND FLORA

IMPORT PERMIT

Page 1 of 2

1. Original Permit/Certificate No.

14US88593A/9

2. Valid

09/16/2015

3. Permittee (name and address, country)

CATHERINE HAMMOND RONDEAU

(b)(6)
LEONARD, MI (b)(6)
U.S.A.

4. Consignee (name and address, country)

GERARD PASANISI SAFARI
P.O. BOX 21880
DAR ES SALAAM
TANZANIA

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SEE ATTACHED PAGE 2 FOR ADDITIONAL CONDITIONS.

THIS RE-ISSUES AND REPLACES 12US88593A/9 ISSUED 09/12/2013.

5a. Purpose of Transaction

H

6. U.S. Management Authority

U.S. FISH AND WILDLIFE SERVICE
DIVISION OF MANAGEMENT AUTHORITY
BRANCH OF PERMITS, MS: 1A
5275 LEESBURG PIKE
FALLS CHURCH VA 22041-3803*-May not be used for commercial purposes. For live animals, only valid if the transport conditions comply with the CITES Guidelines for Transport of Live Animals or, in the case of air transport, with IATA Live Animals Regulations.*

09/17/2014

Issuing Date

CITES
Management Authority

United States Management Authority

AUTHORITY: Endangered Species Act of 1973 (16 USC 1531 et. seq.)

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ELEPHANT

Scientific Name

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AFRICANA

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10. 1 W

11. Quantity (including units)
1 NO12. Country of Origin
TANZANIA

B. Common Name

LEOPARD

Scientific Name

PANTHERA
PARDUS

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10. 1 W

11. Quantity (including units)
1 NO12. Country of Origin
TANZANIA

C. Common Name

Scientific Name

9.

10.

11. Quantity (including units)

12. Country of Origin

D. Common Name

Scientific Name

9.

10.

11. Quantity (including units)

12. Country of Origin

E. Common Name

Scientific Name

9.

10.

11. Quantity (including units)

12. Country of Origin

478614

14US88593A/9

SPECIAL PERMIT CONDITIONS

for TANZANIAN LEOPARD AND ELEPHANT TROPHY IMPORT

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09/17/2014

Date

U.S. CITES
Management Authority


Chief, Branch of Permits
Division of Management Authority

478619



Department of Interior
U.S. Fish and Wildlife Service
Federal Fish and Wildlife Permit Application Form

U.S. Fish and Wildlife Service
Division of Management Authority
Branch of Permits, MS: 1A
5275 Leesburg Pike
Falls Church, VA 22041-3803
1-800-358-2104 or 703-358-2104

Type of Activity

**IMPORT OF SPORT-HUNTED TROPHIES under Appendix I of
the Convention on International Trade in Endangered
Species (CITES) and/or U.S. Endangered Species Act (ESA)**

Complete Sections A or B, and C, D, and E of this application. U.S. address may be required in Section C, see instructions for details.
Instructions on how to make your application complete and help avoid unnecessary delays are attached.

Section A: Complete if applying as an individual

1 a. Last Name Little	1 b. First Name William	1 c. Middle Name/Initial Michael	1 d. Suffix —
2. Date of Birth (mm/dd/yyyy)	3. Telephone Number	3 a. Alternate Telephone Number	4. E-mail address

(b) (6)

Section B: Complete if applying on behalf of a business, corporation, public agency, Tribe, or institution

1a. Name of business, agency, Tribe, or institution		1b. Doing business as (DBA)	
2. Tax identification no.		3. Description of business, agency, Tribe, or institution	
4a. Principal officer Last name	4b. Principal officer First Name	4c. Principal officer Middle name/initial	4d. Suffix
5. Principal officer title		6. Primary contact name	
7a. Business telephone number	7b. Alternate telephone number	7c. Business fax number	7d. Business e-mail address

Section C: All applicants complete address information

1 a. Physical address (b) (6)				
1 b. City Weston	1 c. State FL	1 d. Zip code/Postal code (b) (6)	1 e. Country U.S.A.	
2 a. Mailing address (include if different than physical address; include name of business, agency, Tribe, or institution if applicable)				
2 b. City —	2 c. State —	2 d. Zip code/Postal code —	2 e. Country/Province —	2 f. Country —

Section D: All applicants MUST complete

1. ☒ Attach the nonrefundable application processing fee in the form of a check or money order payable to the U.S. FISH AND WILDLIFE SERVICE in the amount of \$100. Federal, Tribal, State, and local government agencies, and those acting on behalf of such agencies, are exempt from the processing fee—attach documentation of fee exempt status as outlined in instructions [50 CFR 13.11(d)].
2. Certification: I hereby certify that I have read and am familiar with the regulations contained in <i>Title 50 Part 13 of the Code of Federal Regulations</i> and the other applicable parts in subchapter B of Chapter I of Title 50, and I certify that the information submitted in this application for a permit is complete and correct. The information submitted herein may subject me to the criminal penalties of 18 U.S.C. 1001. (b) (6)
Signature of applicant/Principal Officer for permit (no photocopied or stamped signatures) Date of signature (mm/dd/yyyy) 3-11-19

Please continue to next page

E. IMPORT OF SPORT-HUNTED TROPHIES (Appendix I of CITES and/or ESA)

Note 1: This form should be used to request authorization to import trophies of species listed on Appendix I of CITES and/or as threatened or endangered under the ESA (examples include elephants, lions, cheetahs, wood bison, and markhor).

PLEASE USE FORM 3-200-19 FOR SOUTHERN AFRICAN LEOPARD TROPHIES AND SOUTHERN WHITE RHINOCEROS TROPHIES TAKEN IN NAMIBIA.

Note 2: If you hold an import permit for a trophy that you did not use, please return the unused original permit. If you are requesting reissuance of a permit because you have taken a trophy, but were unable to import it prior to the expiration of the permit, please use the renewal form (3-200-52) and return your original permit with that form.

Note 3: Applications for species listed as endangered under the U.S. Endangered Species Act are published in the Federal Register for a 30-day public comment period. Please allow at least **90 days** for the application to be processed.

Note 4: *Sport-hunted trophy* is defined at 50 CFR 23.74 as follows: *Sport-hunted trophy* means a whole dead animal or a readily recognizable part or derivative of an animal specifically identified on the accompanying CITES document that meets the following criteria:

- Is raw, processed, or manufactured;
- Was legally obtained by the hunter through hunting for his or her personal use;
- Is being imported, exported, or re-exported by or on behalf of the hunter as part of the transfer from its country of origin ultimately to the hunter's country of usual residence; and
- Includes worked, manufactured, or handicraft items made from the sport-hunted animal only when:
 - i. Such items are contained in the same shipment as raw or tanned parts of the sport-hunted animal and are for the personal use of the hunter;
 - ii. The quantity of such items is no more than could reasonably be expected given the number of animals taken by the hunter as shown on the license or other documentation of the authorized hunt accompanying the shipment; and
 - iii. The accompanying CITES documents (export document and, if appropriate, import permit) contain a complete itemization and description of all items included in the shipment.

Note 5: Certain hunting trophies, including elephants, are subject to restrictions on their use after import into the United States. Please see 50 CFR 23.55 for more information or contact the Division of Management Authority.

Please provide the following information. Complete all questions on the application. Mark questions that are not applicable with "N/A". If needed, use a separate sheet of paper. On all attachments or separate sheets you are submitting; please indicate the application question number you are addressing. If applying for more than one trophy, be sure to answer questions 4-6 for each trophy addressed in this application. If importing trophies from more than one country, you must submit a separate application for each shipment in order to obtain separate import permits.

1. Name and address where you wish the permit to be mailed, if different from page 1. If you would like expedited shipping, please enclose a self-addressed, pre-paid, computer-generated, courier service airway bill. If unspecified, all documents will be mailed via the U.S. Postal Service.

SAME Address as page 1.

2. Who should we contact if we have questions about the application (name, phone number, and e-mail)?

*NAME: WILLIAM M. LITTLE
TEL. (b) (6)
Email: (b) (6)*

3. Disqualification factor. A conviction, or entry of a plea of guilty or nolo contendere, for a felony violation of the Lacey Act, the Migratory Bird Treaty Act, or the Bald and Golden Eagle Protection Act disqualifies any such person from receiving or exercising the privileges of a permit, unless such disqualification has been expressly waived by the Service Director in response to a written petition. (50 CFR 13.21(c)) Have you or any of the owners of the business, if applying as a business, been convicted, or entered a plea of guilty or nolo contendere, forfeited collateral, or are currently under charges for any violations of the laws mentioned above?

☒ No ☐ Yes

If you answered "Yes" to Question 3, provide: a) the individual's name; b) date of charge; c) charge(s); d) location of incident; e) court; and f) action taken for each violation. Please be aware that a "Yes" response does not automatically disqualify you from getting a permit.

N/A

4. For each trophy to be imported, provide:

a. Scientific name (genus, species, and, if applicable, subspecies) and common name.

Loxodonta genus
African Bush Elephant species

b. Sex (if known). - male

5. IF ANIMAL IS CURRENTLY LIVING IN THE WILD, please enter the following information:

a. Country and place (area, region, GIS coordinates, ranch, conservancy, management area, or hunting block, AND nearest city) where wildlife is to be taken from the wild:

/

b. Date wildlife is to be hunted:

/

c. Name of hunting outfitter, safari company, or professional hunter you will be hunting with:

/

6. IF THE TROPHY HAS ALREADY BEEN TAKEN, please enter the following information:

a. Country and place (area, region, GIS coordinates, ranch, conservancy, management area, or hunting block, AND nearest city) where wildlife was removed from the wild:

Namibia - South Africa
Wuparo Conservancy
Katima Mulilo, Caprivi Strip

b. Date wildlife was hunted:

Sept. 8, 2018

- c. Name of hunting outfitter, safari company, or professional hunter you hunted with:

Daggaboy Hunting Safaris
CA Privi Hunting CC
Dawid Muller - owner

- d. The current location of the trophy (address and country) (the U.S. import permit will identify this country as the country of export/re-export and must match with the foreign export/re-export document):

Trophäendienste CC (taxidermy)
P.O. Box 21068
Windhoek, Namibia
South Africa

7. Please provide a copy of any applicable foreign government permits or licenses that were required to remove this animal from the wild (if you have not hunted yet and do not currently hold any such permits or licenses, please indicate so).

pls. See attached
Permit # 182622 - valid Sept. 2-2018 to Sept. 16-2018

8. Complete name and address of overseas person or business shipping the trophy to you. If you are applying to import a trophy directly from Namibia, you must provide the name and address of the professional hunter listed on your Namibian hunting permit [this name will appear on the face of the export permit].

Name: Daggaboy Hunting Safaris
Business Name: CA PRIVI Hunting CC
Address: P.O. Box 3041
City: Windhoek
State/Province: Namibia
Country, Postal Code: South Africa
owner: Dawid Muller

9. For species listed under the U.S. Endangered Species Act, please be aware that the U.S. Fish and Wildlife Service (Service) must make a finding that your activities will enhance or benefit wild populations of the species involved. While we will communicate with the range country for the species you will hunt or hunted when making the required findings, if you have any information that could support this finding, it would be helpful for our review if you could provide it. Please submit such information on a separate page with your application.

- a. Do you have any information regarding the population status or trend data on the species hunted?

Yes - See operator Enhancement
Questionnaire
(Enclosed)

- b. In order to hunt, you likely paid for licenses or trophy fees. What were those fees and do you have any information on how those funds were used by either the landowner, local community, or government? .00

operator Elephant Hunt Fee = \$ 29,400.00
Elephant Trophy Fee = \$ 30,000
- pls. See: Enhancement Questionnaire
(Enclosed)

- c. Do you have information on other funding activities that are being carried out, or were carried out, by the safari outfitter, professional hunter, concession holder, or landowner that provide a conservation benefit to the species being hunted/species hunted?

Yes - pls. See: operator Enhancement Questionnaire
(Enclosed)

CERTIFICATION STATEMENT (original signature must be provided for either 10 or 11 below)

10. If you are a broker or taxidermist applying on behalf of a U.S. hunter or foreign national, provide documentation to show you have a Power of Attorney to act on your client's behalf and sign the following statement:

I acknowledge that the sport-hunted trophy/trophies to be imported has been/will be personally hunted by my client and is being imported only for my client's personal use (i.e., not for sale, transfer, donation, or exchange that is reasonably likely to result in economic use, gain, or benefit). If applying for the import of an elephant trophy, I understand that my client may import no more than two elephant trophies in one calendar year.

Taxidermist/Broker's signature: _____

N/A

Date: _____

N/A

11. If you are the hunter applying to import your own trophy, please read and sign the following statement:

I acknowledge that the sport-hunted trophy/trophies to be imported has been/will be personally hunted by me and is being imported only for my personal use (i.e., not for sale, transfer, donation, or exchange that is reasonably likely to result in economic use, gain, or benefit). If applying to import an elephant trophy, I understand that I may import no more than two elephant trophies in one calendar year.

Applicant's signature: _____

(b) (6)

Date: _____

3/11/19

Be aware that there may be additional permitting or approval requirements by your local or state governments, as well as required by other Federal agencies or foreign governments to conduct your propose activity. While the Service will attempt to assist where possible, it is your responsibility to obtain such approval.

12. All international shipments must be through a designated port. A list of designated ports (where an inspector is posted) is available from [the list of designated ports](#). If you wish to use a port not listed, please contact the Office of Law Enforcement for a Designated Port Exemption Permit (form 3-200-2).

**Conservation Force
Operator Enhancement Questionnaire**

1. Name of area operator: **Daggaboy Hunting Safaris**
 - a. Years in business: **20 Years**
 - b. Name of company conducting hunt: **Caprivi Hunting cc**
2. Name of concession: **Wuparo Conservancy** Size in km²: **25,000ha**
 - a. Land tenure: ☐ Government lease ☒ Community ☐ Private ranch ☐ Private conservancy
 - b. Length of concession agreement: **5 Years** Can it be renewed? ☒ Yes ☐ No
 - c. Length of renewal, if applicable: **5 Years**
 - d. Estimated total amount received in trophy fees last year, if applicable: **\$ -**
 - e. Are there any special obligations under the concession agreement such as annual reporting, poaching control, community investment, community employment, etc.?
If so, please explain: **All of the Above**
 - f. Please provide the concession location and nearest city. Please attach a map or maps with the boundaries marked and showing the nearest city: **Katima Mulilo**
 - g. If the concession borders a national park, please provide the park name: **Nkasa Rupara and Mdumu National Parks**
 - h. Description of general and distinctive habitat features and any water features:
Swamp Land and Mopanie Forest
3. Are there any communities living in the concession? ☒ Yes ☐ No
 - a. If so, estimated number of people or villages in the concession? **±3 Villages / ±1,549 people**
4. Are there communities bordering this concession? ☒ Yes ☐ No
 - a. If so, estimated number of people or villages around the concession? **±7 Villages / ±3,500 people**
5. Relevant game populations in the concession:¹

Species name	Relative Abundance (dense/avg./sparse)	Est. population	3-Year trend (up/ down/ stable)	How monitored?
Elephant	Dense	500-1000	Stable	Game Scouts
Lion	-	-	-	-
Leopard	-	-	-	-
Prey species	-	-	-	-

6. Total employment number: **20**
 - a. Please identify the number and type of all employees:

¹ The new FWS import permit application form asks the applicant: "9. a. Do you have any information regarding the population status or trend on the species hunted?"

**Conservation Force
Operator Enhancement Questionnaire**

Type	Total number of this type	Number of this type who are local/from nearby communities
Professional Hunters	1	-
Trackers	1	1
Anti-poaching scouts/rangers	12	12
Other anti-poaching	-	-
Cooks/housekeepers	4 (2 Cooks / 2 Housekeepers)	3 (1 Cooks / 2 Housekeepers)
Community coordinators	-	-
Managers	1	1
Biologists	-	-
Others: Skinners	2	2

7. Number of staff employed in the off-season: All

- a. Please identify the number and type of staff that are engaged when the season is not open:

Type	Total number of this type	Number of locals/from nearby communities	When employed?
Anti-poaching staff	12	12	-
Managers	1	1	-
Maintenance	9	9	-
Road opening/construction	Varies	All	-
Community relations personnel	1	1	-
Others: _____	-	-	-

8. Anti-poaching efforts:²

- a. Number of anti-poaching staff: 12
- b. Are anti-poaching patrols conducted during the hunting season, off-season, or both? Both
- c. Months during which anti-poaching patrols are conducted: All Year

² The new FWS import permit application asks for activities that provide a "conservation benefit" to the species. The FWS considers anti-poaching a "conservation benefit."

**Conservation Force
Operator Enhancement Questionnaire**

- d. Number of anti-poaching patrols per month: **Daily**
- e. Description of anti-poaching equipment, vehicles, etc.: **Anti Poaching Staff dropped at points and then patrol on foot**
- f. Who is responsible for organizing and coordinating anti-poaching from prevention through successful prosecution? -
- g. Do you offer rewards to poaching informants leading to arrest? ☒ Yes ☐ No
- h. Do you offer rewards to poaching informants upon conviction? ☒ Yes ☐ No
- i. Please describe the company's anti-poaching expenditures below. What currency are the expenditures given in? **N\$**

Type of expense	Spending 2015	Spending 2016	Spending 2017	Remarks
Salaries for anti-poaching scouts	18,000	20,000	21,600	
Equipment	30,000	-	35,000	
Vehicles	-	-	-	
Petrol	-	-	-	
Rewards paid	-	-	-	
Other:	-	-	-	
TOTALS	48,000	20,000	56,600	

9. Anti-poaching results:

Category	2015	2016	2017	Remarks
Poaching incidents discovered	-	-	-	
Elephant carcasses observed	-	1	-	
Lion carcasses observed	-	-	-	
Leopard carcasses observed	-	-	-	
Ivory poachers arrested	-	-	-	
Meat poachers arrested	2	1	2	
Other poachers arrested	-	-	-	
Poachers convicted	-	-	-	
Snares/gin traps collected	-	-	-	
Firearms confiscated	-	-	-	
Vehicles confiscated	-	-	-	

**Conservation Force
Operator Enhancement Questionnaire**

Bicycles confiscated	-	-	-	
Boats/nets confiscated	-	-	-	
Tusks recovered	-	2	-	
Other: _____	-	-	-	

10. Community investment:³

- a. Does the company contribute money or goods/services to local communities?
☒ Yes ☐ No
- b. If so, what percentage or amount is shared, how often, and why (e.g., required by law, required by contract, voluntary contribution)? **Varies**
- c. Does the company provide game meat to nearby communities? ☒ Yes ☐ No
- d. If so, please estimate the amount and/or value of meat contributed in prior year and describe the meat contribution program: **The Meat of every animal shot, goes to the Community**
- e. If the company performs problem animal activities for surrounding communities (e.g., patrolling fields during the harvest, chasing away problem animals, conducting PAC hunts or PAC killings), please describe. **All of the above**
- f. Please describe any consolation payments made to local residents damaged by game species. What currency are the expenditures reported in? **-**

Species	Damage caused	Consolation paid 2015	Consolation paid 2016	Consolation paid 2017
Elephant	-	-	-	-
Lion	-	-	-	-
Leopard	-	-	-	-
Other	-	-	-	-

- g. Please describe any community projects paid for by the company. What currency are the expenditures reported in? **N\$**

Type of project	Spending 2015	Spending 2016	Spending 2017	Remarks
Education (e.g., classrooms, offices)	-	-	-	
Education (e.g., school fees, supplies)	1,000	7,000	2,500	Mostly School Fees

³ The new FWS import permit application asks for activities that provide a "conservation benefit" to the species. The FWS considers community investment a "conservation benefit."

**Conservation Force
Operator Enhancement Questionnaire**

Health (e.g., clinics, offices)	-	-	-	
Health (e.g., medical salaries, equipment)	-	-	-	
Community scout salaries	-	-	-	
Other community anti-poaching	-	-	-	
Local government activities	-	-	-	
Water infrastructure improvements	-	120,000	220,000	Community Boreholes / Solar Pumps / Water Tanks & Taps
Sports teams funded	30,000	30,000	30,000	Sports Cloths & Prize Money for Local Netball & Soccer Yearly Tournament
Construction materials donated	-	-	-	
Other: _____	-	-	-	
TOTALS	30,000	150,000	250,000	

11. How many U.S. clients did the company have in the past three years, what percentage of the company's clients were from the U.S., and what fees did they pay?

	Hunting elephant	Hunting lion	Hunting leopard	Hunting all species
Number of U.S. clients	2015: 0 2016: 1 2017: 2	2015: N/A 2016: N/A 2017: N/A	2015: N/A 2016: N/A 2017: N/A	2015: N/A 2016: N/A 2017: N/A
Percentage of U.S. clients	2015: 0% 2016: 50% 2017: 100%	2015: N/A 2016: N/A 2017: N/A	2015: N/A 2016: N/A 2017: N/A	2015: N/A 2016: N/A 2017: N/A
Trophy fees paid by U.S. clients	2015: - 2016: 180,000 2017: 180,000	2015: N/A 2016: N/A 2017: N/A	2015: N/A 2016: N/A 2017: N/A	2015: N/A 2016: N/A 2017: N/A

**Conservation Force
Operator Enhancement Questionnaire**

12. Approximate dollar amount and/or percentage of the company's revenue from U.S. clients: -
13. Other information: Please provide a narrative of other enhancement information about the operation, such as any special hunting policies (e.g., aging, size, resting areas), concession improvements (e.g., digging and maintaining boreholes, restocking), management activities, research, etc.
14. I declare that the foregoing is true and correct.

Signature:

(b) (6)

Name: **Dawid Muller**

Title: **Owner**

MINISTRY OF ENVIRONMENT AND TOURISM

Directorate Scientific Services
Private Bag 13306
Windhoek, Namibia
Enquiries: Permit Office
Tel +264-61-284 2538/2506


PERMIT No.:
182622
VALID FROM:
02.09.18
VALID TO:
16.09.18
RECEIPT No.:
STU900
PERMIT TO HUNT FOR TROPHIES

Permission is hereby granted in terms of the Nature Conservation Ordinance 1975 (Ord. 4 of 1975)

Name of Trophy Hunter:
W. M. Little
Residential Address:
Country:
U.S.A

To hunt the specified number of game for the sake of trophies:

SPECIES: COMMON NAME	NUMBER	SPECIES: COMMON NAME	NUMBER	SPECIES: COMMON NAME	NUMBER	SPECIES: COMMON NAME	NUMBER
Blesbok	/	Impala Common	2x	Springbok	/	Other	/
Buffalo	2x	Klipspringer	/	Steenbok	/	Bobcat	2x
Crocodile	/	Kudu	1x	Warthog	/	Bushbuck	1x
Dik-Dik	/	Lechwe	/	Waterbuck	1x	Report within 48 days after hunt.	/
Eland	/	Oryx (Gemsbok)	/	Wildebeest, Black	/	Advert must be in accord with Reg. 146	/
Elephant	1x	Ostrich	/	Wildebeest, Blue	1x	30% term of Native Constitution of Namibia	/
Giraffe	/	Reedbuck	/	Zebra Burchell's	1x	mentel,	/
Grey Duker	/	Rhinoceros, Black	/	Zebra Hartmann's	/		/
Hartebeest	/	Rhinoceros, White	/	Cheetah	/		/
Hippopotamus	/	Roan	1x	Leopard	/		/
Impala Black-Faced	/	Sable	/	Lion	/		/

On the following farms / hunting concessions / safari / guestfarm:

W. M. Little Conservancy
Guided by (name of guides):
D. A. Müller (Snr)
Official MET date stamp
2018-09-24

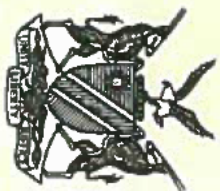
**IMPORTANT: This permit is not valid if altered in any way
and is not transferable**

A following certificate must be completed immediately after each animal that has been hunted (please print)

Date	Species	LH*	RH*	LB*	RB*	Total	RW*	Age	Male/ Female	Farm		Signatures	
										Name	No	Farm owner	Hunter -
9/18	Elephant							40	M	U	U	U	(b) (6)
9/18	Buffalo	164		31	31	226		20	U	U	U	U	

(b) (6)

= Left, R=Right, H=Horn, B=Base, RW=Rowland Ward
 trophy hunter may hunt unless accompanied by a professional hunter, master hunting guide or hunting guide.
 is permit must be kept on the person of the permit holder at all times while hunting.
 tly guide(s) as specified on the permit may conduct the hunt.



REPUBLIC OF NAMIBIA

MINISTRY OF ENVIRONMENT AND TOURISM

No.: A 04414

APPLICATION TO POSSESS CONTROLLED GAME PRODUCTS

1. Christian name(s) and surname of applicant: *W.S. V. Little*

5. From where are products obtained: *Game Reserve*

LSUPKEO

2. Identity No. / Passport No.:

3. Residential address:

49-3-A

Tel No.:

4. Postal address:

6. The following documents are attached as proof of ownership:

(a) *PERMIT NO 182692*

(b) *PERMIT NO 182748*

(c)

(d)

(e)



SIGNATURE OF APPLICANT

DATE

10/01/2019



TAX INVOICE

Caprivi Hunting Safari 2-16 September 2018

CAPRIVI HUNTING cc

PO Box 3041

Windhoek,

Namibia

Phone: +264 61 234 328

Fax: +264 88 616 310

www.daggaboy.com

BILL TO

William Michael LITTLE

(b) (6)

Invoice Number: (b) (6)

Invoice Date: August 20, 2018

Payment Due: October 5, 2018

Amount Due (USD): \$0.00

Product	Quantity	Price	Amount
Hunt Elephant / Buffalo / Plains Game 21 Day Hunt @ US\$ 1,400 per day (Mike Little)	1	\$29,400.00	\$29,400.00
Hunt Buffalo / Plains Game 10 Day Hunt @ US\$ 1400 per day (Will Little)	1	\$14,000.00	\$14,000.00
Hunt Buffalo / Plains Game 10 Day Hunt @ US\$ 1400 per day (Ashley Little)	1	\$14,000.00	\$14,000.00
Comm Dev Fee - Daily Rates 10% Community Development Fee (calculated on the Daily Rates)	1	\$5,740.00	\$5,740.00
Trophy Fee Warthog (03/09/18)	1	\$500.00	\$500.00
Trophy Fee Elephant (08/09/18)	1	\$30,000.00	\$30,000.00
Trophy Fee Buffalo (11/09/18)	2	\$7,500.00	\$15,000.00
Tip Monies Staff & Dawid	1	\$1,455.00	\$1,455.00



TAX INVOICE

Caprivi Hunting Safari 2-16 September 2018

CAPRIVI HUNTING cc
PO Box 3041
Windhoek,
Namibia

Phone: +264 61 234 328
Fax: +264 88 616 310
www.daggaboy.com

Total:	\$110,095.00
Payment on February 10, 2017 using a bank payment:	\$33,000.00
Payment on October 2, 2018 using a bank payment:	\$77,095.00

Amount Due (USD): **\$0.00**

Notes

BANKING DETAILS * Swift Code: BWLINANX * Bank: BANK WINDHOEK, PO BOX 15, WINDHOEK, NAMIBIA * Branch: MAIN BRANCH, INDEPENDENCE AVENUE, WINDHOEK * Account Name: CAPRIVI HUNTING CC, FARM HOHENHORST NO 12, PO BOX 3041, WINDHOEK * Account Number: CFC 8002464982 (US\$)

(b) (6)

(b) (6)

March 11/20/19

PAY TO THE
ORDER OF

U.S. Fish and Wildlife Service \$ 100.00
One hundred ~~and~~ DOLLARS

Bank of America
100 North Tryon Street, Charlotte, NC 28255

Import of Sport-Armed
FOR Trophies under Appendix 1

(b) (6)

(b) (6)

To: U.S. Fish and Wildlife Service

April 5th, 2019

I am applying for an African Elephant Import Permit and have completed all the required documentation which is attached.

We had planned this hunt for several years prior to the Elephant Import ban and unfortunately (due to timing) my trophy, which I had planned to import to the U.S., has been stored since 2015 in Zimbabwe.

I am writing this letter in the hopes that you can facilitate my request. I

(b) (6)

This elephant hunt was the experience of a lifetime and I hope you will assist in enabling me to return my trophy to the United States.

Sport hunting, conservation and propagation are synonymous and I am confident that once your office has reviewed the documentation I have provided (see exhibits #s 1, 2, and 3 attached) F&W will concur that the Buby Valley Conservancy (where I hunted my elephant) is concurrently achieving those goals. The question that begs asking Without conservancies like Buby Valley, which exists only because of hunters, what would be the future of the wildlife? A secondary question What would happen to the gainful employment, income and peripheral benefits that accrue to the conservancies' local communities and economy?

Any activity that takes the life of a threatened species is worthy of reflection and inspection, but to allow the emotion of a total ban to overwhelm the beneficial logic and sound conservation practices of sport hunting seems counterproductive.

Sincerely, Jim Latta

(b) (6)



Department of Interior
U.S. Fish and Wildlife Service
Federal Fish and Wildlife Permit Application Form

Type of Activity

U.S. Fish and Wildlife Service
Division of Management Authority
Branch of Permits, MS: IA
5275 Leesburg Pike
Falls Church, VA 22041-3803
1-800-358-2104 or 703-358-2104

IMPORT OF SPORT-HUNTED TROPHIES under Appendix I of the Convention on International Trade in Endangered Species (CITES) and/or U.S. Endangered Species Act (ESA)

Complete Sections A or B, and C, D, and E of this application. U.S. address may be required in Section C, see instructions for details.
Instructions on how to make your application complete and help avoid unnecessary delays are attached.

Section A: Complete if applying as an individual

1.a. Last Name LATTA	1.b. First Name JAMES	1.c. Middle Name/Initial	1.d. Suffix
2. Date of Birth (mm/dd/yyyy)	3. Telephone Number	3.a. Alternate Telephone Number	4. E-mail address
(b) (6)			

Section B: Complete if applying on behalf of a business, corporation, public agency, Tribe, or institution

1.a. Name of business, agency, Tribe, or institution		1.b. Doing business as (DBA)	
2. Tax identification no.		3. Description of business, agency, Tribe, or institution	
4.a. Principal officer Last name	4.b. Principal officer First Name	4.c. Principal officer Middle name/initial	4.d. Suffix
5. Principal officer title		6. Primary contact name	
7.a. Business telephone number	7.b. Alternate telephone number	7.c. Business fax number	7.d. Business e-mail address

Section C: All applicants complete address information

1.a. Physical address (Street address; Apartment #, Suite #, or Room #; no P.O. Boxes)				
(b) (6)				
1.b. City CRYSTAL SPRING	1.c. State PA	1.d. Zip code/Postal code (b) (6)	1.e. County/Province	1.f. Country USA
2.a. Mailing address (include if different than physical address; include name of contact person if applicable)				
2.b. City	2.c. State	2.d. Zip code/Postal code	2.e. County/Province	2.f. Country

Section D: All applicants MUST complete

1.	Attach the nonrefundable application processing fee in the form of a check or money order payable to the U.S. FISH AND WILDLIFE SERVICE in the amount of \$100 . Federal, Tribal, State, and local government agencies, and those acting on behalf of such agencies, are exempt from the processing fee—attach documentation of fee exempt status as outlined in instructions [50 CFR 13.11(d)]. (ATTACHED)
2.	Certification: I hereby certify that I have read and am familiar with the regulations contained in Title 50 Part 13 of the Code of Federal Regulations and the other applicable parts in subchapter B of Chapter I of Title 50 , and I certify that the information submitted in this application for a permit is complete and accurate to the best of my knowledge and belief. I understand that any false statement herein may subject me to the criminal penalties of 18 U.S.C. 1001.
Signature of applicant/Principal Officer for permit (No photocopied or stamped signatures) Date of signature (mm/dd/yyyy)	
(b) (6) 04/05/2019	

Please continue to next page

E. IMPORT OF SPORT-HUNTED TROPHIES (*Appendix I of CITES and/or ESA*)

Note 1: This form should be used to request authorization to import trophies of species listed on Appendix I of CITES and/or as threatened or endangered under the ESA (examples include elephants, lions, cheetahs, wood bison, and markhor).

PLEASE USE FORM 3-200-19 FOR SOUTHERN AFRICAN LEOPARD TROPHIES AND SOUTHERN WHITE RHINOCEROS TROPHIES TAKEN IN NAMIBIA.

Note 2: If you hold an import permit for a trophy that you did not use, please **return the unused original permit**. If you are requesting reissuance of a permit because you have taken a trophy, but were unable to import it prior to the expiration of the permit, please use the renewal form (3-200-52) and return your original permit with that form.

Note 3: Applications for species listed as endangered under the U.S. Endangered Species Act are published in the Federal Register for a 30-day public comment period. Please allow at least **90 days** for the application to be processed.

Note 4: *Sport-hunted trophy* is defined at 50 CFR 23.74 as follows: *Sport-hunted trophy* means a whole dead animal or a readily recognizable part or derivative of an animal specifically identified on the accompanying CITES document that meets the following criteria:

- Is raw, processed, or manufactured;
- Was legally obtained by the hunter through hunting for his or her personal use;
- Is being imported, exported, or re-exported by or on behalf of the hunter as part of the transfer from its country of origin ultimately to the hunter's country of usual residence; and
- Includes worked, manufactured, or handicraft items made from the sport-hunted animal only when:
 - i. Such items are contained in the same shipment as raw or tanned parts of the sport-hunted animal and are for the personal use of the hunter;
 - ii. The quantity of such items is no more than could reasonably be expected given the number of animals taken by the hunter as shown on the license or other documentation of the authorized hunt accompanying the shipment; and
 - iii. The accompanying CITES documents (export document and, if appropriate, import permit) contain a complete itemization and description of all items included in the shipment.

Note 5: Certain hunting trophies, including elephants, are subject to restrictions on their use after import into the United States. Please see 50 CFR 23.55 for more information or contact the Division of Management Authority.

Please provide the following information. Complete all questions on the application. Mark questions that are not applicable with "N/A". If needed, use a separate sheet of paper. On all attachments or separate sheets you are submitting; please indicate the application question number you are addressing. If applying for more than one trophy, be sure to answer questions 4-6 for each trophy addressed in this application. If importing trophies from more than one country, you must submit a separate application for each shipment in order to obtain separate import permits.

1. Name and address where you wish the permit to be mailed, if different from page 1. If you would like expedited shipping, please enclose a self-addressed, pre-paid, computer-generated, courier service airway bill. If unspecified, all documents will be mailed via the U.S. Postal Service.

N/A

2. Who should we contact if we have questions about the application (name, phone number, and e-mail)?

JAMES LATTA, (b) (6)

3. Disqualification factor. A conviction, or entry of a plea of guilty or nolo contendere, for a felony violation of the Lacey Act, the Migratory Bird Treaty Act, or the Bald and Golden Eagle Protection Act disqualifies any such person from receiving or exercising the privileges of a permit, unless such disqualification has been expressly waived by the Service Director in response to a written petition. (50 CFR 13.21(c)) Have you or any of the owners of the business, if applying as a business, been convicted, or entered a plea of guilty or nolo contendere, forfeited collateral, or are currently under charges for any violations of the laws mentioned above?

☒ No ☐ Yes

If you answered "Yes" to Question 3, provide: a) the individual's name; b) date of charge; c) charge(s); d) location of incident; e) court; and f) action taken for each violation. Please be aware that a "Yes" response does not automatically disqualify you from getting a permit.

4. For each trophy to be imported, provide:

a. Scientific name (genus, species, and, if applicable, subspecies) and common name.

AFRICAN ELEPHANT - LOXODONTA AFRICANA

b. Sex (if known). MALE

5. IF ANIMAL IS CURRENTLY LIVING IN THE WILD, please enter the following information:

a. Country and place (area, region, GIS coordinates, ranch, conservancy, management area, or hunting block, AND nearest city) where wildlife is to be taken from the wild:

N/A

b. Date wildlife is to be hunted:

N/A

c. Name of hunting outfitter, safari company, or professional hunter you will be hunting with:

N/A

6. IF THE TROPHY HAS ALREADY BEEN TAKEN, please enter the following information:

a. Country and place (area, region, GIS coordinates, ranch, conservancy, management area, or hunting block, AND nearest city) where wildlife was removed from the wild:

BUBYE VALLEY CONSERVANCY, ZIMBABWE. NEAREST CITY: BEIT BRIDGE. DISTRICT OF MATABELELAND SOUTH - BEIT BRIDGE

b. Date wildlife was hunted:

AUGUST 2015

- c. Name of hunting outfitter, safari company, or professional hunter you hunted with:

SCOTT GUTHRIE, PH. MAZUNGA SAFARIS. BUBYE VALLEY CONSERVANCY

- d. The current location of the trophy (address and country) [the U.S. import permit will identify this country as the country of export/re-export and must match with the foreign export/re-export document]:

COLLETT'S TAXIDERM
15 JOSIAH CHINAMANO RD
BELMONT, BULAWAYO ZIMBABWE

7. Please provide a copy of any applicable foreign government permits or licenses that were required to remove this animal from the wild (if you have not hunted yet and do not currently hold any such permits or licenses, please indicate so). SEE ATTACHED PERMITS FOR EACH TUSK

8. Complete name and address of overseas person or business shipping the trophy to you. If you are applying to import a trophy directly from **Namibia**, you must provide the name and address of the professional hunter listed on your Namibian hunting permit [this name will appear on the face of the export permit].

Name: VINCE HOGG
Business Name: COLLETT'S TAXIDERM
Address: 15 JOSIAH CHINAMANO RD
City: BULAWAYO
State/Province: MATABELE LAND NORTH PROVINCE
Country, Postal Code: ZIMBABWE

9. For species listed under the U.S. Endangered Species Act, please be aware that the U.S. Fish and Wildlife Service (Service) must make a finding that your activities will enhance or benefit wild populations of the species involved. While we will communicate with the range country for the species you will hunt or hunted when making the required findings, if you have any information that could support this finding, it would be helpful for our review if you could provide it. Please submit such information on a separate page with your application.

- a. Do you have any information regarding the population status or trend data on the species hunted?

SEE EXHIBIT 1

- b. In order to hunt, you likely paid for licenses or trophy fees. What were those fees and do you have any information on how those funds were used by either the landowner, local community, or government?

SEE EXHIBIT 2

- c. Do you have information on other funding activities that are being carried out, or were carried out, by the safari outfitter, professional hunter, concession holder, or landowner that provide a conservation benefit to the species being hunted/species hunted?

SEE EXHIBIT 2 AND 3

CERTIFICATION STATEMENT (original signature must be provided for either 10 or 11 below)

10. If you are a broker or taxidermist applying on behalf of a U.S. hunter or foreign national, provide documentation to show you have a Power of Attorney to act on your client's behalf and sign the following statement:

I acknowledge that the sport-hunted trophy/trophies to be imported has been/will be personally hunted by my client and is being imported only for my client's personal use (i.e., not for sale, transfer, donation, or exchange that is reasonably likely to result in economic use, gain, or benefit). If applying for the import of an elephant trophy, I understand that my client may import no more than two elephant trophies in one calendar year.

Taxidermist/Broker's signature: _____ Date: _____

11. If you are the hunter applying to import your own trophy, please read and sign the following statement:

I acknowledge that the sport-hunted trophy/trophies to be imported has been/will be personally hunted by me and is being imported only for my personal use (i.e., not for sale, transfer, donation, or exchange that is reasonably likely to result in economic use, gain, or benefit). If applying to import an elephant trophy, I understand that I may import no more than two elephant trophies in one calendar year.

Applicant's signature: _____

Date: 04/05/2019

Be aware that there may be additional permitting or approval requirements by your local or state governments, as well as required by other Federal agencies or foreign governments to conduct your propose activity. While the Service will attempt to assist where possible, it is your responsibility to obtain such approval.

12. All international shipments must be through a designated port. A list of designated ports (where an inspector is posted) is available from [the list of designated ports](#). If you wish to use a port not listed, please contact the Office of Law Enforcement for a Designated Port Exemption Permit (form 3-200-2).

question # 7

Zx1201523121

CERTIFICATE OF OWNERSHIP OF IVORY AND RHINOCEROS HORN
(Issued in terms of section 77 of the Parks and Wildlife (General) Regulations, 1990)

Cert. No 120308

Name JAMES LATTA
(b) (6)

Address WEST CHESTER
PA (b) (6) USA

Specified officer's signature and date-stamp
10 NOV 2015
REGIONAL OFFICE
P.O. BOX 2233, BULAHLE
TEL: 09 491 1111
Hille

Tusk serial number Zx1201523121

Tusk mass (kg) 10 kg

Tusk length (metric) 119 cm

Sex MALE

Authority TP2 77022

Signature of holder

Notes

1. This certificate must be returned to the Director, Department of National Parks and Wildlife Management, P.O. Box CY 140, Causeway, in the event of the tusk being cut up for manufacturing purposes, lost, stolen or exported.
2. Transfer/sale of ivory or horn is to be done at National Parks offices.

question # 7

Z4201523120

CERTIFICATE OF OWNERSHIP OF IVORY AND RHINOCEROS HORN

(Issued in terms of section 77 of the Parks and Wildlife (General) Regulations, 1990)

Cert. No. 120307

Name JAMES LATTA

(b) (6)

Address WEST CHESTER

PA

(b) (6)

USA

Specified officer's signature and date-stamp

Tusk serial number

Tusk mass (kg)

Tusk length (metric)

Sex

Authority

Signature of holder

Notes

1. This certificate must be returned to the Director, Department of National Parks and Wildlife Management, P.O. Box CY 140, Causeway, in the event of the tusk being cut up for manufacturing purposes, lost, stolen or exported.
2. Transfer/sale of ivory or horn is to be done at National Parks offices.

JAMES LATTA
 permit application
 Elephant Population

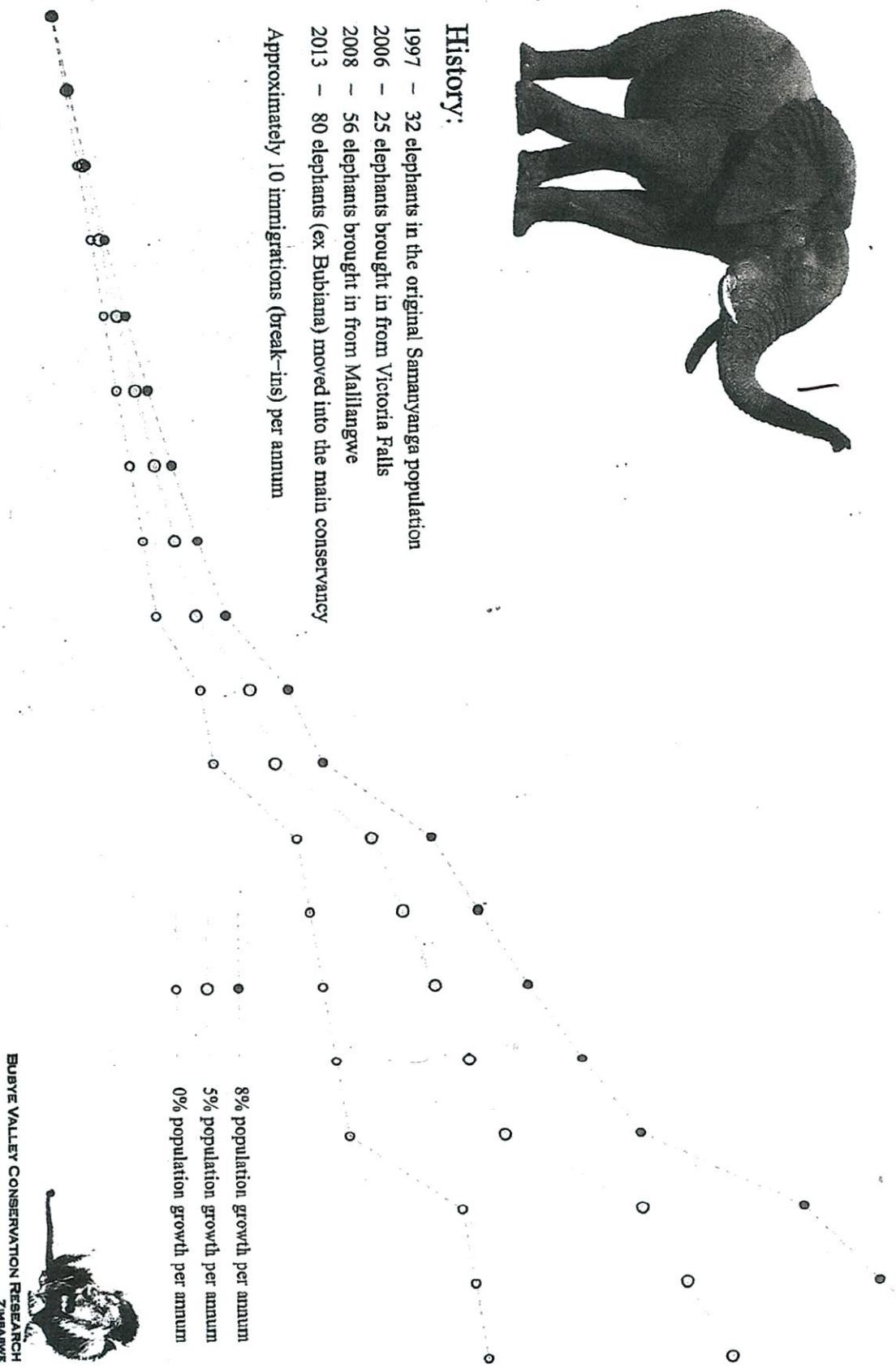
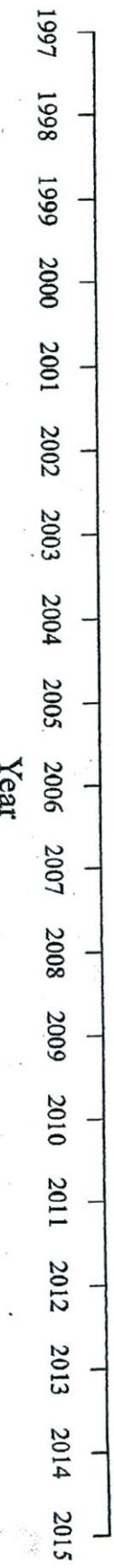
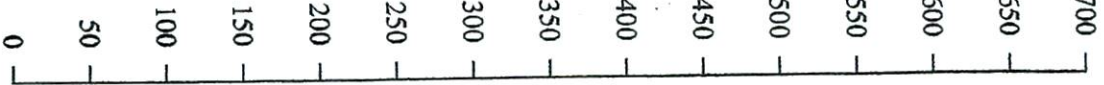
Exhibit #1
 Question #9A

BVC Elephant Population



History:

- 1997 - 32 elephants in the original Samanyanga population
 - 2006 - 25 elephants brought in from Victoria Falls
 - 2008 - 56 elephants brought in from Malilangwe
 - 2013 - 80 elephants (ex Bubiana) moved into the main conservancy
- Approximately 10 immigrations (break-ins) per annum



BUBYE VALLEY CONSERVATION RESEARCH
 ZIMBABWE



Conservation Force
Operator Enhancement Questionnaire

Exhibit # 2
v.5/8/18
question #96

1. Name of area operator: **MAZUNGA SAFARIS**
- a. Years in business: **22 YEARS**
- b. Name of company conducting hunt: **MAZUNGA SAFARIS**
2. Name of concession: **BUBYE VALLEY CONSERVANCY** Size in km²: **3400**
- a. Land tenure: ☐ Government lease ☐ Community ☐ Private ranch ☒ **XX Private Conservancy**
- b. Length of concession agreement: **OWNER** Can it be renewed? ☐ Yes ☐ No – **N/A**
- c. Length of renewal, if applicable: **N/A**
- d. Estimated total amount received in trophy fees last year, if applicable: \$ **1,890,000-00**
- e. Are there any special obligations under the concession agreement such as annual reporting, poaching control, community investment, community employment, etc.? If so, please explain:
SHAREHOLDERS EXPECT ANNUAL REPORTING AND MAZUNGA PARTICIPATES IN ALL OF THE ABOVE EARNINGS FROM HUNTING OPERATIONS FUND :-
- **THE RHINO PROTECTION OPERATIONS**
 - **COMMUNITY DEVELOPEMENT AND SUPPORT**
 - **THE MAJORITY OF THE 400 EMPLOYEES ARE FROM SURROUNDING COMMUNITIES.**
- f. Please provide the concession location and nearest city. Please attach a map or maps with the boundaries marked and showing the nearest city: **BEIT BRIDGE DISTRICT OF MATABELELAND SOUTH – BEIT BRIDGE.....MAP ATTACHED.**
- g. If the concession borders a national park, please provide the park name: **N/A**
- h. Description of general and distinctive habitat features and any water features: **LOW LYING ARID MOPANE WOODLAND WITH THE BUBYE RIVER RUNNING THROUGH THE MIDDLE OF THE PROPERTY – SEASONAL RIVER, NOT PERENIAL. A NUMBER OF DAMS CONSTRUCTED FOR GAME WATER SUPOPLIES AND ALL WATER PPOINTS (APPROX. 350) ARE PUMPED FROM THE DAMS OR BOREHOLES....I.E. ARTIFICIAL WATER POINTS.**
3. Are there any communities living in the concession? ☐ Yes ☒ **XX No**
- a. If so, estimated number of people or villages in the concession? **N/A**
4. Are there communities bordering this concession? ☒ **XX Yes** ☐ No
- a. If so, estimated number of people or villages around the concession? **OVER 2000**
5. Relevant game populations in the concession:¹

Species name	Relative Abundance	Est. population	3-Year trend (up/	How monitored?
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¹ The new FWS import permit application form asks the applicant: "9. a. Do you have any information regarding the population status or trend on the species hunted?"

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	(dense/avg./sparse)		down/ stable)	
Elephant	AVERAGE	+/- 800	INCREASING	ANNUAL ESTIMATES
Lion	DENSE	500 +	STABLE / MANAGED	ANNUAL BAITED CALL-UP SURVEY
Leopard	AVERAGE	260 - 300	STABLE	ANNUAL C/TRAP SURVEY
Prey species	DENCE		DOWN BECAUSE OF LION PREDATION	ANNUAL ROAD STRIP COUNTS

6. Total employment number: **400 +...various slightly during the year**

a. Please identify the number and type of all employees:

Type	Total number of this type	Number of this type who are local/from nearby communities
Professional Hunters	12	nil
Trackers	30+	+/- 20 %
Anti-poaching scouts/rangers	130	+/- 70%
Other anti-poaching	FENCE GUARDS 48	+/-80%
Cooks/housekeepers	43	+/- 60%
Community coordinators	4	100%
Managers	13	2
Biologists	2	NIL
Others: _____	1 NGO RESEARCHER – RHINO MONITORING	

7. Number of staff employed in the off-season: **400 +**

a. Please identify the number and type of staff that are engaged when the season is not open:

Type	Total number of this type	Number of locals/from nearby communities	When employed?
Anti-poaching staff	130	+/- 70%	FULL TIME
Managers	13	2	FULL TIME
Maintenance	30+	+/- 80%	FULL TIME
Road opening/construction	50+	+/- 80%	FULL TIME
Community relations personnel	4	4	VARIES
Others: _____	+/- 190	+/- 80%	FULL TIME

8. Anti-poaching efforts:²

a. Number of anti-poaching staff: **130 SCOUTS & 48 FENCE GUARDS**

² The new FWS import permit application asks for activities that provide a "conservation benefit" to the species. The FWS considers anti-poaching a "conservation benefit."

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Are anti-poaching patrols conducted during the hunting season, off-season, or both? **BOTH – 365 DAYS PER YEAR.**

2015 = 16393 patrol days – 2016 = 21165 patrol days – 2017 = 25199 patrol days

- c. Months during which anti-poaching patrols are conducted: **JAN. – DEC.**
- d. Number of anti-poaching patrols per month: **AVE. 50 - 55 AT ANY TIME**
- e. Description of anti-poaching equipment, vehicles, etc.: **4 MOBILE VEHICLE REACTION UNITS AND THE REST ARE FOOT PATROLS THAT ARE BASED IN SPECIFIC PATROL AREAS.**
- f. Who is responsible for organizing and coordinating anti-poaching from prevention through successful prosecution? **4 MANAGERS ARE EMPLOYED AS FULL TIME ANTI-POACHING COORDINATORS.**
- g. Do you offer rewards to poaching informants leading to arrest? **XX Yes** ___ No
- h. Do you offer rewards to poaching informants upon conviction? **XX Yes** ___ No
- i. Please describe the company's anti-poaching expenditures below. What currency are the expenditures given in? **U.S.\$**

Type of expense	Spending 2015	Spending 2016	Spending 2017	Remarks
Salaries for anti-poaching scouts				
Equipment				
Vehicles				
Petrol				
Rewards paid				
Other: _____				
Other: _____				
TOTALS	600 000	780 000	1.200 000	INCREASE THREAT TO RHINO HAS NECESSITATED AN INCREASE IN A/P EXPENDITURE OVER THE PAST 5 YEARS.

9. Anti-poaching results:

Category	2015	2016	2017	Remarks
Poaching incidents discovered	60 – 100 MEAT & 28 RHINO	60 – 100 MEAT & 51 RHINO	60 – 100 MEAT & 61 RHINO	
Elephant carcasses observed	0	0	0	NO POACHING RECORDED
Lion carcasses observed	0	0	0	NO POACHING RECORDED
Leopard carcasses observed	0	0	0	NO POACHING RECORDED
Ivory poachers arrested	0	0	0	N/A

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Meat poachers arrested	AVE. +/- 20	AVE. +/- 20	AVE. +/- 20	
Other poachers arrested - RHINO	1	1	4	Armed Rhino poachers
Poachers convicted - RHINO	0	1 + 1 killed	0	A NUMBER DEFAULTED AFTER BEING REMANDED OUT OF CUSTODY.
Snares/gin traps collected				+/- 200 SNARES PER YEAR.
Firearms confiscated	1	0	1	
Vehicles confiscated	0	0	0	
Bicycles confiscated	0	0	0	
Boats/nets confiscated	+/- 10- NETS	+/- 10- NETS	+/- 10- NETS	NO BOATS
Tusks recovered	0	0	0	
Other: RHINO HORNS	3	11	4	Note : 2018 results are far better – arrests & kills , rifles recovered & convictions. Will update on next return.

10. Community investment:³

- a. Does the company contribute money or goods/services to local communities? **XX Yes** ___ No
- b. If so, what percentage or amount is shared, how often, and why (e.g., required by law, required by contract, voluntary contribution)? **AVE OF BETWEEN US\$ 150000 TO \$250 000 PER YEAR – VOLUNTARY CONTRIBUTION.**
- c. Does the company provide game meat to nearby communities? **XX Yes** ___ No
- d. If so, please estimate the amount and/or value of meat contributed in prior year and describe the meat contribution program: **3600 KGS PER MONTHS (US\$ 10 800/MONTH) OR 43 – 44 TONS (US\$ 132 000) PER YEAR EQUALLY ALLOCATED TO THE 3 DISTRICTS THAT THE CONSERVANCY FALLS WITHIN.... Beit Bridge, Mwenezi & Gwanda**
- e. If the company performs problem animal activities for surrounding communities (e.g., patrolling fields during the harvest, chasing away problem animals, conducting PAC hunts or PAC killings), please describe. **PAC KILLINGS ON REQUEST FROM NATIONAL PARKS & WILD LIFE AUTHORITY .**
- f. Please describe any consolation payments made to local residents damaged by game species. What currency are the expenditures reported in? **NIL – CONSERVANCY HAS AN ELECTRIC GAME FENCE TO PREVENT HUMAN / WILDLIFE CONFLICT.**

Species	Damage caused	Consolation paid 2015	Consolation paid 2016	Consolation paid 2017
Elephant	N/A	N/A	N/A	N/A

³ The new FWS import permit application asks for activities that provide a “conservation benefit” to the species. The FWS considers community investment a “conservation benefit.”

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Lion	N/A	N/A	N/A	N/A
Leopard	N/A	N/A	N/A	N/A
Other	N/A	N/A	N/A	N/A

- g. Please describe any community projects paid for by the company. What currency are the expenditures reported in? **U.S.\$**

Type of project	Spending 2015	Spending 2016	Spending 2017	Remarks
Education (e.g., classrooms, offices)	71000	134000	110000	REDUCED HUNTING INCOME AND MORE FUNDS REQUIRED FOR RHINO PROTECTION OPERATIONS.
Education (e.g., school fees, supplies)				
Health (e.g., clinics, offices)	178000			MTETENGWE CLINIC – 3 YEAR PROJECT
Health (e.g., medical salaries, equipment)				
Community scout salaries				
Other community anti-poaching				
Local government activities				
Water infrastructure improvements				
Sports teams funded	2300			SPORTS UNIFORM – PENMEMENE SCHOOL ..FOR PROVINCIAL FINALS.
Construction materials donated	+/- 10 000	+/- 10 000	+/- 10 000	
Other: _____				
Other: _____				
TOTALS	261300	144000	120000	

11. How many U.S. clients did the company have in the past three years, what percentage of the company's clients were from the U.S., and what fees did they pay?

	Hunting elephant	Hunting lion	Hunting leopard	Hunting all species
Number of U.S. clients	2015: 4 2016: 6 2017: 3	2015: 9 2016: 10 2017: 10	2015: 13 2016: 12 2017: 5	2015: 103 2016: 107 2017: 96
Percentage of U.S.	2015: 100 %	2015: 75 %	2015: 87 %	2015: 90 %

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clients	2016: 6 2017: 3	2016: 10 2017: 10	2016: 12 2017: 5	2016: 107 2017: 96
Percentage of U.S. clients	2015: 100 % 2016: 66 % 2017: 60 %	2015: 75 % 2016: 91 % 2017: 46 %	2015: 87 % 2016: 86 % 2017: 83 %	2015: 90 % 2016: 92 % 2017: 81 %
Trophy fees paid by U.S. clients	2015: 15000 2016: 33660 2017: 21000	2015: 315000 2016: 425040 2017: 197800	2015: 78300 2016: 103200 2017: 74700	2015: 1602148 2016: 1478123 2017: 1403835

12. Approximate dollar amount and/or percentage of the company's revenue from U.S. clients: ---
OVER THE LAST 3 YEARS (2015, 2016 & 2017) = AVE. US\$ 4,114,248 X 87 % U.S. CLIENTS.

13. Other information: Please provide a narrative of other enhancement information about the operation, such as any special hunting policies (e.g., aging, size, resting areas), concession improvements (e.g., digging and maintaining boreholes, restocking), management activities, research, etc.

BVC IS RENOWNED FOR ITS STRICT HUNTING POLICIES AND ENFORCES ETHICAL HUNTING PRACTICES BY ALL PHS. BVC USES A CORE OF REGULAR PHS WHO ARE SELECTED ON REPUTATION AND ETHICAL BEHAVIOUR.

BVC WAS THE FIRST OPERATION TO INTRODUCE AGE MINIMUMS FOR BUFFALO, LION AND LEOPARD AND WAS INSTRUMENTAL IN PUSHING FOR ZIM. PARKS TO ADOPT AN AGE POINTS SYSTEM TO CONTROL LION AND LEOPARD QUOTAS.

AS PART OF OUR POLICY BVC DOES NOT ALLOW ANY SHOOTING FROM A VEHICLE (OTHER THAN SMALL CATS AT NIGHT) – NO HUNTING WITHIN 1 KM OF ANY CAMP – NO HUNTING OR BAITING FOR CATS WITHIN 500 M OF A WATER POINT – NO HUNTING OUT OF LARGE HERDS – NO HUNTING OF BUFFALO OUT OF HERDS (RESTRICTED TO SMALL GROUPS OF DAGA BOYS) – NO SOFT TOP BUFFALO – NO LION PRIDE MALES.....NUMEROUS OTHER RULES WHICH A PH HAS TO ABIDE BY IF HE IS TO REMAIN ONE OF OUR REGULAR / CORE PHS.

ALL OF THE ABOVE IS WELL KNOWN TO ALL ZIM. PHS AND ALL CLIENTS THAT HAVE HUNTED ON BVC.

WE HAVE 7 YEARS OF DATA FROM RESEARCH CONDUCTED ON BVC FOR LION, LEOPARD AND HERBIVORES AND THIS DATA IS OFTEN USED AT WILDLIFE WORKSHOPS AND QUOTA SETTING MEETINGS.

WITHOUT DOUBT, THERE IS NOT ANOTHER ZIMBABWEAN HUNTING OPERATION THAT DOES AS MUCH FOR THE COMMUNITIES AS BVC AND AGAIN THIS IS COMMON KNOWLEDGE AND CAN EASILY BE VARIFIED.

14. I declare that the foregoing is true and correct.

Signature: _____

Name: R.H. LEATHAM

Title: General Manager

Exhibit #3
Question 9c
and 9b

BUBYE VALLEY CONSERVANCY

Lion and Elephant Sport Hunted Trophy Permit Supplemental Information

See part 4 for Elephant
Management.

1. Background

The Buby Valley Conservancy (BVC) is a privately owned 1445 sq mi wildlife conservancy located in the Matabeleland South province of Zimbabwe. The vegetation consists largely of mopane and acacia scrub and woodlands that are transected by seasonal river-lines with associated riparian vegetation and scattered open grassland areas. Geologically BVC is characterised by younger granite formations and kopjes in the north east, a band of early Precambrian paragneisses and other sediments in a band across the northern part of the conservancy, which gives way to an extensive area of Triassic - Karroo basalt in the south. The soils are strongly influenced by the underlying geology with sandy, dystrophic soils in the northeast, sandy eutrophic soils in the central area and eutrophic, nutrient rich soils in the south. The north-south gradient in soils and rainfall results in marked north-south seasonal movements of wildebeest and zebra within the conservancy.

This lowveld habitat is one of the hottest and driest areas of Zimbabwe with summer temperatures exceeding 105 degrees F, and with an annual rainfall of less than 15 inches. Although not suitable for agriculture, the lowveld represents a high nutrient ecosystem that historically supported large numbers of medium sized herbivores, particularly blue wildebeest and zebra, and as a result, high densities of predators. The perimeter of the conservancy is secured by 257 miles of electrified double fence due to veterinary fencing requirements pertaining to the management of buffalo. There are no internal fences, and the BVC remains one of the largest contiguous wildlife conservation areas in Africa. Small-scale agro-pastoralist communities now surround much of the conservancy.

The property comprising the BVC was originally established in the early 1900's for cattle ranching and, through a series of private owners, continued as such into the late 1980's. As a cattle ranch, management practices included a systematic eradication of endemic species due to predation, competition and potential disease transmission. In 1994, after a series of devastating droughts and the collapse of cattle ranching, the property was purchased by a consortium of shareholders with an objective to maintain balanced populations of the full range of endemic species that would support an economically viable, financially profitable, and sustainable wildlife enterprise based on trophy hunting and photographic tourism. Renamed after the Buby River that flows through the property, the consortium established a wildlife conservation program focused on restocking and sustainable management. Restocking the conservancy with wild large herbivores began shortly after 1994. Now, 25 years later, through intensive research based wildlife management programs, the BVC contains one of the world's largest black rhino populations, Zimbabwe's largest lion population, and a flourishing elephant population.

Zimbabwe's natural resources are important both ecologically, providing rich biodiversity and ecosystems' services, and economically, through sustainable utilization. The Wildlife sector is an important part of these natural resources. The government of Zimbabwe acknowledges this importance and has set aside more than 14% of the land as state land for biodiversity conservation. The communal lands (CAMPFIRE areas) as well as the privately-owned land (Conservancies) also play a pivotal role in this biodiversity conservation and

sustainable utilization. The government's Land Reform Policy and Indigenization Policy have had a significant impact on the wildlife sector through their resettlement programs.

Several pieces of legislation and policies govern the wildlife sector. These include the Parks and Wildlife Act, Wildlife Based Land Reform Policy, Rural District Councils Act and the Indigenization and Economic Empowerment Act. Under the Parks and Wildlife Act of 1975 land owners were given the control and ownership of the wildlife on their property for most species. Quota setting and sport hunting on conservancies are regulated by the Zimbabwe Parks and Wildlife Management Authority (ZPWMA.)

2. Current Wildlife Management

The BVC now carries sustainable populations of the full complement of endemic megafauna with a full range of indigenous mammals, five species of large predators, fourteen species of antelope, as well as zebra, buffalo, giraffe, black and white rhino, and elephant. Lion and leopard are the principal large carnivores, closely followed by spotted and brown hyena and, although present, the abundance of wild dog and cheetah, remain low. Due to the north-south gradient in soils and rainfall, there are marked seasonal movements of wildebeest and zebra within the conservancy. All permanent water sources are maintained with boreholes, and culling is used as a tool for ungulate population control. The black rhino was introduced in 2002 and the population growth rate was accelerated by continual translocations from other areas that were unable to protect their populations as poaching escalated. The BVC black rhino population now represents the largest in Zimbabwe and the third largest in Africa. With current threats to rhinos in southern Africa, the conservancy carries a heavy burden of costs in law enforcement.

Wildlife conservation research on key ecological species was initiated in the BVC in 2009. The scope of research on these species continues to expand including population monitoring, interaction analysis, density, demographics and age structure, behavioral ecology and eco-physiology; and habitat and herbivore surveys. The research program is associated with the Wildlife Conservation Research Unit (WildCRU) at the University of Oxford, Oxford, England.

Current stocking rates of large herbivores on BVC are 2.3 to 3.5 times higher than would be expected from the relationship between mean annual rainfall and large herbivore biomass. The prey biomass of about 5,652 kg/km² on BVC would be expected to carry a large predator biomass of about 52 kg/km². Current estimates of 400 lion, 135 leopard, 400 spotted hyaena, 200 brown hyaena, 28 wild dog and 36 cheetah on BVC amount to a predator biomass of 45 kg/km², which is close to the expected carrying capacity. In multispecies predator-prey systems, however, a focus on overall stocking rates of predators and their prey can mask changing patterns of predation and impacts on high valued prey species. Road strip count censuses of large herbivores between 2013 and 2016 indicate marked declines in wildebeest and giraffe populations, with increases in impala and kudu numbers. Continual monitoring of predator populations and key prey populations will provide a sound basis for adaptive management and also provide valuable insights into managing lions and other predators in large but confined protected areas in general.

3. Lion Conservation

Lions are protected under the Convention on International Trade in Endangered Species (CITES), and are listed as threatened under the United States Endangered Species Act (ESA). Thirteen lions of Namibian origin were reintroduced to the conservancy in 1999. An additional four lions, probably from Gonarezhou National Park and the Tuli area, broke into the conservancy during the following four years. From the original 17 animals present in 1999, the Bulye Valley Conservancy lion population was estimated at approximately 280 individuals in 2009 when robust population surveys were initiated by a team from WildCRU.

By 2016 the lion population had increased at a rate of about 23% per annum from 17 lions to about 500 lions and is adversely impacting prey species of concern such as giraffe and wildebeest. The BVC lion population is the largest contiguous lion population in Zimbabwe, and one of the highest densities in Africa, greater than that of the Serengeti, Tanzania, Selous, Tanzania, Kruger National Park, South Africa, and Hwange National Park, Zimbabwe.

The range of the African lion is reported to have reduced by 75% across the continent over the last century. Currently, lion populations mostly exist in protected areas and managed hunting areas like BVC. As human settlements have expanded and restricted lion dispersal between these protected areas, the lion population in sub-Saharan Africa now exhibits a meta-population structure with limited migration between areas. Although BVC is better referred to as an isolated population due to its electrified double fence along its perimeter, it has the potential to act as a source through carefully managed translocation initiatives and may, in the near future, allow other areas to be 'rescued' where lions have since gone extinct. In 2016, BVC management offered to give away 200 lions to any area that was suitably prepared to support and protect lions. Discussions regarding potential translocation opportunities are on-going. The BVC lion population is therefore a key stronghold within Zimbabwe and the southern African region as a whole.

The options for regulating lion population growth include reducing birth rates through contraception, unilateral surgery of selected lionesses to reduce litter size, and removing selected individuals such as dispersing males, old breeding females and pride males. Contraception is being used in South Africa in small confined populations but would not be a practicable solution for a large population such as in BVC. Removal of what would normally be dispersing sub-adult males has also been widely used.

Establishing a source-sink system within a large conservancy is likely to be a workable solution where recruitment exceeds mortality in a source area and where mortality exceeds recruitment in a sink area. An experimental source-sink approach has recently been introduced in BVC. Developing appropriate predictive simulation models alongside a source-sink management approach will allow BVC to establish a rigorous and defensible adaptive management program for lions. This data will also be critical to the advancement of lion management in other large but enclosed protected areas or conservancies.

Currently the BVC lion population is regulated through management quotas as hunting off-take has minimal effect on the population. Hunting quotas are sanctioned by CITES on the basis that sport-hunting does not endanger the ultimate survival of the population. Beginning in July 2013 the ZPWMA implemented an adaptive quota management system for lion hunting based on the ages of lions.

The Buby Valley Conservancy offsets the cost of lion predation on its wildlife via sport-hunting of the species. In 2014, the lion hunting quota allocated to the Buby Valley Conservancy by ZPWMA was 10 individuals. Based the fact that the entire quota was harvested and maximum points were scored for each individual trophy (more than six years in age), the allocated quota was raised to 13 lions for 2015. Only 12/13 lions were hunted in 2015 due to a late cancellation; nevertheless, eight lions over six years old and four lions of five years old were harvested and the resultant points justifying a quota of 14 lions for 2016. Note that while the lion hunting quota for Zimbabwe has been voluntarily reduced by a national steering committee, the Buby Valley Conservancy lion hunting quota has been successively raised twice based on hunt success and age of lions hunted under the national adaptive quota management system.

All revenues generated from trophy hunting activities are returned to the BVC for conservation and management purposes. All revenues to maintain the BVC are derived entirely from trophy hunting activities, with limited additional donations from clients and a few NGOs – there are no photographic tourists visiting the Buby Valley Conservancy. Revenues generated from trophy hunting activities are critical to maintaining the land as a conservation area, as opposed to converting it into agricultural or grazing land.

The Buby Valley Conservancy lion population consumes hundreds of thousands of dollars worth of prey each year. These lions are mainly tolerated because of their ability to generate the revenue that helps to offset this expense. Without lion hunting, it would be impossible to continue managing the Buby Valley Conservancy as a wildlife area in its current form, and lions would need to be severely reduced via culling, significantly affecting the meta population. Also, it is probable that the largest privately owned wildlife area in the world would be converted back into a cattle ranching area.



4. Elephant Management

The BVC acts as a sink for dispersing elephants in southern Zimbabwe. Between 5 and 25 elephants break into the conservancy each year often due to persecution in the communal lands surrounding the conservancy. No movement occurs in the opposite direction as habitat is more favorable within the BVC boundary and the electrified internal fence prevents break-outs. Approximately 90% of the elephants that break in each year are young bulls which has resulted in a large skew in the sex ratio with considerably more males than females. The current elephant population in BVC is estimated to be approximately 700 individuals.

Hunting of elephant on the conservancy is carefully managed with only 3 non-trophies offered each year. These animals must be alone and not possess tusks in excess of 25 pounds per side. Hunters are also required to track their target animals for at least 1.5 miles to ensure that they are alone. A further 12 elephants are issued on management quota annually with the same rules applying regarding tusk weight and only lone animals being targeted. Meat from these animals is donated to the surrounding communities, which is just one of the many ways that the BVC management seeks to engage and support the local people that reside along the conservancy boundary.

Vegetation damage by elephants in BVC has increased substantially in the past two years, particularly near water, suggesting that the population may be close to exceeding the

desired carrying capacity. A study by van Wyk and Fairall in Kruger National Park, South Africa, suggested that the elephant density should be maintained below 0.75/mile² to avoid total destruction of vulnerable areas near water. This density equates to 760 individuals in BVC. While there may be large differences in the vegetation structure and composition in BVC compared to Kruger, the figure can still act as a guideline for other areas. Careful management of the elephant population is therefore critical for maintaining the health of the BVC ecosystem.

It is intended that future quotas will be based on the number of incoming animals to ensure a balanced is maintained. An import ban issued by the United States, would have a significant negative effect on elephant population management as BVC aims to attract increased value for its elephant hunts by allowing clients to upgrade to export hunts. Elephant management on the conservancy is inevitable and a loss of income as a result of an import ban would only be to the detriment of future conservancy management.

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RCVD APR 23 2019

(b) (6)		Cash Management Account®	(b) (6)
		April 5, 2019	DATE
PAY TO THE ORDER OF	U.S. Fish and Wildlife Service		\$ 100. ⁰⁰ / ₁₀₀
One hundred dollars & ⁰⁰ / ₁₀₀			DOLLARS
			
FOR	Elephant import permit		MP
(b) (6)		(b) (6)	

Julia Butzler

May 2, 2019

U.S. Fish and Wildlife Service

Division of Management Authority

Branch of Permits, MS: IA

RCVD MAY 07 2019

5275 Leesburg Pike, Falls Church, VA 22041

Good Afternoon Julia,

Per our conversation I am sending you a copy of the CITES permit for the import of the elephant ivory from my Zimbabwe hunt September 24, 2018. Please let me know if all is in order or if any additional information or forms are necessary. The tusks are currently at the taxidermist in Bulawayo, Zimbabwe.

The original application and check was received by your office on 11/20/2018 at 9:53am and signed for by Darryl. The check has been processed and I have included a statement from the bank showing check number 12874 was processed electronically on 12/18/2018 for the amount of \$100. The bank stated the method the check was processed prevents them from having a photo copy of the cancelled check.

I appreciate your help in this matter. Please feel free to contact me at any time if I can be of assistance.

Regards,

(b) (6)

James David Strickler

(b) (6)

Wells Fargo Prime Checking

Activity summary

Balance on 12/1
Deposits/Additions
Withdrawals/Subtractions
Balance on 12/31

(b) (6)

Account number: (b) (6)

JAMES DAVID STRICKLER
MRS J D STRICKLER

Wells Fargo Bank, N.A. (Member FDIC)

TEXAS account terms and conditions apply

Questions about your account: 1-800-742-4932

Worksheet to balance your account and General
Statement Policies can be found towards the
end of this statement.

Overdraft protection

Your account is linked to the following for Overdraft Protection:

(b) (6)

Interest you've earned

Interest paid this statement
Interest earned this statement period
Average collected balance
Annual percentage yield earned
Interest paid this year

(b) (6)

Transaction history

Date	Description	Check No.	Deposits/ Additions	Withdrawals/ Subtractions	Ending Daily Balance
------	-------------	-----------	------------------------	------------------------------	-------------------------

Beginning balance on 12/1

(b) (6)

(b) (6)

12/18	Fws Region 9 Payment 181217 2874 15450552220136035159	^ 2874		100.00	
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(b) (6)

Key to symbols: ^ Converted check: Paper check converted to an electronic format by your payee or designated representative.
Converted checks cannot be returned, copied or imaged.

Summary of checks (checks listed are also displayed in the preceding Transaction history section)

Number	Date	\$ Amount	Number	Date	\$ Amount	Number	Date	\$ Amount
2874	12/18	100.00	(b) (6)					

(b) (6)



Department of Interior
U.S. Fish and Wildlife Service

RCUD MAY 07 2019

LB

Federal Fish and Wildlife Permit Application Form

Type of Activity

U.S. Fish and Wildlife Service
Division of Management Authority
Branch of Permits, MS: IA
5275 Leesburg Pike
Falls Church, VA 22041-3803
1-800-358-2104 or 703-358-2104

IMPORT OF SPORT-HUNTED TROPHIES under Appendix I of
the Convention on International Trade in Endangered
Species (CITES) and/or U.S. Endangered Species Act (ESA)

Complete Sections A or B, and C, D, and E of this application. U.S. address may be required in Section C, see instructions for details.
Instructions on how to make your application complete and help avoid unnecessary delays are attached.

Section A: Complete if applying as an individual

1.a. Last Name Strickler	1.b. First Name James	1.c. Middle Name/initial David	1.d. Suffix
2. Date of Birth (mm/dd/yyyy)	3. Telephone Number	3.a. Alternate Telephone Number	3.b. E-mail address
(b) (6)			

Section B: Complete if applying on behalf of a business, corporation, public agency, Tribe, or institution

1.a. Name of business, agency, Tribe, or institution		1.b. Doing business as (DBA)	
2. Tax identification no.		3. Description of business, agency, Tribe, or institution	
4.a. Principal officer Last name	4.b. Principal officer First Name	4.c. Principal officer Middle name/initial	4.d. Suffix
5. Principal officer title		6. Primary contact name	
7.a. Business telephone number	7.b. Alternate telephone number	7.c. Business fax number	7.d. Business e-mail address

Section C: All applicants complete address information

1.a. Residential address (Street address; Apartment #, Suite #, or Room #; no P.O. Boxes)					
(b) (6)					
1.b. City Magnolia	1.c. State Texas	1.d. Zip code/Postal code (b) (6)	1.e. County/Province	1.f. Country USA	
2.a. Mailing address (include if different than physical address; include name of contact person if applicable)					
(b) (6)					
2.b. City Magnolia	2.c. State Texas	2.d. Zip code/Postal code (b) (6)	2.e. County/Province	2.f. Country USA	

Section D: All applicants MUST complete

1.	Attach the nonrefundable application processing fee in the form of a check or money order payable to the U.S. FISH AND WILDLIFE SERVICE in the amount of \$100. Federal, Tribal, State, and local government agencies, and those acting on behalf of such agencies, are exempt from the processing fee—attach documentation of fee exempt status as outlined in instructions (50 CFR 13.11(d)).
2.	Certification: I hereby certify that I have read and am familiar with the regulations contained in Title 50 Part 13 of the Code of Federal Regulations and the other applicable parts in subchapter B of Chapter I of Title 50, and I certify that the information submitted in this application for a permit is complete and accurate to the best of my knowledge and belief. I understand that any false statement herein may subject me to the criminal penalties of 18 U.S.C. 1001.
Signature of applicant/Principal Officer for permit (No photocopied or stamped signatures) Date of signature (mm/dd/yyyy)	
(b) (6)	
Please continue to next page	

E. IMPORT OF SPORT-HUNTED TROPHIES (*Appendix I of CITES and/or ESA*)

Note 1: This form should be used to request authorization to import trophies of species listed on Appendix I of CITES and/or as threatened or endangered under the ESA (examples include elephants, lions, cheetahs, wood bison, and markhor).

PLEASE USE FORM 3-200-19 FOR SOUTHERN AFRICAN LEOPARD TROPHIES AND SOUTHERN WHITE RHINOCEROS TROPHIES TAKEN IN NAMIBIA.

Note 2: If you hold an import permit for a trophy that you did not use, please return the unused original permit. If you are requesting reissuance of a permit because you have taken a trophy, but were unable to import it prior to the expiration of the permit, please use the renewal form () and return your original permit with that form.

Note 3: Applications for species listed as endangered under the U.S. Endangered Species Act are published in the Federal Register for a 30-day public comment period. Please allow at least **90 days** for the application to be processed.

Note 4: *Sport-hunted trophy* is defined at as follows: *Sport-hunted trophy* means a whole dead animal or a readily recognizable part or derivative of an animal specifically identified on the accompanying CITES document that meets the following criteria:

- Is raw, processed, or manufactured;
- Was legally obtained by the hunter through hunting for his or her personal use;
- Is being imported, exported, or re-exported by or on behalf of the hunter as part of the transfer from its country of origin ultimately to the hunter's country of usual residence; and
- Includes worked, manufactured, or handicraft items made from the sport-hunted animal only when:
 - i. Such items are contained in the same shipment as raw or tanned parts of the sport-hunted animal and are for the personal use of the hunter;
 - ii. The quantity of such items is no more than could reasonably be expected given the number of animals taken by the hunter as shown on the license or other documentation of the authorized hunt accompanying the shipment; and
 - iii. The accompanying CITES documents (export document and, if appropriate, import permit) contain a complete itemization and description of all items included in the shipment.

Note 5: Certain hunting trophies, including elephants, are subject to restrictions on their use after import into the United States. Please see 50 CFR 23.55 for more information or contact the Division of Management Authority.

Please provide the following information. Complete all questions on the application. Mark questions that are not applicable with "N/A". If needed, use a separate sheet of paper. On all attachments or separate sheets you are submitting; please indicate the application question number you are addressing. If applying for more than one trophy, be sure to answer questions 4-6 for each trophy addressed in this application. If importing trophies from more than one country, you must submit a separate application for each shipment in order to obtain separate import permits.

1. Name and address where you wish the permit to be mailed, if different from page 1. If you would like expedited shipping, please enclose a self-addressed, pre-paid, computer-generated, courier service airway bill. If unspecified, all documents will be mailed via the U.S. Postal Service.
2. Who should we contact if we have questions about the application (name, phone number, and e-mail)?

James David Strickler (b) (6)

3. Disqualification factor. A conviction, or entry of a plea of guilty or nolo contendere, for a felony violation of the Lacey Act, the Migratory Bird Treaty Act, or the Bald and Golden Eagle Protection Act disqualifies any such person from receiving or exercising the privileges of a permit, unless such disqualification has been expressly waived by the Service Director in response to a written petition. (50 CFR 13.21(c)) Have you or any of the owners of the business, if applying as a business, been convicted, or entered a plea of guilty or nolo contendere, forfeited collateral, or are currently under charges for any violations of the laws mentioned above?

☒ No ☐ Yes

If you answered "Yes" to Question 3, provide: a) the individual's name; b) date of charge; c) charge(s); d) location of incident; e) court; and f) action taken for each violation. Please be aware that a "Yes" response does not automatically disqualify you from getting a permit.

4. For each trophy to be imported, provide:

a. Scientific name (genus, species, and, if applicable, subspecies) and common name.

Loxodonta Africana

b. Sex (if known). Male

5. IF ANIMAL IS CURRENTLY LIVING IN THE WILD, please enter the following information:

a. Country and place (area, region, GIS coordinates, ranch, conservancy, management area, or hunting block, AND nearest city) where wildlife is to be taken from the wild:

b. Date wildlife is to be hunted:

c. Name of hunting outfitter, safari company, or professional hunter you will be hunting with:

6. IF THE TROPHY HAS ALREADY BEEN TAKEN, please enter the following information:

a. Country and place (area, region, GIS coordinates, ranch, conservancy, management area, or hunting block, AND nearest city) where wildlife was removed from the wild:

Zimbabwe, Africa, Masikile Ranch, Matetsi Eastern Block Safari Area in Hwange District, 40 Kms northeast of Hwange and 60 Kms southwest from Victoria Falls on the east side of Hwy A8

b. Date wildlife was hunted:

September 24, 2018

- c. Name of hunting outfitter, safari company, or professional hunter you hunted with:

Tshabezi Safaris: PH Monty Jenkinson (license #162)

- d. The current location of the trophy (address and country) [the U.S. import permit will identify this country as the country of export/re-export and must match with the foreign export/re-export document]:

Masikile Ranch, Matetsi Eastern Block in Hwange District, Zimbabwe, Africa

7. Please provide a copy of any applicable foreign government permits or licenses that were required to remove this animal from the wild (if you have not hunted yet and do not currently hold any such permits or licenses, please indicate so). see Attached

8. Complete name and address of overseas person or business shipping the trophy to you. If you are applying to import a trophy directly from Namibia, you must provide the name and address of the professional hunter listed on your Namibian hunting permit [this name will appear on the face of the export permit].

Name:

Business Name: Outbound Cargo and Trophy Exporters- Contact Person M. Nash

Address: 5 Grantham Road

City: Belmont, Bulawayo

State/Province: Zimbabwe

Country, Postal Code: Africa +263(0) 9 881531-3

9. For species listed under the U.S. Endangered Species Act, please be aware that the U.S. Fish and Wildlife Service (Service) must make a finding that your activities will enhance or benefit wild populations of the species involved. While we will communicate with the range country for the species you will hunt or hunted when making the required findings, if you have any information that could support this finding, it would be helpful for our review if you could provide it. Please submit such information on a separate page with your application.

- a. Do you have any information regarding the population status or trend data on the species hunted?

The population of Elephant is nearly double Zimbabwe's holding capacity-there are about 85,000 elephant and the holding capacity is around 45,000. The bulk of these numbers are in/around this area.

- b. In order to hunt, you likely paid for licenses or trophy fees. What were those fees and do you have any information on how those funds were used by either the landowner, local community, or government?

The area consists of small scale farms that group together to get a quota-when a trophy is taken the fees are then split with 50% going to the farms and 50% for anti-poaching, water development and administration. All the meat and most of the hide is distributed to the community-nothing goes to waste.

- c. Do you have information on other funding activities that are being carried out, or were carried out, by the safari outfitter, professional hunter, concession holder, or landowner that provide a conservation benefit to the species being hunted/species hunted?

The main benefit is the anti-poaching teams that are paid monthly to scout and protect the area from poaching. Hunting also funds community employment, helps with problem animal control destroying crops so the animal has value-they want to protect rather than poison or poach the elephant.

CERTIFICATION STATEMENT (original signature must be provided for either 10 or 11 below)

10. If you are a broker or taxidermist applying on behalf of a U.S. hunter or foreign national, provide documentation to show you have a Power of Attorney to act on your client's behalf and sign the following statement:

I acknowledge that the sport-hunted trophy/trophies to be imported has been/will be personally hunted by my client and is being imported only for my client's personal use (i.e., not for sale, transfer, donation, or exchange that is reasonably likely to result in economic use, gain, or benefit). If applying for the import of an elephant trophy, I understand that my client may import no more than two elephant trophies in one calendar year.

Taxidermist/Broker's signature: _____ Date: _____

11. If you are the hunter applying to import your own trophy, please read and sign the following statement:

I acknowledge that the sport-hunted trophy/trophies to be imported has been/will be personally hunted by me and is being imported only for my personal use (i.e., not for sale, transfer, donation, or exchange that is reasonably likely to result in economic use, gain, or benefit). If applying to import an elephant trophy, I understand that I may import no more than two elephant trophies in one calendar year.

Applicant's signature: _____ Date: _____

Be aware that there may be additional permitting or approval requirements by your local or state governments, as well as required by other Federal agencies or foreign governments to conduct your propose activity. While the Service will attempt to assist where possible, it is your responsibility to obtain such approval.

12. All international shipments must be through a designated port. A list of designated ports (where an inspector is posted) is available from _____. If you wish to use a port not listed, please contact the Office of Law Enforcement for a Designated Port Exemption Permit (form 3-200-2).

ZIMBABWE PARKS AND WILDLIFE MANAGEMENT AUTHORITY

MEMORANDUM

To: Director General

From: Area Manager HQ

Date: 21 September 2018

RE: AUTHORITY TO PROCESS SPECIAL PERMIT FOR HUNTING OUTSIDE PARKS ESTATE

Your authority is kindly sought to process the permit for Tshabezi Safaris who have applied for extension of their permit to hunt 1 x elephant with client David Strickler in the following properties:

- Matetsi Eastern Block Safaris in Hwange District Matabeleland North Province.
- Isla Farm in Hwange District Matabeleland North Province.

The original dates were from 17 September 2018 to 22 September 2018 and they want to extend the dates of their hunt to end on 26th of September 2018 under the guidance of professional hunter Monty Jenkinson FH license #162.

Kindly find attached application from the operator and copies of 2018 hunting permit and quota.

Recommended/ ~~Not Recommended~~.....

Investigation and Security Manager

Date

21/09/18

Approved/ ~~Not Approved~~.....

Head Management Services

For and on behalf of the Director General

Date

21/09/18

19. *Chlorophyll a* (Chl a) (mg/g dry weight)

\$564.77

HOF: NA 196 17-2

Passport Number

Country of issue UNITED STATES

Address: (b) (6)

Edward Hunter

Licence No. 182

Fax 14

12-20-2000/11:00 AM (Wed-Thu)

1990年12月15日

THE UNIVERSITY OF CHICAGO PRESS

Processing Agent, 204 E. 7th St., Suite 100, Minneapolis, MN 55401

Appendix

15, JOHNS CHAMBER ROAD
BEIJING
CHINA
ZIMBABWE

Snapping Again: OCEANIC CARGO AND TROPHY EXPORTERS

九九〇五

5 GRANHAM ROAD
ST. MONT.
ILLINOIS

[Foreign Agent] [Ind. Str.]

Abstract

1930 ME 3rd st. 2nd floor 2nd fl.

TING AREAS

ig Area Name

Province

Signature of Land Authority

Figure 5

1. *Adaptation to the environment*

(b) (6)

21. *Salmonella* *typhimurium*
3. *Salmonella* *typhimurium*

1940-1941

三

Letter To

17-03-3515

Is Charged For Big Dumbier Litter

23 54 54 19

Charged For Police (Garrar 1107)

0000-0001-9300-4041

is charged for 400 hours of patient visits

4222

of Accompanying Clauses

THE AREA MANAGER
PARKS AND WILDLIFE MANAGEMENT AUTHORITY
MATESI SAFARI AREA

15 SEP 2018

845 693
VICTORIA FALLS

HUNTING RETURN FORM - TRAS2 APPLICATION FOR HUNTING NP/CITES

Form 2004-05 (Revised 2004-05) (Chapter 2200)
(13/04/04) (13/04/04) (13/04/04) (13/04/04)

00000811
SUBMITTED

DETAILS OF THE SAFARI OPERATOR

Name of Operator: [REDACTED]

Client's Full Name: David G. [REDACTED]

ETA (NSF) No.: [REDACTED]

Passport Number: (b) (6)

Country of Issue: UNITED STATES

Address: [REDACTED]

Address: (b) (6)

Name of Professional Hunter: [REDACTED]
Telephone: [REDACTED]

License No.: [REDACTED]

Fax: [REDACTED]

Print or Accompanying Party:
I hereby request that my request for hunting and trapping be
processed as soon as possible after receipt of this form.

Name of Processing Agent: [REDACTED]

Address: [REDACTED]

Name of Shipping Agent: OUTBOUND CARDS AND TROPHY EXPERTISE

Address: [REDACTED]

Name of Foreign Agent: [REDACTED]

Address: [REDACTED]

1500 W. CHICAGO ROAD
BIRMINGHAM
ALABAMA
35202
1500 W. CHICAGO ROAD
BIRMINGHAM
ALABAMA
35202

HUNTING AREAS

Hunting Area Name:

Province:

Signature of Land Authority:

[REDACTED]
[REDACTED]
[REDACTED]

[REDACTED]
[REDACTED]

(b) (6)

of [REDACTED]
of [REDACTED]
Rate Charged For Big Game US\$ [REDACTED]
Rate Charged For Plains Game US\$ [REDACTED]
Rate Charged For Non-hunting guests US\$ [REDACTED]
of Accompanying Guests [REDACTED]

[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]
[REDACTED]

THE AREA MANAGER
PARKS AND WILDLIFE MANAGEMENT
MATECHI SAFARI AREA

SEP 2004
P. BAG 5020
VICTORIA FALLS

CASH RECEIPT

VAT REG-10018005

PAGE No. 1

No. 856120 17 SEP 2010

THE GOVERNMENT OF KENYA
PARIS AND WILDLIFE MANAGEMENT AUTHORITY
MATEISI SAFARI AREA

P. 843 5928
VICTORIA FALLS

RECEIPT No. [REDACTED]
DATE: [REDACTED]
REF: [REDACTED]
CUSTOMER No. [REDACTED]

PRICE	QTY	AMOUNT	VAT	TOTAL AMOUNT
5-00	1	4.35	0.65	5-00
GRAND TOTAL				
		4.35	0.65	5-00

HUNTING APPLICATION

R

CERTIFICATE OF OWNERSHIP OF IVORY AND RHINOCEROS HORN

(Issued in terms of section 77 of the Parks and Wildlife (General) Regulations, 1990)



Cert. No 123642

Name David Strickler

(b) (6)

Address Magnolia, (b) (6)

United States

Specified officer's signature and date-stamp

Tusk serial number

ZW 2015-25056

Tusk mass (kg)

3kg

Tusk length (metric)

128 cm

Sex

Male

Authority C81151

(b) (6)

Signature of holder

Notes

1. This certificate must be returned to the Director, Department of National Parks and Wildlife Management, P.O. Box CY 140, Gweru, in the event of the tusk being cut up for manufacturing purposes, lost, stolen or exported.
2. Transfer/sale of ivory or horn is to be done at National Parks offices.

L

CERTIFICATE OF OWNERSHIP OF IVORY AND RHINOCEROS HORN

(Issued in terms of section 77 of the Parks and Wildlife (General) Regulations, 1990)



Cert. No 123641

Name David Strickler

(b) (6)

Address Magnolia, (b) (6)

United States

Specified officer's signature and date-stamp

Tusk serial number

ZW 2015-25056

Tusk mass (kg)

7kg

Tusk length (metric)

131cm

Sex Male

Authority C81151

(b) (6)

Signature of holder

Notes

1. This certificate must be returned to the Director, Department of National Parks and Wildlife Management, P.O. Box CY 140, Gweru, in the event of the tusk being cut up for manufacturing purposes, lost, stolen or exported.
2. Transfer/sale of ivory or horn is to be done at National Parks offices.

RCVD MAY 08 2019

LB

TAKESHI HAYAKAWA

(b) (6)

May 2, 2019

Ms. Darcy Vargas
U.S. Fish and Wildlife Service
Division of Management Authority (DMA)
Branch of Permits, MS:IA
5275 Leesburg Pike
Falls Church, VA 22041-3803

Dear Darcy,

As I mentioned in my e-mail, I just received the Acknowledgement letter for permit No.38511D on 4/26 and I realized that I forgot to send the \$100 check for the processing fee.

Enclosed please find the check for \$100 for processing fee for PRT identification number US38511D/9.

Please apply this check for the US38511D/9.

If you have any questions, please let me know.

I often travel to Japan, but you can always reach me via e-mail.

Thank you again for your help.

Sincerely,

Takeshi Hayakawa

(b) (6)

95-233 47503
212

DATE May 2, 2019

PAY TO THE ORDER OF U.S. Fish and Wildlife Service \$ 100.00
One hundred and 00/100

CHASE

Morgan Chase Bank, N.A.
www.Chase.com

MEMO PRT # 385110

DOLLARS

(b) (6)

(b) (6)

(b) (6)

(b) (6)

(b) (6)



Department of the Interior
U.S. Fish and Wildlife Service

OMB No. 1018-0164
Expires 11/30/2016

Federal Fish and Wildlife Permit Application Form

Return to: U.S. Fish and Wildlife Service
Division of Management Authority (DMA)
Branch of Permits, MS: 1A
5275 Leesburg Pike
Falls Church, VA 22041-3803
1-800-358-2104 or 703-358-2104

Type of Activity:
**Import of Sport-hunted Trophies of
Southern African Leopard, African Elephant, and
Namibian Southern White Rhinoceros**

RCVD MAY 23 2017

LB

Complete Sections A or B, and C, D, and E of this application. U.S. address may be required in Section C, see instructions for details.
See attached instruction pages for information on how to make your application complete and help avoid unnecessary delays.

A. Complete if applying as an individual			
1.a. Last name WEATHERBY	1.b. First name JEFFERY	1.c. Middle name or initial LEE	1.d. Suffix
2. Date of birth (mm/dd/yyyy) (b) (6)	3. Occupation	4. Affiliation/ Doing business as (see instructions)	
5.a. Telephone number (b) (6)	5.b. Alternate telephone number	5.c. Fax number	5.d. E-mail address (b) (6)

B. Complete if applying on behalf of a business, corporation, public agency, Tribe, or institution			
1.a. Name of business, agency, Tribe, or institution		1.b. Doing business as (dba)	
2. Tax identification no.		3. Description of business, agency, Tribe, or institution	
4.a. Principal officer Last name	4.b. Principal officer First name	4.c. Principal officer Middle name/ initial	4.d. Suffix
5. Principal officer title		6. Primary contact name	
7.a. Business telephone number	7.b. Alternate telephone number	7.c. Business fax number	7.d. Business e-mail address

C. All applicants complete address information					
1.a. Physical address (Street address; Apartment #, Suite #, or Room #; no P.O. Boxes) (b) (6)					
1.b. City Big Lake	1.c. State Tx.	1.d. Zip code/Postal code: (b) (6)	1.e. County/Province	1.f. Country U.S.A.	
2.a. Mailing Address (include if different than physical address; include name of contact person if applicable)					
2.b. City	2.c. State	2.d. Zip code/Postal code:	2.e. County/Province	2.f. Country	

D. All applicants MUST complete	
1.	Attach check or money order payable to the U.S. FISH AND WILDLIFE SERVICE in the amount of \$100, nonrefundable processing fee. Federal, Tribal, State, and local government agencies, and those acting on behalf of such agencies, are exempt from the processing fee -- attach documentation of fee exempt status as outlined in instructions. (50 CFR 13.11(d))
2.	Do you currently have or have you ever had any Federal Fish and Wildlife permits? Yes ☐ If yes, list the number of the most current permit you have held or that you are applying to renew/re-issue: _____ No ☒
3.	Certification: I hereby certify that I have read and am familiar with the regulations contained in Title 50, Part 13 of the Code of Federal Regulations and the other applicable parts in subchapter B of Chapter 1 of Title 50, and I certify that the information submitted in this application for a permit is complete and accurate to the best of my knowledge and belief. I understand that any false statement herein may subject me to the criminal penalties of 18 U.S.C. 1001. (b) (6) _____ permit (No photocopied or stamped signatures) Date of signature (mm/dd/yyyy) 05/17/2017

Please continue to next page

E. IMPORT OF SPORT-HUNTED TROPHIES OF SOUTHERN AFRICAN LEOPARD, AFRICAN ELEPHANT, AND NAMIBIAN SOUTHERN WHITE RHINOCEROS

Note 1: If you hold an import permit for a trophy/trophies that you did not use, please **return the unused original permit**. If you are requesting reissuance of a permit because you have taken a trophy, but were unable to import it prior to the expiration of the permit, please use the renewal form (3-200-52; <http://www.fws.gov/international/permits/by-form-number/index.html>) and return your original permit with that form.

Note 2: *Sport-hunted trophy* is defined at 50 CFR 23.74 as follows: *Sport-hunted trophy* means a whole dead animal or a readily recognizable part or derivative of an animal specifically identified on the accompanying CITES document that meets the following criteria:

- (1) Is raw, processed, or manufactured;
- (2) Was legally obtained by the hunter through hunting for his or her personal use;
- (3) Is being imported, exported, or re-exported by or on behalf of the hunter as part of the transfer from its country of origin ultimately to the hunter's country of usual residence; and
- (4) Includes worked, manufactured, or handicraft items made from the sport-hunted animal only when:
 - (i) Such items are contained in the same shipment as raw or tanned parts of the sport-hunted animal and are for the personal use of the hunter;
 - (ii) The quantity of such items is no more than could reasonably be expected given the number of animals taken by the hunter as shown on the license or other documentation of the authorized hunt accompanying the shipment; and
 - (iii) The accompanying CITES documents (export document and, if appropriate, import permit) contain a complete itemization and description of all items included in the shipment.

Note 3: Certain hunting trophies, including leopard, elephant, and rhinoceros hunting trophies, are subject to restrictions on their use after import into the United States. Please see 50 CFR 23.55 for more information or contact the Division of Management Authority.

Please provide the following information. Complete all questions on the application. Mark questions that are not applicable with "N/A". If applying for more than one trophy, be sure to answer questions 2 and 3 for each trophy.

If importing trophies from more than one country, you must submit a separate application for each shipment in order to obtain separate import permits.

1. ENTER the quantity next to the name of the species you are applying to import (you may apply to import specimens of more than one species provided they have been/are being hunted in the same country):

Leopard (*Panthera pardus*) Quantity: _____ (Import is limited to two per hunter per calendar year)

African elephant (*Loxodonta africana*) Quantity: 1 (Import is limited to two per hunter per calendar year)

Note: once imported, ivory cannot be re-exported.

Southern white rhinoceros (*Ceratotherium simum simum*) from Namibia Quantity: _____ (An import permit is not required for trophies harvested in South Africa or Swaziland. If you wish to import from a different country, please use form 3-200-37).

2. **IF ANIMAL IS CURRENTLY LIVING IN THE WILD**, please enter the following:
 - a. Country and place (area, region, GIS coordinates, ranch AND nearest city) where wildlife is to be taken from the wild:
 - b. Date wildlife is to be hunted:

- c. Description of the trophy and parts you intend to import (e.g., skin, skull, shoulder mount, life size mount, claws, horns, tusks). *Skull, 2x ears, 2x Tusks, 4x feet*

3. IF THE ANIMAL IS DEAD, please enter the following:

- a. Country and place (area, region, GIS coordinates, ranch, and/or AND nearest city) where trophy was removed from the wild: *ALLDAYS, LIMPOPO Prov., REP. South Africa*

- b. Date wildlife was hunted: *June 24, 2016 - July 5, 2016*

- c. Description of the trophy and parts you intend to import (e.g., skin, skull, shoulder mount, life size mount, claws, horn, tusks): *Skull, 2x ears, 2x Tusks, 4x feet*

- d. The current location of the trophy (address and country) [the U.S. import permit will identify this country as the country of export/re-export and must match with the export/re-export document]:

*Universal Trophy Services CC
P.O. Box 11526, Tieserpoot, Pretoria, RSA 0056
Plot 114 Bashwera, Pretoria, RSA 0056*

4. Complete name and address of overseas person or business shipping the trophy to you. If you are applying to import a trophy directly from Namibia, you must provide the name and address of the professional hunter listed on your Namibian hunting permit [this name will appear on the face of the export permit].

Name: Business *Universal Trophy Services CC*
Name: Address: *P.O. Box 11526, Tieserpoot 0056*
Address: *Plot 114 Bashwera*
City: *Pretoria*
State/Province: *Gauteng*
Country, Postal Code: *Republic of South Africa 0056*

5. Please be aware that the U.S. Fish and Wildlife Service may need to make a finding that your activities will enhance or benefit wild populations of the species involved. If you have any information that could support this finding (e.g., how the funds from license/trophy fees will be spent, what portion of the hunting fee will support conservation), please submit such information on a separate page with your application.

CERTIFICATION STATEMENT (original signature must be provided for either 6 or 7 below)

6. If you are a broker or taxidermist applying on behalf of a foreign national, provide documentation to show you have a Power of Attorney to act on your client's behalf and sign the following statement.

I acknowledge that the sport-hunted trophy/trophies to be imported has been/will be personally hunted by my client and is being imported only for my client's personal use (i.e., not for sale, transfer, donation, or exchange that is reasonably likely to result in economic use, gain, or benefit). I understand that my client may only import two leopard trophies in one calendar year. I understand that my client may only import two African elephant trophies in one calendar year. In addition, I have advised my client that raw ivory, once imported into the United States, cannot be re-exported.

Taxidermist/Broker's signature: _____ Date: _____

7. If you are the hunter applying to import your own trophy, please read and sign the following statement.

I acknowledge that the sport-hunted trophy/trophies to be imported has been/will be personally hunted by me and is being imported only for my personal use (i.e., **not for sale, transfer, donation, or exchange that is reasonably likely to result in economic use, gain, or benefit**). I understand that I may only import two leopard trophies in one calendar year. I understand that I may only import two African elephant trophies in one calendar year. In addition, I understand that raw ivory, once imported into the United States, cannot be re-exported.

Applicant's signature: (b) (6) Date: 05/17/2017

Be aware that there may be additional permitting or approval requirements by your local or state governments, as well as required by other Federal agencies or foreign government to conduct your propose activity. While the Service will attempt to assist where possible, it is your responsibility to obtain such approval.

8. All international shipment(s) must be through a designated port. A list of designated ports (where an inspector is posted) is available from <http://www.fws.gov/le/designated-ports.html>. If you wish to use a port not listed, please contact the Office of Law Enforcement for a Designated Port Exemption Permit (form 3-200-2).

*Dallas/Ft. Worth, Tx.
1639 West 23, Suite 105
DFW Airport, Texas 75261*

9. Name and address where you wish the permit to be mailed, if different from page 1 (All permits will be mailed via the U.S. Postal Service, unless you identify an alternative means below):

Jeff Weatherby

(b) (6)

Big Lake, Tx.

(b) (6)

10. If you wish the permit to be delivered by means other than USPS regular mail, provide an air bill, pre-paid envelope, or billing information. If you do not have a pre-paid envelope or air bill and wish to pay for a courier service with your credit card, please check the box below. Please DO NOT include credit card number or other information; you will be contacted for this information.

☐ If a permit is issued, please send it via a courier service to the address on page 1 or question 9. I understand that you will contact me for my credit card information once the application has been processed.

11. Who should we contact if we have questions about the application? (Include name, phone number, and email):

Jeff Weatherby

(b) (6)

12. **Disqualification Factor.** A conviction, or entry of a plea of guilty or nolo contendere, for a felony violation of the Lacey Act, the Migratory Bird Treaty Act, or the Bald and Golden Eagle Protection Act disqualifies any such person from receiving or exercising the privileges of a permit, unless such disqualification has been expressly waived by the Service Director in response to a written petition. (50 CFR 13.21(c)) Have you or any of the owners of the business, if applying as a business, been convicted, or entered a plea of guilty or nolo contendere, forfeited collateral, or are currently under charges for any violations of the laws mentioned above?

☐ Yes ☒ No If you answered "Yes" provide: a) the individual's name, b) date of charge, c) charge(s), d) location of incident, e) court, and f) action taken for each violation.

(b) (6)

88-2127/1113

(b) (6)

DATE

5-18-17

PAY TO
THE ORDER OF

US Fish & Wildlife Service

\$ 100.00

one hundred and 00/100

DOLLARS



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SECURITY BANK

888.303.8298

www.mysbank.com

Member FDIC

MEMO

Jeff Weatherly

(b) (6)

(b) (6)

SPECIALTY BANK



Rivera, Luis <luis_rivera@fws.gov>

PRT# 36822C

1 message

Rivera, Luis <luis_rivera@fws.gov>

Wed, Jun 21, 2017 at 10:55 AM

To: (b) (6)

Good morning.

I have been assigned your application to import a sport-hunted elephant trophy from South Africa. In order to continue processing your application, please provide the GIS coordinates of where the elephant was removed from the wild as stated in question 3a., page 3 of the application.

Please be aware that if the requested information is not received by this office within 45 days, your application will be abandoned and administratively closed. Once a file is closed, you will need to submit a new application and all required fees for the Service to consider your proposed activity.

--

Sincerely,

Luis Rivera
Legal Instrument Examiner
USFWS/Division of Management Authority
Branch of Permits, MS: IA
5275 Leesburg Pike
Falls Church, VA 22041-3803
703-358-2604
Fax: 703-358-2881
Email: Luis_Rivera@fws.gov

Jeff Weatherby (b) (6)

7:14 AM (4 hours ago)



to me

Mr. Rivera,

Reference PRT#36822C, the GIS is
-22.2534060 , 29.1082580. If you need anything else please contact me. Thanks, Jeff Weatherby

Sent from my iPhone





Rivera, Luis <luis_rivera@fws.gov>
to Jeff

4:34 PM (18 hours ago)



Good afternoon.

In order to finish processing your application, please confirm the address of the location where the elephant was removed from the wild.



Jeff Weatherby
to me

9:51 PM (12 hours ago)



Luis, the message you sent didn't come through on my phone. Could you send it again, please? Thanks, Jeff

Sent from my iPhone

On Sep 21, 2017, at 15:34, Rivera, Luis <luis_rivera@fws.gov> wrote:



Jeff Weatherby (b) (6)
to me

9:57 PM (12 hours ago)



It came through. Thanks, Jeff

Sent from my iPhone



Jeff Weatherby (b) (6)
to me

8:41 AM (1 hour ago)



Lat. -22 degrees 15 minutes 12.26 seconds

Long. 29 degrees 6 minutes 29.7282 seconds. I hope this is what you need. Thanks, Jeff

Sent from my iPhone



Rivera, Luis <luis_rivera@fws.gov>
to Jeff

9:21 AM (1 hour ago)



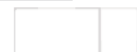
Good morning.

Thank you for providing the coordinates. What we need to confirm is the address of the location where the elephant was taken.



Jeff Weatherby (b) (6)
to me

10:30 AM (10 minutes ago)



Luis,

The only address that I have is: Eugene Small
Mapungubwe Game Reserve
P.O. Box 123
Alldays 0909
Republic of South Africa

Hope this is it. Thanks, Jeff



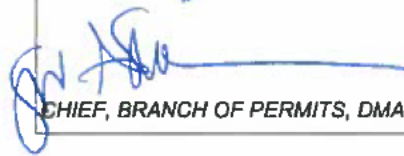
From: "Rivera, Luis" <luis_rivera@fws.gov>
To: Jeff Weatherby (b) (6)
Sent: Friday, September 22, 2017 8:21 AM
Subject: Re: PRT# 36822C



Permit Number: MA36822C-0
Effective: 09/22/2017 Expires: 09/21/2018

Issuing Office:

Department of the Interior
U.S. FISH AND WILDLIFE SERVICE
DIVISION OF MANAGEMENT AUTHORITY
BRANCH OF PERMITS, MS: IA
5275 LEESBURG PIKE
FALLS CHURCH VA 22041-3803



CHIEF, BRANCH OF PERMITS, DMA

Permittee:

JEFFERY LEE WEATHERBY

(b) (6)

BIG LAKE, TX (b) (6)
U.S.A.

Authority: Statutes and Regulations: 16 USC 1539 (a); 50 CFR 17.40 (e).

Location where authorized activity may be conducted:
IMPORT THROUGH ANY PORT LISTED IN 50 CFR 14.12

Reporting requirements: Not applicable

Authorizations and Conditions:

- A. Authorized to import the sport-hunted trophy of one African elephant (*Loxodonta africana*), taken in South Africa for the purpose of enhancement of the survival of the species.
- B. Specimen may not be sold or transferred for any financial remuneration.
- C. U.S. Threatened Species: tusks must be marked as per [50 CFR 17.40(e)]. In accordance with the African Elephant Conservation Act, raw ivory, including sport-hunted trophies that are wholly or partially ivory, may not be re-exported from the U.S.
- D. Trophy must have been taken during the 2016 hunting season.
- E. Trophy must be accompanied by a valid Convention on International Trade in Endangered Species (CITES) Appendix II export permit issued by the Management Authority of South Africa.
- F. General conditions set out in Subpart D of 50 CFR 13, and specific conditions contained in Federal regulations cited above, are hereby made a part of this permit. All activities authorized herein must be carried out in accord with and for the purposes described in the application submitted. Continued validity, or renewal of this permit is subject to complete and timely compliance with all applicable conditions, including the filing of all required information and reports.
- G. The validity of this permit is also conditioned upon strict observance of all applicable foreign, state, local, tribal, or other federal law. **This permit can be photocopied.**
- H. Valid for use by permittee named above.
- I. Acceptance of this permit serves as evidence that the permittee understands and agrees to abide by the "General Permit Conditions" (copy attached).



RCVD APR 23 2019

**Department of Interior
U.S. Fish and Wildlife Service
Federal Fish and Wildlife Permit Application Form**

U.S. Fish and Wildlife Service
Division of Management Authority
Branch of Permits, MS: IA
5275 Leesburg Pike
Falls Church, VA 22041-3803
1-800-358-2104 or 703-358-2104

Type of Activity

REISSUANCE, RENEWAL, OR AMENDMENT OF A PERMIT
(For this application, all permits, registrations, and certificates are referred to as a permit.)

Complete Sections A or B, and C, D, and E of this application. U.S. address may be required in Section C, see instructions for details. Instructions on how to make your application complete and help avoid unnecessary delays are attached.

Section A: Complete if applying as an individual

1.a. Last Name WEATHERBY	1.b. First Name JEFFERY	1.c. Middle Name/Initial LEE	1.d. Suffix
2. Date of Birth (mm/dd/yyyy) (b) (6)	3. Telephone Number (b) (6)	3.a. Alternate Telephone Number (b) (6)	3.b. E-mail address (b) (6)

Section B: Complete if applying on behalf of a business, corporation, public agency, Tribe, or institution

1.a. Name of business, agency, Tribe, or institution		1.b. Doing business as (DBA)	
2. Tax identification no.		3. Description of business, agency, Tribe, or institution	
4.a. Principal officer Last name	4.b. Principal officer First Name	4.c. Principal officer Middle name/initial	4.d. Suffix
5. Principal officer title		6. Primary contact name	
7.a. Business telephone number	7.b. Alternate telephone number	7.c. Business fax number	7.d. Business e-mail address

Section C: All applicants complete address information

1.a. Physical address (City, State, and Zip Code; Suite #, or Room #, or P.O. Box) (b) (6)					
1.b. City Big Lake	1.c. State Tx.	1.d. Zip code/Postal code (b) (6)	1.e. County/Province	1.f. Country U.S.A.	
2.a. Mailing address (include if different than physical address; include name of contact person if applicable)					
2.b. City	2.c. State	2.d. Zip code/Postal code	2.e. County/Province	2.f. Country	

Section D: All applicants MUST complete

1. Attach the nonrefundable application processing fee in the form of a check or money order payable to the U.S. FISH AND WILDLIFE SERVICE in the amount identified on page 6 and 7. Federal, Tribal, State, and local government agencies, and those acting on behalf of such agencies, are exempt from the processing fee – attach documentation of fee exempt status as outlined in instructions [50 CFR 13.11(d)].	
2. Certification: I hereby certify that I have read and am familiar with the regulations contained in Title 50 Part 13 of the Code of Federal Regulations and the other applicable parts in subchapter B of Chapter I of Title 50, and I certify that the information submitted in this application for a permit is complete and accurate to the best of my knowledge and belief. I understand that any false statement herein may subject me to the criminal penalties of 18 U.S.C. 1001. (b) (6)	
Signature of applicant or Principal Officer for permit (no photocopied or stamped signatures) Date of signature (mm/dd/yyyy) 04/16/2019	
Please continue to next page	

E. REISSUANCE, RENEWAL, OR AMENDMENT OF A PERMIT (For this application, all permits, registrations, and certificates are referred to as a permit.)

NOTE 1: If you are renewing your Designated Port Exemption permit, use [form 3-200-2](#) and submit to appropriate Office of Law Enforcement address. If you are renewing your Import/Export license (required for commercial activities), use [form 3-200-3](#) and submit to appropriate Office of Law Enforcement address.

NOTE 2: This form **cannot** be used for a lost or damaged permit. If you need to replace a lost or damaged permit, please use [form 3-200-66](#). The application **must** be submitted to the office that issued the initial permit. Lost or damaged permit

NOTE 3: Some activities, such as all master files for multiple shipments, Certificate of Scientific Exchange (COSE), circus/traveling exhibits, and artificially propagated plants, can only be re-issued, renewed, or amended by submitting a new application for permits for those activities. Please refer to [these application types](#) to determine if another application form would be more appropriate or contact the Division of Management Authority for more information.

1. Name and address where you wish the permit to be mailed, **if different from page 1**. If you would like expedited shipping, please enclose a self-addressed, pre-paid, computer-generated, courier service airway bill. If unspecified, all documents will be mailed via regular mail through the U.S. Postal Service.

2. Who should we contact if we have questions about the application (name, phone number, and e-mail)?

JEFF WEATHERBY

(b) (6)

(b) (6)

3. Disqualification factor. A conviction, or entry of a plea of guilty or nolo contendere, for a felony violation of the Lacey Act, the Migratory Bird Treaty Act, or the Bald and Golden Eagle Protection Act disqualifies any such person from receiving or exercising the privileges of a permit, unless such disqualification has been expressly waived by the Service Director in response to a written petition. (50 CFR 13.21(c)) Have you or any of the owners of the business, if applying as a business, been convicted, or entered a plea of guilty or nolo contendere, forfeited collateral, or are currently under charges for any violations of the laws mentioned above?

☒ No ☐ Yes

If you answered "Yes" to Question 3, provide: a) the individual's name; b) date of charge; c) charge(s); d) location of incident; e) court; and f) action taken for each violation. Please be aware that a "Yes" response does not automatically disqualify you from getting a permit.

4. **Permit number.** Enter the permit number to be reissued/renewed/amended.

Prt#: MA 36822C-0

5. Submit the original permit with this application.

6. Past activities.

- a. Provide copies of all cleared documents and form 3-177 (FWS declaration of wildlife) associated with this permit. *Not available, Trophy is in South Africa.*
- b. Provide a summary detailing activities conducted under this permit, as well as a brief statement of why you are seeking reissuance/renewal. *Trophy was not ready to ship before permit expired.*

7. **Annual Report.** If required by your permit, provide an annual report as conditioned (Please disregard if you have already submitted your annual report.)

8. **Sport-hunted trophies:** If you did not hunt during the hunting season stated in your original application, you are not eligible for a renewal. Please submit a new application form.

9. **Certification** - Complete one of the statements below and supply any additional documentation requested: (original signature is required)

- a. For **NO CHANGES** to original application:

I certify that the information submitted in support of my original application for the permit indicated above has not changed and is still currently correct. I hereby request reissuance or renewal of this permit.

Permittee's signature: (b) (6) Date: 04/16/2019

- b. For **CHANGES** to original application:

On an attached page(s), provide a complete description of any changes (e.g., change in principal officer, personnel, address, location of activities, types of activities). Please sign each attached page. Also note that we may need to request additional information regarding the changes after reviewing your initial request.

I certify that the information submitted in support of my original application for the permit indicated above is still currently correct EXCEPT for the changes noted on the attached, signed page(s). I hereby request re-issuance or renewal of this permit with the indicated changes.

Permittee's signature: _____ Date: _____

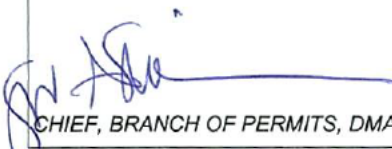
All international shipment(s) must be through a designated port, unless otherwise authorized. [A list of designated ports](#) (where an inspector is posted) is available. If you wish to use a port not listed, please contact either the Office of Law Enforcement for a Designated Port Exemption Permit (form 3-200-2) or the Division of Management Authority.



Permit Number: MA36822C-0
Effective: 09/22/2017 Expires: 09/21/2018

Issuing Office:

Department of the Interior
U.S. FISH AND WILDLIFE SERVICE
DIVISION OF MANAGEMENT AUTHORITY
BRANCH OF PERMITS, MS: IA
5275 LEESBURG PIKE
FALLS CHURCH VA 22041-3803


CHIEF, BRANCH OF PERMITS, DMA

Permittee:

JEFFERY LEE WEATHERBY

(b) (6)

BIG LAKE, TX (b) (6)
U.S.A.

Original, Unused
Permit Returned

Authority: Statutes and Regulations: 16 USC 1539 (a); 50 CFR 17.40 (e).

Location where authorized activity may be conducted:

IMPORT THROUGH ANY PORT LISTED IN 50 CFR 14.12

Reporting requirements: Not applicable

Authorizations and Conditions:

- A. Authorized to import the sport-hunted trophy of one African elephant (*Loxodonta africana*), taken in South Africa for the purpose of enhancement of the survival of the species.
- B. Specimen may not be sold or transferred for any financial remuneration.
- C. U.S. Threatened Species: tusks must be marked as per [50 CFR 17.40(e)]. In accordance with the African Elephant Conservation Act, raw ivory, including sport-hunted trophies that are wholly or partially ivory, may not be re-exported from the U.S.
- D. Trophy must have been taken during the 2016 hunting season.
- E. Trophy must be accompanied by a valid Convention on International Trade in Endangered Species (CITES) Appendix II export permit issued by the Management Authority of South Africa.
- F. General conditions set out in Subpart D of 50 CFR 13, and specific conditions contained in Federal regulations cited above, are hereby made a part of this permit. All activities authorized herein must be carried out in accord with and for the purposes described in the application submitted. Continued validity, or renewal of this permit is subject to complete and timely compliance with all applicable conditions, including the filing of all required information and reports.
- G. The validity of this permit is also conditioned upon strict observance of all applicable foreign, state, local, tribal, or other federal law. **This permit can be photocopied.**
- H. Valid for use by permittee named above.
- I. Acceptance of this permit serves as evidence that the permittee understands and agrees to abide by the "General Permit Conditions" (copy attached).

RCVD APR 23 2019

(b) (6) 88-2127/1113 (b) (6)

DATE 4-16-19

PAY TO THE ORDER OF US Fish & Wildlife Service \$ 100.00

one hundred and 00/100 DOLLARS

 **SECURITY BANK**
888.303.8298
www.mysbank.com
Member FDIC

MEMO Elephant renewal

(b) (6)



Department of the Interior
U.S. Fish and Wildlife Service

OMB No. 1018-0164
Expires 11/30/2016

Federal Fish and Wildlife Permit Application Form

RCVD JUN 27 2017

Return to: U.S. Fish and Wildlife Service
Division of Management Authority (DMA)
Branch of Permits, MS: IA
5275 Leesburg Pike
Falls Church, VA 22041-3803
1-800-358-2104 or 703-358-2104

Type of Activity:
Import of Sport-hunted Trophies of
Southern African Leopard, African Elephant, and
Namibian Southern White Rhinoceros

Complete Sections A or B, and C, D, and E of this application. U.S. address may be required in Section C, see instructions for details.
See attached instruction pages for information on how to make your application complete and help avoid unnecessary delays.

A. Complete if applying as an individual			
1.a. Last name Cusick	1.b. First name Todd	1.c. Middle name or initial Dennis	1.d. Suffix
2. Date of birth (mm/dd/yyyy) (b) (6)	3. Occupation	4. Affiliation/ Doing business as (see instructions) N/A	
5.a. Telephone number (b) (6)	5.b. Alternate telephone number N/A	5.c. Fax number N/A	5.d. E-mail address (b) (6)

B. Complete if applying on behalf of a business, corporation, public agency, Tribe, or institution			
1.a. Name of business, agency, Tribe, or institution		1.b. Doing business as (dba)	
2. Tax identification no.		3. Description of business, agency, Tribe, or institution	
4.a. Principal officer Last name	4.b. Principal officer First name	4.c. Principal officer Middle name/ initial	4.d. Suffix
5. Principal officer title		6. Primary contact name	
7.a. Business telephone number	7.b. Alternate telephone number	7.c. Business fax number	7.d. Business e-mail address

C. All applicants complete address information				
1.a. Physical address (Street address; Apartment #, Suite #, or Room #; no P.O. Boxes) (b) (6)				
1.b. City Provo	1.c. State UT	1.d. Zip code/Postal code: (b) (6)	1.e. County/Province	1.f. Country USA
2.a. Mailing Address (include if different than physical address; include name of contact person if applicable)				
2.b. City	2.c. State	2.d. Zip code/Postal code:	2.e. County/Province	2.f. Country

D. All applicants MUST complete	
1.	Attach check or money order payable to the U.S. FISH AND WILDLIFE SERVICE in the amount of \$100, nonrefundable processing fee. Federal, Tribal, State, and local government agencies, and those acting on behalf of such agencies, are exempt from the processing fee – attach documentation of fee exempt status as outlined in instructions. (50 CFR 13.11(d))
2.	Do you currently have or have you ever had any Federal Fish and Wildlife permits? Yes ☐ If yes, list the number of the most current permit you have held or that you are applying to renew/re-issue: _____ No ☒
3.	Certification: I hereby certify that I have read and am familiar with the regulations contained in Title 50, Part 13 of the Code of Federal Regulations and the other applicable parts in subchapter B of Chapter I of Title 50, and I certify that the information submitted in this application for a permit is complete and accurate to the best of my knowledge and belief. I understand that any false statement herein may subject me to the criminal penalties of 18 U.S.C. 1001. (b) (6) Signature of applicant/person responsible for permit (No photocopied or stamped signatures) Date of signature (mm/dd/yyyy) 6/17/17

Please continue to next page

E. IMPORT OF SPORT-HUNTED TROPHIES OF SOUTHERN AFRICAN LEOPARD, AFRICAN ELEPHANT, AND NAMIBIAN SOUTHERN WHITE RHINOCEROS

Note 1: If you hold an import permit for a trophy/trophies that you did not use, please **return the unused original permit**. If you are requesting reissuance of a permit because you have taken a trophy, but were unable to import it prior to the expiration of the permit, please use the renewal form (3-200-52; <http://www.fws.gov/international/permits/by-form-number/index.html>) and return your original permit with that form.

Note 2: *Sport-hunted trophy* is defined at 50 CFR 23.74 as follows: *Sport-hunted trophy* means a whole dead animal or a readily recognizable part or derivative of an animal specifically identified on the accompanying CITES document that meets the following criteria:

- (1) Is raw, processed, or manufactured;
- (2) Was legally obtained by the hunter through hunting for his or her personal use;
- (3) Is being imported, exported, or re-exported by or on behalf of the hunter as part of the transfer from its country of origin ultimately to the hunter's country of usual residence; and
- (4) Includes worked, manufactured, or handicraft items made from the sport-hunted animal only when:
 - (i) Such items are contained in the same shipment as raw or tanned parts of the sport-hunted animal and are for the personal use of the hunter;
 - (ii) The quantity of such items is no more than could reasonably be expected given the number of animals taken by the hunter as shown on the license or other documentation of the authorized hunt accompanying the shipment; and
 - (iii) The accompanying CITES documents (export document and, if appropriate, import permit) contain a complete itemization and description of all items included in the shipment.

Note 3: Certain hunting trophies, including leopard, elephant, and rhinoceros hunting trophies, are subject to restrictions on their use after import into the United States. Please see 50 CFR 23.55 for more information or contact the Division of Management Authority.

Please provide the following information. Complete all questions on the application. Mark questions that are not applicable with "N/A". If applying for more than one trophy, be sure to answer questions 2 and 3 for each trophy.

If importing trophies from more than one country, you must submit a separate application for each shipment in order to obtain separate import permits.

1. ENTER the quantity next to the name of the species you are applying to import (you may apply to import specimens of more than one species provided they have been/are being hunted in the same country):

Leopard (*Panthera pardus*) Quantity: _____ (Import is limited to two per hunter per calendar year)

African elephant (*Loxodonta africana*) Quantity: ¹ _____ (Import is limited to two per hunter per calendar year)

Note: once imported, ivory cannot be re-exported.

Southern white rhinoceros (*Ceratotherium simum simum*) from Namibia Quantity: _____ (An import permit is not required for trophies harvested in South Africa or Swaziland. If you wish to import from a different country, please use form 3-200-37).

2. **IF ANIMAL IS CURRENTLY LIVING IN THE WILD**, please enter the following:

- a. Country and place (area, region, GIS coordinates, ranch AND nearest city) where wildlife is to be taken from the wild:

Kavango East, Bwabwata West, S18 09" 162 and E021 42" 495 Divundu, Rundu Namibia

- b. Date wildlife is to be hunted:

5 to 18 September, 2017

c. Description of the trophy and parts you intend to import (e.g., skin, skull, shoulder mount, life size mount, claws, horns, tusks).

skin, skull, tusk, ears, tail hair, and feet

3. **IF THE ANIMAL IS DEAD**, please enter the following:

a. Country and place (area, region, GIS coordinates, ranch, and/or AND nearest city) where trophy was removed from the wild:

N/A

b. Date wildlife was hunted:

c. Description of the trophy and parts you intend to import (e.g., skin, skull, shoulder mount, life size mount, claws, horn, tusks):

d. The current location of the trophy (address and country) [the U.S. import permit will identify this country as the country of export/re-export and must match with the export/re-export document]:

4. Complete name and address of overseas person or business shipping the trophy to you. If you are applying to import a trophy directly from Namibia, you **must** provide the name and address of the professional hunter listed on your Namibian hunting permit [this name will appear on the face of the export permit].

Name: Business	Shipper Dip/Packer:
Name: Address:	Louw Nel www.trophaendienste.com
Address:	PO BOx 21068
City:	Windhoek, Namibia +264 61 232 236
State/Province:	Professional Hunter:
Country, Postal Code:	J.H. Pienaar PO Box 1100, Gobabis Physical address: Farm Hester 407, Gobabis, Namibia
	Physical Camp Address: Buffalo Camp, Bwabwata West, Divundu, Namibia

5. Please be aware that the U.S. Fish and Wildlife Service may need to make a finding that your activities will enhance or benefit wild populations of the species involved. If you have any information that could support this finding (e.g., how the funds from license/trophy fees will be spent, what portion of the hunting fee will support conservation), please submit such information on a separate page with your application.

CERTIFICATION STATEMENT (original signature must be provided for either 6 or 7 below)

6. **If you are a broker or taxidermist applying on behalf of a foreign national**, provide documentation to show you have a **Power of Attorney** to act on your client's behalf and sign the following statement.

I acknowledge that the sport-hunted trophy/trophies to be imported has been/will be personally hunted by my client and is being imported only for my client's personal use (i.e., **not for sale, transfer, donation, or exchange that is reasonably likely to result in economic use, gain, or benefit**). I understand that my client may only import two leopard trophies in one calendar year. I understand that my client may only import two African elephant trophies in one calendar year. In addition, I have advised my client that raw ivory, once imported into the United States, cannot be re-exported.

Taxidermist/Broker's signature: _____ **Date:** _____

7. If you are the hunter applying to import your own trophy, please read and sign the following statement.

I acknowledge that the sport-hunted trophy/trophies to be imported has been/will be personally hunted by me and is being imported only for my personal use (i.e., **not for sale, transfer, donation, or exchange that is reasonably likely to result in economic use, gain, or benefit**). I understand that I may only import two leopard trophies in one calendar year. I understand that I may only import two African elephant trophies in one calendar year. In addition, I understand that raw ivory, once imported into the United States, cannot be re-exported.

Applicant's signature: _____

(b) (6)

Date: _____

6/17/17

Be aware that there may be additional permitting or approval requirements by your local or state governments, as well as required by other Federal agencies or foreign government to conduct your propose activity. While the Service will attempt to assist where possible, it is your responsibility to obtain such approval.

8. All international shipment(s) must be through a designated port. A list of designated ports (where an inspector is posted) is available from <http://www.fws.gov/le/designated-ports.html>. If you wish to use a port not listed, please contact the Office of Law Enforcement for a Designated Port Exemption Permit (form 3-200-2).

9. Name and address where you wish the permit to be mailed, if different from page 1 (All permits will be mailed via the U.S. Postal Service, unless you identify an alternative means below):

10. If you wish the permit to be delivered by means other than USPS regular mail, provide an air bill, pre-paid envelope, or billing information. If you do not have a pre-paid envelope or air bill and wish to pay for a courier service with your credit card, please check the box below. Please DO NOT include credit card number or other information; you will be contacted for this information.

☐ If a permit is issued, please send it via a courier service to the address on page 1 or question 9. I understand that you will contact me for my credit card information once the application has been processed.

11. Who should we contact if we have questions about the application? (Include name, phone number, and email):

Todd Cusick

(b) (6)

12. **Disqualification Factor.** A conviction, or entry of a plea of guilty or nolo contendere, for a felony violation of the Lacey Act, the Migratory Bird Treaty Act, or the Bald and Golden Eagle Protection Act disqualifies any such person from receiving or exercising the privileges of a permit, unless such disqualification has been expressly waived by the Service Director in response to a written petition. (50 CFR 13.21(c)) Have you or any of the owners of the business, if applying as a business, been convicted, or entered a plea of guilty or nolo contendere, forfeited collateral, or are currently under charges for any violations of the laws mentioned above?

☐ Yes ☒ No If you answered "Yes" provide: a) the individual's name, b) date of charge, c) charge(s), d) location of incident, e) court, and f) action taken for each violation.



Permit Number: MA41890C-0
Effective: 08/29/2017 Expires: 08/28/2018

Issuing Office:

Department of the Interior
U.S. FISH AND WILDLIFE SERVICE
DIVISION OF MANAGEMENT AUTHORITY
BRANCH OF PERMITS, MS: IA
5275 LEESBURG PIKE
FALLS CHURCH VA 22041-3803

Maury Gagliano
for
CHIEF, BRANCH OF PERMITS, DMA

Permittee:

TODD DENNIS CUSICK

(b) (6)

PROVO, UT (b) (6)
U.S.A.

Authority: Statutes and Regulations: 16 USC 1539 (a); 50 CFR 17.40 (e).

Location where authorized activity may be conducted:
IMPORT THROUGH ANY PORT LISTED IN 50 CFR 14.12

Reporting requirements: Not applicable

Authorizations and Conditions:

- A. Authorized to import the sport-hunted trophy of one African elephant (*Loxodonta africana*), taken in Namibia for the purpose of enhancement of the survival of the species.
- B. Specimen may not be sold or transferred for any financial remuneration.
- C. U.S. Threatened Species: tusks must be marked as per [50 CFR 17.40(e)]. In accordance with the African Elephant Conservation Act, raw ivory, including sport-hunted trophies that are wholly or partially ivory, may not be re-exported from the U.S.
- D. Trophy must have been taken during the 2017 hunting season.
- E. Trophy must be accompanied by a valid Convention on International Trade in Endangered Species (CITES) Appendix II export permit issued by the Management Authority of Namibia.
- F. General conditions set out in Subpart D of 50 CFR 13, and specific conditions contained in Federal regulations cited above, are hereby made a part of this permit. All activities authorized herein must be carried out in accord with and for the purposes described in the application submitted. Continued validity, or renewal of this permit is subject to complete and timely compliance with all applicable conditions, including the filing of all required information and reports.
- G. The validity of this permit is also conditioned upon strict observance of all applicable foreign, state, local, tribal, or other federal law.
- H. Valid for use by permittee named above.
- I. Acceptance of this permit serves as evidence that the permittee understands and agrees to abide by the "General Permit Conditions" (copy attached).



RCVD MAR 29 2019

LB

**Department of Interior
U.S. Fish and Wildlife Service
Federal Fish and Wildlife Permit Application Form**

U.S. Fish and Wildlife Service
Division of Management Authority
Branch of Permits, MS: 1A
5275 Leesburg Pike
Falls Church, VA 22041-3803
1-800-358-2104 or 703-358-2104

Type of Activity

REISSUANCE, RENEWAL, OR AMENDMENT OF A PERMIT
(For this application, all permits, registrations, and certificates are referred to as a permit.)

Complete Sections A or B, and C, D, and E of this application. U.S. address may be required in Section C, see instructions for details. Instructions on how to make your application complete and help avoid unnecessary delays are attached.

Section A: Complete if applying as an individual

1 a. Last Name Cusick		1 b. First Name Todd		1 c. Middle Name/Initial Dennis		1 d. Suffix	
2. Date of Birth (mm/dd/yyyy)		3. Telephone Number		3 a. Alternate Telephone Number		4. E-mail address	
(b) (6)							

Section B: Complete if applying on behalf of a business, corporation, public agency, Tribe, or institution

1 a. Name of business, agency, Tribe, or institution				1 b. Doing business as (DBA)			
2. Tax identification no.				3. Description of business, agency, Tribe, or institution			
4 a. Principal officer Last name		4 b. Principal officer First Name		4 c. Principal officer Middle name/initial		4 d. Suffix	
5. Principal officer title			6. Primary contact name				
7 a. Business telephone number		7 b. Alternate telephone number		7 c. Business fax number		7 d. Business e-mail address	

Section C: All applicants complete address information

1 a. Physical address (Street address, Apartment #, Suite #, or Room #; no P.O. Boxes) (b) (6)									
1 b. City Provo		1 c. State UT		1 d. Zip code/Postal code (b) (6)		1 e. County/Province		1 f. Country USA	
2 a. Mailing address (include if different than physical address; include name of contact person if applicable)									
2 b. City		2 c. State		2 d. Zip code/Postal code		2 e. County/Province		2 f. Country	

Section D: All applicants MUST complete

1. Attach the nonrefundable application processing fee in the form of a check or money order payable to the U.S. FISH AND WILDLIFE SERVICE in the amount identified on page 6 and 7. Federal, Tribal, State, and local government agencies, and those acting on behalf of such agencies, are exempt from the processing fee – attach documentation of fee exempt status as outlined in instructions [50 CFR 13.11(d)].	
2. Certification: I hereby certify that I have read and am familiar with the regulations contained in Title 50 Part 13 of the Code of Federal Regulations and the other applicable parts in subchapter B of Chapter I of Title 50, and I certify that the information submitted in this application for a permit is complete and accurate to the best of my knowledge and belief. I understand that any false statement herein may subject me to the criminal penalties of 18 U.S.C. 1001. (b) (6)	
Signature of applicant/Principal Officer for permit (No photocopied or stamped signatures) Date of signature (mm/dd/yyyy) March 25, 2019	
Please continue to next page	

E. REISSUANCE, RENEWAL, OR AMENDMENT OF A PERMIT (For this application, all permits, registrations, and certificates are referred to as a permit.)

NOTE 1: If you are renewing your Designated Port Exemption permit, use form 3-200-2 and submit to appropriate Office of Law Enforcement address. If you are renewing your Import/Export license (required for commercial activities), use form 3-200-3 and submit to appropriate Office of Law Enforcement address.

NOTE 2: This form **cannot** be used for a lost or damaged permit. If you need to replace a lost or damaged permit, please use form 3-200-66. The application **must** be submitted to the office that issued the initial permit. Lost or damaged permit

NOTE 3: Some activities, such as all master files for multiple shipments, Certificate of Scientific Exchange (COSE), circus/traveling exhibits, and artificially propagated plants, can only be re-issued, renewed, or amended by submitting a new application for permits for those activities. Please refer to these application types to determine if another application form would be more appropriate or contact the Division of Management Authority for more information.

1. Name and address where you wish the permit to be mailed, if different from page 1. If you would like expedited shipping, please enclose a self-addressed, pre-paid, computer-generated, courier service airway bill. If unspecified, all documents will be mailed via regular mail through the U.S. Postal Service.

2. Who should we contact if we have questions about the application (name, phone number, and e-mail)?

Todd Cusick (b) (6)

3. Disqualification factor. A conviction, or entry of a plea of guilty or nolo contendere, for a felony violation of the Lacey Act, the Migratory Bird Treaty Act, or the Bald and Golden Eagle Protection Act disqualifies any such person from receiving or exercising the privileges of a permit, unless such disqualification has been expressly waived by the Service Director in response to a written petition. (50 CFR 13.21(c)) Have you or any of the owners of the business, if applying as a business, been convicted, or entered a plea of guilty or nolo contendere, forfeited collateral, or are currently under charges for any violations of the laws mentioned above?

☒ No ☐ Yes

If you answered "Yes" to Question 3, provide: a) the individual's name; b) date of charge; c) charge(s); d) location of incident; e) court; and f) action taken for each violation. Please be aware that a "Yes" response does not automatically disqualify you from getting a permit.

4. **Permit number.** Enter the permit number to be reissued/renewed/amended.

Prt#:

MA41890C-0

5. Submit the original permit with this application.

6. Past activities.

- a. Provide copies of all cleared documents and form 3-177 (FWS declaration of wildlife) associated with this permit.
- b. Provide a summary detailing activities conducted under this permit, as well as a brief statement of why you are seeking reissuance/renewal.

7. **Annual Report.** If required by your permit, provide an annual report as conditioned (Please disregard if you have already submitted your annual report.)

8. **Sport-hunted trophies:** If you did not hunt during the hunting season stated in your original application, you are not eligible for a renewal. Please submit a new application form.

9. **Certification -** Complete one of the statements below and supply any additional documentation requested: (original signature is required)

- a. For **NO CHANGES** to original application:

I certify that the information submitted in support of my original application for the permit indicated above has not changed and is still currently correct. I hereby request reissuance or renewal of this permit.

Permittee's signature: _____

(b) (6)

Date: March 25, 2019

- b. For **CHANGES** to original application:

On an attached page(s), provide a complete description of any changes (e.g., change in principal officer, personnel, address, location of activities, types of activities). Please sign each attached page. Also note that we may need to request additional information regarding the changes after reviewing your initial request.

I certify that the information submitted in support of my original application for the permit indicated above is still currently correct EXCEPT for the changes noted on the attached, signed page(s). I hereby request re-issuance or renewal of this permit with the indicated changes.

Permittee's signature: _____

Date: _____

All international shipment(s) must be through a designated port, unless otherwise authorized. A list of designated ports (where an inspector is posted) is available. If you wish to use a port not listed, please contact either the Office of Law Enforcement for a Designated Port Exemption Permit (form 3-200-2) or the Division of Management Authority.



Permit Number: MA41890C-0
Effective: 08/29/2017 Expires: 08/28/2018

Issuing Office:

Department of the Interior
U.S. FISH AND WILDLIFE SERVICE
DIVISION OF MANAGEMENT AUTHORITY
BRANCH OF PERMITS, MS: IA
5275 LEESBURG PIKE
FALLS CHURCH VA 22041-3803

Mary Ogilvie
for
CHIEF, BRANCH OF PERMITS, DMA

Permittee:

TODD DENNIS CUSICK

(b) (6)
PROVO, UT (b) (6)
U.S.A.

Authority: Statutes and Regulations: 16 USC 1539 (a); 50 CFR 17.40 (e).

Location where authorized activity may be conducted:
IMPORT THROUGH ANY PORT LISTED IN 50 CFR 14.12

Reporting requirements: Not applicable

Authorizations and Conditions:

- A. Authorized to import the sport-hunted trophy of one African elephant (*Loxodonta africana*), taken in Namibia for the purpose of enhancement of the survival of the species.
- B. Specimen may not be sold or transferred for any financial remuneration.
- C. U.S. Threatened Species: tusks must be marked as per [50 CFR 17.40(e)]. In accordance with the African Elephant Conservation Act, raw ivory, including sport-hunted trophies that are wholly or partially ivory, may not be re-exported from the U.S.
- D. Trophy must have been taken during the 2017 hunting season.
- E. Trophy must be accompanied by a valid Convention on International Trade in Endangered Species (CITES) Appendix II export permit issued by the Management Authority of Namibia.
- F. General conditions set out in Subpart D of 50 CFR 13, and specific conditions contained in Federal regulations cited above, are hereby made a part of this permit. All activities authorized herein must be carried out in accord with and for the purposes described in the application submitted. Continued validity, or renewal of this permit is subject to complete and timely compliance with all applicable conditions, including the filing of all required information and reports.
- G. The validity of this permit is also conditioned upon strict observance of all applicable foreign, state, local, tribal, or other federal law.
- H. Valid for use by permittee named above.
- I. Acceptance of this permit serves as evidence that the permittee understands and agrees to abide by the "General Permit Conditions" (copy attached).

THIS CHECK IS VOID WITHOUT A COLORED BACKGROUND AND SECURE DOCUMENT WATERMARK ON THE BACK - HOLD AT ANGLE TO VIEW

(b) (6)

(b) (6)

ZIONS BANK
31-5/1240

DATE 03/25/19

PAY TO THE ORDER OF USFWS

One Hundred and 00/100***** DOLLARS

USFWS

ATTN: Mary Cogliano, PHD
5275 Leesburg Pike, MS:IA
Falls Church, VA 22041-3803

MEMO Todd Cusick renewal import elephant permit Na

(b) (6)

(b) (6)