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IN THE UNITED STATES DISTRICT COURT  
FOR THE DISTRICT OF OREGON

CENTER FOR BIOLOGICAL )  
DIVERSITY, et al., )  
 )  
Plaintiffs, )  
 )  
v. )  
 )  
ANNE BADGLEY, Regional Director, )  
U.S. Fish and Wildlife Service; JAMIE )  
RAPPAPORT CLARK, Director, U.S. )  
Fish and Wildlife Service; BRUCE )  
BABBITT, Secretary of the Interior, )  
 )  
Defendants. )  
\_\_\_\_\_ )

Civil No. 99-287-FR

OPINION

Daniel J. Rohlf  
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15 FRYE, Judge:

16 The matters before the court are 1) the plaintiffs' motion for summary judgment (#51);  
17 and 2) the defendants' motion for summary judgment (#78).

### 18 **BACKGROUND**

19 On February 25, 1999, the plaintiffs, Center for Biological Diversity and eighteen other  
20 non-profit organizations, filed this action alleging that the defendant Secretary of the Interior,  
21 through the United States Fish & Wildlife Service, has violated the Endangered Species Act, 16  
22 U.S.C. §§ 1531-1544, by making an erroneous and arbitrary and capricious finding that listing  
23 the northern goshawk in the contiguous United States west of the 100<sup>th</sup> meridian as a threatened  
24 or endangered species is not warranted. The plaintiffs seek an order 1) declaring that the  
25 defendants violated the Endangered Species Act; and 2) remanding the issue to the Secretary of  
26 the Interior to determine whether the best scientific and commercial data available indicate that  
listing the northern goshawk in the United States west of the 100<sup>th</sup> meridian as an endangered or  
threatened species is warranted.

### 27 **FACTS**

28 The northern goshawk is a bird of prey that lives in forested areas of higher latitudes in the

1 northern hemisphere, including North America (hereinafter referred to as "the goshawk"). The  
2 goshawk has been considered an "indicator species," reflecting the overall health of the  
3 ecosystems in which it lives. 57 Fed. Reg. 545 (January 7, 1992).

4 On July 19, 1991, the United States Fish & Wildlife Service (U.S. Fish & Wildlife  
5 Service) received a petition from the plaintiffs seeking to list the goshawk as an endangered  
6 species in the four southwestern corner states of Utah, Colorado, New Mexico, and Arizona,  
7 pursuant to the Endangered Species Act. On September 26, 1991, the plaintiffs submitted a  
8 letter to the U.S. Fish & Wildlife Service requesting that the geographic scope of the petition be  
9 expanded from the four southwestern corner states of the United States to the entire forested  
10 area of the United States west of the 100<sup>th</sup> meridian. Because the request to amend the previous  
11 petition required consideration of a listing action substantially broader in scope than the original  
12 petition, the U.S. Fish & Wildlife Service informed the plaintiffs that their request for an  
13 amendment would be considered a new petition.

14 On January 7, 1992, the U.S. Fish & Wildlife Service published a finding that the July,  
15 1991 petition did not present substantial information to indicate that the goshawk constituted a  
16 listable entity in the petitioned region. However, the U.S. Fish & Wildlife Service concluded that  
17 the decline of the goshawk population and the loss or modification of its habitat may be  
18 occurring. This finding caused the U.S. Fish & Wildlife Service to initiate a status review for the  
19 goshawk.

20 On June 25, 1992, the U.S. Fish & Wildlife Service published a 90-day finding that the  
21 July, 1991 petition failed to present substantial information to indicate that the goshawk in the  
22 western United States was a listable entity. The U.S. Fish & Wildlife Service concluded that the  
23 petition failed to establish that the goshawk population west of the 100<sup>th</sup> meridian constituted a  
24 population that was distinctive from the goshawks east of the 100<sup>th</sup> meridian.

25 ///

26 As a result, the U.S. Fish & Wildlife Service concluded that the goshawk population west of the

1 100<sup>th</sup> meridian did not constitute a listable entity.

2 The plaintiffs filed an action in the federal district court seeking to set aside this  
3 finding. On February 22, 1996, United States District Judge Richard M. Bilby of the District of  
4 Arizona found that there was no clear and consistent policy in the U.S. Fish & Wildlife Service  
5 regarding the definition of a distinct population segment and that the U.S. Fish & Wildlife Service  
6 arbitrarily demanded concrete proof of genetic differentiation with the goshawk in contrast to a  
7 contrary course of conduct in other cases. The district court found that the negative 90-day  
8 finding of the U.S. Fish & Wildlife Service on the petition to list the goshawk west of the 100<sup>th</sup>  
9 meridian was arbitrary, capricious and unlawful and remanded the case to the U.S. Fish &  
10 Wildlife Service for a new determination. Southwest Center for Biological Diversity v. Babbitt,  
11 926 F.Supp. 920 (D. Ariz. 1996).

12 On June 6, 1996, the U.S. Fish & Wildlife Service published a new 90-day finding that  
13 the petition to list the goshawk in the western United States had not presented substantial  
14 information that the petitioned action may be warranted. The U.S. Fish & Wildlife Service  
15 determined that the petition included more than one subspecies of the goshawk and therefore did  
16 not meet the definition of a distinct population segment eligible for listing under the Endangered  
17 Species Act.

18 The plaintiffs filed an action in the federal district court seeking to set aside this negative  
19 90-day finding by the U.S. Fish & Wildlife Service. On June 6, 1997, United States District  
20 Judge Richard M. Bilby of the District of Arizona found that the U.S. Fish & Wildlife Service  
21 acted arbitrarily and capriciously in enforcing its final policy of "only one subspecies" and in  
22 rejecting the petition without allowing the plaintiffs the opportunity to conform with the "only one  
23 subspecies" rule. The district court ordered the petition  
24 remanded to the U.S. Fish & Wildlife Service for a new 90-day finding. Southwest Center for  
25 Biological Diversity v. Babbitt, 980 F.Supp. 1080 (D. Ariz. 1997).

26 On September 29, 1997, the U.S. Fish & Wildlife Service issued a new 90-day finding

1 that the petition provided substantial information indicating that the listing of the goshawk as  
2 threatened or endangered in the contiguous United States west of the 100<sup>th</sup> meridian may be  
3 warranted. The U.S. Fish & Wildlife Service initiated a status review of the goshawk in order to  
4 determine whether this population warranted listing pursuant to the Endangered Species Act.

5 The U.S. Fish & Wildlife Service assembled a team of nine wildlife biologists to conduct  
6 the status review. Five team members were employed by the U.S. Fish & Wildlife Service, one  
7 by the Oregon Department of Fish & Wildlife, one by the Arizona Fish and Game Department,  
8 and two by the U.S. Forest Service. Three team members - Susan MacVean, Richard  
9 Reynolds, and Brian Woodbridge - had special expertise in goshawks, having authored a total of  
10 27 scientific publications on goshawk biology.

11 The status review team conducted a review of published scientific literature on the  
12 goshawk, supplemented by unpublished materials.

13 The status review team identified the status review area as all of the forested lands in the  
14 United States west of the 100<sup>th</sup> meridian, which includes about 222 million acres of land in 17  
15 states - 80% of which is federal land, and 55% of which is managed by the U.S. Forest Service.  
16 The status review team sent requests for information on goshawks to 821 land managers and  
17 scientists at 662 federal offices, 38 state agencies, 35 timber companies, 57 Indian tribes, and 26  
18 other organizations. These requests sought data on goshawk locations, habitat, management  
19 plans, and regulation of forests and wildlife.

20 Many of those who received the requests for information on goshawks did not respond  
21 or provided data that was not useable. Ninety-one replies contained data on known goshawk  
22 locations. Combining this data with data from "natural heritage databases," the status review  
23 team estimated that there were 2,916 reported goshawk territories in the status review area. ER  
24 8793. The status review team concluded that this number probably underestimated the actual  
25 number of goshawk territories, because managers of many areas that likely support goshawks  
26 did not provide data and because most forested areas had not been surveyed for goshawks. ER

1 8967. The number of recorded goshawk territories had increased dramatically in the previous  
2 ten years, but the status review team attributed this increase to increased survey efforts rather  
3 than an increase in population. The status review team concluded that the goshawk population  
4 was well-distributed, and that there was no evidence that its range in the West had significantly  
5 contracted.

6 The status review team reviewed the literature on the habitat preferences of goshawks. It  
7 concluded that goshawks nest in a variety of forest types, and that goshawks favor mature forests  
8 for nesting but may also be found nesting in young forests, tall willows, and riparian cottonwood  
9 stands. ER 8828. The status review team found some evidence that goshawks prefer to forage  
10 in mature forests, but it also found that there was evidence that goshawks forage in openings,  
11 edge habitats, steppes, and sagebrush.

12 The status review team found that the timber harvest reports of the U.S. Forest Service  
13 showed a declining trend in the annual number of acres logged on national forests across the  
14 West during the past decade. For most of the status review area, the reports showed an even  
15 greater decline in acreage subjected to "heavy cut" harvest methods, such as clear cutting,  
16 compared with "light cut" harvest methods, such as selective harvest. "Light cut" accounted for  
17 most of the acres harvested over the ten previous years. ER 9021. The status review team  
18 concluded that stands that were "light cut" would continue to provide goshawk foraging habitat  
19 and would provide nesting habitat one to two decades after harvest. ER 9014. The status  
20 review team found that most of the forested lands in western national forests - about 90 million  
21 acres - are not classified as "suitable for timber" and would not be harvested unless current forest  
22 plans are amended. ER 9015. The status review team concluded that there is probably less  
23 suitable goshawk habitat now than prior to European settlement of the western states, but the  
24 status review team concluded that the data was insufficient to show whether there is a trend of  
25 continuing decline in goshawk habitat.

26 Before completing its report, the status review team sought comments from outside

1 reviewers. The status review team sent portions of the draft report to 99 state, tribal and federal  
2 agencies, as well as biologists, requesting comments on the methods and analysis of the data on  
3 forest trends and goshawk territories in the status review. The status review team revised the  
4 draft and sent it with a request for review and comments to 13 biologists with expertise in  
5 goshawks or the analytical methods.

6 After revising the draft in light of the comments of the reviewers, the status review team  
7 completed its report entitled "Northern Goshawk Status Review." ER 8782-9051. The report of  
8 the status review team concluded that it was not possible to determine a trend in goshawk  
9 population because there was insufficient long-term population trend data from across the review  
10 area. The status review team report concluded that it was not possible to determine whether the  
11 goshawk population numbers in the review area are stable, increasing or decreasing, but the  
12 report concluded that the distribution of breeding goshawks in the West did not appear to have  
13 changed from the historical range. ER 9001.

14 On June 22, 1998, the Regional Director, Region 1, of the U.S. Fish & Wildlife Service  
15 prepared the "Twelve-month Administrative finding on Petition to List the Northern Goshawk in  
16 the Contiguous Western United States under the Endangered species Act." ER 9052-200. The  
17 Regional Director found that listing the population as endangered or threatened was "not  
18 warranted." ER 9177. The Regional Director found no evidence of a declining population trend  
19 for goshawks, and found that the available information did "not indicate that this population is in  
20 danger of extinction or likely to become so in the foreseeable future." Id. The Regional Director  
21 stated that the Service had found no evidence to support the petitioners' claim that the goshawk  
22 is dependent on large, unbroken tracts of "old-growth" and mature forest, and "no documented  
23 evidence that a significant curtailment of the species' habitat or range is occurring." Id. Notice of  
24 the Regional Director's approval of this finding was published on June 29, 1998. 63 Fed. Reg.  
25 35183.

26 On July 13, 1998, the plaintiffs served the U.S. Fish & Wildlife Service with a sixty-day

1 notice of intent to sue over the "not warranted" finding pursuant to 16 U.S.C. § 1540(g)(2)(C).  
2 This action was filed.

### 3 **CONTENTIONS OF THE PLAINTIFFS**

4 The plaintiffs contend that the administrative record does not support the conclusion of  
5 the U.S. Fish & Wildlife Service that the goshawk appears to be a forest generalist. The plaintiffs  
6 contend that the decision not to list the goshawk is arbitrary and capricious because 1) it  
7 represents an unexplained reversal of a previous conclusion by the U.S. Fish & Wildlife Service  
8 that goshawks require large areas of old-growth for habitat needs; and 2) the conclusion of the  
9 U.S. Fish & Wildlife Service that goshawks need little old-growth is not supported and is flatly  
10 contradicted by the administrative record.

11 The plaintiffs contend that the administrative record does not support the conclusion of  
12 the U.S. Fish & Wildlife Service that it "did not find evidence of a declining population trend for  
13 goshawks." ER 9177. The plaintiffs contend that this conclusion ignores the fact that the agency  
14 found that the goshawk habitat and population had declined from its historic levels in all areas  
15 analyzed. In addition, the plaintiffs contend that the U.S. Fish & Wildlife Service applied an  
16 improper legal standard to its listing decision emphasizing what the agency did not know about  
17 goshawks rather than what it did know about goshawks. The plaintiffs contend that the U.S.  
18 Fish & Wildlife Service improperly relied upon uncertain, future protections for goshawks.

19 Finally, the plaintiffs contend that the listing process contains significant procedural flaws  
20 which render the listing decision arbitrary. The plaintiffs contend 1) that the U.S. Fish & Wildlife  
21 Service violated its own policy by allowing persons who are not U.S. Fish & Wildlife Service  
22 personnel to participate directly in the listing decision; 2) that the U.S. Fish & Wildlife Service  
23 made the listing decision prior to completing its own scientific analysis; 3) that the U.S. Fish &  
24 Wildlife Service failed to decide on a biological standard; and 4) that the peer review utilized by  
25 the U.S. Fish & Wildlife Service was largely useless.

### 26 **CONTENTIONS OF DEFENDANTS**



1 Defendant U.S. Fish & Wildlife Service contends that the analyses and conclusions of the  
2 status review team are sound; that the record overwhelmingly supports the conclusion that the  
3 goshawk is a forest habitat generalist; and that it was entirely reasonable for its director to draw a  
4 conclusion in 1998 about the habitat preference of the goshawk which is different from a  
5 conclusion set forth in a 1992 letter by a subordinate official.

6 The U.S. Fish & Wildlife Service further contends that the record supports its conclusion  
7 that there is insufficient evidence to show a continuing decline in goshawk habitat in the west as  
8 well as its conclusion that there is insufficient information to determine whether the goshawk  
9 populations are increasing, decreasing or stable.

10 The U.S. Fish & Wildlife Service contends that the status review team appropriately  
11 considered federal management plans in assessing the future of goshawk habitat.

12 Finally, the U.S. Fish & Wildlife Service contends that no meaningful procedural defect  
13 exists in that 1) the use of U.S. Forest Service personnel and biological analyses was entirely  
14 appropriate, and the status review was not compromised by using portions of reports by U.S.  
15 Forest Service personnel; 2) the final decision was not made until the Director of the U.S. Fish &  
16 Wildlife Service signed the decision document; 3) the U.S. Fish & Wildlife Service was not  
17 required to construct a definition of a "threatened" species beyond that expressly provided in the  
18 Endangered Species Act; and 4) the peer review process was satisfactory and soundly  
19 consigned to the discretion of the U.S. Fish & Wildlife Service.

20 ///

#### 21 **APPLICABLE LAW**

22 Section 4 of the Endangered Species Act authorizes the Secretary of the Interior to make  
23 determinations on the status of various species. A non-insect species is "endangered" if the  
24 appropriate Secretary determines that the species is "in danger of extinction throughout all or a  
25 significant portion of its range[.]" 16 U.S.C. § 1532(6). A species is "threatened" if the  
26 appropriate Secretary determines that the species "is likely to become an endangered species

1 within the foreseeable future throughout all or a significant portion of its range." 16 U.S.C. §  
2 1532(20).

3 Any interested person may petition to list a species as threatened or endangered. 16  
4 U.S.C. § 1533(b)(3)(A). If the Secretary finds that the petitioned action may be warranted, the  
5 Secretary commences a status review of the species and, within 12 months of receiving the  
6 petition, must make a finding that (1) the listing is or is not warranted, or (2) at the present time  
7 the listing is warranted but precluded. 16 U.S.C. § 1533(b)(3)(B).

8 The Endangered Species Act requires the Secretary to determine whether any species is  
9 threatened or endangered "because of any" of the following five factors: (1) the present or  
10 threatened destruction, modification, or curtailment of its habitat or range; (2) over-utilization for  
11 commercial, recreational, scientific, or educational purposes; (3) disease or predation; (4) the  
12 inadequacy of existing regulatory mechanisms; or (5) other natural or manmade factors affecting  
13 its continued existence. 16 U.S.C. § 1533(a)(1).

14 In making a determination as to the status of a species, the Secretary must make the  
15 decision:

16 solely on the basis of the best scientific and commercial data available to [the  
17 Secretary] after conducting a review of the status of the species and after taking  
18 into account those efforts, if any, being made by any State or foreign nation . . .  
19 to protect such species, whether by predator control, protection of habitat and  
20 food supply, or other conservation practices, within any area under its  
21 jurisdiction, or on the high seas.

22 16 U.S.C. § 1533(b)(1)(A).

23 The actions of the Secretary are reviewed in accordance with the Administrative  
24 Procedures Act, 5 U.S.C. § 706. Administrative decisions must be upheld unless "arbitrary,  
25 capricious, an abuse of discretion, or otherwise not in accordance with law." 5 U.S.C. §  
26 706(2)(A).

"Review under the arbitrary and capricious standard is narrow, and the reviewing court  
may not substitute its judgment for that of the agency." O'Keeffe's, Inc. v. United States

1 Consumer Prod. Safety Comm'n, 92 F.3d 940, 942 (9<sup>th</sup> Cir. 1996) (citing Marsh v. Oregon  
2 Natural Resources Council, 490 U.S. 360, 376 (1989)). Nevertheless, the reviewing court must  
3 undertake a "thorough, probing, in-depth review" of the agency's decision. Citizens to Preserve  
4 Overton Park, Inc. v. Volpe, 401 U.S. 402, 415-16 (1971)).

## 5 ANALYSIS

### 6 1. The Goshawk is a "Forest Generalist"

#### 7 A. Background

8 Because of concerns over the effects of timber harvesting, the goshawk was listed as a  
9 "sensitive species" by the Southwestern Region of the Forest Service, U.S. Department of  
10 Agriculture, in 1982. In the fall of 1990, the Northern Goshawk Scientific Committee was  
11 established by the Regional Forester of the Southwestern Region of the U.S. Forest Service to  
12 develop a credible management strategy to conserve the goshawk in the southwestern United  
13 States. ER 6901.

14 In August, 1992, the U.S. Forest Service released a report entitled "Management  
15 Recommendations for the Northern Goshawk in the Southwestern United States." ER 6895-  
16 990. The report concluded that "[t]he goshawk is a forest habitat generalist that uses a variety of  
17 forest types, forest ages, structure conditions, and successional stages." ER 6901. The report  
18 further concluded that "little is known about the structural and composition of habitats used by  
19 foraging goshawks." ER 6911.

20 On August 13, 1992, the Regional Director for the U.S. Fish & Wildlife Service, Region  
21 2, wrote to the U.S. Forest Service setting forth preliminary comments on the "Management  
22 Recommendations" of the U.S. Forest Service. The comments stated, in part, that "[t]he  
23 Recommendations are founded on a series of premises which are poorly supported by published  
24 data," including "Premise #1: That little information is available on goshawk habitat, but what  
25 exists suggests they are habitat generalists." ER 201. The U.S. Fish & Wildlife Regional  
26 Director stated:

1 A considerable body of literature contradicts the Recommendations' position that  
2 goshawk foraging habitat is poorly understood. This literature also contradicts  
3 the Recommendations' characterization of the goshawk as a "forest habitat  
4 generalist" (page 3).

5 The Recommendations use flawed reasoning in suggesting (page 4) that, because  
6 goshawks may encounter a mosaic of forest types in their home ranges, they use  
7 all of those forest types. Most literature indicates that, given a range of forest  
8 types, goshawks prefer certain types over others. Fischer (1986) found that  
9 goshawks preferred older forest with large trees for both nesting and foraging.  
10 That author did not find, as the Recommendations suggest on page 4, that  
11 goshawks hunted in a wide range of forest types and conditions. Preferential use  
12 of woodlands over openings and edges was also described by Kenward (1982).  
13 Relatively high nesting success and density of nesting pairs in large areas of  
14 mature forest suggest mature forest is optimum as goshawk habitat (Anonymous  
15 1989, Crocker-Bedford 1990, Patla 1991, Service and AGFD, unpublished  
16 data).

17 The goshawk does occur in forests of a wide variety of tree (and prey) species  
18 compositions, and likely encounters a variety of forest structural conditions.  
19 However, the evidence strongly associates northern goshawk nesting and  
20 foraging habitat with large tracts of mature, often close-canopied forest (Bloom  
21 *et al.* 1985, Fischer 1986, Fowler 1988, Reynolds 1988, Anonymous 1989,  
22 Crocker-Bedford 1990, Patla 1991, Ward *et al.* 1992).

23 In contrast to the volume of evidence associating goshawks with mature forests,  
24 the GSC presents no data suggesting that goshawks need, prefer, or thrive in the  
25 mosaic of forest age classes and openings prescribed in their Recommendations.  
26 Several authors (e.g., Fischer 1986) noted that the older stands preferred by  
goshawks often have more open understories than younger stands. Where a  
mosaic of woodlands and open areas was available, Kenward (1982) reported  
goshawks preferred woodlands, where the great majority of prey captures took  
place.

The majority of published evidence suggests that the Recommendations' forest  
mosaic will be inferior or unsuitable goshawk habitat. . . .

ER 201-02 (emphasis in original).

On September 15, 1992, the members of the Northern Goshawk Scientific Committee  
for the U.S. Forest Service wrote to the Regional Director for the U.S. Fish & Wildlife Service  
to address the comments received in the letter dated August 13, 1992 concerning the  
"Management Recommendations." The Committee responded, in part:

Your statement that there is a "considerable body of literature" on the foraging  
habitat of goshawks and that goshawk foraging is "associated with large tracts of  
mature, dense-canopied forest" is simply not supported by fact. As noted in the  
"Recommendations," only a few radio-telemetry studies of goshawks have been

1 completed--four in North America, all of which at this time are unpublished  
2 (Fischer, unpubl. Ph.D. Thesis, BYU, 1986; Kennedy, Unpubl. Rep. to USDA  
3 Forest Service, 1989; Austin, unpubl. rep., Oregon State University; Hargis, et  
4 al., unpubl. rep., Utah State University), and two in Europe . . . .

5 . . . .  
6 Empirical evidence of northern goshawks successfully nesting in large areas of  
7 homogenous forest conditions (e.g., in young, intensively managed ponderosa  
8 pine forests), acquired through many years of goshawk research by members of  
9 the Committee, also demonstrated that goshawks can be successful in managed  
10 forests. In addition, goshawks in the Independence Mountains of Nevada nest in  
11 small patches of quaking aspen and forage in vast expanses of mountain  
12 shrubland (Yount, unpubl. rpt. 1991, Boise State University), and Alaskan  
13 goshawks have been found nesting in narrow willow habitat along the Colville  
14 River that is surrounded by open tundra (Swem, Raptor Res. 26:102, 1992).  
15 Because of the above, and because the goshawk occurs in all coniferous and  
16 many deciduous forest types within their range, the Committee considered the  
17 goshawk a "foraging habitat generalist."

18 Nevertheless, the limited radio-telemetry data suggests that goshawks  
19 preferentially use mature forests when present. In an analysis of gos-  
20 hawk foraging behavior and hunting tactics (see Literature Cited in  
21 "Recommendations"), the Committee concluded that forests with mature trees  
22 and relatively open understories offered superior foraging opportunities for  
23 goshawks. This finding was also supported by the Committee's review of the  
24 literature on the habitat and foods of selected species of goshawk prey; older  
25 forest[s] with large trees (in combination with several associated elements  
26 including snags and small openings), are more likely to have abundant  
populations of goshawk prey. For these reasons, we recommended that 60  
percent of goshawk home ranges be in the mid-aged, mature, and old forest age-  
classes (80 to 240+ years of age). As described in the "Recommendations", the  
remaining 40 percent of home ranges is recommended to be in scattered, small  
patches of younger forests that are scheduled to replace the older forests.

ER 10641-42 (emphasis in original).

In June, 1998, the "Northern Goshawk Status Review" was prepared for the U.S. Fish  
& Wildlife Service by the members of the status review team. ER 8782-9051. The status  
review team included Richard Reynolds, a U.S. Forest Service, Rocky Mountain Research  
Station employee, and a principal author of the 1992 "Management Recommendations." The  
U.S. Forest Service manages 55% of the forested land in the status review area. ER 8787.

On June 22, 1998, the Regional Director, Region 1, of the U.S. Fish & Wildlife Service  
issued the "Twelve-month Administrative finding" concluding that listing the population as

1 endangered or threatened was "not warranted." ER 9052-200. The section of the "Twelve-  
2 month Administrative finding" entitled "Habitat Destruction and Modification" stated, in part:

3 In conclusion, the Service believes that forest management (e.g., logging, fire  
4 exclusion) has profoundly changed the vegetation characteristics throughout most  
5 of the western United States. The Service further agrees that some areas that  
6 have been intensively logged may lack nesting goshawks, or support goshawks in  
7 densities below probable historical levels. However, there is no documented  
8 evidence of extirpations in assessment areas, that goshawk habitat is limiting the  
9 overall health of the goshawk population within the petitioned area, or that a  
10 significant curtailment of the species' habitat or range is occurring. The  
11 petitioners rely largely on the claim that goshawks are dependent on large,  
12 unbroken tracts of "old-growth" and mature forest in their assertion that the  
13 species is in danger of extinction. However, neither the petition nor other  
14 information available to the Service supports this claim. Rather, the species  
15 appears to be a forest habitat generalist in terms of the variety and ages of forest  
16 types it will use to meet its life history requirements; goshawks use small patches  
17 of mature habitat to meet its nesting requirements within a mosaic of habitats in  
18 different age classes.

19 ER 9162. The final section entitled "Findings" stated, in part:

20 The information presented in the petition relies largely on a contention that the  
21 northern goshawk is dependent on large, unbroken tracts of "old-growth" and  
22 mature forest. However, neither the petition nor other information available to  
23 the Service supports this claim. The Service found that while goshawks  
24 frequently use stands of old-growth and mature forest as an important component  
25 of its nesting habitat, overall the species appears to be a forest habitat generalist  
26 in terms of the variety and ages of forest types it uses to meet its life history  
27 requirements; goshawks use patches of mature  
28 habitat to meet nesting requirements within a mosaic of habitats in different age  
29 classes.

30 ER 9177.

31 Both the "Northern Goshawk Status Review" and the "Twelve-month Administrative  
32 finding" include substantial parts of the following articles written by U.S. Forest Service biologists:

33 1) Douglas G. Leslie, "Population Ecology of the Northern Goshawk," 1998 (ER 5963); 2) John  
34 R. Squires, "Ecology of the Northern Goshawk," 1997 (ER 7462); and 3) Richard Reynolds, et  
35 al., "A Procedure for Identifying and Synthesizing Knowledge for Northern Goshawk  
36 Conservation Strategies," 1998 (Declaration of Patrick Burson, p.2).

37 B. Contentions of the Parties

1           The plaintiffs contend that the extent to which the goshawk depends upon old- growth  
2 forest habitat is a key consideration in the listing decision. The plaintiffs explain:

3           A determination that goshawks require large areas of old growth to meet their  
4 needs throughout their life cycle would tend to support ESA listing for goshawks  
5 since ample evidence exists that old growth forests are both less abundant and  
6 substantially more fragmented than compared to historic forest conditions. On  
7 the other hand, evidence that goshawks merely require small patches of old  
8 growth for nesting and are able to use a wide variety of forest conditions for  
9 foraging for food and other needs would cut against a decision to protect  
10 goshawks and their habitat under the ESA.

11 Amended Memorandum in Support of Plaintiffs' Motion for Summary Judgment, p. 6.

12           The plaintiffs contend that the finding by the U.S. Fish & Wildlife Service that the  
13 goshawk is a "forest habitat generalist" in the "Twelve-month Administrative finding" is arbitrary  
14 and capricious because the agency made no effort to explain why this view of the structure and  
15 composition of goshawk habitat changed so dramatically from the position set forth in the 1992  
16 comments by the Regional Director, Region 2, regarding the U.S. Forest Service "Management  
17 Recommendations." The plaintiffs contend that this change of view is only explained by the fact  
18 that the negative listing decision is copied in substantial part from the U.S. Forest Service  
19 "Management Recommendations" casting serious doubt as to whether the U.S. Fish & Wildlife  
20 Service actually performed its own arms-length scientific assessment of the habitat needs of the  
21 goshawk.

22           The plaintiffs contend that the U.S. Fish & Wildlife Service cites no scientific studies to  
23 support its conclusion in the negative listing decision that goshawks are "forest habitat  
24 generalists," and that the record provides voluminous evidence that goshawks need significant  
25 areas of mature forests for nesting and foraging. The plaintiffs rely upon the scientific literature  
26 cited by the Regional Director of the U.S. Fish & Wildlife Service in the 1992 comments to the  
U.S. Forest Service "Management Recommendations."

          In addition, the plaintiffs rely upon other citations to scientific documents in  
the administrative record which support the conclusion that goshawks need significant areas

1 of mature forest for both nesting and foraging, for example, ER 4145 ("[T]he [Arizona Game and  
2 Fish] Department considers the goshawk a 'forest habitat specialist' that is strongly associated  
3 with mature, dense forest structure in many forest types."); ER 5379-80 ("Northern goshawks  
4 have also demonstrated a propensity for higher canopy closure . . ."); ER 5001 ("Goshawks  
5 appear to select mature complex forest . . ."); ER 2886 ("[N]orthern goshawks appear to  
6 require relatively open, old-growth coniferous forests for foraging . . ."); ER 7406 ("The results  
7 of studies by Kennedy (1989), Austin (1991), and Bright-Smith and Mannan (1994) indicate  
8 that goshawks depend on forests with a high canopy cover for foraging.").

9 The U.S. Fish & Wildlife Service contends that the plaintiffs misstate the 1992 comments  
10 by the Regional Director, Region 2, to the U.S. Forest Service "Management Recom-  
11 mendations." The U.S. Fish & Wildlife Service contends that it was entirely reasonable for the  
12 Director of the U.S. Fish & Wildlife Service to draw conclusions in 1998 different from those set  
13 forth six years earlier in preliminary comments by a subordinate official.

14 The U.S. Fish & Wildlife Service contends that there is abundant support in the record,  
15 much of which post-dates the 1992 comment letter, for the conclusion of the U.S. Forest  
16 Service, and ultimately the U.S. Fish & Wildlife Service, that the goshawk is a forest habitat  
17 generalist. The U.S. Fish & Wildlife Service contends that the most compelling evidence is the  
18 1966 review of the U.S. Forest Service "Management Recommendations" by a panel of eminent  
19 ornithologists assembled by the Wildlife Society and the American Ornithological Union entitled  
20 "Northern Goshawk and Forest Management in the Southwestern United States." ER 9919-42.

21 The U.S. Fish & Wildlife Service contends that the conclusion by the Wildlife Society  
22 that the goshawk is a "forest and prey generalist" (ER 9934) is consistent with a number of other  
23 studies in the record, for example, ER 2423 ("Despite selection for dense vegetation structure  
24 and large trees, not all used plots [used by northern goshawks for foraging during breeding  
25 season] were dense stands of large mature timber . . ."); ER 5232 ("The degree of diversity  
26 among measured attributes characterizing nest stands in the western United States . . . indicates



1 that goshawks tolerate flexible nesting conditions."); ER 5342 ("Goshawk nesting habitat varied  
2 largely due to changes in available habitat."); ER 6215 ("Nesting sites in N. Am. are in almost any  
3 kind of forest and are not limited to conifers, as sometimes believed."); ER 7516 ("[D]ata are  
4 equivocal regarding mature forest preferences. Although considered a habit [sic] generalist at  
5 large spatial scales, they tend to nest in a relatively narrow range of vegetation structural  
6 conditions."); ER 1981 ("[T]he northern goshawk could be called a forest generalist because it is  
7 so widespread, and when you consider its entire life history, will live in a wide array of forest  
8 cover types and structural conditions.").

9 C. Conclusion

10 There is controversy in the scientific community over the extent of old-growth or mature  
11 forest required by the goshawk for nesting and foraging. The U.S. Fish & Wildlife Service  
12 acknowledges in the negative listing that "[g]oshawk nest habitat is often characterized as mature  
13 to old-growth forest composed primarily of relatively large trees with relatively high canopy  
14 closure." ER 9157. The issue before this court is whether the U.S. Fish and Wildlife Service  
15 acted arbitrarily and capriciously in making its finding in the "Twelve-month Administrative  
16 finding" that "overall the species appears to be a forest habitat generalist." ER 9177. A court  
17 reviewing an agency's adjudicative action should accept the agency's factual findings if those  
18 findings are supported by substantial evidence in the record as a whole. Arkansas v. Oklahoma,  
19 503 U.S. 91, 113 (1992).

20 The comments of the Regional Director, Region 2, of the U.S. Fish & Wildlife Service in  
21 the letter of August 13, 1992 stating, in part, that "the evidence strongly associates northern  
22 goshawk nesting and foraging habitat with large tracts of mature, often close-canopied forest"  
23 (ER 201) does not support the conclusion that the agency acted arbitrarily and capriciously in the  
24 negative listing announced by the Director of the U.S. Fish & Wildlife Service on June 29, 1998.  
25 The "Twelve-month Administrative finding" states, in part, that "the species appears to be a forest  
26 habitat generalist in terms of the variety and ages of forest types it will use to meet its life history

1 requirements; goshawks use small patches of mature habitat to meet its nesting requirements  
2 within a mosaic of habitats in different age classes." ER 9162.

3           Between August 13, 1992 and June 29, 1998, the status review team was formed, and  
4 the "Northern Goshawk Status Review" report was compiled and published. A number of  
5 additional studies were conducted and articles were published, including the articles written by  
6 U.S. Forest Service biologists Douglas G. Leslie, John R. Squires, and Richard Reynolds. Since  
7 55% of the petitioned area consists of land managed by the U.S. Forest Service, the U.S. Fish &  
8 Wildlife Service was required to consider the recent scientific data compiled by U.S. Forest  
9 Service biologists.

10           The U.S. Fish & Wildlife Service was required by the Endangered Species Act to  
11 examine "the best scientific and commercial data available." 16 U.S.C. § 1533(b)(1)(A). The  
12 U.S. Fish & Wildlife Service remained free to find that the goshawk is a forest generalist as long  
13 as this fact is supported by the administrative record as a whole. There are significant studies in  
14 the administrative record that support this finding, notably the 1996 report by the Wildlife  
15 Society. This panel examined the 1992 U.S. Forest Service "Management Recommendations"  
16 and concluded, in part:

17           Review of northern goshawk biology and habitat use by the Northern Goshawk  
18 Scientific Committee was excellent. No evidence was presented to indicate that  
19 northern goshawk populations are declining, threatened or endangered in the  
20 Southwest or anywhere within its range, and we found no evidence of a long-  
21 term decline in goshawk breeding populations. . . .

22           . . . .

23           Northern Goshawks use a variety of forested habitats during the nesting period  
24 (Apr-Aug). Throughout its distribution it is considered a forest and prey  
25 generalist.

26 ER 9923, 9934. See also ER 5232, "Characterization of Nesting Habitat of Goshawks  
(Accipiter gentilis) in Northwestern California" by Patricia A. Hall (1984) ("Goshawks  
in northwestern California nest in old-growth Douglas-firs within patches of forest which are  
older, less dense, and more complex structurally than the surrounding nest stand. . . . The degree

1 of diversity among measured attributes characterizing nest stands in the western United States,  
2 however, indicates that goshawks tolerate flexible nesting conditions."); ER 5342, "Goshawk  
3 Nest-Site Characteristics in Western Montana and Northern Idaho" by Gregory D. Hayward  
4 and Ronald E. Escano (1989) ("Goshawk nesting habitat varied largely due to changes in  
5 available habitat."); and ER 7512, 7517, The Birds of North America by John R. Squires and  
6 Richard T. Reynolds (1997) ("Although Goshawks nest in a variety of habitat types--from willow  
7 stands along Arctic rivers to massive old-growth forests of the Pacific Northwest--they seem to  
8 prefer mature forests with large trees on moderate slopes with open understories. . . . **Foraging**  
9 **habitat.** Hunts in diverse habitats ranging from open-sage steppes to dense forests, including  
10 riparian areas.")

11 There is substantial evidence in the administrative record to support the conclusion of the  
12 Director of the U.S. Fish & Wildlife Service that the goshawk is a forest habitat generalist.

13 2. Links Between Habitat and Population

14 The plaintiffs contend that there is no support in the administrative record for the  
15 assertion by the U.S. Fish & Wildlife Service that it could not make population trend projections  
16 based upon habitat trends. The plaintiffs contend that the conclusion of the status review team  
17 that "it is currently difficult to judge the effects of the forest change on goshawk populations" (ER  
18 9001) is directly contrary to the position of the status review team that "trends in habitat equate  
19 to probable trends in goshawk populations" (ER 3580). The plaintiffs contend that the  
20 administrative record supports the conclusion that goshawk population trend correlates with  
21 goshawk habitat trend, and that evidence of documented decline in goshawk habitat in the six  
22 assessment areas is significant evidence of goshawk population decline.

23 Defendant U.S. Fish & Wildlife Service acknowledges that it found that "there is less of  
24 the mature forest that goshawks prefer for nesting than existed prior to European settlement of  
25 the West," but contends that there is "no evidence in the record showing a continuing trend of  
26 goshawk habitat curtailment in the western United States." Defendants' Combined Memorandum

1 in Support of Their Motion for Summary Judgment and in Opposition to Plaintiffs' Motion for  
2 Summary Judgment, p. 15. The U.S. Fish & Wildlife Service contends that the status review  
3 team found little useable data regarding the trend of goshawk habitat, but did find a trend of  
4 declining timber harvest on national forests across the west over the past ten years. The status  
5 review team concluded that there are a number of areas where implementation of forest plans  
6 that are currently in place can reasonably be expected to result in significant increases in goshawk  
7 habitat.

8 The U.S. Fish & Wildlife Service contends that "[t]he most that can be drawn from the  
9 record is that there is probably less habitat now than in the past, that there is substantially less  
10 logging on federal lands now than in the past, and that current Forest Service management plans  
11 indicate continued protection of goshawk habitat." Id. at 18. The U.S. Fish & Wildlife Service  
12 contends that it reasonably concluded that the record does not support a conclusion that there is  
13 an ongoing trend of decline in goshawk habitat in the west.

14 The Endangered Species Act requires the Secretary to determine whether any species is  
15 threatened or endangered because of the present or threatened destruction, modification or  
16 curtailment of its habitat or range. 16 U.S.C. § 1533(a)(1). In June, 1998, the Regional  
17 Director of Region 1 issued the "Twelve-month Administrative finding," which was adopted by  
18 the Director. This finding reviews in detail the present or threatened destruction, modification or  
19 curtailment of goshawk habitat or range. ER 9125-62. The Regional Director states in the  
20 introduction to this section of the findings:

21 The Service agrees that general population biology theories indicate there should  
22 be a relationship between change in forest habitat and a change in goshawk  
23 population. However, there is no documentation in research that demonstrates  
24 the nature of this presumed relationship across the entire petitioned area. Some  
25 studies reported local areas where a correlation between habitat abundance and  
26 goshawk populations was found (Desimone 1997; Crocker-Bedford 1990).  
These localized studies lend support to this general assumption, despite the lack  
of documentation for the petitioned area as a whole.

Because of the lack of documentation of a relationship between habitat  
and goshawk populations for the petitioned area, and because the species

1 is known to use a variety of forest types and to be dependent on prey availability,  
2 caution is required in drawing conclusions about changes in forest habitat and  
3 goshawk population change. While caution is appropriate, it should not be  
concluded that forest habitat change is irrelevant to the goshawk population  
situation.

4 ER 9125.

5 The Regional Director reviewed the scientific literature, the habitat data collected by the  
6 status review team, and the timber harvest data collected from the U.S. Forest Service. The  
7 Regional Director stated conclusions regarding goshawk habitat trends in each of the six  
8 assessment areas, in part, as follows: Assessment Area 1 ("goshawk habitat has declined") ER  
9 9132; Assessment Area 2 ("with the exception of the ponderosa pine forest type, Assessment  
10 Area 2 currently has an abundance of mature and old-growth forests available") ER 9136;  
11 Assessment Area 3 ("goshawk habitat in the Southwest should remain relatively stable into the  
12 foreseeable future") ER 9140; Assessment Area 4 ("goshawk habitat . . . should improve over  
13 time") ER 9147; Assessment Area 5 ("there clearly has been a significant reduction in the amount  
14 of habitat often associated with goshawk nest sites) . . . ("the trend toward reduced harvest of  
15 mature forests in this assessment area should benefit goshawks over time") ER 9152, 9153; and  
16 Assessment Area 6 ("the network of large reserves . . . will improve and sustain goshawk  
17 populations in this area") ER 9156.

18 The Regional Director concluded that "there is no documented evidence of extirpations in  
19 assessment areas, that goshawk habitat is limiting the overall health of the goshawk population  
20 within the petitioned area, or that a significant curtailment of the species' habitat or range is  
21 occurring." ER 9162. The court finds that the administrative record demonstrates that the U.S.  
22 Fish & Wildlife Service fully considered the present or threatened destruction, modification or  
23 curtailment of the habitat or range of the goshawk. There is substantial evidence in the record to  
24 support the conclusion that "there is no documented evidence . . . that goshawk habitat is limiting  
25 the overall health of the goshawk population within the petitioned area." Id.

26 3. Evidence of a Declining Population Trend

1 In the listing decision made on June 28, 1998, the U.S. Fish & Wildlife Service  
2 concluded that listing the goshawk population as endangered or threatened was "not warranted."

3 The U.S. Fish & Wildlife Service stated:

4 Based on the best available information, the Service did not find evidence of a  
5 declining population trend for goshawks. While the Service did find that forest  
6 management (e.g., timber harvest and fire suppression) has changed the  
7 vegetation characteristics throughout much of the western United States, the  
8 available data indicate[s] that goshawks remain widely distributed throughout the  
9 western United States. Approximately 75% of the goshawk territories reported  
to and analyzed by the Service (n=2,729) were discovered in the past 10 years.  
The current distribution approximates the historical distribution of nesting  
goshawks, and there is no evidence of any significant areas of extirpation. The  
Service finds no documented evidence that a significant curtailment of the  
species' habitat or range is occurring.

10 ER 9177.

11 The plaintiffs contend that the conclusion of the U.S. Fish & Wildlife Service that "[t]he  
12 current distribution approximates the historical distribution of nesting goshawks" is based upon a  
13 largely nonexistent analysis. ER 9177. The plaintiffs contend that the U.S. Fish & Wildlife  
14 Service had virtually no data upon which to base its comparison of the goshawks' current and  
15 historic distribution, much less conclude that these distributions are roughly the same.

16 The plaintiffs contend that the administrative record contains huge amounts of data that  
17 either do not support or directly contradict the finding by the U.S. Forest Service that "the  
18 Service did not find evidence of a declining population trend for goshawks." Id.

19 The U.S. Fish & Wildlife Service contends that the status review team reviewed a vast  
20 compilation of published and unpublished literature on goshawks, and that the plaintiffs have cited  
21 nothing in that literature showing that the range of the goshawk in the west was significantly  
22 broader in the past than it is now. The U.S. Fish & Wildlife Service contends that much of the  
23 literature supports the conclusion of the agency that the breeding distribution of goshawks  
24 appears not to have changed significantly.

25 The U.S. Fish & Wildlife Service contends that the Endangered Species Act does not  
26 require the agency to determine the population size of a species before it makes a listing decision

1 or to have conclusive evidence to make its listing decision. The agency contends that the record  
2 supports its conclusion that there is insufficient evidence to show a declining population trend for  
3 the goshawk in the petitioned area. The agency contends that available data does not support a  
4 conclusion that the goshawk population in the western United States is in danger of extinction or  
5 likely to become so in the foreseeable future.

6 The Regional Director concluded in the "Twelve-month Administrative finding" that "the  
7 Service did not find evidence of a declining population trend." ER 9177. The relevant inquiry for  
8 the district court is whether the agency "'considered the relevant factors and articulated a rational  
9 connection between the facts found and the choice made.'" Friends of Endangered Species, Inc.  
10 v. Jantzen, 760 F.2d 976, 982 (9<sup>th</sup> Cir. 1985) (quoting Baltimore Gas & Elec. Co. v. Natural  
11 Res. Def. Council, Inc., 462 U.S. 87, 105 (1983)). An agency's decision is arbitrary and  
12 capricious if it:

13 "has relied on factors which Congress has not intended it to consider, entirely  
14 failed to consider an important aspect of the problem, offered an explanation for  
15 its decision that runs counter to the evidence before the agency, or is so  
implausible that it could not be ascribed to a difference in view or the product of  
agency expertise."

16 O'Keeffe's, Inc., *supra*, 92 F.3d at 942 (quoting Motor Vehicle Mfrs. Ass'n v. State Farm Mut.  
17 Auto. Ins. Co., 463 U.S. 29, 43 (1983)).

18 A reviewing court:

19 must consider whether the decision was based on a consideration of the relevant  
20 factors and whether there has been a clear error of judgment. . . . Although this  
21 inquiry into the facts is to be searching and careful, the ultimate standard of  
22 review is a narrow one. The court is not empowered to substitute its judgment  
23 for that of the agency.

24 Citizens to Preserve Overton Park, Inc. v. Volpe, 401 U.S. 402, 416 (1971) (citations omitted).

25 In fulfilling its duty, the agency shall "make any determination . . . solely on the basis of  
26 the best available scientific and commercial information regarding a species' status, without  
reference to possible economic or other impacts of such determination." 50 C.F.R. § 424.11(b).

The status review team sent its request for data on goshawk territory to 821 federal, state, tribal

1 and private land managers. ER 8788. The status review team then analyzed the submitted data  
2 and reported its conclusions regarding goshawk habitat trends in each of the six assessment  
3 areas. ER 8880, 8891, 8903, 8926, 8944 and 8960. In addition, the status review team  
4 reviewed a vast compilation of published and unpublished literature on goshawks. ER 8785,  
5 9022-51.

6 As required by 16 U.S.C. § 1533(a)(1), the "Northern Goshawk Status Review" and the  
7 "Twelve-month Administrative finding" discuss in detail the information collected and thereafter  
8 set forth conclusions as to whether the goshawk is threatened or endangered "because of any" of  
9 the following five factors: (1) the present or threatened destruction, modification or curtailment of  
10 its habitat or range - ER 9000-01, 9157-62; (2) over-utilization for commercial, recreational,  
11 scientific or educational purposes - ER 9001, 9162; (3) disease or predation - ER 9001-02,  
12 9165; (4) the inadequacy of existing regulatory mechanisms - ER 9002-04, 9166-76; and (5)  
13 other natural or manmade factors affecting its continued existence - ER 9004, 9176.

14 The Northern Goshawk Status Review analyzed the data collected on the historic  
15 distribution of goshawks stating, in part:

16 Maps of all reported territories (presented as figures earlier in Chapter 3) show  
17 goshawks well-distributed within the forested habitat of each Assessment Area.  
18 Any interpretation of the distribution should consider that some of these locations  
19 have not been confirmed in the past ten years (approximately 13%). If all of the  
20 "old" territories (those not documented in the past 10 years) were concentrated  
21 in a portion of the geographic area, we would have indications of the goshawk  
22 being eliminated from a portion of its range. Our analysis of the "old" territory  
23 shows [sic]

24 Thus, we found no evidence or reports of goshawks being eliminated from  
25 a large landscape which could be interpreted as contraction of the species range.  
26 There are localized reports of goshawks being disappearing [sic] from individual  
territories, but the general distribution appears to be correlated with the forested  
west. The exception to this statement are [sic] the portions of the forested west  
where goshawks have not been reported in abundance either in the past or  
recently (coastal northern California, Oregon, and Washington and southern  
California). The discussions of Assessment Areas 5 and 6 address these  
situations.

Comparing the estimated current distribution against a historic distribution is  
difficult because of the lack of data on historic populations and habitat.



1           However, we can draw some general conclusions about the historic distribution  
2 of forested habitat in the west. Few areas of forest have been permanently  
3 changed from forested habitat; notable areas are the Puget Trough in Washington  
4 and the Willamette Valley of Oregon. In these areas we would not expect to find  
5 appreciable numbers of goshawks today. We also have indications of increased  
6 acreage of forest in portions of the west, principally from the expansion of  
7 pinyon-juniper forests in the absence of fire. But in general, looking at the scale  
8 of the Review Area, we believe the current distribution of forested habitat (Figure  
9 3.1) approximates the historic distribution of potential goshawk habitat.

6           Based on our analysis of the subset of 2,729 reported territories, we conclude  
7 that the distribution of goshawks shown in the series of Assessment Area maps  
8 [ER 8882, 8893, 8907, 8931, 8952, 8965] represents a good sample of the  
9 near recent and current goshawk distribution. We further conclude that the  
10 current distribution of known territories probably approximates the likely  
11 historical distribution of nesting goshawks.

12 ER 8969-70.

13           The status review team generated two maps of the petitioned area based upon the data  
14 collected. The first map depicts goshawk territories known to be occupied prior to 1988, and  
15 the second map depicts territories known to be occupied after 1988. ER 10413, 10414.  
16 Comparing these two maps demonstrates that breeding goshawks have continued to occupy all  
17 regions that they were known to occupy prior to 1988. The limitations of this analysis are  
18 acknowledged by the status review team. The status review report states, for example, that  
19 "[c]omparing the estimated current distribution against a historic distribution is difficult because of  
20 the lack of data on historic populations and habitat." ER 8970. The status review team  
21 requested information on goshawk territory for the time period of 1970 to the present "because  
22 the Review Team felt it was the earliest date that land managers were likely to have maintained  
23 reliable wildlife observation records." ER 8790. In fact, the vast majority of the 2,916 goshawk  
24 territories that the status review team tallied were recorded after 1990, significantly limiting the  
25 use of this data to form conclusions regarding historical trends. ER 10424-88.

26           Even if accurate information regarding historic territories and current territories were  
available, there would be some question as to the appropriate conclusion to draw, for example,  
the comments of Brian Walton of the Predatory Bird Research Group, University of California,

1 Santa Cruz in a letter to the U.S. Fish & Wildlife Service dated March 2, 1998 explains, in part:

2 Clearly, a map of known territories and habitat types occupied by the population  
3 does not contain the adequate elements necessary to discuss population status.  
4 The huge expense and staggering number of man-hours required by your data  
5 request could be much reduced by other means of analyzing status.

6 [W]ell-informed, long-involved biologists can use existing information on such  
7 well-studied, wide-ranging species and make a professional current status  
8 analysis. Please, whatever you do, do not utilize the completely irrelevant  
9 comparison of the number of "historic" territories to the number of current  
10 territories. Regardless of the fact that neither of these two numbers is known;  
11 habitat, carrying capacity, and mortality factors have changed.

12 ER 2366.

13 While the limitations of the available data regarding the historical distribution of goshawks  
14 are noted, there is credible, substantial, scientific literature in the administrative record which  
15 supports the conclusion that "the Service did not find evidence of a declining population trend."  
16 ER 9177. A study entitled "The Status of the Northern Goshawk in California, 1981-1983" by  
17 Peter H. Bloom, Glenn R. Stewart, and Brian J. Walton of the State of California Department of  
18 Fish and Game (ER 4537-65) concluded that the "[r]esults of this study indicate that the  
19 Northern Goshawk population size in California is relatively stable when compared with past  
20 declines of endangered species such as the Bald Eagle and Peregrine Falcon." ER 4557. The  
21 July, 1992 report entitled "Status of the Northern Goshawk in Oregon and Washington"  
22 prepared for the Audubon Society of Portland by David B. Marshall, a consulting wildlife  
23 biologist, states that "[t]here are no data suggesting there are survival problems among goshawks  
24 of the Pacific northwest," but concludes that "[b]ecause questions remain on the long range  
25 viability of goshawks in Oregon and Washington and elsewhere, further research is needed." ER  
26 292, 272.

27 In Birds of Prey, Natural History and Conservation of North American Raptors (1991),  
28 accipiter experts Noel and Helen Snyder conclude that:

29 [t]he overall distribution of the goshawk is large and varied, and provides the  
30 species with considerable security from extinction. Nevertheless, some local

1 populations, such as those in parts of Arizona, have exhibited significant recent  
2 declines, possibly resulting in part from accelerated timbering practices. The  
3 species deserves continued close monitoring because of its overall sparse  
4 population densities.

5 ER 9991.

6 In March, 1996, the Wildlife Society issued a technical review entitled "Northern  
7 Goshawk and Forest Management in the Southwestern United States" analyzing the scientific  
8 basis of the interim management guidelines resulting from the "Management Recommendations"  
9 developed by the U.S. Forest Service. ER 9919-42. The report explains that:

10 [b]ecause there is evidence that some forestry practices could have detrimental  
11 effects on goshawk nesting habitat, the U.S. Forest Service (USFS), state natural  
12 resource agencies, and concerned public groups have initiated research on the  
13 biology of goshawks and evaluation of forest management practices on  
14 goshawks. Most prominently, the USFS developed and initiated implementation  
15 of *Management Recommendations For The Northern Goshawk In The  
16 Southwestern United States* (Reynolds et al. 1992).

17 ER 9925. The Wildlife Society concluded that the:

18 [r]eview of northern goshawk biology and habitat use by the Northern Goshawk  
19 Scientific Committee was excellent. No evidence was presented to indicate that  
20 northern goshawk populations are declining, threatened or endangered in the  
21 Southwest or anywhere within its range, and we found no evidence of a long-  
22 term decline in goshawk breeding populations.

23 ER 9923.

24 In the 1997 edition of The Birds of North America published by the Academy of Natural  
25 Sciences and the American Ornithologists' Union, John Squires and Richard Reynolds describe  
26 the historical changes in goshawk distribution, stating "[f]ew data; western and northern portions  
of range in North America probably relatively unchanged since settlement by Europeans . . . ."

ER 7513.

In 1997, Professor Patricia L. Kennedy of Colorado State University published an article  
in the Journal of Raptor Research entitled "The Northern Goshawk (*Accipiter Gentilis*  
*Atricapillus*): Is There Evidence of a Population Decline?" Professor Kennedy evaluated the  
claim that northern goshawk populations are declining in North America based on a review of the

1 published literature and analyses of demographic data collected on two goshawk populations in  
2 New Mexico and Utah. Professor Kennedy explained:

3 My goal in this paper is to evaluate the claim that goshawk populations  
4 have suffered significant declines in the western U.S. I address the following  
5 question: Is there demographic evidence that goshawk populations are  
6 declining? The mark of a species in trouble is not its population abundance or  
7 geographic range size at one point in time, but the rate of population decline or  
8 range contraction . . . .

9 ER 5886.

10 Professor Kennedy reviewed the significant studies addressing this question, many of  
11 which are a part of the administrative record in this case and some of which the plaintiffs rely  
12 upon to support their position that there is evidence in the administrative record that goshawk  
13 populations are declining or likely to decline in the future, for example, "Goshawk Reproduction  
14 and Forest Management" by D. Coleman Crocker-Bedford published in the Wildlife Society  
15 Bulletin in 1990, ER 4702. Professor Kennedy writes:

16 Crocker-Bedford (1990) was the first person to suggest in the scientific literature  
17 that goshawk populations were declining due to overharvest of their forested  
18 habitat. This idea is important and it needed to be published. However, his  
19 study does not do an adequate job of rigorously evaluating this hypothesis. . . .  
20 Although his data suggest[s] more nest structures can be found in lightly  
21 harvested areas as compared to heavily harvested areas, his inference from this  
22 dataset to estimating rate of population change is unwarranted. The relationship  
23 between number of nest structures and number of goshawk breeding territories is  
24 unknown and the assumption that spatial variation in nest structure density  
25 reflects temporal variation in nest structure density is not supported by any data  
26 and is probably unjustified biologically.

ER 5889.

Professor Kennedy concludes that:

there is no evidence to support the hypothesis that goshawk populations are  
declining. This result can be interpreted in two ways: (1) goshawk populations  
are not declining; or (2) goshawk populations are declining but the declines have  
not been detected with current sampling techniques . . . .

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It is clear that the information currently available to the agencies concerning

1 goshawk population trends and demographic parameters is insufficient to  
2 diagnose population declines.

3 ER 5893-94. Professor Kennedy cautions: "Although the concerns about overharvest  
4 of forested communities is certainly justifiable, listing a species for which there is no evidence of a  
5 population decline would be a misuse of that legislation and could greatly erode the credibility of  
6 the ESA." ER 5894.

7 During the public comment period on the status review, several ornithologists wrote and  
8 expressed the view that the goshawk does not warrant listing under the Endangered Species Act.  
9 ER 2367 ("The suggestion that timber practices are endangering goshawks is logical only with a  
10 complete lack of understanding of the species."); ER 9907 ("The conclusion from my cumulative  
11 observations and my knowledge of the literature . . . is that the northern goshawk . . . does not fit  
12 the criteria for, nor definition of, an endangered species . . . likely within the foreseeable future . .  
13 . to become threatened with extinction."); ER 9916 ("While I am sympathetic to efforts to reduce  
14 habitat destruction, territorial disturbance, and other human-related impacts due to logging or  
15 recreational activities, I do not believe there is any evidence of endangerment. Certainly  
16 population size has been altered due to fire suppression, logging, and recreation pressure.  
17 However normal mortality and alteration of maximum population size do not signal  
18 endangerment.").

19 The court concludes that there is ample evidence in the administrative record to support  
20 the decision of the U.S. Fish & Wildlife Service that "listing the northern goshawk in the  
21 contiguous United States west of the 100<sup>th</sup> meridian is not warranted because available  
22 information does not indicate that this population is in danger of extinction or likely to become so  
23 in the foreseeable future." ER 9177. The court further concludes that the U.S. Fish & Wildlife  
24 Service considered all relevant factors, applied the correct law, and made a reasoned decision  
25 supported by the record. While there are references in the administrative record to the need for  
26 further research on many aspects of the goshawk, the best available scientific and commercial

1 information supports the June 28, 1998 decision that listing under the Endangered Species Act is  
2 not warranted. See, e.g., ER 272, Marshall (1992) ("Because questions remain on the long  
3 range viability of goshawks in Oregon and Washington and elsewhere, further research is  
4 needed."); ER 4557, Bloom, Stewart & Walton (1986) ("[D]ue to current and projected levels  
5 of habitat destruction caused by timber harvesting activities, we urge that the population be  
6 monitored periodically to determine if State listing as Threatened or Endangered may be  
7 warranted at some future time."); ER 5894, Kennedy (1997) ("It is clear that the information  
8 currently available to the agencies . . . is insufficient to diagnose population declines. However, I  
9 think goshawk population trends could be diagnosed with a meta-analysis of all existing  
10 datasets.").

#### 11 4. Future Protections

12 The plaintiffs contend that the U.S. Fish & Wildlife Service improperly relied upon  
13 uncertain, future protections for the goshawk, and that the efficacy of these future protections is  
14 contradicted by the record. The plaintiffs explain that the U.S. Fish & Wildlife Service  
15 repeatedly refers to 1) future management plans which the agency concludes will provide  
16 improved habitat for the goshawk; and 2) current plans which the agency concludes should  
17 improve goshawk habitat because they call for reduced federal timber harvest.

18 The plaintiffs point to the reliance of the U.S. Fish & Wildlife Service on the future  
19 adoption by the U.S. Forest Service and the Bureau of Land Management of the Interior  
20 Columbia Basin Ecosystem Management Plan to support its conclusion that goshawk habitat in a  
21 large portion of the West will improve over time. The plaintiffs explain that subsequent efforts to  
22 revise the process demonstrate that there is considerable doubt as to whether the agencies will  
23 ever finalize or implement this plan.

24 The U.S. Fish & Wildlife Service contends that the status review team was correct in  
25 considering present federal and state management plans as a part of its review. The U.S. Fish &  
26 Wildlife Service explains that it is required under the Endangered Species Act to consider

1 "existing regulatory mechanisms," such as existing forest plans and conservation plans. 16 U.S.C.  
2 § 1533(a)(1)(D).

3 In addition, the U.S. Fish & Wildlife Service contends that the status review team did not  
4 rely upon the adoption of the Interior Columbia Basin Ecosystem Management Plan to support  
5 its conclusion that goshawk habitat in a large portion of the West will improve over time. The  
6 U.S. Fish & Wildlife Service contends that the status review team stated that "[t]he future trend in  
7 goshawk habitat for Forest Service and BLM lands in the [Columbia] Basin will depend upon the  
8 alternative selected." ER 8880.

9 The Endangered Species Act provides that the U.S. Fish & Wildlife Service may rely  
10 only upon "existing regulatory mechanisms" in its listing determinations. 16 U.S.C.  
11 § 1533(a)(1)(D). Courts have specifically and repeatedly interpreted this provision to mean that  
12 an agency may not rely upon future actions to justify a decision not to list a species as threatened  
13 or endangered. Oregon Natural Resources Council v. Daley, 6 F.Supp.2d 1139, 1153-54 (D.  
14 Or. 1998); Friends of Wild Swan, Inc. v. United States Fish and Wildlife Serv., 945 F. Supp.  
15 1388, 1399 (D. Or. 1996); Biodiversity Legal Found. v. Babbitt, 943 F. Supp. 23, 26 (D. D.C.  
16 1996); Southwest Center for Biological Diversity v. Babbitt, 939 F. Supp. 49, 52 (D. D.C.  
17 1996).

18 The status review team correctly considered present federal and state management plans  
19 as relevant factors and "existing regulatory mechanisms" under 16 U.S.C. § 1533(a)  
20 (1)(D). There is no evidence in this case that the U.S. Fish & Wildlife Service improperly relied  
21 upon uncertain, future protections in making the negative listing decision.

## 22 5. Procedural Flaws

### 23 A. Status Review Team

24 The plaintiffs contend that the U.S. Fish & Wildlife Service violated its own policy by  
25 allowing non-U.S. Fish & Wildlife Service personnel to participate directly in the listing decision.  
26 The plaintiffs complain that the U.S. Fish & Wildlife Service took the relatively unusual step of

1 forming a team of individuals to conduct the status review of the goshawks and took the virtually  
2 unprecedented step of including four individuals from outside the U.S. Fish & Wildlife Service on  
3 the nine-member team, including two U.S. Forest Service personnel. The plaintiffs contend that  
4 this procedural flaw in the agency's decisionmaking process renders the listing decision arbitrary  
5 and capricious.

6 The plaintiffs explain that U.S. Forest Service personnel faced a potential conflict of  
7 interest on the status review team. Since the U.S. Forest Service manages 55% of the potential  
8 goshawk habitat in the west, and the U.S. Forest Service manages its lands on a multiple use  
9 mandate which includes economic considerations and commodity production, listing of the  
10 goshawk as threatened or endangered would substantially affect the actions of the U.S. Forest  
11 Service. The plaintiffs further explain that other agencies and organizations interested in the  
12 outcome of the listing determination could influence the process only through public comments or  
13 written correspondence, while members of the U.S. Forest Service status review team were in a  
14 position to substantially influence the listing decision.

15 In addition, the plaintiffs point to the handbook of the U.S. Fish & Wildlife Service  
16 governing the agency's listing process which contains a section on participation by non-U.S. Fish  
17 & Wildlife personnel. The handbook states:

18 Once the public comment period for a proposed rule closes, agency personnel  
19 associated with the listing decision are discouraged from engaging in activities or  
20 substantive discussions related to the rulemaking with anyone outside the  
21 Department. This precludes the admittance into the agency proceedings of new  
22 information that may influence the agency or Department decision without the  
23 opportunity for public review and comment. Once the comment period closes,  
24 any substantive contacts with individuals outside the Department must be  
25 documented for the record; any meetings with individuals outside the Department  
26 should include a representative from the Solicitor's office.

23 Exhibit A to Amended Memorandum in Support of Plaintiffs' Motion for Summary Judgment.

24 The U.S. Fish & Wildlife Service contends that nothing in the Endangered Species Act  
25 specifies how the status review is to be carried out or precludes the participation of expert  
26 personnel from other agencies in the status review. The U.S. Fish & Wildlife Service contends



1 that the inclusion of U.S. Forest Service personnel with the requisite expertise on the status  
2 review committee was consistent with the Endangered Species Act and reasoned  
3 decisionmaking. The U.S. Fish & Wildlife Service explains that using available expertise of other  
4 agencies is an appropriate and reasonable method for ensuring that the Secretary's decision will  
5 be grounded on the best available scientific information. In addition, the U.S. Fish & Wildlife  
6 Service contends that there is no evidence that U.S. Forest Service personnel improperly  
7 influenced the status review team and the decision it made.

8 The U.S. Fish & Wildlife Service further points out that the role of the status review team  
9 was to collect information and provide a report and a recommendation. Because the  
10 responsibility for the final listing decision rests with the Director of the U.S. Fish & Wildlife  
11 Service, and because the Director is not bound by that recommendation, the U.S. Fish &  
12 Wildlife Service contends that the final listing decision of the Director cannot be rendered invalid  
13 because two of the nine status review team members were U.S. Forest Service personnel.

14 Finally, the U.S. Fish & Wildlife Service contends that the decisionmaking process  
15 recommended in the listing handbook does not alter this conclusion. The U.S. Fish & Wildlife  
16 Service explains that the section relied upon by the plaintiffs was aimed at avoiding improper ex  
17 parte contacts during the rulemaking process and not at restricting the expertise that the U.S. Fish  
18 & Wildlife Service had available to participate in the status review.

19 The Endangered Species Act does not prohibit the U.S. Fish & Wildlife Service from  
20 including personnel from other agencies on the status review team. The U.S. Fish & Wildlife  
21 Service has a duty to act reasonably. There is no evidence of improper influence or improper  
22 motive in this case. This court has found that the U.S. Fish & Wildlife Service collected the  
23 available information, considered all relevant factors, and made a reasoned decision based upon  
24 credible, substantial evidence in the record. The participation of personnel from the U.S. Forest  
25 Service in the status review team does not render this decision arbitrary or capricious. Since the  
26 U.S. Forest Service manages 55% of the forested lands in the status review area, cooperation

1 between the agencies is required to collect data, perform research, and address future concerns.

2 B. Timing of the Decision

3 The plaintiffs contend that the U.S. Fish & Wildlife Service had decided that the  
4 goshawks did not warrant protection under the Endangered Species Act well before it completed  
5 its status review report. The plaintiffs point out that while that the status review report is dated  
6 "June 1998" and the agency's final determination on the goshawk petition carries a handwritten  
7 date of June 10, 1998, the notes of a meeting of select members of the status review team which  
8 took place on April 15, 1998 indicate that Regional Director Michael J. Spear had already  
9 reached a conclusion that listing the goshawk was not warranted. The plaintiffs point out that this  
10 conclusion by Spear, that a listing proposal for goshawks was not warranted, preceded  
11 completion of much of the analysis and drafting of the sections in the status review report  
12 providing conclusions, recommendations and much of the biological analysis.

13 The U.S. Fish & Wildlife Service contends that the final decision of not warranted was  
14 made by the Director of the U.S. Fish & Wildlife Service, Jamie Rappaport-Clark, not the  
15 Regional Director Michael J. Spear. The notice of decision is found at 63 Fed. Reg. 35183,  
16 dated June 29, 1998, and it announces a decision of June 22, 1998. The U.S. Fish & Wildlife  
17 Service contends that the meeting between the Regional Director and members

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19 of the status review team on April 15, 1998 is factually irrelevant to the notice of decision in the  
20 Federal Register.

21 The planner notes dated April 15, 1998 of Monty Knudsen, administrative leader of the  
22 status review team, record that "Mike & others concluded not warranted for much of  
23 *Atricapillus*, still question about Apache, but probably same lack of clear information on status."  
24 ER 3638. Planner notes of team member Catrina Martin state that Spear said "we can say that  
25 timber harvest is less now than in 80's - so the picture is getting better." ER 3668.

26 The decision of the Director of the U.S. Fish & Wildlife Service that the listing of the

1 goshawk as threatened or endangered was not warranted was published on June 29, 1998. 63  
2 Fed. Reg. 35183. Reference to the planner notes of April 15, 1998 does not establish that the  
3 decision of the Director was made before the biological analysis.

4 C. Biological Standard

5 The plaintiffs contend that the status review team tabled a decision on the definition of  
6 endangered until later in the process and never explained the process of how the agency reached  
7 this decision. The plaintiffs contend that the U.S. Fish & Wildlife Service employed scientific  
8 definitions of the terms "threatened" and "endangered" without defining what biological criteria to  
9 apply in making the decisions.

10 The U.S. Fish & Wildlife Service contends that the Endangered Species Act provides a  
11 definition of a "threatened" species, namely one that "is likely to become an endangered species  
12 within the foreseeable future throughout all or a significant portion of its range." 16 U.S.C. §  
13 1532(20).

14 There is no evidence that the definition provided by the Endangered Species Act was  
15 inadequate or improperly applied.

16 D. Peer Review

17 The plaintiffs contend that the U.S. Fish & Wildlife Service conducted a scientific peer  
18 review of its listing decision in accordance with agency policy, but this peer review was in many  
19 ways virtually worthless. The plaintiffs contend that the peer reviewers themselves noted many  
20 flaws in the peer review process.

21 The U.S. Fish & Wildlife Service contends that neither the Endangered Species nor the  
22 Administrative Procedures Acts require peer review. Since the agency was not required to  
23 conduct peer review at all, the U.S. Fish & Wildlife Service contends that there can be no legal  
24 basis for requiring the agency to use a particular process.

25 In Vermont Yankee Nuclear Power Corp. v. Natural Resources Defense Council, Inc.,  
26 435 U.S. 519 (1978), the United States Supreme Court affirmed the basic tenet of administrative

1 law that administrative agencies should be free to fashion their own rules of procedure. The  
2 Court stated: "[T]his much is absolutely clear. Absent constitutional constraints or extremely  
3 compelling circumstances the administrative agencies should be free to fashion their own rules of  
4 procedure and to pursue methods of inquiry capable of permitting them to discharge their  
5 multitudinous duties. Id. at 543 (internal quotations omitted).

6 The peer review process used by the U.S. Fish & Wildlife Service presents no con-  
7 stitutional constraints or extremely compelling circumstances.

### 8 CONCLUSION

9 The administrative record in this case adequately supports the decision of the U.S. Fish  
10 & Wildlife Service that "listing the northern goshawk in the contiguous United States west of the  
11 100<sup>th</sup> meridian is not warranted because available information does not indicate that this  
12 population is in danger of extinction or likely to become so in the foreseeable future." ER 9177.

13 The plaintiffs' motion for summary judgment (#51) is denied, and the defendants'

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16 motion for summary judgment (#78) is granted. The court will enter judgment for the defendants.

17 DATED this 28th day of June, 2001.

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/s/ Helen J. Frye  
HELEN J. FRYE  
20 United States District Judge  
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