

**CONSIDERING ALTERNATIVE ARTIFICIAL PROPAGATION PROGRAMS:  
IMPLICATIONS FOR THE VIABILITY OF LISTED ANADROMOUS  
SALMONIDS IN THE INTERIOR COLUMBIA RIVER**

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1 **ABSTRACT**

2 Artificial propagation of imperiled and commercially exploited anadromous  
3 salmonid species for conservation and harvest is widespread. However, while these  
4 programs can mitigate demographic extinction risk and provide fish for harvest, they also  
5 have the potential to affect the long-term viability and evolutionary trajectory of wild  
6 populations. In this paper, we describe the potential benefits and risks of artificial  
7 propagation programs in achieving the Interior Columbia Technical Recovery Team’s  
8 viability criteria for listed anadromous salmonid populations and provide two case studies  
9 illustrating the potential effects of a range of artificial propagation strategies on the  
10 viability of a large population and of a Major Population Group or meta-population.  
11 Overall, the immediate short-term risk of extinction must be balanced against the longer-  
12 term risks to diversity and productivity that are posed by an artificial propagation  
13 program. Hatchery management practices and the duration of hatchery actions aimed at  
14 recovery of wild populations should be designed to avoid long-term impacts to viability.  
15 In some cases, strategies that isolate hatchery production from wild production and that  
16 do not compromise the viability of populations or meta-populations have the potential to  
17 provide opportunities to support other societal goals such as harvest.

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19

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## INTRODUCTION

21

22           The relative merits and risks associated with artificially propagated salmonids  
23 (see definitions in Table 1) have been the subject of much discussion among fisheries  
24 professionals. Artificial propagation clearly has the potential to increase abundance of  
25 fishes (Brannon et al. 2004); however, several detrimental effects that releases of  
26 hatchery fish may have on native populations have been well documented, including  
27 competition, reduced fitness and reduction of effective population size (Araki et al. in  
28 press) . As a result, many practices such as extensive out-of-basin transfers of these  
29 species are much less common than they were historically (Brannon et al. 2004).  
30 However, substantial legacy effects on some populations persist from past practices; and  
31 a good deal of uncertainty remains regarding the degree to which improved hatchery  
32 practices can overcome these risks.

33           As salmon conservation and planning moves forward, both the ultimate goals and  
34 the risks and benefits of existing and future artificial propagation programs must be  
35 considered as those programs are developed and implemented. These programs can  
36 certainly have their place in conservation and recovery efforts. For example, if not for  
37 captive broodstock programs, Redfish Lake Sockeye salmon (*Oncorhynchus nerka*)  
38 would likely be extinct. Similarly, endangered Sacramento River winter-run Chinook  
39 salmon (*O. tshawytscha*) may have also benefited from captive release programs  
40 (Hedrick et al. 2000). In addition, artificial propagation programs that do not contribute  
41 directly to recovery of wild populations may be desired to support harvest. A key

42 element of recovery planning will be to incorporate artificial propagation programs in a  
43 manner that is consistent with long-term recovery goals and viability criteria.

44 This paper provides an overview of the potential effects on long-term risk to  
45 populations posed by a range of artificial propagation programs. Following a brief  
46 description of the general biological risks and benefits of artificial propagation to wild  
47 populations, we provide an overview of the biological viability criteria developed by the  
48 Interior Columbia River Technical Recovery Team (ICTRT) for populations and ESUs  
49 and consider the implications of artificial propagation on achieving those criteria. We  
50 then predict relatively specifically the impact of a range of potential artificial propagation  
51 programs on population and meta-population viability with two specific case studies from  
52 the Columbia River basin. Finally, we offer some general conclusions and discussion  
53 regarding artificially propagated fish and salmon conservation in the interior Columbia  
54 Basin.

55

## 56 **BIOLOGICAL RISKS AND BENEFITS OF ARTIFICIAL PROPAGATION**

57

58 Since the last half of the 20<sup>th</sup> century there has been increasing scientific  
59 discussion regarding potential effects of hatchery programs (NRC 1996, Lichatowich et  
60 al. 2006). These include both direct and indirect ecological effects as well as a variety of  
61 potential genetic changes that could influence the continued persistence and viability of  
62 naturally reproducing populations.

63 Ecological Effects

64 Artificial propagation programs typically have the explicit goal of increasing the  
65 abundance of fish within a system. This augmentation can be advantageous when  
66 abundance in the natural population is exceptionally low, as immediate risks of extinction  
67 can be mitigated. Such demographic increase is a primary reason for the use of artificial  
68 propagation in many conservation programs. Increases in abundance are also the goal of  
69 most harvest augmentation programs, which have as their main purpose the provision of  
70 additional fish for harvest, usually as mitigation for habitat losses resulting from human  
71 actions such as the construction of impassable barriers.

72 However, this increased abundance has the potential to have additional indirect  
73 and direct ecological consequences (NRC 1996) affecting conspecifics or other species  
74 (Fausch 1988, Fresh 1997). For example, the increased number of juveniles and smolts  
75 has been implicated in generating feeding and territorial competition between hatchery  
76 and wild smolts. For instance, some authors have found increased dispersal or  
77 emigration of wild salmonids (McGinnity et al. 1997, Weiss and Schmutz 1999), and  
78 others have found some displacement of wild juveniles from their foraging habitats  
79 (McMichael et al. 1999) after the introduction of hatchery fish. However, this effect may  
80 be dependent on a variety of factors, as at least one study (Riley et al. 2004) found little  
81 competitive effect from relatively small releases of Chinook and coho salmon hatchery  
82 releases. Competition for food may extend to ocean areas as well (Cooney and Brodeur  
83 1998, Heard 1998). In fact, recent work suggests that large releases of hatchery steelhead  
84 (*O. mykiss*) smolts into the Snake River may reduce smolt-to-adult return rates in wild  
85 Chinook salmon (Levin and Williams 2002). Non-endemic hatchery production can also

86 exert a negative effect on wild smolt and adult production, probably through such  
87 density-dependent mechanisms as competition for food and territories among juveniles  
88 (Kostow and Zhou 2006).

89 Finally, the intermingling of wild and hatchery fish can complicate management  
90 of mixed-stock fisheries (Fraidenberg and Lincoln 1985). While this is a harvest  
91 management issue, hatchery production and harvest management can be tightly inter-  
92 linked; we therefore include this as a potential impact of artificial propagation programs.

### 93 Genetic Effects

94 In addition to potential ecological effects, hatchery fish may also affect the  
95 genetic structure of populations or ESUs (Currens and Busack 2004). In at least one  
96 case, the effect can be positive. This is when a sub-population, population or ESU is at  
97 extremely low numbers, and the artificial propagation program serves to preserve genetic  
98 variation that would otherwise likely be lost (Eldridge and Killebrew in press). A variety  
99 of other factors, however, have the potential to disrupt natural patterns of genetic (and  
100 thus potentially adaptive) variation (Hindar et al. 1991). The degree of threat posed by  
101 hatchery fish depends on several factors including the source of the hatchery fish, the  
102 proportion of hatchery fish being contributing to a population, and the duration of the  
103 hatchery program. Currently, these factors together are often referred to as the  
104 “Proportion Natural Influence” or PNI. While the intensity of threat depends on the  
105 specific situation and practices, genetic problems related to artificial propagation can be  
106 grouped into for categories as follows:

107           *Domestication.* Domestication is an essentially unavoidable consequence of  
108 artificial propagation (Busack and Currens 1995) as a result of unintentional or natural  
109 selection that occurs in artificial environments, selection resulting from non-random  
110 choice of broodstock and the relaxation of selection on early life stages (Waples 1999).  
111 In addition, managers can intentionally or artificially select for particular traits. In  
112 segregated hatchery programs, this is not necessarily detrimental, as it can allow a stock  
113 to flourish in the program. However, in integrated programs, or in situations where a  
114 segregated hatchery program produces many strays, it can result in lowered fitness for the  
115 wild population.

116           It has been hypothesized that domestication may be moderated through rearing  
117 regimes that simulate natural conditions (Maynard et al. 2004) or through integrated  
118 breeding programs that maximize wild-adapted genotypes (Mobrand et al. 2005).  
119 However, since neither strategy is capable of completely eliminating domestication, any  
120 intentional or inadvertent (e.g., through straying) interbreeding of hatchery fish with  
121 natural populations has the potential to reduce the adaptation of the resultant progeny to  
122 natural conditions.

123           *Outbreeding depression.* Introgressive hybridization of non-local hatchery fish  
124 with native wild populations promotes outbreeding depression both from differential  
125 adaptations such as domestication, and genomic incompatibilities (i.e., diverged  
126 coadaptive gene complexes) of the two groups (Gharrett and Smoker 1993, Utter 2001).  
127 Despite a broad consensus for the use of local populations in hatchery supplementation  
128 programs (NRC 1996, Independent Scientific Advisory Board 2001, Mobrand et al.  
129 2005), out-of-ESU outplantings persist (e.g., Utter and Epifanio 2002). Increased

130 straying, which is typical of such releases (Quinn 2005), elevates the risk of introgression  
131 beyond the area of release.

132         *Homogenization.* Homogenization both among and within populations may result  
133 from artificial propagation programs. Pacific salmonids are renowned for their homing  
134 ability, and at least one species exhibits precise philopatry (Bentzen et al. 2001). This  
135 tendency to return to natal areas leads to genetic differentiation both within and between  
136 populations, often dynamically and at fine-scales (Hendry et al. 1999, Bentzen et al.  
137 2001, Hilborn et al. 2003). This localized complexity buffers the population against  
138 ongoing and shifting natural conditions (Reisenbichler et al. 2003). Such complexity  
139 necessarily exists at a finer scale than is possible for even a hatchery derived from and  
140 maintained by local breeders. Thus, a natural breeding program that includes hatchery  
141 supplementation breaks down natural patterns of differentiation between and within  
142 populations, and can lead to homogenization across populations or between sub-units of  
143 the same population. (Reisenbichler et al. 2003, Moberg et al. 2005).

144         *Effective population size.* Small effective population size ( $N_e$ ) can lead to  
145 inbreeding depression and loss of genetic variation, which are particularly detrimental to  
146 viability when the demographic size of the population remains small. Artificial  
147 propagation programs have the potential to lower effective population size by reducing  
148 the effective number of parents that is represented in the next generation (Ryman and  
149 Laikre 1991). This reduction can happen when a relatively small number of parents is  
150 disproportionately represented in the next generation, as when in past practices, a small  
151 number of males was mated with many females. In addition, the  $N_e$  of a post-  
152 supplementation program maintained at pre-supplementation numbers inevitably is

153 reduced by the disproportionate representation of the hatchery population imposed  
154 through supplementation (Waples and Do 1994). In a recent study (Araki et al. in press),  
155 detected no reduction in the number of breeders ( $N_b$ ) from a new supplementation  
156 hatchery. Currently, hatchery reform groups are working on prescribed ratios of hatchery  
157 and natural spawners in the hatchery and in the natural environment to reduce risks  
158 associated with low  $N_e$  and domestication.

159           Importantly, while there are documented successes of artificial propagation  
160 programs in producing high egg-to-smolt survival and positive adult-to-adult replacement  
161 rates, there is little information available about the performance and productivity of  
162 hatchery-origin fish and their resultant progeny in the natural environment or their  
163 cumulative effects on natural population productivity (Araki et al. in press, Waples et al.  
164 in press). There is, therefore, great uncertainty about the net long-term effects of  
165 artificial propagation programs, particularly long-term programs, on natural populations.

166

## 167           **VIABILITY CRITERIA FOR INTERIOR COLUMBIA SALMON AND STEELHEAD**

168

169           The ICTRT was formed to accumulate, synthesize and interpret information  
170 related to the recovery of seven anadromous salmonid Evolutionarily Significant Units  
171 within this region listed as threatened or endangered under the Endangered Species Act  
172 (ESA). The approach to recovery is based on the concept of a viable salmonid population  
173 (VSP) which guides determination of the conservation status of populations and larger-

174 scale groupings within an ESU (McElhany et al. 2000). Under these guidelines, a VSP is  
175 an independent population of any anadromous Pacific salmonid that has a negligible risk  
176 of extinction due to threats from demographic variation, local environmental variation,  
177 and genetic diversity changes over a 100-year time frame.

#### 178 Hierarchical Subdivisions within ESUs

179 We have described the biological hierarchy inherent to salmon populations in the  
180 interior Columbia Basin (Interior Columbia Technical Recovery Team 2003). We  
181 defined populations using genetic, geographic, demographic and ecological information  
182 based on a criterion of demographic independence over a 100-year time period. Above  
183 the population level, Major Population Groups (MPGs) are groups of geographically  
184 proximate populations that are more similar to each other in genetic characteristics and  
185 habitat types than they are to other such groups of populations. MPGs are thus a means  
186 of identifying genetic diversity and spatial structure across the ESU. ESUs, the units that  
187 are listed under the ESA (Waples 1991), can be comprised of one or many Major  
188 Population Groups. Below the population level, we used an intrinsic potential analysis  
189 (Interior Columbia Technical Recovery Team 2007c) to identify spawning concentrations  
190 (Major and Minor Spawning Areas -- MaSA and MiSAs). These areas delineate the  
191 spatial distribution of spawning habitats within a population but do not necessarily reflect  
192 any demographic or genetic discreteness among spawning areas within populations.

#### 193 Viability Criteria Overview

194 Our determination of a population's status relative to viability criteria considers  
195 its condition relative both to abundance and productivity (A/P), and to spatial structure

196 and diversity (SS/D) (Interior Columbia Technical Recovery Team 2007c). Hatchery-  
197 origin fish are treated in both areas. The status of populations within an MPG determines  
198 the MPG-level viability, and the status of constituent MPGs determines ESU level  
199 viability.

200

201 ESU- and MPG-level viability assessment

202 Under the ESA, it is the listed units, or ESUs that are the “delisting unit”  
203 (Waples 1991). Viability at this level is thus a key component for ultimate de-listing.  
204 The ICTRT recommends that for an ESU to be considered viable, all extant MPGs should  
205 be viable. For MPGs with multiple populations to be considered viable, half or a  
206 minimum of two (whichever is greater) of its constituent populations should meet  
207 population viability criteria, with at least one meeting criteria for “high viability”  
208 (Interior Columbia Technical Recovery Team 2007c). In addition, all major life history  
209 types in the MPG (e.g. spring and summer run, anadromous and resident, etc.) should be  
210 represented among the populations that achieve viable status, and the larger populations  
211 in the MPG should be proportionately represented in the set of populations meeting  
212 viability criteria. All remaining populations should be “maintained” at levels so that they  
213 are not a significant sink for other populations or the MPG as a whole. At this level, they  
214 provide a buffer for some of the uncertainties in the adequacy of the viability criteria and  
215 in the success of recovery efforts aimed at other populations and perform relevant  
216 ecological functions (e.g. contribute to the maintenance of natural patterns of dispersal).

217 Population-level Viability

218 Full details of the methods for deriving an SS/D risk rating, an A/P rating and a  
219 population-level rating are described elsewhere (Interior Columbia Technical Recovery  
220 Team 2007c). Here we present a brief overview.

221 *Abundance and productivity.* Because biological viability criteria aim to identify  
222 the conditions under which a population would be naturally self-sustaining, the ICTRT  
223 metrics are focused on natural production. Abundance is measured in terms of spawners  
224 of natural origin, a direct measure of the ability of a population to sustain natural  
225 production at a sufficient level to protect against demographic and genetic losses.  
226 Productivity is expressed as a ratio of the naturally produced offspring from spawning in  
227 a given brood year to the corresponding total number of parent spawners -- both hatchery  
228 and natural origin -- producing the returns. Including the hatchery-origin adults as  
229 spawners and their natural-origin offspring as recruits in the calculation provides an  
230 appropriate estimate of natural population productivity when the relative reproductive  
231 success of hatchery-origin spawners is not known. When the relative reproductive  
232 success of hatchery and natural-origin spawners<sup>1</sup> is well-documented, the productivity  
233 estimates for the natural and hatchery origin components of the population can be  
234 partitioned. In general, hatchery-origin fish with lower reproductive success than their  
235 wild counterparts will result in an estimate of population-level productivity that is lower  
236 than that of the wild fish alone (Gross 1998). Thus, from the perspective of planning

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<sup>1</sup> The aggregate natural productivity of a population including hatchery origin spawners can be affected by a variety of factors. These include differences in fitness that would arise in any habitat, but also include factors such as differences in the distribution of hatchery and natural origin spawners across relatively good and relatively poor habitat.

237 recovery strategies, there are two substantial benefits to knowing the reproductive success  
238 of both components of the population. First, knowing the reproductive success of wild  
239 and hatchery fish can provide a more accurate estimate of the improvements in  
240 productivity required to meet viability goals. Second, continued interbreeding with  
241 hatchery-origin fish of lower fitness can lower the fitness of the wild population;  
242 knowing the reproductive rates can potentially identify situations in which such  
243 interbreeding has been detrimental to the wild population. A detailed description of the  
244 methodology used to construct the viability curves for our A/P risk assessments, and  
245 examples of current status assessments can be found in ICTRT (2007) Appendix A and  
246 on the ICTRT website: [www.nwfsc.noaa.gov/trt/columbia\\_trt](http://www.nwfsc.noaa.gov/trt/columbia_trt).

247 *Spatial Structure and Diversity (SS/D)*. Our SS/D criteria are divided into two  
248 general goals, each having several criteria addressing the goal. The first goal is to allow  
249 spatially mediated processes to function at rates and in patterns that support viability. In  
250 other words, this goal aims to allow normal biological and ecological processes, such as  
251 gene flow, local extirpations and re-colonizations to occur in a manner and at a rate that  
252 is not substantially anthropogenically dictated. This goal is primarily achieved by  
253 maintaining a distribution of spawners that is generally consistent with that of the  
254 historical population. The second goal is to maintain normative levels of variation. This  
255 goal recognizes both direct measures of variation (in terms of genetic and phenotypic  
256 characteristics) and indirect measures that have the potential to affect the diversity within  
257 and between populations, such as the range of habitats occupied. (See (Interior Columbia  
258 Technical Recovery Team 2007c) for a complete description of all metrics.)

259           Several of our SS/D metrics rely upon determining which spawning areas are  
260 currently occupied. For these metrics, occupancy is defined on the basis of natural-origin  
261 spawners in either a MaSA or MiSA (see Interior Columbia Technical Recovery Team  
262 2007c, p. 51 for a more complete description of our occupancy rules). As such, the  
263 presence of hatchery origin spawners does not contribute to occupancy for these metrics.  
264 However, their natural origin offspring that return to spawn do contribute to occupancy.  
265 Our rationale for this decision is similar to the rationale that excludes hatchery fish from  
266 abundance estimates. The distribution of hatchery fish spawning naturally may not be  
267 indicative of any natural function or process and, therefore, does not lessen the risk  
268 associated with those spatial metrics. This point can be illustrated using an extreme  
269 example. Hatchery adults could be outplanted above Dworshak Dam and might display  
270 natural spawning behavior. However, the impassable fish barrier precludes recovery of a  
271 naturally reproducing population in this case making the presence of those hatchery fish  
272 irrelevant from a viability standpoint.

273           We deal directly with the presence of hatchery fish in populations in a metric  
274 assessing spawner composition. Categorization of risk associated with spawner  
275 composition is determined following Figure 1 (reprinted from Interior Columbia  
276 Technical Recovery Team 2007c). The risk associated with hatchery programs increases  
277 when hatchery fish: a) are from outside the population, MPG or ESU (in increasing order  
278 of risk); b) constitute a high proportion of the natural spawners; c) are from a program not  
279 using “best management practices;” or d) if the artificial propagation program is of long  
280 duration (Table 2).

281           The final SS/D metric on which artificial propagation programs can have an  
282 impact is the direct measure of genetic variability. This metric relies on evaluating the  
283 degree to which the genetic structure of a population likely varies from its probable  
284 historical condition, or whether, if divergent, it is trending toward conditions that are  
285 more normative. This means that populations that are persistently genetically similar to  
286 artificially propagated stocks that are not locally derived will be at higher risk than those  
287 that maintain their local patterns of genetic variation.

288           A composite SS/D rating, considering all nine SS/D metrics is developed using a  
289 weighting system across the two goals.

290           *Population-level viability assessment.* Overall population-level status is  
291 determined by the combination of the abundance and productivity risk level and a  
292 composite spatial structure and diversity risk rating. Populations are considered highly  
293 viable, viable, maintained, or high risk (Figure 2). The population(s) meeting “high  
294 viability” criteria will necessarily be large and spatially complex. This means that only a  
295 subset of the populations in MPGs with multiple populations can be candidates for this  
296 status.

297           The methods for developing the composite SS/D rating place some constraints on  
298 the use of artificial propagation. Specifically, a population can meet the criteria to be  
299 considered viable with an ongoing, but low-level artificial propagation program, but only  
300 when most other SS/D metrics are rated as “low” or “very low” risk. This means that in  
301 order to meet these criteria there should be little or no introgression between the hatchery  
302 fish and the wild component of the population, which would affect the metric assessing

303 genetic variation, nor should there be significant artificial selection or reduction in spatial  
304 distribution. In order to meet all the conditions to be considered maintained, the  
305 population will need either to achieve these same, low-risk SS/D ratings and a  
306 “moderate” risk for A/P, or achieve a “low” or “very low” risk rating for abundance and  
307 productivity. Importantly, achieving high abundance and productivity will require  
308 increases in productivity above current levels for most populations in the Interior  
309 Columbia Basin.

310           Although populations supported by hatchery supplementation for more than three  
311 generations do not in most cases meet ICTRT viability criteria at the population level, the  
312 ICTRT criteria do not preclude the use of hatcheries to meet the broader goals of  
313 salmonid management. The risk associated with hatchery fish is largely dependent upon  
314 the design of the program and the population setting in which the program is found  
315 (Moberg et al. 2005). For example, hatchery programs designed to bolster a population  
316 until it reaches a point of being self-sustaining can achieve a low-risk rating for the  
317 spawner composition metric even when up to 15% of the spawners are of hatchery origin  
318 for three generations, provided that “best management practices” are used. This same  
319 level of hatchery spawners would result in a "high" risk rating for that metric if the  
320 hatchery spawners originated from outside the MPG. There may also be opportunities to  
321 isolate hatchery programs within large, complex populations, or in areas not occupied by  
322 listed populations (see below).

323           The effect that artificial propagation programs can have on the risk level assigned  
324 to individual populations has implications for risk levels at the ESU and MPG levels as  
325 well. First, it is impossible for any MPG (and thus any ESU) with long-term hatchery

326 programs that dominate production in a majority of its constituent populations to be  
327 classified as viable, since few of these populations will meet viability criteria. Similarly,  
328 in many cases an MPG contains one or more “must-have” populations – populations that  
329 must meet viability criteria by virtue of their size or life history characteristics  
330 represented.

331

332 **ASSESSING THE IMPACTS OF ARTIFICIAL PROPAGATION PROGRAMS IN RECOVERY**

333 **PLANNING**

334 Gauging the impact of artificial propagation programs in the short and long-term  
335 is clearly challenging. In the following sections, we demonstrate the effect on population  
336 and MPG viability posed by a range of potential or proposed artificial propagation  
337 programs. In the first case study, we discuss the impact on within-population variation  
338 and population-level viability of several possible approaches to artificial propagation in  
339 the Wenatchee River. In the second case study, we present the potential effects of current  
340 and proposed artificial propagation programs in the Grande Ronde/Imnaha  
341 spring/summer Chinook salmon MPG on overall MPG and ESU viability and variation.  
342 We present these case studies as examples of the factors that should be weighed, and how  
343 the relative risk to the population or MPG would change under each scenario. We intend  
344 for recovery planners to use these examples to frame the risk-benefit analysis of other  
345 situations, and anticipate a continuing iterative process to evaluate the effects and relative  
346 risks of artificial propagation programs.

347 Each of the alternative approaches presented in the case studies has a different set  
348 of risks and benefits, but each set is dependent on several principles. First, genetic  
349 homogenization within and between populations will increase the risk to affected  
350 populations by reducing available genetic variation. Second, any artificial propagation  
351 program carries some risk of domestication selection and consequent outbreeding  
352 depression from strays. Third, when demographic extinction risk is very high, the benefit  
353 of artificial propagation increases, and may surpass the costs associated with  
354 domestication or minor homogenization in a short time frame. Finally, all impacts of an  
355 artificial propagation program on wild fish, including those out of basin, should be  
356 considered.

357

358 *A Case Study of the Wenatchee River Spring Chinook Population -- Potential effects of*  
359 *artificial propagation on within-population variation*

360

361 The Setting of the Wenatchee River Spring/Summer Chinook Population

362 The Wenatchee River drainage comprises 3,550 km<sup>2</sup> ( 1370 mi<sup>2</sup>) on the east slope  
363 of the Cascade Mountains in Washington State. The stream network supporting this  
364 population is dendritic in structure, and the population has been classified as “very large”  
365 in size based on historical intrinsic habitat potential (Interior Columbia Technical  
366 Recovery Team 2007a), which also identified five Major Spawning Areas (MaSAs)  
367 within this population: the Chiwawa River, the White River, the Little Wenatchee River,

368 Nason Creek, and the upper mainstem Wenatchee. In addition, there are four historical  
369 Minor Spawning Areas (MiSAs) (Icicle Creek, Peshatin Creek, Mission Creek, and  
370 Chumstick Creek) in the downstream portions of the drainage (Fig. 3). Given the small  
371 number of populations and the single extant MPGs in the Upper Columbia spring  
372 Chinook salmon ESU, as well as this population's size, the Wenatchee is a population  
373 that must meet viability criteria, and it is recommended that this population meet criteria  
374 for high viability in order for the MPG and ESU to be considered viable (Interior  
375 Columbia Technical Recovery Team 2007b).

376         Of the watersheds containing spawning areas, the White River, which contributes  
377 25% of the total annual flow of the Wenatchee River, is distinct in its geology and  
378 hydrologic patterns. It is fed by melting glaciers resulting in substantial input of glacial  
379 till leading to both the name of the river, and a set of environmental conditions that are  
380 substantially different from other streams in this system. Finally, the White River drains  
381 into a deep, cold lake that must be navigated by migratory fishes returning to spawn in  
382 the upper reaches of the White River. Recent studies have found spring Chinook salmon  
383 parr in the littoral zone of Lake Wenatchee during summer months, but the duration and  
384 extent of juvenile rearing in the lake is not well-quantified (K. Polivka, USFS, pers.  
385 comm.)

386         This population is not currently viable. In fact, it has a high risk rating for both  
387 A/P and SS/D. The population as a whole is depressed, with a current geometric mean  
388 productivity of less than one, even at low densities (Upper Columbia Salmon Recovery  
389 Board 2006, Interior Columbia Technical Recovery Team 2007a). The White River  
390 spawning aggregation, in particular, is severely depressed and persistently experiences

391 escapement levels below White River HGMP (Washington Department of Fish and  
392 Wildlife 2005) critical population thresholds. A five-year geometric mean between 1988-  
393 1992 of 25 spawners was the lowest within the major spawning areas of the Wenatchee  
394 River population (Myers et al. 1998). The Wenatchee population's SS/D rating is also  
395 high risk (Interior Columbia Technical Recovery Team 2007a). This rating is related to a  
396 very high proportion of within-population hatchery fish on the spawning grounds from  
397 the Chiwawa supplementation program, high stray rates from that program to other non-  
398 target spawning aggregations, and out-of-ESU spawners straying in the basin (Tonseth  
399 2003, 2004, Upper Columbia Salmon Recovery Board 2006, Interior Columbia Technical  
400 Recovery Team 2007b). In addition, the genetic structure both within this population and  
401 between the Wenatchee and other Upper Columbia spring Chinook salmon populations is  
402 greatly homogenized likely due to past propagation practices and some years of  
403 extremely low returns.

404

#### 405 Hatchery Programs Past and Present

406 Principal ongoing hatchery activities in the Wenatchee population that have a  
407 potential effect on the genetic substructure (Chapman et al. 1995, Utter et al. 1995,  
408 Murdoch et al. 2005, Murdoch et al. 2007) include:

- 409 – Leavenworth National Fish Hatchery (Icicle Creek). This program uses spring  
410 Chinook salmon originating from a composite Columbia River stock (predominantly  
411 Carson Hatchery) that is classified as an out-of-ESU stock. These fish have been  
412 reared and released from the Leavenworth Hatchery since 1981. Broodfish from

413 upstream populations have been excluded and no outplantings to upstream areas have  
414 been conducted. Tagging studies indicate that LNFH stray rates are generally low  
415 (<1%) (Pastor 2004). However, based on expanded carcass recoveries from  
416 spawning ground surveys (2001-2004), LNFH and other out-of-basin spawners have  
417 comprised from 3-27% of the spawner composition in the five MaSAs above  
418 Tumwater Canyon (WDFW unpub. data).

419 – Chiwawa River. An integrated supplementation program (sensu (Mobrand et al.  
420 2005) initiated in 1989 includes rearing, acclimation and release of juveniles at the  
421 Chiwawa hatchery facility. There is widespread straying from this program to other  
422 tributaries (Tonseth 2003, 2004), presumably due in part to the use of Wenatchee  
423 River water for periods in the winter when Chiwawa River ice prevents use of the  
424 natal water source. Additionally, this program uses as few as 30% natural origin fish  
425 in the broodstock with no limit on the proportion of hatchery origin fish on the  
426 spawning grounds. There is commonly a very high proportion (>50%) of hatchery  
427 fish on the spawning grounds of the Chiwawa River and a high stray rate (40% in  
428 2002) to adjacent MaSAs (Tonseth 2003, 2004). Actions to reduce straying were  
429 implemented beginning with the 2005 brood year released in 2007.

430 – White River. A captive broodstock program was initiated in 1999 for White River  
431 spring Chinook salmon. Broodstock were generated from eggs excavated from redds  
432 of natural origin spawners in the White River. The first yearling smolt release  
433 occurred in the spring of 2004. Because this program is new, evaluation of its  
434 efficacy is currently ongoing (UCSRB 2006). The program is described in the Draft

435 Upper Columbia Spring Chinook Salmon, Steelhead, and Bull Trout Recovery Plan  
436 (UCSRB 2006) as follows:

437 “The White River program is designed to be integrated with the natural  
438 population and is intended to increase the number of White River spring Chinook  
439 adults on the spawning grounds. After hatching, fish are reared in a hatchery  
440 facility until maturity, which can occur at three to six years. These fish are  
441 spawned and their progeny are reared to a yearling smolt stage. The smolts are  
442 tagged or marked for monitoring purposes and subsequently released into the  
443 White River. Gametes collected from naturally produced White River spring  
444 Chinook may be used to augment the gametes from the adults reared in captivity.”

445 Plans are underway to convert the program to an adult based supplementation  
446 program by 2013. This program will have a smolt release target of up to 150,000  
447 within basin rearing and acclimation (Kirk Truscott, pers. comm.)

448 – Nason Creek. A captive broodstock program was initiated in the late 1990s when  
449 escapements were very low but was subsequently abandoned in the early 2000s based  
450 on improvements in adult returns (HGMP 2005). Some of the captive brood adults  
451 and smolts were released into Nason Creek from that program; however, the numbers  
452 were very limited (Kirk Truscott, pers. comm.) Currently, there are plans to start an  
453 adult based supplementation program in Nason Creek using natural origin spring  
454 Chinook from Nason Creek. Mitigation agreements include a reduction in the  
455 Chiwawa River smolt releases corresponding to the smolt release numbers from the

456 Nason Creek Program (target = 250,000). An integrated supplementation program  
457 for this tributary is in the planning stages.

458 Currently, the Little Wenatchee and upper Wenatchee MaSA are not supplemented with  
459 hatchery fish and no plans are in development to initiate hatchery programs in those  
460 areas.

461

#### 462 Genetic Structure within the Wenatchee Population

463 The genetic structure of the Wenatchee population was probably dramatically  
464 affected by at least two periods of dam construction. First, a dam on the mainstem  
465 Wenatchee River near the town of Leavenworth may have blocked almost all access by  
466 anadromous fish in the early 1900s (Craig and Suomela 1941, Mullan et al. 1992). The  
467 current spring-run Chinook salmon population of the Wenatchee River is descended from  
468 ancestors relocated over five consecutive years (1939-1943) under the Grand Coulee  
469 Fishery Management Plan (GCFMP, reviewed in Utter et al. 1995). The GCFMP  
470 intercepted adults destined for all upstream areas both above and below Grand Coulee  
471 Dam at Rock Island Dam and transported them to Nason Creek. This mixing of spawners  
472 would probably have eliminated any natural structure that may have existed within the  
473 Wenatchee basin. Indeed, genetic samples of spring Chinook salmon from the  
474 Wenatchee, Methow and Entiat basins are nearly indistinguishable from one another  
475 (Ford 2001, Interior Columbia Technical Recovery Team 2003, in prep) This raises the  
476 likelihood that any divergence among present spawning groups has occurred within the  
477 past 70 years.

478           The current genetic population structure within the Wenatchee is somewhat  
479 ambiguous. Samples from most locations within the basin are not significantly  
480 differentiated, consistent with past translocation, bottlenecks and ongoing artificial  
481 propagation activities. However, despite these actions, a clear genetic signal indicating  
482 that the White River group was isolated from other spring Chinook salmon in the  
483 Wenatchee River and adjacent basins based on allozyme data collected in the late 1980s  
484 (Utter et al. 1995, Ford 2001, Interior Columbia Technical Recovery Team 2003, in  
485 prep). Microsatellite analyses of more recent samples are conflicting regarding the  
486 persistence of this distinction. Analysis of samples collected in 2000 that encompass a  
487 geographic range similar to the earlier collections were consistent with a loss of the  
488 White River distinction, reflecting recent bottlenecks in spawning population size and  
489 apparent region-wide homogenization from translocations and straying (Interior  
490 Columbia Technical Recovery Team 2003, Moran and Waples 2004, Lundrigan et al. in  
491 prep). This conclusion is supported by high similarity of Wenatchee Chinook to all UC  
492 hatchery samples and AMOVA analysis indicating no apparent structure between  
493 populations (Interior Columbia Technical Recovery Team 2003, in prep). However,  
494 microsatellite analysis of samples collected in 2004 and 2005 (Figure 4) suggested a  
495 persistence of White River distinction within the Wenatchee basin. Unfortunately, a  
496 different suite of microsatellites was used in this study and region-wide collections were  
497 not available for comparison with the earlier microsatellite data set. In addition, the  
498 samples were restricted to the Wenatchee Basin, so the apparent difference in clustering  
499 may reflect the lack of a wider range of samples (Figure 3). This more ambiguous result  
500 could be real – in other words, the genetically distinct group that was present in the 1980s

501 could have been overwhelmed by hatchery strays, experienced an extreme reduction in  
502 genetic diversity due to exceptionally low returns in the 1990s (range = 1-12 spawners,  
503 median = 5) or otherwise have been functionally extirpated. Alternatively, the ambiguity  
504 could be a byproduct, or result of the sample range, the loci used or other similar factors.  
505 Thus, the persistence of this distinction remains uncertain.

506

507 Impact of Alternative Artificial Propagation Programs on Population Viability

508 Managers in the Wenatchee basin face a conundrum in their recovery planning  
509 related to artificial propagation. The Wenatchee population is clearly at very high risk  
510 with respect to abundance and productivity, and the White River spawning area, one of  
511 the only locations with any apparent genetic differentiation in the entire Upper Columbia  
512 ESU is among the areas most at-risk. Artificial propagation programs may help the  
513 population avoid extinction if downward trends in ocean conditions continue and  
514 mortality rates through the hydropower system do not improve. Moreover, there is a  
515 strong desire to maintain negotiated mitigation agreements for the operation of Mid-  
516 Columbia PUD dams that include smolt releases as well as the harvest augmentation  
517 hatchery program in Icicle Creek as mitigation for the loss of areas above Grand Coulee  
518 Dam. These factors all provide support for some artificial propagation. However, the  
519 implementation or continuation of any artificial propagation program carries its own risk  
520 to the natural population. And, because this population should ultimately achieve a status  
521 consistent with viability for the ESU to be recovered, these risks have the potential to  
522 affect long-term recovery. Because the effect of artificial propagation in TRT viability

523 criteria are calculated as an average over the most recent three generations, continuing or  
524 expanding existing programs will increase the time frame in which recovery can be  
525 achieved, even though they may be deemed necessary to preserve existing genetic  
526 variation and mitigate short-term extinction risk. Improving culture practices will  
527 decrease the risk associated with any programs, but may not allow the population to reach  
528 a desired status, depending on the degree to which high proportions of hatchery-origin  
529 spawners on the spawning grounds can be reduced.

530           Complicating matters, the current uncertainty about the degree of differentiation  
531 in the White River makes it harder to assess definitively the priority that should be placed  
532 on preserving the genetic makeup of that tributary. However, a recovery strategy that  
533 preserves and promotes natural patterns of local adaptation of salmon to each of the  
534 major spawning areas would decrease extinction risks associated with spatial distribution  
535 and diversity metrics and buffer the population against environmental variability. Such a  
536 strategy would allow natural differentiation between primary spawning areas and neither  
537 increase nor decrease gene flow artificially. This strategy would support either a  
538 differentiated or an undifferentiated White River, and could be achieved by avoiding  
539 outplanting fish or progeny from other sub-areas to the White River.

540           The White River subgroup's demographic peril and the lingering uncertainty  
541 regarding its genetic isolation have focused particular attention on its hatchery program.  
542 Consequently, a range of options exists for artificial propagation intended to deal with the  
543 current low abundance in the population and preservation of the genetic diversity  
544 potentially in the White River drainage. In general, the high level of straying from the  
545 Chiwawa supplementation program increases the risk to the Wenatchee population as a

546 whole with respect to diversity, by altering likely patterns of gene flow. However,  
547 because the Wenatchee is at high demographic risk, the impact of each alternative on the  
548 population's short-term extinction risk must also be considered. In Table 3, we describe  
549 a range of potential (and hypothetical) artificial propagation programs and their effects on  
550 population-level risk.

551         The current captive breeding strategy, which maintains a separate broodstock for  
552 the White River, would support a genetically distinct White River subpopulation. Once  
553 self-sustaining, the expectation would be that natural patterns of diversification within the  
554 Wenatchee population would eventually be re-established, as the group was released  
555 from domestication effects from the initial generations of supplementation. If those  
556 conditions were realized, the risk due to the proportion of exogenous spawners could be  
557 downgraded, and the expectation would be that natural patterns of diversification within  
558 the Wenatchee population would eventually be re-established. This program also  
559 mitigates short-term extinction risk, but carries a risk of ongoing domestication.

560         At the other extreme, managing the entire upper Wenatchee drainage above  
561 Tumwater Dam as a single unit poses a different set of costs and benefits. This action  
562 would consist of collecting upriver-bound fish at Tumwater Dam, breeding and managing  
563 these fish as an integrated single composite stock, and releasing progeny indiscriminately  
564 across the upstream areas over multiple generations. Collection at Tumwater could help  
565 ensure that "full program" numbers for broodstock are regularly met when run sizes are  
566 sufficient. In "normal" return years, this would put high proportions of hatchery fish on  
567 the spawning grounds and could reduce short-term extinction risks for the population  
568 during extremely low escapement years. However, this thorough mixing of fish bound

569 for upstream tributaries would eliminate any existing population substructure and  
570 preclude its re-establishment through the life of the program. This, in turn, would  
571 increase risk to the population, most notably with respect to the metrics assessing natural  
572 patterns of variation, and the long-term presence of hatchery-origin spawners produced  
573 using practices that inhibit natural differentiation. Achieving viability for this population  
574 could thus be substantially delayed at best and precluded at worst (without  
575 discontinuation of the program), with the implementation of this option.

576         There is clearly no single “right” answer for artificial propagation aimed at  
577 conservation of the Wenatchee population. However, it is clear that programs that would  
578 result in continued or worsened homogenization within the population increase its long-  
579 term risk and that the lack of any artificial propagation increases the short-term  
580 demographic risk. Key to any program’s implementation or continuation will be the  
581 elimination or near-elimination of straying from the program and robust monitoring  
582 coupled with appropriate adaptive modifications. For long-term viability, which includes  
583 raising abundance and productivity to viability targets, the artificial propagation program  
584 will need to be phased out of the majority of main tributaries or MaSAs, and may need to  
585 be modified in other ways as the factors limiting recovery are addressed successfully.

586         The Leavenworth National Fish Hatchery harvest augmentation program poses a  
587 somewhat different situation. This program is geographically isolated and located in  
588 habitat that is not thought to have been a primary spring chinook production area (Upper  
589 Columbia Salmon Recovery Board 2006), and that is not categorized as a Major  
590 Spawning Area (Interior Columbia Technical Recovery Team 2007c). This program is a  
591 good candidate for an isolated program that could be continued in the long-term without

592 significant impacts to the potential viability of the Wenatchee spring Chinook salmon  
593 population. However, for this to be the case, two conditions would need to be met. First,  
594 straying from the LNFH program would have either to be extremely low or be subject to  
595 management actions such as removal of strays at Tumwater Dam. Persistent straying,  
596 even at relatively low levels from this out-of-ESU stock would increase the risk to the  
597 population as a whole. Second, the presence of the hatchery releases should not reduce  
598 the productivity and abundance of the population below levels that would make it viable.  
599 In other words, if the fish released from this program compete with the wild population in  
600 the lower reaches of the Wenatchee River or reduce the productivity of the wild  
601 population in any other way (e.g., predation), these effects will need to be weighed with  
602 other impacts to productivity, such as hydropower actions, harvest and habitat condition  
603 in achieving long-term abundance and productivity goals. Clearly, robust monitoring and  
604 adaptive approaches to recovery implementation will also be key in this situation.

605

606 *A case study of the Grande Ronde/Imnaha River Spring/summer Chinook populations --*  
607 *Potential among-population effects of artificial propagation*

608

609 The Setting of the Grande Ronde/Imnaha Major Population Group

610 The Grande Ronde/Imnaha Major Population Group, one of five MPGs in the  
611 Snake River spring/summer Chinook ESU, includes eight populations, two of which have  
612 been rendered functionally extirpated (historical gene pool not likely present -- see

613 further detail below). It is located in the NE corner of Oregon in the Grande Ronde and  
614 Imnaha drainages. These adjacent tributaries flow directly into the Snake River (Fig. 5)  
615 and drain high mountain peaks as well as dry lower elevation plateaus. While some areas  
616 are designated wilderness areas, others have been heavily affected by agriculture, grazing  
617 and timber management practices.

618 To meet the Interior Columbia TRT viability criteria, this MPG should include at  
619 least four populations with “viable” status, one of which must meet high viability criteria.  
620 In addition, the set of viable populations should include two of the three large populations  
621 and the Imnaha River population because it has a unique spring/summer life history  
622 strategy (Myers et al. 1998, Interior Columbia Technical Recovery Team 2003, 2007c).  
623 The remainder of the populations in the MPG should meet criteria for maintenance to  
624 ensure that these populations contribute to the structure and ecological functioning of the  
625 MPG and thus contribute to the long-term persistence of the ESU. Given these, and a  
626 variety of spatial structure considerations, the TRT has recommended that the populations  
627 in the Imnaha River, the Wallowa-Lostine River, either the Catherine Creek or the Upper  
628 Grande Ronde River populations, and either the Wenaha or Minam River populations  
629 meet viability criteria, and that all remaining populations be maintained (Interior  
630 Columbia Technical Recovery Team 2007b).

631

### 632 Artificial Propagation Past and Present

633 Chinook populations within the Grande Ronde and Imnaha sub-basins have been  
634 subjected to a variety of hatchery practices under the Lower Snake River Compensation

635 Plan over the last forty years. From its initiation, management objectives for the hatchery  
636 program included both harvest augmentation and supplementation (Carmichael et al.  
637 1998a).

638 In response to declining runs in the Grande Ronde basin, fish from the Rapid  
639 River stock were released directly into Lookingglass Creek in 1978 as an initial  
640 broodstock for a Grande Ronde subbasin program, and a hatchery was built on that creek  
641 in 1982. The Rapid River hatchery stock was originally derived from spring Chinook  
642 salmon collected at the base of the Hells Canyon Dam complex, and raised at the Rapid  
643 River hatchery (a tributary to the Little Salmon, and then the Salmon River) and other  
644 hatcheries in Idaho for many generations. This hatchery stock is not considered part of  
645 the Snake River spring/summer Chinook salmon ESU (Myers et al. 1998), although the  
646 original fish used to establish this stock were likely destined for upstream locations  
647 within the Snake River. After initial releases of Rapid River stock in Lookingglass  
648 Creek, its use was discontinued for a number of years due to disease concerns. Carson  
649 stock from lower Columbia River hatcheries was used for the 1980-1989 broodyears  
650 (with the exception of 1988); adult fish from this stock were present in the tributaries  
651 from 1983-1994. For the 1985-1989 broodyears both Carson and Rapid River stocks  
652 were produced at Lookingglass Hatchery; however, each stock was uniquely marked and  
653 maintained separately (Carmichael et al. 1998a). Access to Lookingglass Creek above  
654 stream km 3.7 was completely blocked at the hatchery, eliminating any remaining  
655 elements of the wild population as interbreeding with hatchery stocks occurred in  
656 accessible areas. Although most releases occurred at Lookingglass Creek, hatchery  
657 presmolts, smolts and adults were outplanted into Catherine Creek, the Upper Grande

658 Ronde River and the Wallowa River periodically from the early 1980's until the early  
659 1990's. Significant straying of both Carson and Rapid River stock hatchery fish from this  
660 program into the Lostine, Minam and Wenaha Rivers occurred during this time  
661 (Carmichael et al. 1992). Hatchery-origin spawners comprised 58% of the natural  
662 spawners in the Wenaha River from 1986 through 1995, and 41% of the spawners in the  
663 Minam River at that time. In the Upper Grande Ronde River and Catherine Creek, over  
664 60% and 70% of the spawners, respectively, were hatchery-origin fish during this same  
665 period. Samples of naturally spawning fish in Lookingglass Creek collected in the 1990s  
666 were genetically indistinguishable from Rapid River hatchery stock; samples of natural-  
667 origin parr from other populations in the Grande Ronde (Lostine, Catherine Creek, Upper  
668 Grande Ronde and Wenaha) were closely related to the hatchery stocks, but were distinct  
669 from it in most years (Figure 6; Waples et al. 1993, Interior Columbia Technical  
670 Recovery Team 2003).

671 In the early 1990s, two significant policy rulings influenced the direction of the  
672 Grande Ronde Chinook salmon hatchery program. Oregon Department of Fish and  
673 Wildlife (ODFW) adopted guidelines for the proportion of non-local hatchery fish  
674 spawning in nature as a component of the Wild Fish Policy, and the National Marine  
675 Fisheries Service listed the Snake River spring/summer Chinook ESU including Grande  
676 Ronde and Imnaha populations as threatened in 1991 (National Marine Fisheries Service  
677 1991). The hatchery program was producing strays well outside of the ODFW policy  
678 guidelines and the program was inconsistent with conservation of an ESA-listed  
679 population. In addition, both the populations that were supplemented directly and those  
680 that received significant strays showed very poor productivity during the period that

681 supplementation was ongoing. As a result, several major reform measures were taken to  
682 modify this hatchery program.

683           Foremost in these reform actions were elimination of the use of any stocks from  
684 outside the basin and initiation of local broodstocks in the Wallowa-Lostine River,  
685 Catherine Creek and the Upper Grande Ronde River populations. Beginning with the  
686 1994 brood year, the development of local broodstock for these three tributaries was  
687 initiated. Use of Rapid River stock was restricted to releases only in Lookingglass Creek  
688 after 1994, and was completely discontinued in the basin after the 1997 brood year. A re-  
689 introduction effort in Lookingglass Creek using Catherine Creek broodstock releases  
690 began with releases of 2000 broodyear parr, and all adults with presumed Rapid River or  
691 Carson stock had been removed from brood stock used to form the release groups.  
692 Currently, this local broodstock supplementation effort continues in Lookingglass Creek.  
693 Captive broodstock and conventional broodstock supplementation programs using local  
694 broodstock sources are ongoing in the Wallowa-Lostine River, Catherine Creek and the  
695 Upper Grande Ronde River populations. In these three populations, the programs use  
696 different broodstock and natural escapement management strategies to evaluate the effect  
697 of the proportion of natural-origin fish in the broodstock and the naturally spawning  
698 population on population status. The Upper Grande Ronde program allows for the  
699 greatest level of hatchery influence while the Catherine Creek program is the most  
700 conservative, allowing the least influence. The captive broodstock program in the  
701 Wallowa-Lostine River and Catherine Creek populations is planned to be discontinued  
702 after the spawning of the 2006 cohort, while the conventional broodstock programs in all  
703 three rivers are planned to continue indefinitely. A new fish-rearing facility on the

704 Lostine River to support the Wallowa-Lostine program is planned under the Northeast  
705 Oregon Hatchery Project.

706           The Imnaha River program has taken a different approach to broodstock  
707 development and management since its initiation. An “integrated” hatchery program,  
708 founded with locally derived fish was initiated in 1982. This program, like that in the  
709 Grande Ronde, was initiated to restore historic fisheries and to enhance natural  
710 production with hatchery supplementation (Carmichael et al. 1998b). Broodstock  
711 management and management of natural escapement above the weir have varied  
712 considerably since program initiation. Initially a high proportion of natural returns to the  
713 weir were retained for hatchery broodstock and once hatchery fish began returning,  
714 hatchery fish comprised a high proportion of the broodstock and a high proportion of  
715 natural spawners above the weir (Carmichael et al. 1998b). Under current management  
716 guidelines a sliding scale is used to manage the proportions of natural fish retained, the  
717 proportions of natural fish in broodstock and the hatchery proportions released to spawn  
718 naturally. At lower escapement levels, higher hatchery proportions are allowed in both  
719 the broodstock and in the natural spawners. At escapement levels above 1400 the  
720 guidelines aim for a minimum of 30% of broodstock as natural origin and no more than  
721 50% hatchery origin above the weir to spawn naturally. Logistical constraints prevent the  
722 weir from being installed prior to the return of the early part of the run in most all years.  
723 This constraint has lead to selective broodstock collection of late returning fish  
724 (Carmichael and Messmer 1995). In fact, hatchery fish return at a later time, but at an  
725 earlier age than natural origin fish, and appear to have a different spawning distribution,  
726 centered around the smolt release location (Carmichael and Messmer 1995, Hoffnagle et

727 al. in press). In addition, hatchery-origin fish comprise a high proportion of both the  
728 broodstock (~70%) and the natural spawners.

729

### 730 Impact of Alternative Hatchery Practices on MPG Viability

731           Currently, none of the Chinook populations within the Grande Ronde/Imnaha  
732 MPG can be considered viable using the IC-TRT criteria (Interior Columbia Technical  
733 Recovery Team 2007a). Current abundance and productivity puts all of these  
734 populations at high risk, and most populations are also impaired with respect to spatial  
735 structure and/or diversity (Table 4). The Upper Grande Ronde population, for example,  
736 is currently at extremely low abundance (geometric mean spawner number = 38 for the  
737 last 10 years), with a very low recent productivity ( $R/S = 0.42$ ,  $\lambda = 1.02$ ) and the  
738 current spawner distribution is severely restricted relative to its likely historical  
739 distribution. Failure to maintain the population with artificial propagation could result in  
740 a high probability of extinction in the relatively short term. However, a long-term  
741 supplementation program will also increase risks to natural patterns of diversity due the  
742 potential for domestication and outbreeding depression to occur.

743           Developing recovery strategies that are consistent with viability criteria and goals  
744 requires consideration of short-term and long-term risks to individual populations as well  
745 as the current and desired status of populations within the overall context of the Major  
746 Population Group and ESU. A recovery strategy that incorporates long-term, moderate-  
747 to-large-scale supplementation of a high proportion of the populations within an MPG  
748 cannot meet viability criteria at the Major Population Group level and thus, not at the

749 ESU-level. On the other hand, well-designed artificial propagation can alleviate short-  
750 term demographic risks, and the increased risk to diversity may thus be tolerable for  
751 populations with very low current abundance. In fact, the termination of a  
752 supplementation program for populations such as the Upper Grande Ronde may more  
753 greatly jeopardize the population than its continuation. However, the continuation of  
754 such a program indefinitely confers considerable ongoing risks to the population.

755         For those populations without extreme short-term risks of extinction, however,  
756 other considerations such as population size and potential viability status come into play.  
757 Because one population in each MPG should meet standards for “high viability”, it will  
758 be important that one of the larger populations has at most a short-term supplementation  
759 program and ultimately no hatchery program and minimal strays from other populations.  
760 In the Grande Ronde, these conditions mean that there are a variety of scenarios of  
761 hatchery production or supplementation that would yield an MPG status consistent with  
762 ICTRT viability criteria, while other scenarios would not. Table 5 presents several  
763 hypothetical scenarios of artificial production within the MPG and the risk associated  
764 with each scenario (this table does not present an exhaustive description of viability or  
765 artificial propagation possibilities.) Scenarios that ensure that artificial propagation  
766 programs are isolated from other populations, and that include plans to use  
767 supplementation as a short-term measure while other actions are implemented are most  
768 consistent with TRT viability criteria. However, if the programs continue to operate  
769 under the current management strategies, six of the eight historical populations in this  
770 MPG will have relatively aggressive hatchery supplementation programs indefinitely,  
771 with only the Wenaha and Minam Rivers populations unsupplemented. Such a long-term

772 and widespread hatchery supplementation program will make it unlikely, if not  
773 impossible, to achieve MPG viability criteria. However, there are actions, such as  
774 increasing the Proportion Natural Influence (PNI) and implementing other best  
775 management practices that can reduce the risk associated with planned artificial  
776 propagation programs, even if viability is not fully achieved (see Table 5).

777

## 778 CONCLUSIONS

779

780 The multiple mandates in the region – for recovery of anadromous fish; for  
781 electrical generation; for agricultural water supplies; for tribal, recreational and  
782 commercial fisheries – make the management of the Columbia River system highly  
783 complex and contentious. Artificial propagation is, at first glance, a straightforward way  
784 to meet many of these obligations simultaneously. However, there are more examples of  
785 negative effects to natural population status than there are demonstrated benefits of  
786 artificial propagation (Reisenbichler and Rubin 1999, Hindar and Fleming 2007, Waples  
787 et al. in press). With increased use of artificially produced fish (in duration or quantity),  
788 with poor management practices, or with unexpected outcomes, come increased risks to  
789 the long-term evolutionary trajectory and viability of populations, MPGs and ESUs.  
790 Because of those risks, hatchery programs are not a substitute for addressing other  
791 limiting factors that prevent achieving viability. Critical for achieving the multiple goals  
792 of viability and a natural range of ecosystem functions (e.g. harvest, nutrient transport)  
793 for salmonids are the following:

794           • Consideration of all the impacts of artificial propagation. These range from  
795           impacts on genetic diversity (through homogenization or domestication),  
796           mitigation of short-term extinction risk, and other effects on productivity  
797           (predation, density-dependence, etc). The uncertainties in the implementation  
798           of effects of the effort must be included in the costs and benefits of the  
799           program as well.

800           • Consideration of the program within the context of the viability goals at the  
801           population, MPG and ESU levels.

802           • Robust monitoring programs and appropriate adaptive actions in response to  
803           that monitoring.

804   In general, artificial propagation programs with shorter duration; minimal domestication  
805   selection; a lesser number of hatchery-origin spawners in the wild; and minimal straying  
806   of hatchery fish will lessen the risks posed by these efforts.

807           Populations facing very high, short-term extinction risks may merit the use of a  
808   supplementation program in spite of increased risks to diversity. Populations or ESUs  
809   like Redfish Lake sockeye, which has had fewer than 20 fish return in 14 of the last  
810   twenty years, are obvious candidates for continuing captive culture programs or  
811   supplementation to maintain the extant diversity and reduce short-term extinction risk.  
812   Even in these situations, implementation of other actions that can contribute to increased  
813   abundance and productivity, and normative diversity and spatial structure of the  
814   population and planned withdrawal of supplementation support are important elements of  
815   long-term viability.

816           Some supplementation programs aimed at goals other than wild population  
817 viability, such as harvest, can be compatible with IC-TRT viability criteria, if executed  
818 with forethought. In large, complex populations, there may be opportunities to isolate a  
819 supplementation or harvest augmentation program to reduce the risk that such a program  
820 would pose at the population level. However, in these populations, managers need to be  
821 specifically concerned about maintaining within-population substructure. For example,  
822 the current practice in the Wallowa-Lostine supplementation program of outplanting  
823 Lostine River hatchery adults into the Wallowa River and Bear Creek poses significant  
824 risks to maintaining within-population substructure. Populations that are currently  
825 extirpated are also good candidates for isolated artificial propagation programs because  
826 there are no initial within-population risks. The risk of a within-population harvest-  
827 oriented supplementation program in small, linear populations is much greater than the  
828 isolated programs in large populations, since an entire small population is likely to be  
829 impacted.

830           For an MPG to meet viability criteria, all populations not meeting viability criteria  
831 must be maintained at levels that provide appropriate ecological function and do not  
832 preclude opportunities for potential future recovery needs. Thus, populations supporting  
833 a supplementation program must also have relatively high natural abundance and  
834 productivity coupled with few additional problems in spatial structure or diversity.  
835 Generally, large, long-term hatchery programs that dominate production of a population  
836 is a high risk factor for certain viability criteria and can lead to increased risk for the  
837 population. In some cases, these programs can also result in increase in risk for the  
838 maintenance of natural production in other populations, depending on stray rates.

839 Initiating or continuing a supplementation program in a population could also delay when  
840 some of the viability criteria can be met. Generally, large, long-term hatchery programs  
841 that dominate production of a population is a high risk factor for certain viability criteria  
842 and can lead to increased risk for the population. In some cases, these programs can  
843 also result in increase in risk for the maintenance of natural production in other  
844 populations, depending on stray rates. Initiating or continuing a supplementation  
845 program in a population could also delay when some of the viability criteria can be met.

846 A final important consideration for supplementation programs is the potential out-  
847 of-population impacts from that program. The most common concern relates to straying  
848 into non-target populations and all the associated genetic and ecological risks associated  
849 with those strays. While this is illustrated in the Grande Ronde case study (above) for  
850 within-MPG straying, it is also important to consider straying from a program that affects  
851 other MPGs and ESUs. Straying is not the only potential out-of-population impact,  
852 however. Harvest management strategies that are coupled with hatchery production can  
853 increase the impact of a mixed stock ocean fishery, potentially reducing productivity and  
854 abundance of the wild population (Fraidenberg and Lincoln 1985). Artificial production  
855 is hypothesized to increase competition in a number of environments (Fresh, 1997) and  
856 may increase predation rates directly or indirectly (Sholes and Hallock 1979, Menchen  
857 1981, Cannamella 1993) depending on hatchery practices (review in (Flagg et al. 2000)).  
858 In these cases, the benefits of that hatchery program must be weighed carefully against  
859 those impacts, and programs should not increase the risk to any other population to the  
860 degree that it cannot meet its desired status.

861           While hatcheries may be used to increase fish numbers in the short term, this  
862   apparent benefit may mask the effects of a threat that is unchanged or perhaps even  
863   increasing. Viability is dependent upon natural abundance and productivity. Short-term  
864   increases in abundance due to hatchery returns may not be associated with the increases  
865   in productivity that would be associated with a self-sustaining population. Unless the  
866   factors limiting abundance and productivity have been addressed, hatchery  
867   supplementation will not lead to a self-sustaining, viable population. In addition, many  
868   of the genetic risks outlined above (e.g. domestication and homogenization) become  
869   increasingly severe as the duration of hatchery programs increase. Thus, at the  
870   population level, artificial propagation programs supporting recovery must be viewed as a  
871   short-term approach to avoid imminent extinction, not a long-term strategy to achieve  
872   population viability.

873           There remains considerable uncertainty regarding the long-term capability of  
874   supplementation to enhance population status. A sound conservation strategy must  
875   recognize this uncertainty and provide a balance of strategies among populations within  
876   an MPG, including a significant proportion of populations that have minimal or no  
877   hatchery influence.

878           The region aims to achieve both viable salmon populations and thriving human  
879   economies. Artificial propagation programs both have a role to play in meeting those  
880   goals, and have the potential to pose additional risks to affected populations, MPGs and  
881   ESUs. Therefore, it is critical that their benefits and impacts in both the near and long-  
882   term be weighed carefully against goals for populations and ESUs. In addition, sufficient

883 effort in other arenas should be made so that unnecessary programs or efforts precluding  
884 viability can be phased out.

885

886

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1122

1123 Table 1. Definition of artificial propagation related terms for the purposes of this paper.  
 1124 This table largely follows Ford and Berejikian (2004), Mobernd et al. (2005), and  
 1125 Araki et al. (2007) to describe the goals and management of hatchery programs.

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Term	Usage in this paper
<b>Artificial propagation</b>	General term used to refer to all forms of human-assisted culture or production of salmonids
<b>Broodstocks</b>	Adult fish used in an artificial propagation program to produce progeny for release or rearing.
Local broodstock	Broodstock that is derived from the population or from an identified sub-population within the subbasin where the artificial propagation program is operated, and used only within the source area.
Captive broodstock	Broodstock that consists of fish that were reared in captivity for a substantial portion of their life cycle and are typically used to produce gametes or progeny for release.
Integrated broodstock	Broodstock used to produce progeny for artificial propagation that consists of a mixture of hatchery, natural and wild origin fish. The wild/natural origin and hatchery origin components are managed as a single population.
Segregated broodstock	Broodstock used to produce progeny for artificial propagation that are maintained in isolation from naturally-produced fish. The wild/natural origin and hatchery origin components are managed as separate populations.
<b>Hatcheries</b>	Any facility in which fish are artificially propagated.
Integrated hatchery	An artificial propagation program in which the wild and hatchery components are treated as a single population. The intent is for the natural environment to drive the adaptation and fitness of a composite population of fish that spawns both in a hatchery and in the wild.
Segregated hatchery	Maintains a hatchery broodstock in isolation from natural spawners (see Fig. 2 in Mobernd et al. 2005).

Term	Usage in this paper
Best hatchery management practices	Those artificial rearing practices which produce the least impact to the wild population and the captive component. This includes (but is not limited to) considerations for breeding protocols, selection of broodstock, rearing protocols, and release strategies. As the benchmarks that define “best” are specific to the goals of each program and are likely to change as additional data accumulate, we do not describe a specific set of criteria that define best. Rather, we provide some general guidelines (Cooney et al. 2007) and recommend Flagg et al. (2004), Olsen et al. (2004), and Mobrand et al. (2005) for reviews of the currently accepted “best management practices”).
<b>Hatchery Programs – Management objectives</b>	
Conservation hatchery	An artificial propagation program aimed entirely at conservation of a population. These programs are intended to minimize demographic risks to a population, and typically try to use best hatchery management practices. A key additional purpose is often the preservation of genetic variation. A variety of techniques, including captive rearing and release can be incorporated into conservation hatcheries
Harvest Augmentation or Production hatchery	Addition of fish to a system with the sole purpose of providing additional opportunities for harvest. Typically, the focus of these facilities has been on the release of large numbers of juveniles.
Supplementation	The addition of hatchery fish to a native population to increase abundance of spawners and natural production. Harvest is often used to help regulate escapement of hatchery origin fish.
<b>Fish Origin</b>	
Hatchery fish	A fish produced by artificial spawning in a hatchery (Berejikian and Ford 2004).
Natural	Refers to fish that are born in natural environments (from either wild or hatchery-origin parents), or are the progeny of parents that spawned naturally.
Wild	Refers to fish that are born in natural environments of local, natural-origin fish.
<b>Legacy Effects</b>	Term referring to impacts of discontinued practices that are still present or observable in populations, MPGs or ESUs.

1127 Table 2. Genetic issues potentially associated with artificial propagation management practices or outcomes of management practices.

	Domestication Selection	Outbreeding Depression	Homogenization	Reduced Effective Population Size
Persistence of a stock in a hatchery setting for multiple generations	X			
Widespread straying or intentional release of artificially propagated fish to non-local areas		X	X	
Within-hatchery breeding strategies that rely heavily on a few individuals				X
Breeding strategies that randomly breed fish from more than one population or subpopulation		X	X	
Heavy representation of artificially propagated fish on the spawning grounds	X	X	X	X
Disproportionate representation of parents in subsequent generations (due either to selection in breeding program or disproportionate presence on spawning grounds)				X
Artificial selection for a particular phenotypic characteristic (e.g. broodstock consists of primarily early-returning fish)	X	X		

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1129 Table 3. Relative demographic and diversity risk associated with alternative management strategies for the Wenatchee River spring

1130 Chinook salmon population.

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Option/Action	Genetic Effects on Diversity				Ecological Effects				Demographic Effects		Relative Risk and Viability Conclusions
	Domestication	Outbreeding Depression	Homogenization	Reduced Effective Population Size	Competition	Predation	Disease	Nutrient Enhancement	Demographic Effect	Demographic Risk	
<u>Leavenworth Fish Hatchery -- Current</u>	Carson stock likely subject to high domestication effects due to long-term maintenance in hatchery	If interbreeding occurs between LFNH fish and wild Wenatchee fish, potential for outbreeding depression is substantial	Carson stock is a composite stock originally collected at Bonneville. This stock is highly homogenized. Interbreeding with wild Wenatchee fish could pose a significant risk of homogenization.	Unlikely to pose a risk to $N_e$ for the natural Wenatchee population.	Adults that stray into spawning areas could compete for spawning habitat; potential in out-of-basin environments.	If smolts are released at very large size, potential for predation on listed juvenile salmonids.	Some risk due to intermingling in lower Wenatchee, mainstem Columbia and estuarine environments	Unlikely, due to restriction to Icicle Creek.	Out-of ESU origin, no demographic benefits for the listed population	No effect on Wenatchee demographic risk.	This program poses some risks to the Wenatchee population if apparent stray rates to the upper basin are maintained. If these are overestimated or curtailed, the population could meet viability criteria with this program in place. No benefit to population persistence would be realized.
<u>Chiwawa River -- Current</u>	Some risk due to use of program over multiple generations and a low proportion of natural-origin fish in the broodstock.	Some risk, as a high proportion of natural spawners are hatchery origin. Local-origin broodstock mitigates some of this risk	Significant risk since there is a high straying rate from the Chiwawa program to other spawning areas.	Some potential for reductions in $N_e$ given the high proportion of hatchery fish present on the spawning grounds (Ryman-Laikre effect) and within hatchery strategies that rely heavily on a few individuals	Potential in all shared habitats; likely dependent on the number of juveniles released.	Potential for some increased predation (e.g. by supporting higher predator population), dependent on practices	Some risk due to intermingling in all habitats.	Potential benefit due to higher returns	Increase total abundance of fish from the Wenatchee basin; however, there is no current evidence that there is a positive response in productivity or natural origin abundance.	Reduces extinction risk substantially	This program reduces short-term extinction risk and poses some moderate risks to diversity. With this program in place as currently run, the population could not meet viability criteria. Risks could be reduced with improved culture practices.

Option/Action	Genetic Effects on Diversity				Ecological Effects				Demographic Effects		Relative Risk and Viability Conclusions
	Domestication	Outbreeding Depression	Homogenization	Reduced Effective Population Size	Competition	Predation	Disease	Nutrient Enhancement	Demographic Effect	Demographic Risk	
<u>White River Captive Broodstock -- Current</u>	Some risk due to use of program over multiple generations.	Some risk, as a high proportion of natural spawners are hatchery origin. Local-origin broodstock mitigates some of this risk	Maintains potentially differentiated White River sub-group	Some potential for reductions in Ne given the high proportion of hatchery fish present on the spawning grounds (Ryman-Laikre effect)	Potential in all shared habitats; likely dependent on the number of juveniles released.	Potential for some increased predation (e.g. by supporting higher predator population), dependent on practices	Some risk due to intermingling in all habitats.	Potential benefit due to higher returns	Increase total abundance of fish from the White River.	Reduces extinction risk for the White River component.	This program is particularly beneficial if the differentiation apparent in the White River sub-group from 1980s allozyme samples is still present. Maintaining this diversity is important to overall population structure. The program also reduces extinction risk for the White River sub-group.
<u>1. Planned programs, current trajectory --</u> adult based supplementation with adult collection facilities (weir, fish wheel, other) located below natural spawning reaches and juvenile acclimation/release located adjacent to spawning/rearing habitat in the White River, Chiwawa River, and Nason Creek. <u>Assume that actions to reduce Chiwawa River strays are effective.</u> Assume that smolt release numbers and proportion of hatchery fish on the spawning grounds remains high based on mitigation agreements.	Some risk due to use of program over multiple generations and a low proportion of natural-origin fish in the broodstock.	Relatively high risk due to a high proportion of natural spawners of hatchery origin.	If unnatural straying is reduced to minimal levels, homogenization is not a great concern. This program, incorporating the White River captive brood program, also maintains the potentially differentiated White River sub-group	Some potential for reductions in Ne given the high proportion of hatchery fish present on the spawning grounds (Ryman-Laikre effect) and within hatchery strategies that rely heavily on a few individuals	Potential adult competition for limited pre-spawn holding habitat in high abundance years	Potential for some increased predation (e.g. by supporting higher predator population), dependent on practices	Some risk due to intermingling in all habitats.	Potential benefit due to higher returns	Increase total abundance of fish from the Wenatchee basin; however, there is no current evidence that there is a positive response in productivity or natural origin abundance.	Reduces extinction risk substantially	This program reduces short-term extinction risk. It does have some risks to diversity, but reduced in comparison with the current operations due to the implementation of improved culture practices. While the same magnitude of smolt releases and hatchery-origin spawners on the spawning grounds are maintained, viability criteria cannot be achieved; these risks can be reduced with gradual reduction in these proportions.
<u>2. Current planned programs, but acclimate White River juveniles near the mouth or in net pens in Lake Wenatchee.</u> Capture broodstock from returns to the Lower White River. Given the short distance between the mouths of the White and Little Wenatchee, this option would likely result in more	Some risk due to use of program over multiple generations and a low proportion of natural-origin fish in the broodstock.	Some risk due to a high proportion of natural spawners of hatchery origin.	Some risk due to likely straying from the White River program to non-target spawning areas	Some potential for reductions in Ne given the high proportion of hatchery fish present on the spawning grounds (Ryman-Laikre effect) and within hatchery strategies that rely heavily on a few individuals	Potential adult competition for limited pre-spawn holding habitat in high abundance years; possibly less for the White River than in the currently planned program	Potential for some increased predation (e.g. by supporting higher predator population), dependent on practices	Some risk due to intermingling in all habitats.	Potential benefit due to higher returns; possibly less in the White River due to possible dispersal of these fish across additional spawning areas.	Increase total abundance of fish from the Wenatchee basin; however, there is no current evidence that there is a positive response in productivity	Reduces extinction risk substantially	This program reduces short-term extinction risk. It does have some risks to diversity, but reduced in comparison with the current operations due to the implementation of improved culture practices. While the same magnitude of smolt releases and hatchery-origin spawners on the spawning grounds are maintained, viability criteria cannot be achieved; these risks can be reduced with gradual reduction in these proportions.

Option/Action	Genetic Effects on Diversity				Ecological Effects				Demographic Effects		Relative Risk and Viability Conclusions
	Domestication	Outbreeding Depression	Homogenization	Reduced Effective Population Size	Competition	Predation	Disease	Nutrient Enhancement	Demographic Effect	Demographic Risk	
intermingling of adults from both tributaries in hatchery broodstock collections and on the spawning grounds.									or natural origin abundance.		
<u>3. Concentrate supplementation efforts on the spawning areas below Lake Wenatchee, cease artificial propagation in spawning areas above Lake Wenatchee.</u> Assume that natural production would be restored based on returns from current spawning levels and minimal strays from other MaSAs. Assume that actions to reduce Chiwawa River strays are effective. Assume that smolt release numbers and proportion of hatchery fish on the spawning grounds remains high based on mitigation agreements.	Some risk due to use of program over multiple generations and a low proportion of natural-origin fish in the broodstock.	Some risk due to a high proportion of natural spawners of hatchery origin.	Some risk of losing any potential genetic diversity in the White River sub-group if natural returns are not sufficient to maintain this group.	Some potential for reductions in Ne given the high proportion of hatchery fish present on the spawning grounds (Ryman-Laikre effect) and within hatchery strategies that rely heavily on a few individuals	Competition for pre-spawn holding habitat likely lessened with fewer fish destined for upper tributaries	Potential for some increased predation (e.g. by supporting higher predator population), dependent on practices	Some risk due to intermingling in all habitats; risk to upper tributaries may be somewhat less without intermingling in tributary habitat.	Potential benefit due to higher returns; benefits would not be felt in tributaries above Lake Wenatchee.	Increase total abundance of fish from the Wenatchee basin; however, there is no current evidence that there is a positive response in productivity or natural origin abundance.	Reduces extinction risk for the Wenatchee population, but increases risk of extinction for the White River sub-group.	This program reduces short-term extinction risk for the Wenatchee population, but increases the risk for the White River sub-group. Since the White River has some apparent genetic differentiation, its extinction would increase the diversity risk as well. With the magnitude of smolt releases and hatchery-origin spawners on the spawning grounds are maintained, viability criteria cannot be achieved; these risks can be reduced with gradual reduction in these proportions.
<u>4. Collection of broodstock at Tumwater Dam and manage all areas upstream of Tumwater Dam as a single composite stock suitable for release in any area above Tumwater Dam.</u> Some	Some risk due to use of program over multiple generations and a low proportion of natural-origin fish in the broodstock.	Higher risk due to homogenization and loss of any population substructure	Very high risk of homogenization within the population due to intentional interbreeding of spawners from sub-areas and widespread release of	Some potential for reductions in Ne given the high proportion of hatchery fish present on the spawning grounds (Ryman-Laikre effect) and	Potential adult competition for limited pre-spawn holding habitat in high abundance years	Potential for some increased predation (e.g. by supporting higher predator population), dependent	Some risk due to intermingling in all habitats.	Potential benefit due to higher returns; benefits may be lower due to likely production of fish with lower homing	Increase total abundance of fish from the Wenatchee basin; however, there is no current	Reduces short term extinction risk for the Wenatchee population in years of extremely low abundance.	This strategy reduces demographic extinction risk, but increases diversity risk substantially. Apparent differentiation in the White River sub-group would likely be lost and natural patterns of gene flow (and thus local adaptation) would not be expressed. Viability criteria for diversity could not be achieved through the duration of

Option/Action	Genetic Effects on Diversity				Ecological Effects				Demographic Effects		Relative Risk and Viability Conclusions this program.
	Domestication	Outbreeding Depression	Homogenization	Reduced Effective Population Size	Competition	Predation	Disease	Nutrient Enhancement	Demographic Effect	Demographic Risk	
	managers have argued for using this strategy given the difficulty of obtaining broodstock for separate production areas and the collateral impacts collection and acclimation facilities would have in natural production areas. Assume that smolt release numbers and proportion of hatchery fish on the spawning grounds remains high based on mitigation agreements.			progeny across spawning grounds.	within hatchery strategies that rely heavily on a few individuals		on practices		fidelity.	evidence that there is a positive response in productivity or natural origin abundance. Potential for greater relative impact in lower abundance years due to greater likelihood of full-scale program.	
<u>5. Supplementation program with phased reduction in smolt release numbers and proportion of hatchery-origin natural spawners: isolated production program at LNFH.</u> This program is not currently proposed. It assumes best management practices for supplementation programs in the Chiwawa, White and Nason Creeks, including the reduction of releases and the proportion of hatchery-origin spawners as natural productivity increases. It also assumes a production program in Icicle Creek with little or no straying to up-river areas.	Some risk due to use of program over multiple generations. Risks due to low proportion of natural-origin fish in the broodstock would be reduced through time.	Some risk due to initial high proportion of natural-origin fish on the spawning grounds, but reduced through time.	Relatively low, if population sub-structure is maintained in the artificial propagation program.	Some potential for reductions in Ne that would be reduced through time.	Potential adult competition for limited pre-spawn holding habitat in high abundance years	Potential for some short-term increased predation (e.g. by supporting higher predator population), dependent on practices	Some risk due to intermingling in all habitats, but reduced through time.	Potential benefit due to higher returns	Increase total abundance of fish from the Wenatchee basin; however, there is no current evidence that there is a positive response in productivity or natural origin abundance.	Reduces extinction risk for the Wenatchee population.	This strategy reduces demographic extinction risk while maintaining likely population sub-structure. Its impacts to diversity would be relatively short-lived. The population could meet viability criteria with this strategy, but substantial increases in current abundance and productivity would be required to preclude a significant extinction risk.

Option/Action	Genetic Effects on Diversity				Ecological Effects				Demographic Effects		Relative Risk and Viability Conclusions
	Domestication	Outbreeding Depression	Homogenization	Reduced Effective Population Size	Competition	Predation	Disease	Nutrient Enhancement	Demographic Effect	Demographic Risk	
<p><u>6. Elimination of all artificial propagation programs in the Wenatchee River.</u> This option is not currently proposed. It assumes that no artificial propagation programs would be in place for any portion of the Wenatchee population.</p>	None	None	Moderate risk of loss of the White River sub-group.	Relatively high risk of reduced $N_e$ if the Wenatchee population decreases in abundance in response to ceasing artificial propagation.	None	Potential for a short-term increase in predation if current artificial propagation programs have been sustaining relatively high predator levels.	None	Potential loss of nutrients with fewer adults returning to tributaries.	Likely reduction in total abundance of Wenatchee population and all component spawning areas.	Increases extinction risk for the Wenatchee population.	This strategy decreases diversity risks associated with artificial propagation while increasing demographic risk. This increased extinction risk is large enough that it also brings with it the likelihood of reduced effective population size. The population could meet viability criteria with this strategy, but substantial increases in current abundance and productivity would be required to preclude a significant extinction risk.

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1136 Table 4. Grande Ronde/Imnaha populations, their current status, and factors leading to the SS/D risk rating.

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<i>Population</i>	<i>Risk Ratings</i>			<i>Factors leading to the SSD risk rating</i>
	<i>A/P</i>	<i>SSD</i>	<i>Composite</i>	
Wallowa/Lostine	High	Moderate	High	Goal B: Loss of late spawning adults (Oct spawners), high spawner comp risk due to past out-of-ESU strays, and recent high fraction of local origin hatchery fish
Upper GR	High	High	High	Goal A: Metrics for number and arrangement of spawning areas, range of pop, and changes in gaps/continuity were rated High Risk. Goal B: impairment for all Goal B metrics. Genetic variation and out-of-ESU hatchery strays likely to improve over time (improved broodstock management).
Catherine	High	Moderate	High	Goal A: Metrics for number/arrangement of spawning areas, range of pop, and changes in gaps/continuity rated at Mod Risk. Goal B: rated Mod Risk due to loss in life history, reduced phenotypic variation, genetic variation, past effects of out-of-ESU hatchery strays (likely to improve because of improved broodstocking).
Imnaha	High	Moderate	High	Goal B: metrics for genetic variation (low within-pop interannual variation), spawner comp (long-term high natural spawner hatchery fraction of Imnaha hatchery fish), and hatchery selective change (selective natural of broodstock collection) were the primary drivers of Goal Bs Mod Risk rating.
Lookingglass Creek	NA	NA	High	Functionally extirpated (Access has been blocked to upstream reaches; Rapid River broodstock propagated heavily in the area for many years.)
Big Sheep Creek	NA	NA	High	Functionally extirpated. (Big Sheep Creek and Imnaha are currently managed as a single unit in an integrated hatchery program. Returns in recent years to Big Sheep Creek have been extremely low.)

<i>Population</i>	<i>Risk Ratings</i>			<i>Factors leading to the SSD risk rating</i>
	<i>A/P</i>	<i>SSD</i>	<i>Composite</i>	
Minam	High	Moderate	High	Goal B: driven by 2 metrics: genetic variation (similarity with out-of-ESU hatchery fish used in the LSRCP program from the late 1970s to the mid 1990s), and spawner composition (strays from the program comprised a high prop of spawners in the Minam). Risk ratings for both metrics are likely to improve since out-of-ESU hatchery fish are no longer released into the GR basin.
Wenaha	High	Moderate	High	Goal B: driven by genetic variation (similarity with out-of-ESU hatch fish from LSRCP), spawner comp (high prop hatchery fish from LSRCP), and hydro selective mortality. Ratings for genetic variation and spawner comp are likely to improve since out-of-ESU hatchery fish are no longer released into the GR basin.

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1141 Table 5. Example artificial propagation strategies for the Grande Ronde/Imnaha Major Population group. The cells describe  
 1142 population-specific programs for each strategy. Two asterisks indicate that the population could meet population-level spatial  
 1143 structure and diversity criteria for viability with this program, dependent on its total influence (time frame unspecified). One asterisk  
 1144 indicates that the population would likely meet spatial structure and diversity criteria to be classified as “maintained.” These consider  
 1145 ONLY the artificial propagation impacts within the population; other criteria would have to be met as well, such as levels of within-  
 1146 MPG strays from other populations. The strategies described here are as follows: “Currently Planned” – outlines the current  
 1147 artificial propagation strategy in the Grande Ronde/Imnaha MPG; “Improvement .. to Best Management Practices” – outlines some  
 1148 program changes to the current program that would more closely align it with BMP, and consequently reduce the risk to the MPG as a  
 1149 whole, though viability would likely not be achieved; “Short-term Conservation or Long-Term Supplementation/Harvest  
 1150 Augmentation with BMP in Selected Populations” – describes a scenario in which some populations within the MPG are managed  
 1151 with short-term supplementation to help recover the population, some are managed using long-term supplementation to maintain the  
 1152 populations and contribute to harvest, and some populations are managed using BMP to achieve viability; “Short-term Conservation  
 1153 or Long-Term Supplementation with BMP” – is similar to the previous scenario but does not include provisions for hatchery  
 1154 augmentation in the Lookingglass and Big Sheep populations and all long-term supplementation programs include BMP.  
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Population	Size and Life History	Hatchery Strategies			
		Currently Planned	Improvement in Current Program, Closer to Best Management Practices	Short-term Conservation or Long-Term Supplementation/Harvest Augmentation with BMP in Selected Populations	Short-term Conservation or Long-Term Supplementation with BMP
Wenaha	Intermediate; Spring	None **	None **	None **	None **
Minam	Intermediate Spring	None **	None **	None **	None **
Wallowa/ Lostine	Large Spring	Indefinite integrated supplementation*	Indefinite supplementation with local broodstock *	Short-term supplementation with local broodstock; phased out after 3-5 generations. **	Short-term supplementation with local broodstock; phased out after 3-5 generations.**

Population	Size and Life History	Hatchery Strategies			
		Currently Planned	Improvement in Current Program, Closer to Best Management Practices	Short-term Conservation or Long-Term Supplementation/Harvest Augmentation with BMP in Selected Populations	Short-term Conservation or Long-Term Supplementation with BMP
Lookingglass	Basic; Spring (Functionally extirpated)	Reintroduction, using neighboring stock underway; indefinite supplementation	Indefinite supplementation and harvest augmentation using locally-derived broodstock to the extent possible program that produces minimal strays	Indefinite integrated supplementation and harvest augmentation program	Indefinite integrated supplementation with BMP
Catherine	Large Spring	Indefinite integrated supplementation*	Indefinite integrated supplementation reformed to have an improved PNI *	Short-term supplementation with local broodstock, phased out after 3-5 generations. **	Short-term supplementation with local broodstock; phased out after 3-5 generations.**
Upper GR	Large Spring	Indefinite integrated supplementation*	Indefinite integrated supplementation reformed to have an improved PNI *	Indefinite integrated supplementation reformed to have an improved PNI *	Indefinite supplementation isolated in a MaSA with BMP
Imnaha	Intermediate Spring/Summer	Indefinite integrated supplementation*	Indefinite integrated supplementation reformed to have an improved PNI *	Integrated supplementation phased out as other recovery actions increase OR long-term supplementation using BMP and harvest to maintain high PNI **	Integrated supplementation phased out as other recovery actions improve population status**
Big Sheep	Basic; Spring (Functionally extirpated)	Indefinite integrated reintroduction/ supplementation program. This area treated as part of the Imnaha population.	Discontinue use of Imnaha-origin fish; indefinite integrated supplementation with local broodstock	Indefinite integrated supplementation and harvest augmentation program	Indefinite integrated supplementation with BMP

Population	Size and Life History	Hatchery Strategies			
		Currently Planned	Improvement in Current Program, Closer to Best Management Practices	Short-term Conservation or Long-Term Supplementation/Harvest Augmentation with BMP in Selected Populations	Short-term Conservation or Long-Term Supplementation with BMP
MPG Viability Conclusions		NOT VIABLE	NOT VIABLE	Potentially VIABLE if other criteria are met (e.g., 1 unsupplemented population at high viability )	Potentially VIABLE if other criteria are met (e.g., 1 unsupplemented population at high viability )
Relative Risk (compared to Current Program)		Same	Lower	Much Lower	Lowest

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1158 **FIGURE LEGENDS**

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1160 Figure 1. Risk criteria associated with spawner composition for viability assessment of  
1161 exogenous spawners on maintaining natural patterns of gene flow. Green (darkest) areas  
1162 indicate low risk combinations of duration and proportion of spawners, blue (intermediate  
1163 areas) indicate moderate risk areas and white areas and areas outside the graphed range  
1164 indicate high risk. Exogenous fish are considered to be all fish hatchery origin, and non-  
1165 normative strays of natural origin.

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1167 Figure 2. Population risk rating matrix, showing how A/P and SS/D risk levels are  
1168 integrated. HV – Highly Viable; V – Viable; M\* – Candidate for Maintained; Shaded  
1169 cells-- not meeting viability criteria (darkest cells are at greatest risk).

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1171 Figure 3. Wenatchee River drainage, showing Major and Minor Spawning Areas.

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1173 Figure 4. Dendrograms based on Fst values and principle components plots for three data  
1174 sets including multiple sites within the Wenatchee River. A, B: Allozyme data  
1175 (WDFW); C, D: Microsatellite data (Moran and Waples, 2004); E,F: Microsatellite  
1176 data (Murdoch et al. 2007). All analyses conducted by the IC-TRT. Note the relative  
1177 position of the White River in each figure.

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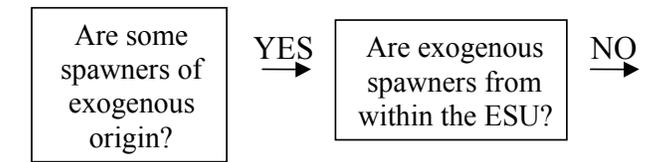
1179 Figure 5. Grande Ronde-Imnaha Major Population Group showing all populations.

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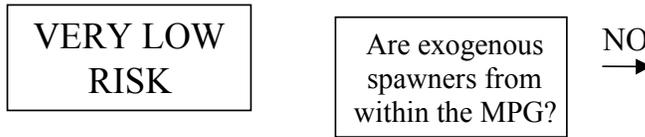
1181 Figure 6. Dendrogram (a) and principle component plot (b) of genetic samples within the  
1182 Snake River spring/summer Chinook salmon ESU.

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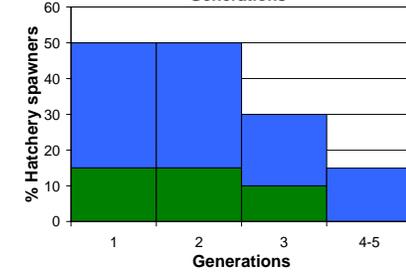
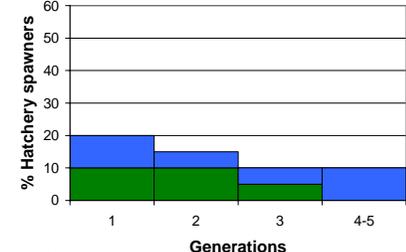
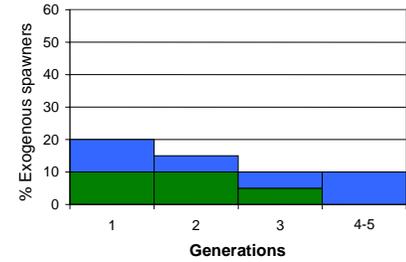
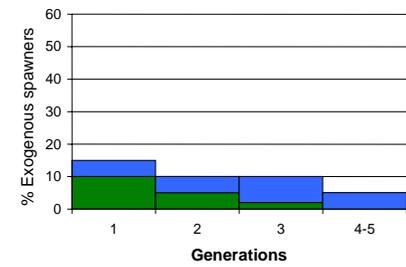
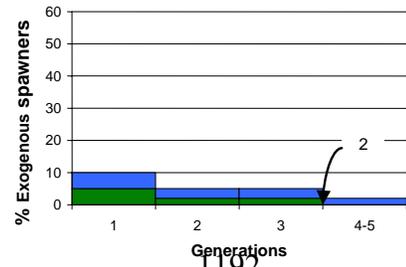
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1225 Figure 1





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		<b>Spatial Structure/Diversity Risk</b>			
		<b>Very Low</b>	<b>Low</b>	<b>Moderate</b>	<b>High</b>
<b>Abundance/ Productivity Risk</b>	<b>Very Low</b> ( $<1\%$ )	<b>HV</b>	<b>HV</b>	<b>V</b>	M*
	<b>Low</b> (1-5%)	<b>V</b>	<b>V</b>	<b>V</b>	M*
	<b>Moderate</b> (6 – 25%)	M*	M*	M*	
	<b>High</b> ( $>25\%$ )				

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1230 Figure 2

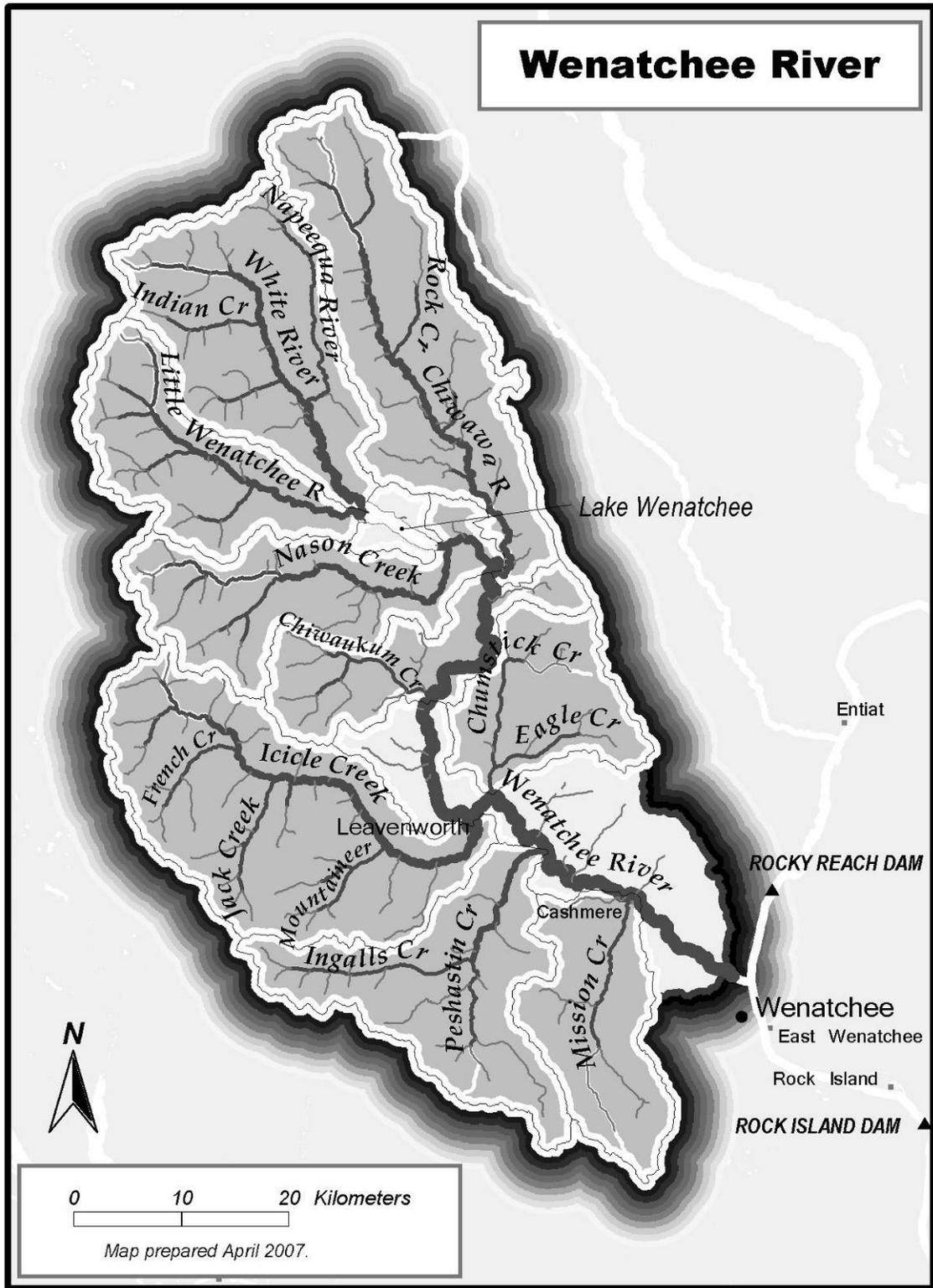
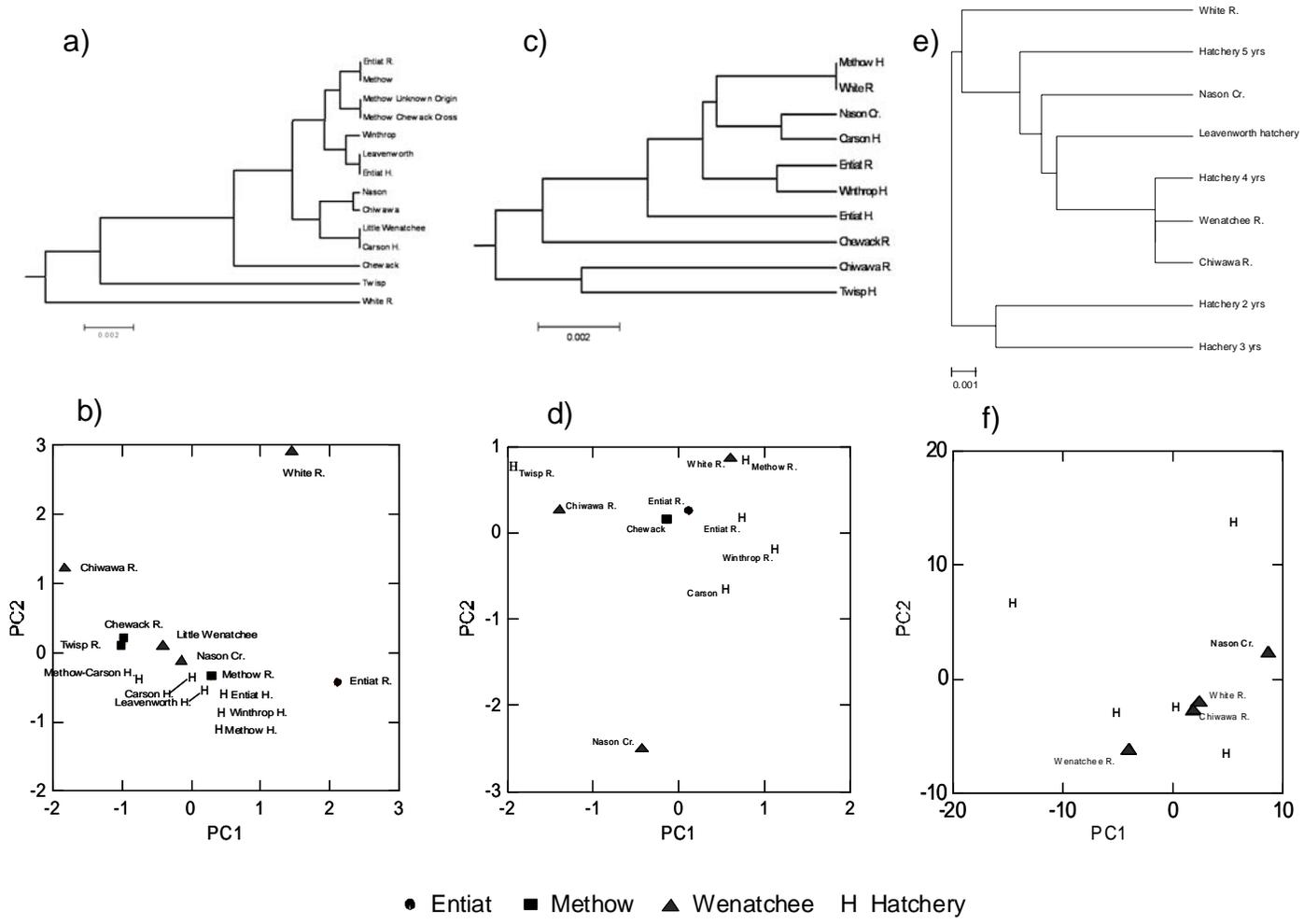


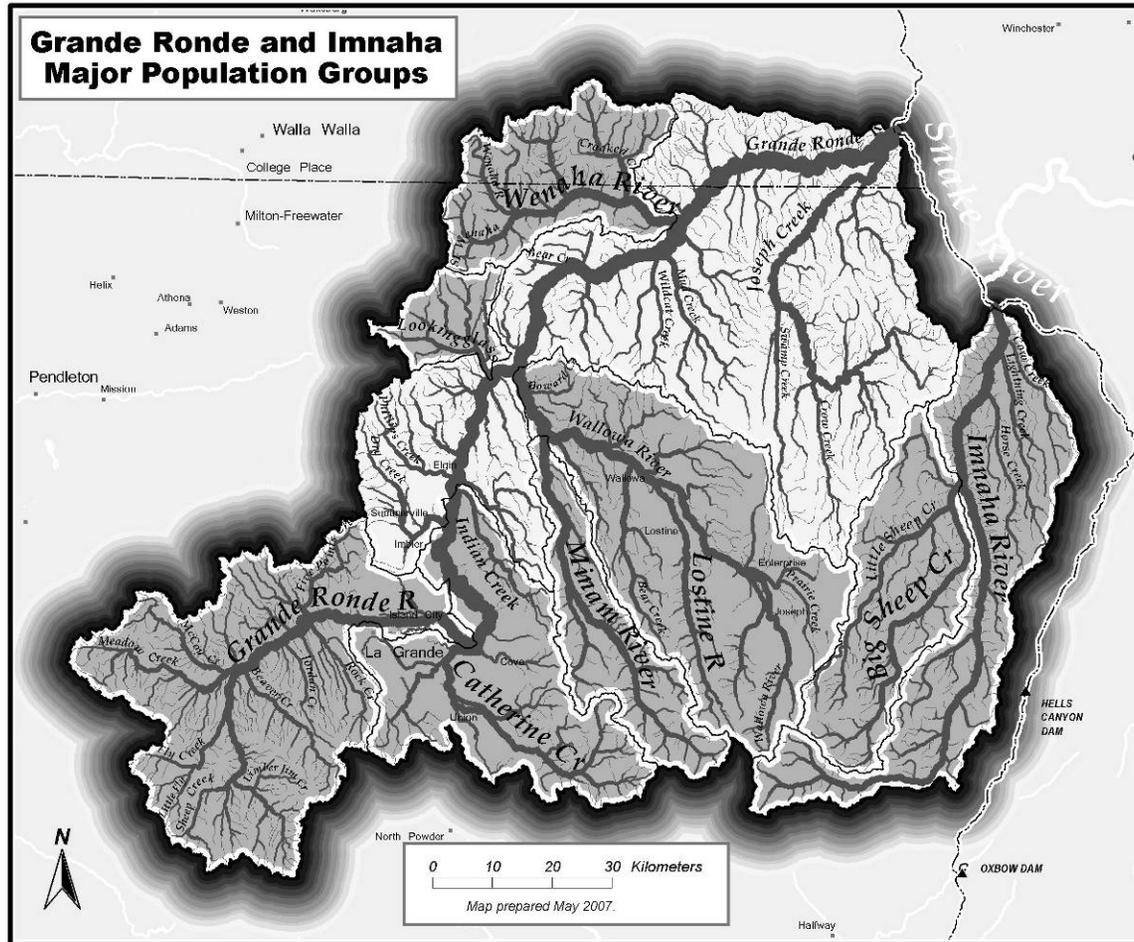
Figure 3



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2 Figure 4

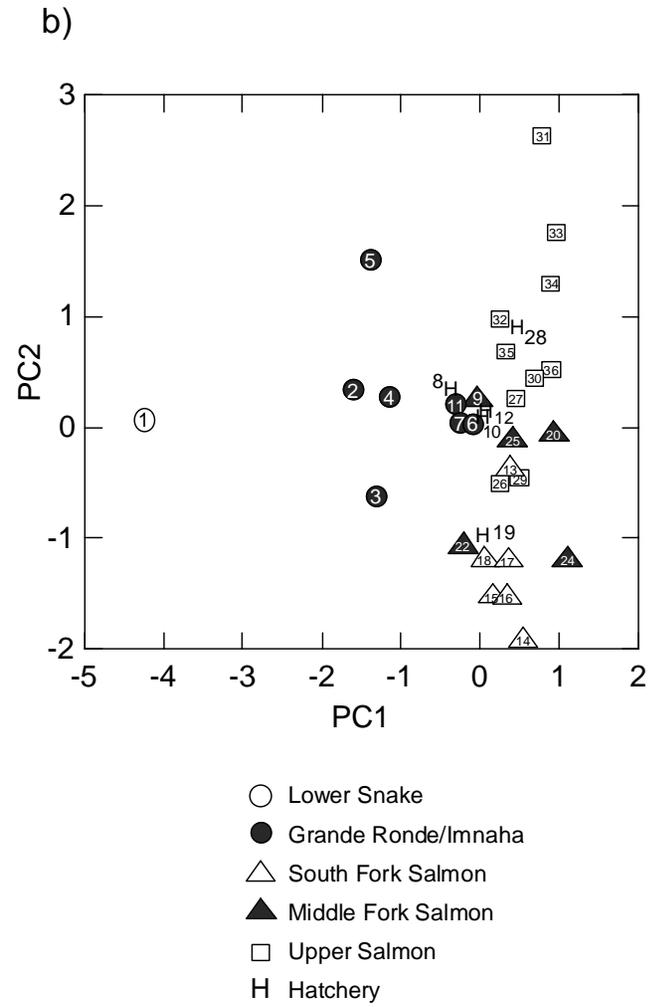
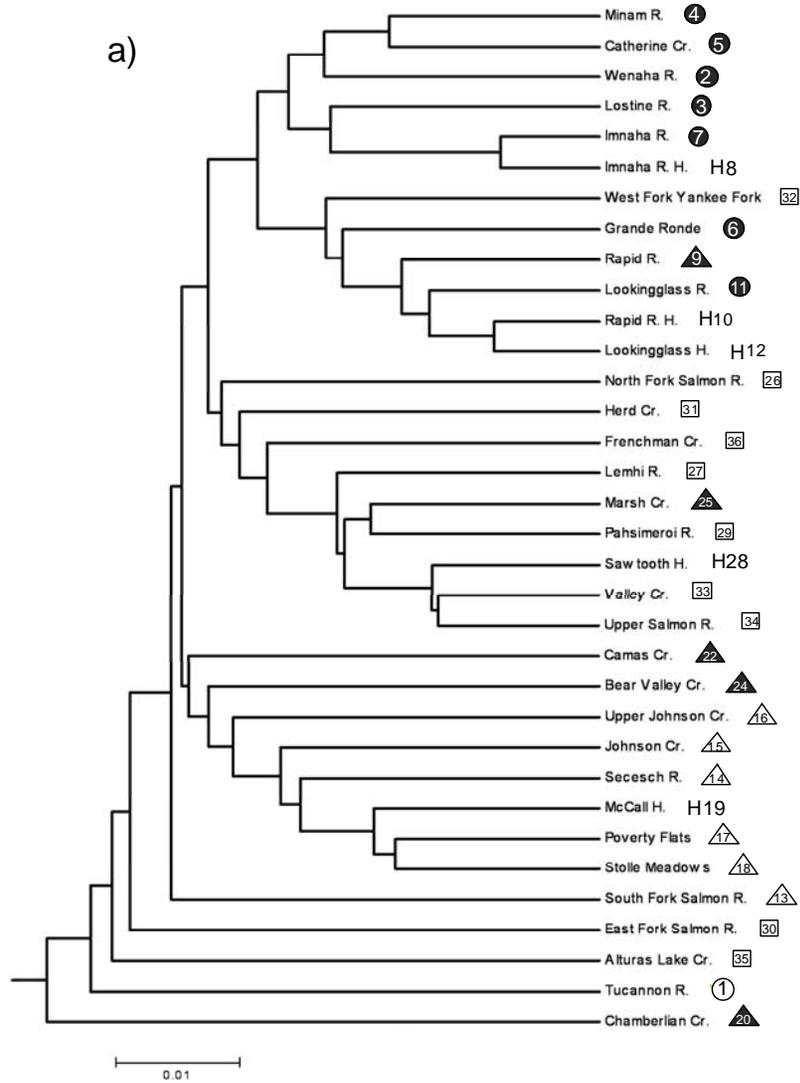
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6 Figure 5



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8 Figure 6  
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