



Federal Fish and Wildlife Permit Application Form

Return to: [Click here for addresses](#)

Type of Activity:

**Native Endangered & Threatened Species –
Scientific Purposes, Enhancement of Propagation or Survival Permits
(i.e., Recovery Permits) and Interstate Commerce Permits**

Complete sections A. OR B. and C. on this page, plus the attached pages of this application. Application will not be considered complete without all sections. See additional instructions on attached pages.

A. Complete if applying as an individual				
1.a. Last name		1.b. First name		1.c. Middle name or initial
1.d. Suffix				
2.a. Street address (line 1)		2.b. Street address (line 2)		2.c. Street address (line 3)
3.a. City		3.b. Province	3.c. State	3.d. Zip code/Postal code:
3.e. Country				
4. Date of birth (mm/dd/yyyy)		5. Social Security No.		6. Occupation
7.a. Home telephone number				
7.b. Work telephone number		7.c. Fax number		8. E-mail address
9. County				
10. List any business, agency, organizational, or institutional affiliation associated with the wildlife or plants to be covered by this permit (see C.1.)				11. Doing business as (dba)

B. Complete if applying as a business, corporation, public agency or institution				
1.a. Name of business, agency, or institution			1.b. Doing business as (dba)	
2.a. Street address (line 1)		2.b. Street address (line 2)		2.c. Street address (line 3)
3.a. City		3.b. Province	3.c. State	3.d. Zip code
3.e. Country				
4. Tax identification no.		5. Describe the type of business, agency, or institution and provide state of incorporation		
6.a. Principal officer (President, director, etc) Last name		6.b. First name		6.c. Middle name or initial
6.d. Suffix				
7. Principal officer title:				8. Home telephone number
9. Work telephone number		10. Fax number		11. E-mail address
12. County				

C. All applicants complete	
1.	Do you currently have or have you had any Federal Fish and Wildlife permits? (For simplification, all licenses, permits, registrations, and certificates will be referred to as a permit.) Yes <input type="checkbox"/> If yes, list the number of the most current permit you have held: _____ No <input type="checkbox"/>
2.	Have you obtained all required State, Federal, or foreign government approval(s) to conduct the activity you propose? Yes <input type="checkbox"/> If yes, provide a copy of the approval(s). Have applied <input type="checkbox"/> Not required <input type="checkbox"/>
3.	Enclose check or money order payable to the U.S. FISH AND WILDLIFE SERVICE in the amount of \$100 for a new permit/to renew an existing permit, or \$50 to make substantive amendments to an existing permit [50 CFR 13.11(d)(3)]. The attached pages provide information on who is exempt from paying the fee.
4.	Certification: I hereby certify that I have read and am familiar with the regulations contained in Title 50, Part 13, of the Code of Federal Regulations and the other applicable parts in subchapter B of Chapter I of Title 50, and I certify that the information submitted in this application for a permit is complete and accurate to the best of my knowledge and belief. I understand that any false statement herein may subject me to the criminal penalties of 18 U.S.C. 1001.
5.	Signature (in blue ink) of applicant/person responsible for permit in Section A. or B. (no photocopied/stamped signatures)
6.	Date (mm/dd/yyyy):

PERMIT APPLICATION FORM INSTRUCTIONS

The following instructions pertain to the standard permit form 3-200 that must be completed as an application for a U.S. Fish and Wildlife Service (FWS) or CITES permit. The General Permit Procedures in 50 CFR 13 address the permitting process. For simplification, all licenses, permits, registrations, and certificates will be referred to as a permit.

- Complete all appropriate blocks/lines/questions in Sections A. OR B. and C on page 1, plus the attached pages of this application. **Print clearly or type in the information.** Applications will not be considered complete without these pages. An incomplete application may cause delays in processing or may be returned to the applicant.
- Sign the application [in blue ink](#) and send the original to the address at the top of the application. Faxes or copies of the original signature will not be accepted.
- Please plan ahead. Allow at least 60 days for your application to be processed ([50 CFR 13.11](#)). However, some applications for a Recovery or Interstate Commerce permit may take longer than 90 days to process. Applications are processed in the order they are received.
- Additional forms and instructions, plus copies of the FWS permit regulations, are available from the FWS permit web site at <http://permits.fws.gov/>.

Most of the application form is self-explanatory, but the following provides some assistance for completing the form.

COMPLETE EITHER SECTION A. OR SECTION B. :

Section A. **"Complete if applying as an individual"** - Enter the complete name of the responsible [individual](#) who will be the permittee **if** a permit is issued. Enter personal information that identifies the applicant. All blocks must be completed. **If you are applying on behalf of a client, the personal information must pertain to the client; and a notarized document [evidencing power of attorney](#) must be included with the application.**

Section B. **"Complete if applying as a business, corporation, public agency, or institution"** - Enter the complete name and address of the [business, corporation, public agency or institution](#) that will be the permittee **if** a permit is issued. Give a brief description of the type of business the applicant is engaged in, the name and phone number of the person in charge (i.e., principal officer), and if the company is incorporated, the State in which it is incorporated.

ALL APPLICANTS COMPLETE SECTION C. :

Section C.1 **"Do you currently have or have you had any Federal Fish and Wildlife permits?"** List the number(s) of your most current FWS or CITES permit or the number of the most recent permit if none are currently valid.

Section C.2 **"Have you obtained all required State, Federal or foreign government approval(s) to conduct the activity you propose?"** (Please be aware that there may be other requirements necessary to conduct this activity such as a hunting license, import permit, or collection permit.) If "yes," list the State, Federal or foreign countries involved and type of document required. Include a copy of these documents with the application. If you have applied for the documents, check the "have applied" box and list the State, Federal or foreign countries involved and type of documents required. If the proposed activity is not regulated, check "not required."

Section C.3 **"Enclose check or money order (if applicable)"** You must enclose an application processing fee unless you are fee exempt. Consult the Application Processing Fee section on the next page for details. If you are fee exempt, write "EXEMPT" in this space. Make your check or money order payable to the "U.S. Fish and Wildlife Service" and attach it to the application form.

Section C.4-6 **"CERTIFICATION"** **The individual identified in Section A., the principal officer named in Section B., or person with a valid power of attorney (notarized documentation must be included in the application) must sign and date the application [in blue ink](#).** This signature binds the applicant to the statement of certification. This means that you certify that you have read and understand the regulations that apply to the permit. You also certify that everything included in the application is true to the best of your knowledge. Be sure to read the statement and re-read the application and your answers before signing.

APPLICATION FOR A FEDERAL FISH AND WILDLIFE PERMIT

Paperwork Reduction Act and the Privacy Act – Notices

In accordance with the Paperwork Reduction Act of 1995 (44 U.S.C. 3501, *et seq.*) and the Privacy Act of 1974 (5 U.S.C. 552a), please be advised that:

1. The gathering of information on wildlife and plants is authorized by:
 - a. Bald and Golden Eagle Protection Act (16 U.S.C. 668), [Title 50 Part 22 of the Code of Federal Regulations \(CFR\)](#);
 - b. Endangered Species Act of 1973 (16 U.S.C. 1531-1544), [Title 50 CFR Part 17](#);
 - c. Migratory Bird Treaty Act (16 U.S.C. 703-712), [Title 50 CFR Part 21](#);
 - d. Marine Mammal Protection Act of 1972 (16 U.S.C. 1361, *et. seq.*), [Title 50 CFR Part 18](#);
 - e. Wild Bird Conservation Act (16 U.S.C. 4901-4916), [Title 50 CFR Part 15](#);
 - f. Lacey Act (18 U.S.C. 42); Injurious Wildlife, [Title 50 CFR Part 16](#);
 - g. [Convention on International Trade in Endangered Species of Wild Fauna and Flora \(CITES\) \(TIAS 8249\)](#); [Title 50 CFR Part 23](#).
 - h. General Provisions, [Title 50 CFR Part 10](#);
 - i. General Permit Procedures, [Title 50 CFR Part 13](#); and
 - j. Wildlife (Import/export/transport), [Title 50 CFR Part 14](#).
2. Information requested in this form is purely voluntary. However, submission of requested information is required in order to process applications for permits authorized under the above laws. Failure to provide all requested information may be sufficient cause for the U.S. Fish and Wildlife Service to deny the request. Response is not required unless a currently valid Office of Management and Budget (OMB) control number is displayed.
3. Certain applications for permits authorized under the Endangered Species Act of 1973 (16 U.S.C. 1539) and the Marine Mammal Protection Act of 1972 (16 U.S.C. 1374) will be published for public comment in the **Federal Register** (FR) as required by the two laws.
4. Routine use disclosures outside the Department of the Interior (DOI) may be made without the consent of an individual if the disclosure is compatible with the purposes for which the record was collected. (Ref. 68 FR 52611, September 4, 2003) Disclosures outside the DOI may be made under the routine uses listed below without the consent of the individual if the disclosure is compatible with the purposes for which the record was collected.
 - a. To subject matter experts, and State, Federal, local, and foreign agencies, for the purpose of obtaining advice relevant to making a decision on an application for a permit or when necessary to accomplish a FWS function related to this system of records.
 - b. To the public as a result of publishing Federal Register notices announcing the receipt of permit applications for public comment or notice of the decision on a permit application.
 - c. To Federal, State, local, or foreign wildlife and plant agencies for the exchange of information on permits granted or denied to assure compliance with all applicable permitting requirements.
 - d. To Captive-bred Wildlife registrants under the Endangered Species Act for the exchange of authorized species, and to share information on the captive breeding of these species.
 - e. To Federal, State, and local authorities who need to know who is permitted to receive and rehabilitate sick, orphaned, and injured birds under the Migratory Bird Treaty Act and the Bald and Golden Eagle Protection Act; federally permitted rehabilitators; individuals seeking a permitted rehabilitator with whom to place a sick, injured, or orphaned bird in need of care; and licensed veterinarians who receive, treat, or diagnose sick, orphaned, and injured birds.
 - f. To the Department of Justice (DOJ), or a court, adjudicative, or other administrative body or to a party in litigation before a court or adjudicative or administrative body, under certain circumstances;
 - g. To the appropriate Federal, State, tribal, local, or foreign governmental agency responsible for investigating, prosecuting, enforcing, or implementing statutes, rules, or licenses, when we become aware of a violation or potential violation of such statutes, rules, or licenses, or when we need to monitor activities associated with a permit or regulated use.
 - h. To a congressional office in response to an inquiry to the office by the individual to whom the record pertains.
 - i. To the General Accounting Office or Congress when the information is required for the evaluation of the permit programs.
 - j. To provide addresses obtained from the Internal Revenue Service to debt collection agencies for purposes of locating a debtor to collect or compromise a Federal claim against the debtor, or to consumer reporting agencies to prepare a commercial credit report for use by the FWS.
5. For individuals, personal information such as home address and telephone number, financial data, and personal identifiers (social security number, birth date, etc.) will be removed prior to any release of the application.
6. The public reporting burden on the applicant for this information collection varies depending on the activity for which a permit is requested. The relevant burden for completing an application for a Recovery or Interstate Commerce permit is **4 hours**. This burden estimate includes time for reviewing instructions, gathering and maintaining data and completing and reviewing the form. You may direct comments regarding the burden estimate or any other aspect of the form to the Service Information Clearance Officer, U.S. Fish and Wildlife Service, Mail Stop 222, Arlington Square, U.S. Department of the Interior, 1849 C Street, NW, Washington D.C. 20240.

Freedom of Information Act (FOIA) – Notice

For organizations, businesses, or individuals operating as a business (i.e., permittees not covered by the Privacy Act), we request that you identify any information that should be considered privileged and confidential business information to allow the Service to meet its responsibilities under FOIA. Confidential business information must be clearly marked "Business Confidential" at the top of the letter or page and each succeeding page and must be accompanied by a non-confidential summary of the confidential information. The non-confidential summary and remaining documents may be made available to the public under FOIA [43 CFR 2.13(c)(4), 43 CFR 2.15(d)(1)(i)].

Application Processing Fee

The fee to process this application for a Recovery or Interstate Commerce permit is **\$100**. This fee applies to new permit applications and to renewals of existing valid permits. The fee to process a significant amendment to an existing valid Recovery or Interstate Commerce permit is **\$50**. Significant amendments are those that pertain to the purpose and condition of the permit, and that are not purely administrative. Checks should be made payable to "U.S. Fish and Wildlife Service." The application processing fee is assessed to partially cover the cost of processing a request for a permit, and does not guarantee the issuance of a permit. The fee will not be refunded under any circumstances. However, we may return your check to you if you withdraw your application before we have significantly processed it (refer to 50 CFR 13.11(d)).

There is no fee for minor amendments to an existing valid permit (such as updating your name and address information). These minor administrative changes are required under [50 CFR 13.23\(c\)](#).

The fee requirement does not apply to any federal, tribal, state, or local government agency or to any individual or institution acting on behalf of such agency for the proposed activity. State, tribal and local public colleges/universities do not have to pay a fee because they are affiliated with a government body. Proof of fee exempt status must be included in the application.

**APPLICATION FOR A PERMIT UNDER THE
ENDANGERED SPECIES ACT**

NATIVE ENDANGERED & THREATENED SPECIES

**SCIENTIFIC PURPOSES, ENHANCEMENT OF PROPAGATION OR SURVIVAL
PERMITS (i.e., RECOVERY PERMITS)
AND INTERSTATE COMMERCE PERMITS**

INSTRUCTIONS TO APPLICANTS

To expedite a final decision on your application, you are urged to coordinate with us as soon as possible for guidance in assembling a complete application package, and to send us your complete permit application package at least three months prior to the start of your proposed activities. If you are renewing or amending a valid permit, your complete application package must be received at least 30 days prior to the expiration of the valid permit. These time periods begin when we receive a complete permit application package and does not include any time required for requesting clarification or additional information about your application.

The information provided in your permit application will be used to evaluate your application for compliance with the Endangered Species Act, its implementing regulations (which may require a 30 day public comment period), and with U.S. Fish and Wildlife Service policy. Receipt and possession of a permit under the Endangered Species Act should be regarded as a privilege, as we must balance permit issuance with our duties to protect and recover listed species.

Up-to-date annual reports and any other required reports under your valid permit(s) must be on file before a permit will be considered for renewal or amendment.

If your activities may affect species under the authority of the National Marine Fisheries Service (NMFS/NOAA Fisheries), then you may need to obtain a separate permit from that agency. In addition we share jurisdiction with NMFS/NOAA Fisheries for sea turtles (e.g., we evaluate applications for permits to conduct activities impacting sea turtles on land, and NMFS/NOAA Fisheries evaluates applications for permits to conduct activities impacting sea turtles in the marine environment). To apply for a permit to conduct activities with sea turtles in the marine environment or other species under NMFS/NOAA Fisheries jurisdiction, please contact them via their permit web page at <http://www.nmfs.noaa.gov/pr/permits/>

If you are not applying as an individual but as a business, corporation, institution, or non-Federal public agency (block B. on page 1 of the application), the person to whom the permit will be

issued (e.g., the landowner, president, director, executive director, or executive officer) is legally responsible for implementing the permit. Although other people under the direct control of the permittee (e.g., employees, contractors, consultants) receive third party take authorization in their capacity as designees of the permittee, the individual named as the permittee ultimately is legally responsible for the permit and any activities carried out under the permit.

RECOVERY PERMIT APPLICATION

Please check one:

- Renewal of a valid Recovery permit using my current application package on file. The renewal fee is \$100.
- Amendment (with *major changes*) of a valid Recovery permit. If the information in your current application package has changed in a manner that triggers a major amendment or a change not otherwise specified in the Recovery permit, then you must apply for an amendment to your valid Recovery permit. Such major changes may include changes in study plan, location, activity, amount or type of take, or species to be covered by the permit. Please contact our Regional Endangered Species Office located closest to your proposed activity for technical assistance in making this determination. The contact information for our Regional Endangered Species Offices can be found on the U.S. Fish & Wildlife Service's Endangered Species web page at <http://endangered.fws.gov/permits/permitscontacts.html>. The amendment fee is \$50.
- New application for a Recovery permit. The application fee is \$100.

You have 3 options for providing the required information for a Recovery permit application. Choose only one option.

Option I. Renewal of a Valid Recovery Permit.

Sign the following statement if you are applying to renew an existing valid Recovery permit. If you are proposing major changes to your Recovery permit, you must use Option II.

The individual signing box C. on page 1 of the application must also sign (in blue ink) the following statement. This certification language is required under 50 CFR 13.22(a).

I certify that the statements and information submitted in support of my original application for a U.S. Fish and Wildlife Service Recovery permit # _____ are still current and correct and hereby request renewal of that permit.

signature (in blue ink)

date

please print name legibly

* Please note: If you have signed the above statement, then your renewal request is complete. Please submit this renewal request to the return address on page 1 of the application. Requests for renewals must be received no later than 30 days prior to permit expiration to ensure that your current permit remains in effect while we process your renewal request.

Option II. Amended Recovery Permit (with *major changes*)

Sign the following statement if you are proposing to amend a valid Recovery permit by making major changes. Such major changes may include changes in study plan, location, activity, amount or type of take, or species to be covered by the permit.

The individual signing box C. on page 1 of the application must also sign (in blue ink) the following statement. This certification language is required under 50 CFR 13.22(a).

I certify that the statements and information submitted in support of my original application for a U.S. Fish and Wildlife Service Recovery permit # _____ are still current and correct, except for the changes listed below, and hereby request amendment of that permit.

signature (in blue ink)

date

please print name legibly

Provide a brief description of the changes to your valid permit (answer the appropriate questions for these changes under Option III. below).

Option III. New Recovery Permit & Supplementary Information for Amendment of a Valid Permit (with *major changes*)

General permit regulations for the U.S. Fish and Wildlife Service can be found at 50 CFR 13. Regulations for Recovery and Interstate Commerce permits under the Endangered Species Act can be found at 50 CFR 17.22(a)(1) for endangered wildlife species, 50 CFR 17.32(a)(1) for

threatened wildlife species, 50 CFR 17.62 for endangered plant species, and 50 CFR 17.72 for threatened plant species.

Applications for a Recovery permit must provide the following specific information (relevant to the activity) in addition to the general information on page 1 of this application. Please attach separate pages. In order to assist us in processing your request, please provide the item number (A.1.a., etc.) of the required information before each of your responses. Thank you.

A. Identify species and activity:

1. For a new Recovery permit:
 - a. Provide the common and scientific names of the species being requested for coverage in the permit and their status (endangered (E) or threatened (T)).
 - b. Provide the number, age, and sex of such species to the extent known.
 - c. Identify the activity sought to be authorized (i.e., presence/absence survey, nest monitoring, bird banding, etc.) for each species.
2. For an amended Recovery permit:
 - a. Identify the species to be added to your valid permit (provide both the scientific, to the most specific taxonomic level, and common names) as well as the species' status (see 1.a. above).
 - b. Provide the number, age, and sex of such species to the extent known.
 - c. If any activities requested in this application differ from those authorized in your valid permit, then for each species state the currently authorized activity, the requested new activity, and how the new activity will impact each species.
 - d. Identify the activity sought to be authorized (i.e., presence/absence survey, nest monitoring, banding, etc.) for each species.
 - e. Quantify any anticipated effects to the habitat of each added species.
 - f. Identify species to be deleted from your valid permit and the reason(s) for the deletion.

B. Identify location of the proposed activity:

1. Provide the name of the State, county, and specific location of the proposed activity site(s). Include a formal legal description, section/township/range information, county tax parcel number, local address, or any other identifying property designation that will precisely place the location of the proposed activity site(s).
2. If the specific study area is known at the time of application, attach a U.S. Geological Survey map of the study area in 7.5 minute quadrangle (1:24,000) scale, or other appropriately scaled map. If you plan to conduct surveys on a contract basis in the future, these maps can be provided once the specific area is known (the counties in which you will work must be provided at this time).
3. If your request is for aquatic species, identify the aquatic system (river/lake/stream name, river mile information, and drainage basin).

C. Describe the proposed activity:

1. Provide a statement justifying the permit request, including the following: *[A copy of a research or study proposal that provides this information can be attached in lieu of the information requested below.]* Use additional sheets as necessary.
 - a. Describe in detail the purpose(s) and objective(s) of the project.
 - i. Include study design, sampling methodologies and equipment to be used.
 - ii. Identify any null hypothesis or other anticipated results from the project that will support the reasoning why the project is justified for enhancement of propagation or survival of the affected species.
 - iii. Include planned disposition of specimens upon completion of project
 - b. Describe how the proposal will help recover each species.
 - i. If there is an approved recovery plan, identify the recovery tasks by number and name, if applicable.
 - ii. Identify, or provide copies of any previous or similar research conducted on this species.
 - iii. If this information exists, explain how the project will answer questions not answered by earlier research.

- iv. Explain how you will coordinate your efforts with past and ongoing research studies.
- c. Can this project result in the injury, death, or removal from the wild of any individuals of the species?
- i. If yes, describe all that apply (i.e., injury, death, removal from the wild).
 - ii. For each species, please state the maximum number of individuals that would be injured, killed, or removed from the wild: *[If applicable, please identify, based on a reasonable expectation, the number of individuals likely to be injured or killed per activity.]*
 - iii. Please state what will be done to minimize the possibility of injury to or death of individuals.
 - iv. If the proposed activity would cause the death of individuals from the wild or remove individuals from the wild, describe your attempts to obtain the wildlife or plant specimens currently held in captivity/nurseries/museums, or produced in captivity. You must demonstrate conclusively that existing specimens are unavailable or your study objectives require new/additional specimens. *[Provide the identity and phone number of each contact made in this regard.]*
- d. Identify contracts and agreements held for the proposed activities (attach copy or give title, funding organization name and address, date of signature, duration of contract).
- i. State whether full funding will be available for the completion of the proposed activity. *[If you do not hold a contract at this time, but foresee receiving one, you may apply for a permit contingent upon receiving the contract(s).]*
- e. If live wildlife or plants to be covered by the permit are to be held in captivity:
- i. Give a complete description, attaching photographs and/or diagrams, of the area and facilities where wildlife or plant(s) will be held and/or maintained in captivity and describe arrangements for care during transportation and maintenance. Include the name and address of the area and facilities. *[A separate discussion*

specific for each species must be provided, if applicable.]

- ii. Provide the full names of person(s) who will care for live specimens, including a resume of their experience in raising, caring for, and propagating similar wildlife or plants.
- iii. Provide any contract or agreement you have secured for care of any live specimens collected under this permit request if the identified facility is not affiliated with you. Attach a copy or give title, funding organization name and address, date of signature, and duration of contract. *[A joint application may be appropriate in situations where one entity will collect the specimens and another entity will conduct the propagation/maintenance activities.]*
- iv. List mortalities resulting from your activities with these or similar species in the last 2 years.
- v. Provide an explanation of each mortality event and the procedures employed or modified to eliminate any future mortality events.
- vi. Indicate your willingness to participate in a cooperative breeding or propagation program or to contribute data to a database or studbook.
- vii. State the planned disposition of the collected and/or propagated species after termination of the project/activity.

D. Identify the persons who will conduct the proposed activity:

1. Provide the full name of all individuals, *including first name, middle initial, and last name*, who you propose will work under this permit.
 - a. If more than one activity is included in the permit application, indicate which activity(ies) will be completed by each individual.
 - b. For each listed individual, please also provide a copy of each person's resume and/or curriculum vitae, plus specific information on previous professional experience working with the species affected by the permit request. Information should include: the approximate number of hours of focused activity with each species in occupied habitat; approximate number of each species the applicant has worked with at each site (e.g., how many pair of birds at a specific site); names, dates, and location of areas surveyed; and experience with similar species. Please provide the

names and phone numbers of at least two references who can verify experience with the species (reference letters are always appreciated).

E. Identify the location of the affected species:

1. For each species indicate whether, at the time of the application, the organism was:
 - a. still in the wild;
 - b. had been removed from the wild (provide State, county, and specific location of removal); and
 - c. was born in captivity or artificially propagated (provide State, county, specific location, and name of the institution where born or propagated).
2. If you are applying for a permit for the collection of plants from the wild, list the lands from which you plan to collect the plants.
 - a. If these lands are under Federal jurisdiction, identify the Federal land management agency(ies) that have jurisdiction for the lands. Include the name, title, address, and telephone number of the person in charge of the Federal lands.
 - b. Describe what plant part(s), and the number(s) or other type(s) of indication of material you plan to collect (i.e., whole plant, leaves, pollen, seeds, etc.).
 - c. If the proposed activity involves the collection of seeds taken from the wild, provide information that evaluates the effects of the seed collection on the reproductive potential of the species at the collection location.

F. Identify other permits required:

1. List any additional valid permits currently held or other permits needed for the proposed activities (i.e., permission to work on Federal lands, Federal bird banding permit, Corps of Engineers permits, Environmental Protection Agency NPDES permits, State, county or local permits, etc).
2. Attach a copy of permit or provide agency name, permit number (if any), effective date, and duration.
3. Provide information on any pending applications for the above permits and the reasons why the permits have not been issued.

G. Referral of your contact information (optional):

The U.S. Fish and Wildlife Service often receives requests for lists of permittees who could conduct contract work for endangered and threatened species (e.g., presence/absence surveys). In accordance with our Privacy Act System of Records Notice (Permits System, Interior – FWS-21), we may release the name and work address or work telephone number of those who wish to be contacted by third parties to do commercial survey activities. Such information is not normally released under the Freedom of Information Act - unless a compelling need on the part of the general public can be cited.).

Please be aware that this list does not represent an endorsement by us of any particular permittee. This referral is provided at the discretion of each U.S. Fish and Wildlife Service Regional Office as time and workload allow.

Please indicate below your preference for the release of your information to third parties.

- Yes, I authorize the U.S. Fish and Wildlife Service to release my name, work address and/or work telephone number to third parties as a referral for contract work for endangered and threatened species.
- No, I do not authorize the U.S. Fish and Wildlife Service to release my name and work address and/or work telephone number to third parties.

INTERSTATE COMMERCE PERMIT APPLICATION

Interstate Commerce permits authorize the sale of native endangered and threatened species across State lines, but only for activities that will contribute to the species' recovery by enhancing their propagation or survival. Unlike other permits for native endangered and threatened species (which are issued by the U.S. Fish & Wildlife Service Regions according to where the activity will take place), Interstate Commerce permits are issued by the Region having the lead responsibility for the affected species.

PLEASE NOTE:

- Interstate commerce activities for *wildlife* require the buyer to obtain a permit prior to the sale.

In addition, our regulations at 50 CFR 17.62(a) for endangered plant species and 17.72(a) for threatened plant species require that –

- Interstate commerce activities for *plants taken from the wild* require the buyer to obtain a permit prior to the sale.
- Interstate commerce activities for *plants taken from cultivated stock* require the seller to obtain a permit prior to the sale.

Please check one:

- Renewal of a valid Interstate Commerce permit using my current application package on file. The renewal fee is \$100.

- Amendment (with *major changes*) of a valid Interstate Commerce permit. If the information in your current application package has changed in a manner that triggers a major amendment or a change not otherwise specified in the Interstate Commerce permit, then you must apply for an amendment to your valid permit. Such major changes may include changes in activity, location, amount or type of take, or species to be covered by the permit. For technical assistance in making this determination, please contact our Regional Endangered Species Office with lead for the affected species. To determine the lead Region for a species, go to our main Endangered Species web page at <http://endangered.fws.gov/>. Find the Species Search query box at the top of the screen, type in the species name, and hit the Submit button. After the query results are displayed, click on the scientific name to see the species profile. Then go to the Status Details section of the species profile to find the lead Region, including a link to the Region's web page. The amendment fee is \$50.

- New application for an Interstate Commerce permit. The application fee is \$100.

General permit regulations for the U.S. Fish and Wildlife Service can be found at 50 CFR 13.

Regulations for Recovery and Interstate Commerce permits under the Endangered Species Act can be found at 50 CFR 17.22(a)(1) for endangered wildlife species, 50 CFR 17.32(a)(1) for threatened wildlife species, 50 CFR 17.62 for endangered plant species, and 50 CFR 17.72 for threatened plant species.

Applications for an Interstate Commerce permit must provide the following specific information (relevant to the activity) in addition to the general information on page 1 of this application. Please attach separate pages. In order to assist us in processing your request, please provide the item number (A.1., etc.) of the required information before each of your responses. Thank you.

A. For Wildlife:

1. Provide the common and scientific names of the species being requested for coverage in the permit and their status (endangered (E) or threatened (T)).
2. Identify the activity sought to be authorized for each species.
3. Provide the sex, birth date, birth place, age, number of specimens, and identifying features (e.g., band number, collar number, scars, tattoo number, etc.).
4. Provide the name, address and telephone number of the seller.
5. State whether the wildlife has been captively bred or removed from the wild.
6. Provide the expected time needed to complete transaction(s).
7. Provide a complete description with photographs and/or diagrams of the area and facilities where wildlife will be held in captivity, and description of arrangements for care during transportation and maintenance.
8. Describe experience with breeding this or similar species in the past.
9. Provide the full names of person(s) who will care for live specimens, including any experience in raising, caring for, and propagating similar wildlife.
10. List mortalities resulting from your activities with these or similar species in the last 2 years. Provide an explanation of each mortality event and the procedures employed or modified to eliminate any future mortality events.
11. Indicate your willingness to participate in a cooperative breeding or propagation program or to contribute data to a database or studbook.
12. Provide a statement from the wildlife breeder that the wildlife was bred at their

home/facility. This statement must include the name and address of the breeder, date of birth or hatch, band number or any other identifying marks or characteristics.

13. Please provide detailed information on how you propose to manage your breeding stock to uniquely identify all progeny, and to ensure that the genetic diversity and integrity of your breeding stock are maintained to the maximum extent possible.

B. For Plants:

1. Provide the common and scientific names of the species being requested for coverage in the permit and their status (endangered (E) or threatened (T)).
2. Identify the activity sought to be authorized for each species.
3. Provide the location where plants will be cultivated for sale.
4. Provide the full names of person(s) who will care for live specimens, including any experience in raising, caring for, and propagating similar plants.
5. State whether the breeding stock is of cultivated or wild origin.
6. If you are applying a permit to buy plants taken from the wild, provide the name, address and telephone number of the seller. If you are applying for a permit to sell plants taken from cultivated stock, provide the name address, and telephone number of the buyer.

The public reporting burden for completing this application is estimated to be 4 hours, including time for reviewing instructions, gathering and maintaining application data, and completing and reviewing the forms. Comments regarding the burden estimate or any other aspect of the reporting requirement(s) should be directed to the U.S. Fish & Wildlife Service Information Collection Clearance Officer, MS 222 ARLSQ, U.S. Fish and Wildlife Service, Washington, DC 20240.

An agency may not conduct and a person is not required to respond to a collection of information unless a currently valid OMB control number is displayed.