



**CONVENTION ON
INTERNATIONAL TRADE IN
ENDANGERED SPECIES OF
WILD FAUNA AND FLORA**

**IMPORT
PERMIT**

1. Original Permit/Certificate No.
13US88593A/9
2. Valid
10/31/2013

3. Permittee (name and address, country)

CATHERINE HAMMOND RONDEAU
(b)(6)
LEONARD, M (b)(6)
U.S.A.

4. Consignee (name and address, country)

GERARD PASANISI SAFARI
P.O. BOX 21880
DAR ES SALAAM
TANZANIA

5. Special Conditions

MUST COMPLY WITH ATTACHED GENERAL PERMIT CONDITIONS.

SPECIMEN(S) MAY NOT BE SOLD OR TRANSFERRED FOR ANY FINANCIAL REMUNERATION.

SEE ATTACHED PAGE 2 FOR ADDITIONAL CONDITIONS.

THIS AMENDS AND REPLACES 12US88593A/9 ISSUED 11/01/2012.

5a. Purpose of Transaction

H

6. U.S. Management Authority

U.S. FISH AND WILDLIFE SERVICE
DIVISION OF MANAGEMENT AUTHORITY
4401 N. FAIRFAX DRIVE
ROOM 212
ARLINGTON, VA 22203-3247

-May not be used for commercial purposes. For live animals, only valid if the transport conditions comply with the CITES Guidelines for Transport of Live Animals or, in the case of air transport, with IATA Live Animals Regulations

09/12/2013

Issuing Date

**U.S. CITES
Management Authority**

United States Management Authority

AUTHORITY: Endangered Species Act of 1973 (16 USC 1531 et. seq.)

7/8. Common Name and Scientific name (genus and species) of Animal or Plant

9. Description of Part or Derivative, including identifying marks or numbers (age/sex if live)

10. Appendix No. and Source

A. Common Name

AFRICAN
ELEPHANT

Scientific Name

LOXODONTA
AFRICANA

9. IMPORT IMPORT PERSONAL SPORT HUNTED TROPHY (shipment may contain bones, hair, hide, tusks, teeth, or any taxidermied part. DOES NOT include worked, manufactured, or handicraft items such as curios, jewelry, or other utilitarian items.)

10. 1 W

11. Quantity (including units)
1 NO

12. Country of Origin
TANZANIA

B. Common Name

LEOPARD

Scientific Name

PANTHERA
PARDUS

9. IMPORT PERSONAL SPORT HUNTED TROPHY (shipment may contain bones, claws, hide, skull, teeth, or any taxidermied part. DOES NOT include worked, manufactured, or handicraft items such as curios, jewelry, or other utilitarian items.)

10. 1 W

11. Quantity (including units)
1 NO

12. Country of Origin
TANZANIA

C. Common Name

Scientific Name

9. [REDACTED]

10. [REDACTED]

11. Quantity (including units)

12. Country of Origin

D. Common Name

Scientific Name

9. [REDACTED]

10. [REDACTED]

11. Quantity (including units)

12. Country of Origin

E. Common Name

Scientific Name

9. [REDACTED]

10. [REDACTED]

11. Quantity (including units)

12. Country of Origin

513437

SPECIAL PERMIT CONDITIONS

for TANZANIAN LEOPARD AND ELEPHANT TROPHY IMPORT

MUST IMPORT THROUGH A DESIGNATED PORT AS LISTED IN CONDITION 10 OF GENERAL PERMIT CONDITIONS.

U.S. THREATENED SPECIES: TUSKS MUST BE MARKED AS PER [50 CFR 17.40(e)]. IN ACCORDANCE WITH THE AFRICAN ELEPHANT CONSERVATION ACT, RAW IVORY, INCLUDING SPORT-HUNTED TROPHIES THAT ARE WHOLLY OR PARTIALLY IVORY, MAY NOT BE RE-EXPORTED FROM THE U.S. ELEPHANT MUST NOT HAVE BEEN TAKEN FROM ANY MORATORIUM AREA INCLUDING LONGIDO CONTROLLED HUNTING AREA (CHA).

U.S. THREATENED SPECIES [50 CFR 17.40 (d)]. PERMITTEE MAY ONLY IMPORT TWO LEOPARD TROPHIES PER CALENDAR YEAR. EACH LEOPARD SKIN MUST HAVE A SELF-LOCKING TAG ATTACHED TO IT WHICH INDICATES THE STATE OF EXPORT, THE NUMBER OF THE SPECIMEN IN RELATION TO THE ANNUAL QUOTA, AND THE CALENDAR YEAR TO WHICH THE QUOTA APPLIES. THE EXPORT PERMIT (OR RE-EXPORT CERTIFICATE) MUST CONTAIN THE TAGGING INFORMATION AS OUTLINED ABOVE.

TROPHY MUST HAVE BEEN TAKEN DURING 2012 HUNTING SEASON.

09/12/2013

Date

U.S. CITES
Management Authority

Chief, Branch of Permits
Division of Management Authority



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INTERNATIONAL TRADE IN
ENDANGERED SPECIES OF
WILD FAUNA AND FLORA**

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14US88593A/9
2. Valid
09/16/2015

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CATHERINE HAMMOND RONDEAU
(b)(6)
LEONARD, MI (b)(6)
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U.S. FISH AND WILDLIFE SERVICE
DIVISION OF MANAGEMENT AUTHORITY
BRANCH OF PERMITS, MS: IA
5275 LEESBURG PIKE
FALLS CHURCH VA 22041-3803

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09/17/2014

**U.S. CITES
Management Authority**

Issuing Date United States Management Authority
AUTHORITY: Endangered Species Act of 1973 (16 USC 1531 et. seq.)

7/8. Common Name and Scientific name (genus and species) of Animal or Plant	9. Description of Part or Derivative, including identifying marks or numbers (age/sex if live)	10. Appendix No. and Source
<p>A. Common Name AFRICAN ELEPHANT</p> <p>Scientific Name LOXODONTA AFRICANA</p>	<p>9. IMPORT IMPORT PERSONAL SPORT HUNTED TROPHY (shipment may contain bones, hair, hide, tusks, teeth, or any taxidermied part. DOES NOT include worked, manufactured, or handicraft items such as curios, jewelry, or other utilitarian items.)</p>	<p>10. 1 W</p> <p>11. Quantity (including units) 1 NO</p> <p>12. Country of Origin TANZANIA</p>
<p>B. Common Name LEOPARD</p> <p>Scientific Name PANTHERA PARDUS</p>	<p>9. IMPORT PERSONAL SPORT HUNTED TROPHY (shipment may contain bones, claws, hide, skull, teeth, or any taxidermied part. DOES NOT include worked, manufactured, or handicraft items such as curios, jewelry, or other utilitarian items.)</p>	<p>10. 1 W</p> <p>11. Quantity (including units) 1 NO</p> <p>12. Country of Origin TANZANIA</p>
<p>C. Common Name [REDACTED]</p> <p>Scientific Name [REDACTED]</p>	<p>9. [REDACTED]</p>	<p>10. [REDACTED]</p> <p>11. Quantity (including units) [REDACTED]</p> <p>12. Country of Origin [REDACTED]</p>
<p>D. Common Name [REDACTED]</p> <p>Scientific Name [REDACTED]</p>	<p>9. [REDACTED]</p>	<p>10. [REDACTED]</p> <p>11. Quantity (including units) [REDACTED]</p> <p>12. Country of Origin [REDACTED]</p>
<p>E. Common Name [REDACTED]</p> <p>Scientific Name [REDACTED]</p>	<p>9. [REDACTED]</p>	<p>10. [REDACTED]</p> <p>11. Quantity (including units) [REDACTED]</p> <p>12. Country of Origin [REDACTED]</p>

478614

SPECIAL PERMIT CONDITIONS

for TANZANIAN LEOPARD AND ELEPHANT TROPHY IMPORT

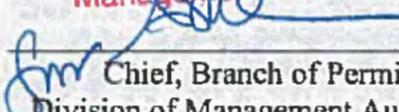
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TROPHY MUST HAVE BEEN TAKEN DURING 2012 HUNTING SEASON.

09/17/2014
Date

**U.S. CITES
Management Authority**

Chief, Branch of Permits
Division of Management Authority



Department of the Interior
U.S. Fish and Wildlife Service

Expires 11/30/2010
OMB No. 1018-0093

Federal Fish and Wildlife Permit Application Form

Return to: Division of Management Authority (DMA)
U.S. Fish and Wildlife Service
4401 N. Fairfax Drive, Room 212
Arlington, VA 22203
1-800-358-2104 or 703-358-2104

Type of Activity:
Import of Sport-hunted Trophies of
Southern African Leopard, African Elephant, and
Namibian Southern White Rhinoceros

Complete Sections A or B, and C, D, and E of this application. U.S. address may be required in Section C, see instructions for details.
See attached instruction pages for information on how to make your application complete and help avoid unnecessary delays.

A. Complete if applying as an individual				
1 a. Last name Rondeau	1 b. First name Catherine	1 c. Middle name or initial Hammond	1 d. Suffix Mrs	
2. Date of birth (mm/dd/yyyy) (b)(6)	3. Social Security No. (b)(6)	4. Residence (b)(6)	5. Affiliation/ Doing business as (see instructions)	
6 a. Telephone number (b)(6)	6 b. Alternate telephone number (b)(6)	6 c. Business fax number (b)(6)	6 d. Business e-mail address (b)(6)	
B. Complete if applying on behalf of a business, corporation, public agency or institution				
1 a. Name of business, agency, or institution		1 b. Doing business as (dba)		
2. Tax identification no.	3. Description of business, agency, or institution			
4 a. Principal officer Last name	4 b. Principal officer First name	4 c. Principal officer Middle name/ initial	4 d. Suffix	
5. Principal officer title		6. Primary contact		
7 a. Business telephone number	7 b. Alternate telephone number	7 c. Business fax number	7 d. Business e-mail address	
C. All applicants complete address information				
1 a. Physical address (Street address, apartment #, Suite #, or P.O. Box) (b)(6)				
1 b. City Leonard	1 c. State MI	1 d. Zip code/Postal code (b)(6)	1 e. County/Province	1 f. Country USA
2 a. Mailing Address (include if different than physical address, include name) (b)(6)				
2 b. City Oakland	2 c. State MI	2 d. Zip code/Postal code (b)(6)	2 e. County/Province	2 f. Country USA
D. All applicants MUST complete				
1. Attach check or money order payable to the U.S. FISH AND WILDLIFE SERVICE in the amount of \$100. Federal, tribal, State, and local government agencies, and those acting on behalf of such agencies, are exempt from the processing fee - attach documentation of fee exempt status as outlined in instructions. (50 CFR 13.11(d))				
2. Do you currently have or have you ever had any Federal Fish and Wildlife permits? 10 US 25986 A/19 Yes <input checked="" type="checkbox"/> If yes, list the number of the most current permit you have held or that you are applying to renew/replace I had and used No <input type="checkbox"/> a permit in 2010 for an elephant + another in 2010 for a leopard.				
3. Certification I hereby certify that I have read and am familiar with the regulations contained in Title 50, Part 13 of the Code of Federal Regulations and the other applicable parts in subchapter B of Chapter I of Title 50, and I certify that the information submitted in this application for a permit is complete and accurate to the best of my knowledge and belief. I understand that any false information may subject me to the criminal penalties of 18 U.S.C. 1001. (b)(6) 9-12-2012				
Signature ((b)(6)) of applicant/person responsible for permit (No photocopied or stamped signatures)				Date of signature (mm/dd/yyyy)

Please continue to next page

E. IMPORT OF SPORT-HUNTED TROPHIES OF SOUTHERN AFRICAN LEOPARD, AFRICAN ELEPHANT, AND NAMIBIAN SOUTHERN WHITE RHINOCEROS

Note 1: If you hold an import permit for a trophy/trophies that you did not use, please return the unused original permit. If you are requesting reissuance of a permit because you have taken a trophy, but were unable to import it prior to the expiration of the permit, please use the renewal form, (3-200-52), [www.fws.gov/forms] and return your original permit with that form. ^{NO}
_{NO}

Note 2: The U.S. FWS has determined that a trophy consists of raw or tanned parts of a specimen taken by a hunter during a sport hunt for personal use. It may include the bones, claws, hair, head, hide, hooves, horns, meat, skull, teeth, tusks, or any taxidermied part, including, but not limited to, a rug or taxidermied head, shoulder, or full mount. It does not include articles made from a trophy, such as worked, manufactured, or handicraft items for use as clothing, curios, ornamentation, jewelry, or other utilitarian items. If you wish to import such products, please contact the Division of Management Authority for the proper application form. ^{I am requesting a new elephant a new leopard permit for use}

Please provide the following information. Complete all pages of the application. Mark questions that are not applicable with "N/A". If applying for more than one trophy, be sure to answer questions 2 and 3 for each trophy. If importing trophies from more than one country, you must submit a separate application for each shipment in order to obtain separate import permits.

1. ENTER the quantity next to the name of the species you are applying to import (you may apply to import specimens of more than one species provided they have been/are being hunted in the same country):

Leopard (*Panthera pardus*) Quantity: 1 (Limited to the import of two per calendar year)

African elephant (*Loxodonta africana*) Quantity: 1 (Import permit is not required for trophies harvested in Botswana, Namibia, South Africa, or Zimbabwe). **Note: once imported, ivory can not be re-exported.**

Southern white rhinoceros (*Ceratotherium simum simum*) Quantity: 0 (Import permit is not required for trophies harvested in South Africa)

2. **IF ANIMAL IS CURRENTLY LIVING IN THE WILD**, please enter the following:

a. Country and place (area, region, or ranch AND nearest city) where wildlife is to be taken from the wild:

Tanzania's Selous Reserve

b. Date wildlife is to be hunted:

Nov. 2 - 24, 2012

c. Description of the trophy and/or parts you intend to import (e.g., skin, skull, shoulder mount, life size mount, claws, horn):

Leopard = full body skin with claws, skull, teeth.
Elephant = 2 tusks, 2 ears, 4 feet, 1 trunk, tail with hair

3. **IF THE ANIMAL IS DEAD**, please enter the following:

a. Country and place (area, region, or ranch AND nearest city) where wildlife was removed from the wild (provide a map if possible):

full body skin, four teeth

b. Date wildlife was hunted:

c. Description and size of the trophy and/or parts (e.g., skin, skull, shoulder mount, life size mount, claws, horn):

d. The current location of the trophy (address and country):

4. **Complete name and address of overseas person or business shipping the trophy to you (this name will appear on the face of the permit).** If you are applying to import a trophy from Namibia, you **must** provide the name and address of the professional hunter listed on your Namibian hunting permit.

Name: Tanganyika Wildlife Safari

Address: Uhuru Street, Plot-38, near Buguruni Police Post
City/Country: P.O. Box 21880

Buguruni Kisiwani, Dar-es-Salaam, Tanzania, East Africa

5. Please be aware that the U.S. Fish and Wildlife Service must make a finding that your activities will enhance or benefit wild populations of the species involved. If you have any information that could support this finding (e.g., how the funds from license/trophy fees will be spent, what portion of the hunting fee will support conservation), please submit such information on a separate page with your application.

CERTIFICATION STATEMENT

6. If you are a broker or taxidermist applying on behalf of a foreign national, provide documentation to show you have a Power of Attorney to act on your client's behalf and sign the following statement (disregard no. 7).

I acknowledge that the sport-hunted trophy/trophies to be imported has been/will be personally hunted by my client and is being imported only for my client's personal use (i.e., not for sale, transfer, donation, or exchange that is reasonably likely to result in economic use, gain, or benefit). I understand that my client may only import two leopard trophies in one calendar year (if applicable). In addition, I have advised my client that raw ivory, once imported into the United States, cannot be re-exported.

Taxidermist/Broker's signature: _____ Date: _____

7. If you are the hunter applying to import your own trophy, please read and sign the following statement.

I acknowledge that the sport-hunted trophy/trophies to be imported has been/will be personally hunted by me and is being imported only for my personal use (i.e., not for sale, transfer, donation, or exchange that is reasonably likely to result in economic use, gain, or benefit). I understand that I may only import two leopard trophies in one calendar year (if applicable). In addition, I understand that raw ivory, once imported into the United States, cannot be re-exported.

Applicant's signature: (b)(6) Date: 9-12-12

8. Have you obtained all required local, Federal, or foreign government approval(s) to conduct the activity you propose? (Be aware that there may be other requirements necessary to conduct this activity such as a hunting license or collection permit.) Yes (provide a copy of the approval(s)) Have applied. Not required

The outfitter in Tanzania is holding my hunting license on my behalf.

9. Enter the U.S. port through which the [export/re-export/import] will occur. New York City
(All wildlife, including parts and products, must be declared to and inspected by a Wildlife Inspector from the U.S. Fish and Wildlife Service Office of Law Enforcement. A list of designated ports (where an inspector is posted) is available from <http://www.fws.gov>.)

10. Address where you wish permit mailed (if different than page 1): (b)(6) Oakland, MI (b)(6), USA
(same as on page 1)

11. If you wish the permit to be sent to you by means other than regular mail, provide an air bill, pre-paid envelope, or billing information: N/A

12. Who should we contact if we have questions about the application? (Include name, phone number, and address)
Catherine Rondeau (b)(6)
(b)(6)

(b) (6)

(b) (6)

9-12-12
Date

Pay to the Order of U.S. Fish + Wildlife Service \$ 200⁰⁰
Two hundred⁰⁰/100 Dollars

Flagstar

Bank
Jackson, MI 48201
1-888-24VOICE

(b) (6)

(b) (6)

elephant + leopard CITES



CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES OF WILD FAUNA AND FLORA

IMPORT PERMIT

1. Original Permit/Certificate No. 12US88593A/9
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(b)(6)
LEONARD, M (b)(6)
U.S.A

4. Consignee (name and address, country)

TANGYANIKA WILDLIFE SAFARI
UHURU STREET, PLOT 38
NEAR BUGURUNI POLICE POST, P.O. BOX 21880
BUGURUNI KISIWANI
DAR ES SALAAM
TANZANIA

5. Special Conditions

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DIVISION OF MANAGEMENT AUTHORITY
4401 N. FAIRFAX DRIVE
ROOM 212
ARLINGTON, VA 22203-3247

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AFRICAN ELEPHANT

Scientific Name
LOXODONTA AFRICANA

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LEOPARD
Scientific Name
PANTHERA PARDUS

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12. Country of Origin TANZANIA

C. Common Name

Scientific Name

9. [Redacted]

10. [Redacted]
11. Quantity (including units) [Redacted]
12. Country of Origin [Redacted]

D. Common Name

Scientific Name

9. [Redacted]

10. [Redacted]
11. Quantity (including units) [Redacted]
12. Country of Origin [Redacted]

E. Common Name

Scientific Name

9. [Redacted]

10. [Redacted]
11. Quantity (including units) [Redacted]
12. Country of Origin [Redacted]

3711235

USFS 11/1/12 GS

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for TANZANIAN LEOPARD AND ELEPHANT TROPHY IMPORT

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11/01/2012
Date


U.S. CITES
Management Authority
Chief, Branch of Permits
Division of Management Authority



United States Department of the Interior
FISH AND WILDLIFE SERVICES
Washington, D.C. 20240



FL-1

June 6, 2013

Catherine Hammond Rondeau

(b)(6)

Leonard, MI (b)(6)

Dear Ms. Hammond Rondeau:

On 04/12/2013, you were notified, and requested to provide additional information in regard to your application PRT- 88593A. In that request, you were informed that you needed to respond within 45 days or your application would be abandoned. Since we have not received the requested information, we have abandoned your application, and your application has been administratively closed.

If, in the future, you wish to pursue the activity for which you originally applied, you will need to submit a new application, along with any appropriate fees, to this office. Please be aware that in most cases, we will not "transfer" information from your old application to a new application. All relevant information would need to be supplied at the time a new application is submitted.

If we can be of assistance, you may contact our office at 703-358-2104 or fax 703-358-2281.

Sincerely,

Division of Management Authority
Branch of Permits



United States Department of the Interior

FISH AND WILDLIFE SERVICE
Washington, D.C. 20240



AUG 14 2012

MEMORANDUM

To: Chief, Division of Management Authority

From: Chief, Division of Scientific Authority *Rosemarie Aguano*

Subject: General Advice on Importation of Sport-hunted Trophies of African Elephants from Tanzania for the Calendar Year 2012

This responds to your request for a finding as required under the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES) on various applications for the importation of sport-hunted trophies of African elephants (*Loxodonta africana*) from the United Republic of Tanzania (Tanzania) for calendar year 2012.

Please be advised that, with the information currently available, we are able to find that the importation of sport-hunted trophies of African elephants from Tanzania will be for purposes that are not detrimental to the survival of the species. This General Advice applies only to sport-hunted trophies of African elephants that were lawfully taken in Tanzania during calendar year 2012 (i.e., January 1, 2012, through December 31, 2012), provided that they are to be imported by the persons who hunted them for personal use or personal display.

If new information becomes available during 2012 that suggests that this General Advice is no longer valid, it will be suspended and reconsidered by the Division of Scientific Authority. If, after reconsideration, the Division of Scientific Authority believes that the General Advice is no longer valid, we will issue a new General Advice or require that subsequent permit applications be considered on a case-by-case basis.

BASIS FOR ADVICE:

A significant amount of information relevant to this General Advice was made available at the Fifteenth Meeting of the CITES Conference of the Parties (CoP15), including Tanzania's proposal to transfer its population of African elephants from Appendix I to Appendix II (CoP15 Prop. 4 (Rev. 1)), in addition to the Report of the Panel of Experts on Tanzania's proposal (CoP15 Doc. 68, Annex 6a). Much of the information used in making the current finding was derived from these sources, as noted below.

In addition, since CoP15, a significant amount of new information relevant to this issue has become available, including:

TAKE PRIDE
IN AMERICA 



United States Department of the Interior

ADDRESS ONLY THE DIRECTOR
FISH AND WILDLIFE SERVICE

FISH AND WILDLIFE SERVICE
WASHINGTON, D.C. 20240

JUN 10 1982

Memorandum

To: Chief, Federal Wildlife Permit Office
From: Chief, Office of the Scientific Authority
Subject: Importation of Leopard Trophies

The Scientific Authority advises the Management Authority that the importation of sport-hunted leopard (Panthera pardus) trophies taken in the following countries will be for purposes that are not detrimental to the survival of the species involved: Botswana, South Africa (Transvaal only), Tanzania, Zambia, and Zimbabwe. This advice applies to all leopard trophies lawfully taken in these countries on or after July 1, 1975, provided they are to be imported by the persons who hunted them for personal use or display.

Basis for Advice:

1. The factors that were considered in developing advice on leopard trophy imports are set forth in an attachment to our memorandum of March 25, 1982 (copy attached). Advice was developed in accordance with a resolution of the Parties on this subject (Conf. 2.11).
 2. Each of the five countries mentioned above: (a) has laws under which the regulated sport-hunting of leopards is allowed, (b) limits the quantity, or spatial or seasonal distribution of the take of leopards, (c) bases these limits on the goal of managing leopards to sustain their populations, (d) maintains a licensing system for persons who take or process leopards (except in South Africa), and (e) implements a permitting system to regulate trade in accordance with CITES.
 3. Our advice is based on a comprehensive review of the best available information on the status and management of the leopard in each of the 18 countries where it is classified as a Threatened species under the U.S. Endangered Species Act of 1973. We have information that sport-hunting of leopards is not permitted in 9 of these countries: Angola, Burundi, Gabon, Kenya, Lesotho, Malawi, Rwanda, Swaziland, and Uganda. We do not now have enough information to advise on Namibia. The available information indicates that it would not be appropriate to allow leopard trophy imports from Congo, Mozambique, or Zaire.
- 2 -
4. In the case of those countries not presently allowing the sport-hunting of leopards, we do not find it appropriate to advise in favor of importing specimens that were taken prior to a hunting ban. Circumstances that led to such bans were operating against the conservation of leopards in those countries. A detailed account of such circumstances in Kenya is provided by Patrick Hamilton's 1981 report to the Service on the status of the leopard and cheetah in that country.
 5. We will continue to review information on the leopard, and may amend this advice as necessary. Please send us a copy of each permit issued under this advice, so that we can monitor the number of trophies imported from each country.

Richard L. Jachowski
For the Scientific Authority

Concur:

Richard M. Amitt
Associate Director-Research

6/13/82
Date

Attachment



United States Department of the Interior

FISH AND WILDLIFE SERVICE
Washington, D.C. 20240

In Reply Refer To:
FWS/AIA/OMA

Memorandum

MAY 6 1997

To: The File

From: Chief, Branch of Permits *Margaret J. J.*

Subject: Enhancement Finding for African Elephants Taken as Sport-hunted Trophies in Tanzania

The African elephant (*Loxodonta africana*) is listed as threatened under the U.S. Endangered Species Act with a special rule at 50 CFR 17.40(e). The special rule sets forth requirements for the import of sport-hunted trophies, including marking requirements for ivory. Under paragraph 17.40(e)(3)(iii)(C), the Service must make a finding that the killing of the elephant whose trophy is intended for import would enhance the survival of the species.

Based on available information, the OMA believes that Tanzania is effectively conserving and managing the elephant population throughout the country and finds that the import of sport-hunted elephant trophies from Tanzania enhances the survival of the species. This finding applies to all African elephant trophies lawfully taken in Tanzania, provided they are imported by the person who hunted them for personal use.

Basis for Enhancement Finding:

Population Status: Aerial surveys of elephant populations have been undertaken by the Tanzania Wildlife Conservation Monitoring (TWCM) unit at two- to three-year intervals to monitor population trends, distribution, and recovery. Ground survey techniques have also been incorporated. They involve scientists and field staff undertaking routine monitoring duties during law enforcement patrols to determine population trends, distribution, and age and sex ratios of key populations.

Tanzania has the largest elephant population of any range state. In the early 1970's, the population was estimated at 365,000 elephants. By 1991, a population survey conducted by TWCM showed the population had dropped to approximately 57,334 elephants. This drop was primarily a result of extensive poaching which occurred during the 1970's and 1980's. A secondary factor was a reduction in habitat due to expanding human populations. The current estimates available indicate the population in Tanzania ranges from a low of 87,842 to a high of about 100,000 elephants.

- Tanzania National Elephant Management Plan 2010-2015 (TAWIRI 2012);
- The Wildlife Conservation Act, 2009 (Government of the United Republic of Tanzania 2009a);
- The Wildlife Conservation Act, 2009 (Act Supplement No. 5; 20th March, 2009; Government of the United Republic of Tanzania 2009b);
- The Wildlife Conservation (Tourist Hunting) Regulations, 2010 (Subsidiary Legislation Supplement No. 25; 2nd July, 2010; Government of the United Republic of Tanzania 2010);
- Third African Elephant Meeting (1-3 November 2010; Gigiri, Kenya; various documents); and
- Documents from the 62nd Meeting of the CITES Standing Committee (Geneva 2012).

Much of the information used in making the current finding was derived from these sources, as noted below, as well as previously cited information (see AOSA106; dated July 23, 2010; [Division of Scientific Authority 2010]).

Conservation and Management

1. African elephants are widely distributed throughout Tanzania, covering 49% of the country's land area. About 37% of the elephant's range in that country is in protected areas (PA). This proportion of protected range is relatively high compared to other African elephant range countries (Blanc *et al.* 2007). These protected areas comprise 26% of the country's land area within six ecosystems throughout the country, including: Tarangire-Manyara, Serengeti, Selous-Mikumi, Ruaha-Rungwa, Katavi-Rukwa, and Moyowosi-Kigosi. The network of PAs includes 15 National Parks (NP) (4% of the country's land surface), Ngorongoro Conservation Area (1%), 28 Game Reserves (GR) (14%), and 33 Game Controlled Areas (GCA) and/or Wildlife Management Areas (WMA) (5%). Some of these areas were designated as recently as 2005 (CoP15 Doc. 68, Annex 6a [page 1]).
2. In Tanzania, the only consumptive use of African elephants is sport hunting [CoP15 Prop. 4 (Rev. 1)], which is covered by The Wildlife Conservation (Tourist Hunting) Regulations, 2010 (Government of the United Republic of Tanzania 2010). These regulations control hunting by concession area, season, minimum trophy sizes (18 kg and 160 cm per tusk), annual quotas, post-hunt reporting, trophy registration, marking, and export requirements [CoP15 Doc. 68 Annex 6a [page 11]; Part V, Regulation 24.-(5)(b)].
3. The trophy quota for African elephants is distributed among approximately 150 hunting concessions/blocks [Ministry of Natural Resources and Tourism (United Republic of Tanzania), in litt., 2008] with the number of trophy permits allotted to each concession determined by elephant survey information (Ministry of Natural Resources and Tourism, in litt., 2005).
4. According to the Ministry of Natural Resources and Tourism (in litt., 2008), 25% of the revenue accrued from the sport hunting of elephants goes to the conservation and protection of

African elephants and other wildlife species through the Tanzania Wildlife Protection Fund (TWPF). In addition, 25% of the game fees received from hunters is given to the local communities in the areas where the sport hunting took place. Apart from being the source of revenue to local communities, sport hunting plays an important role in creating employment for the members of the local communities, for example, as trackers, skinners, tent and mess attendants, and guards (Ministry of Natural Resources and Tourism, in litt., 2008). The Ministry of Natural Resources and Tourism (in litt., 2008) also reported that the sport hunting program assisted in curbing illegal harvesting and developing infrastructure such as roads, hospitals, and schools, as well as creating a market for local artwork.

5. The 1998 Wildlife Policy of the United Republic of Tanzania was revised in 2007 and provides guidelines for the management of African elephants through the development, review, and updating of specific management plans (CoP15 Doc. 68, Annex 6a [page 4]).

6. This past year, Tanzania published its country-level strategy and action plan, the "Tanzania National Elephant Management Plan 2010-2015." This plan provides updated information on several biological and ecological topics, including: distribution and range, abundance, population trends and demography, elephant corridors, and human-elephant conflicts. It identifies nine different strategic objectives, as well as numerous specific objectives and their associated targets, actions, timelines, actors, and indicators. The strategic objectives include: Human-Elephant Conflict, Elephant Corridors, Law Enforcement, Benefits and Sustainable Utilization, Ivory Stockpile and Management System, Research and Monitoring, Elephant Health and Welfare, Cross-border Cooperation, and Elephant Information Management (TAWIRI 2012).

Population Status and Trends

7. In 2006, the Tanzania Wildlife Research Institute [TAWIRI 2007 (cited in CoP15 Doc. 68, Annex 6a [page 1])] estimated the African elephant populations of Tanzania at $139,915 \pm 12,338$ (SE) animals based on census surveys covering $227,328 \text{ km}^2$ conducted using both total and sample counts. According to the Panel of Experts, this estimate was not significantly different from that of $111,475 \pm 18,728$ (95% CL) elephants estimated in 2000-2003. The Panel of Experts noted that the 2006 estimate did not include 2,873 additional elephants from areas not formally surveyed, which provided a country-wide "best estimate" of $142,788 \pm 12,405$ (SE) elephants in 2006 (CoP15 Doc. 68, Annex 6a [page 1]). In 2006, according to the African Elephant Status Report (Blanc *et al.*, 2007), the "definite" category estimate was 108,816 elephants, in addition to 27,937 "probable," 29,350 "possible," and 900 "speculative" category estimates.

8. In 2009, a similar survey covering $229,318 \text{ km}^2$ across the same six ecosystems produced a total population estimate of $105,439 \pm 6,080$ (SE) African elephants [TAWIRI 2010a (cited in CoP15 Doc. 68, Annex 6a)]. A "best estimate," which included an additional 3,583 elephants, provided a country-wide estimate of $109,022 \pm 6,135$ (SE) elephants in 2009. The Panel of

Experts noted that these results suggested a significant decline compared to the 2006 estimate of 142,788 elephants and that the decline could be attributed largely to a downward population trend recorded in the Selous-Mikumi ecosystem (CoP15 Doc. 68, Annex 6a [page 1]); however, Tanzania later clarified that methodological issues during the 2006 survey is believed to have resulted in an overestimate, and therefore, the two years' results cannot be compared due to different study techniques. Although a survey was also conducted in 2011, the final survey report is not yet available (SC62 Inf. 1).

9. Demographic parameters were calculated between 2009 – 2010 from 2,182 African elephants from six major elephant populations in Tarangire, Serengeti, Ruaha-Rungwa, Selous, Katavi-Rukwa, and Ugalla (TAWIRI 2012). This demographic survey of African elephants revealed that the proportion of the population < 5 years of age varied from 41% in Tarangire to 25% in Ugalla River Game Reserve, with values above 30% (Tarangire, Selous, Serengeti, and Ruaha-Rungwa). These results were indicative of good to high growth rates. The Panel of Experts, citing Foley and Faust (2010), further pointed out that Tarangire had a growth rate of > 6% and was one of the highest growth rates ever recorded for an African elephant population. It was noted that those populations with the proportion of their herds < 5 years of age below 30% (Katavi and Ugalla Game Reserve), which is indicative of low recruitment and growth rates, suggested one or more population stressors, such as higher infant mortality or increased stress associated with human-elephant conflict or illegal activity (i.e., poaching) (CoP15 Doc. 68, Annex 6a [pages 1-2]).

10. The Panel of Experts concluded that while estimates at that time suggested that the Tanzanian African elephant populations were stable or possibly decreasing, elephant populations still remained large (> 100,000 individuals) and were demographically healthy. The Panel of Experts noted that the populations of African elephants were geographically widespread across the country and occupied diverse ecosystems, and that a high proportion of the populations (> 80% individuals) occupied protected areas. In the overall opinion of the Panel of Experts, the African elephant populations in Tanzania were viable at the time of the analysis (CoP15 Doc. 68, Annex 6a [page 2]).

11. The Panel of Experts, however, raised concerns about the future mobility of the African elephant populations in Tanzania. They noted, for example, that associated human settlements were increasing in size and number around protected areas and were accompanied by increasing human-elephant conflicts. These settlements and the associated conflicts were probably the most important factors limiting the elephants' mobility and range. It was the opinion of the Panel of Experts that -- at the rates of habitat change and land conversion at the time -- the corridors that still remained in Tanzania would be converted to unsuitable habitat in less than 5 years (CoP15 Doc. 68, Annex 6a [page 4]).

12. According to Jones et al. (2009), Tanzania was working to minimize risks to African elephants, other wildlife, people, and property through improvements in spatial planning involving the identification, maintenance, and restoration of wildlife corridors. The "Tanzania

National Elephant Management Plan 2010-2015” lays out a strategic objective to restore lost corridors and to increase protection for corridors that are identified to still be in use (TAWIRI 2012).

Sustainability of Off-Take

13. In Tanzania, African elephant deaths occur as a result of several factors, including: 1) natural mortality; 2) trophy hunting; 3) problem animal control; and 4) poaching. In order to evaluate whether off-take from trophy hunting was sustainable, all losses to the African elephant population must be considered.

Legal Off-Take

14. Since 2007, the annual CITES Export Quota for the United Republic of Tanzania has been 400 tusks (sport-hunting quota of 200 elephants). During 2003-2006, the quota was 200 tusks from 100 individuals, while during 1997-2002 the quota was 100 tusks from 50 individuals. Tanzania, however, typically has not exported its full quota allotment in sport-hunted trophies or African elephant tusks. During 1997-2009, for example, annual tusk exports typically were about 40-45% of the allowed quantities and never exceeded the approved annual quota (UNEP-WCMC CITES Trade Database; available on the internet at: <http://www.unep-wcmc.org/citestrade>; accessed on 8 March 2010).

15. The Panel of Experts also assessed the sustainability of legal off-take from African elephant populations in Tanzania. Complete records on natural mortality for the entire country or on the killing of problem elephants were not available, but the Panel of Experts was able to estimate the level of such off-take by analyzing the data from the ivory store databases of Tanzania. Based on 21 years of data for that country, an average of 231 elephants died annually from natural mortality, while another 287 individuals died annually from elephant control measures (CoP15 Doc. 68, Annex 6a [page 5]).

16. Based a sport-hunting quota of 200 African elephants, as well as the estimates cited earlier for natural mortality and problem animal control in Tanzania, the Panel of Experts estimated that the overall legal off-take of African elephants from the several populations in that country was about 718 annually, which was 0.7% of the 2009 elephant population estimate of 109,022 individuals. Even if natural mortalities were considerably higher due, for example, to low carcass detection rates by observers in difficult terrain, the Panel of Experts believed that the legal off-take was still less than the annual population growth rate of 3-5% and, therefore, was sustainable (CoP15 Doc. 68, Annex 6a [page 14]).

17. The Panel of Experts also assessed whether the hunting of trophy-quality males in Tanzania was sustainable. According to an earlier analysis by Martin (1986), an annual harvest rate of 0.5-1.0% of the total African elephant population was sustainable. Based on the available population information, the Panel of Experts estimated that nationwide there was a potential off-take of 325 trophy-quality African elephant males (0.3% of the total population; CoP15 Doc. 68,

Annex 6a [page 14]). The Panel of Experts noted that this value of 0.3% was less than the value of 0.5-1% of total African elephant numbers and concluded that the hunting was sustainable.

Illegal Off-Take

18. According to the Panel of Experts, official poaching statistics provided by the Wildlife Division of Tanzania indicated 258 reported poaching incidents that were detected during 2005-2009, including 82 poaching incidents in 2009, the highest number poached annually during that time period. The Panel of Experts noted, however, that the total number of poaching incidents was likely underestimated given low African elephant carcass detection rates (CoP15 Doc. 68, Annex 6a [page 5]).

19. The Panel of Experts cited the following evidence that poaching has led to elephant population declines in the Selous-Mikumi ecosystem:

a) PIKE values collected at the Selous-Mikumi MIKE site have progressively increased between 2003 and 2009 (CITES Secretariat, 2010).

b) Joint Wildlife Division/Selous Rhino Project aerial observations and foot patrols recorded more than a threefold increase in encounter rates of recently dead elephant carcasses between 2007 and 2008 (TAWIRI, 2010b).

c) Tourism operators operating in the northern Selous reported to the Panel an increase in elephant (and other wildlife) poaching since 2007/8, including several incidents close to tourist camps.

d) A significant proportion of the large seizures of ivory made in Asia in 2006 have been traced by forensic DNA work to elephants killed in the Selous-Niassa area (Wasser et al., 2009).

Note: PIKE = Proportion of illegally killed elephants

20. The Panel of Experts also pointed to evidence that the Selous-Mikumi ecosystem was a "hotspot" for African elephant poaching. They observed that the ivory that had been collected by wildlife enforcement officials at Udzungwa National Park was from confiscations. According to these officials, the confiscations consisted of illegally-sourced ivory coming out of nearby Kilombero Game Controlled Area in the Selous-Mikumi ecosystem. In addition, the highest number of tusks confiscated by field-based Wildlife Division offices originated from Morogoro and Lindi, which are adjacent to the Selous-Mikumi ecosystem (CoP15 Doc. 68, Annex 6a [page 5]).

21. Given the factors discussed above, the Panel of Experts concluded that the level of off-take at that time was not sustainable in the Selous-Mikumi ecosystem, which represents about 40% of the total African elephant population in Tanzania. They also noted, however, that legal and

illegal off-take appeared to be sustainable in the five other elephant ecosystems where the populations were stable or increasing. Expressing concern about the potential for off-take levels in the Selous-Mikumi ecosystem to have a future negative impact on the African elephant population as a whole, the Panel of Experts stated:

"Whilst not unequivocally substantiated, the Selous-Mikumi situation described above could affect long-term population sustainability."
(CoP15 Doc. 68, Annex 6a [page 3])"

22. Based on a more recent analysis of MIKE data, the levels of illegal killing across the African elephants' range are of serious and increasing concern. Between 2009 and 2011, PIKE values remained high at the Selous-Mikumi MIKE site (SC62 Inf. 1). Moreover, a March 2012 IUCN African Elephant Specialist Group survey indicated that poaching over the last 12 months had increased in sites in Tanzania (SC62 Doc. 46.1 (Rev. 1).

23. Another concern that the Panel of Experts raised, which relates to the commitment by the Tanzania to combat poaching, was the financial mechanism by which the Wildlife Division was funded. The Wildlife Division's revenue share is paid directly to the central Treasury, and the Treasury is then supposed to distribute the budgeted monies to the Wildlife Division. The Panel of Experts raised the concern that over a 3-year period (2007-2009), the Wildlife Division had received only 63% (USD 2,634,975 per year) of its approved budget from the central Treasury. The Panel noted that given these funding limitations, the Wildlife Division would not be able to meet its needs and obligations regarding the conservation, management, and protection of African elephants. The Panel of Experts also noted, however, that between 2005 and 2009, the Tanzania Wildlife Protection Fund contributed on average a total of USD 12,894,564 annually to the Wildlife Division. According to the Panel of Experts, these funds, when combined with the Treasury allocations, should have put the Wildlife Division in a "strong position" to meet its enforcement obligations, including containment of threats to elephants in the Selous-Mikumi ecosystem (CoP15 Doc. 68, Annex 6a [page 3]). Recent African elephant poaching levels in the Selous-Mikumi ecosystem, however, suggest that enforcement may have been inadequate.

24. The Panel of Experts also noted that the National Parks and the Ngorongoro Conservation Area Authorities of Tanzania were adequately funded because they generated and retained 100% of their revenue share through park and conservation area fees (CoP15 Doc. 68, Annex 6a [page 3]).

25. Although the Panel of Experts raised concerns over the illegal killing of elephants in the Selous-Mikumi ecosystem, they also acknowledged the efforts by authorities of Tanzania to combat the increases in poaching. For example, in July 2009, a series of planning meetings led to anti-poaching patrols that were joint and cooperative, and involved rangers and scouts from the Selous Game Reserve, the Udzungwa Mountains National Park, and the Mikumi National Park. In December 2009, for example, the commander of special police operations led an anti-poaching operation, code-named "Operation Butterfly," in the Selous Game Reserve. This operation led to the arrest of 70 poachers and the recovery of elephant and hippopotamus ivory

(Midala 2010, as cited in TAWIRI 2012). Noting these, as well as other anti-poaching efforts by the Tanzanian authorities, the Panel of Experts stated:

"There is a clear indication of concern by the authorities to minimize poaching of elephant and other wildlife species. The various efforts to deploy staff and execute special anti-poaching operations in various parts of the country, particularly in the vast Selous Game Reserve, are noteworthy."
(CoP15 Doc. 68, Annex 6a [page 14]).

26. The Director of Wildlife of Tanzania informed the Panel of Experts that regulations were being finalized to implement The Wildlife Conservation Act, 2009, which would reportedly increase revenue retention, increase penalties up to 30 years, and provide additional powers to law enforcement personnel (CoP15 Doc. 68, Annex 6a [page 11]). Copies of those laws and regulations were provided to the U.S. Fish and Wildlife Service (Service) at a February 17, 2011, meeting with Tanzanian officials.

27. The "Tanzania National Elephant Management Plan 2010-2015" is based on several new wildlife laws and implementing regulations (TAWIRI 2012): The Wildlife Conservation Act, 2009 (Government of the United Republic of Tanzania 2009a); The Wildlife Conservation Act, 2009 (Act Supplement No. 5; 20th March, 2009; Government of the United Republic of Tanzania 2009b); and The Wildlife Conservation (Tourist Hunting) Regulations, 2010 (Subsidiary Legislation Supplement No. 25; 2nd July, 2010; Government of the United Republic of Tanzania 2010). During the February 2011, meeting, the Service received copies of these measures, but it was not clear when they would be fully implemented. For example, the Subsidiary Legislation (Supplement No. 25; 2nd July, 2010) of The Wildlife Conservation (Tourist Hunting) Regulations, 2010, in Part V, 24.-(5)(b), directs that no person shall hunt an elephant with tusks weighing below 18 kg per tusk or measuring not less than 160 cm per tusk (Government of the United Republic of Tanzania 2010). In another example, the Act Supplement (No. 5; 20th March, 2009) of The Wildlife Conservation Act, 2009, in Part IV, calls for the protection of wildlife corridors, dispersal areas, buffer zones, and migratory routes (Government of the United Republic of Tanzania 2009b). Both of these measures are critically important to the conservation of the African elephant, but it is not clear when they will become operational and backed by the force of law in Tanzania. Until the new laws are fully implemented, these African elephant conservation and management measures, while improving, are still in a transitional phase.

Conclusion

28. With the information currently available, we believe that the status of the African elephant population in Tanzania and ongoing management efforts in that country are adequate to ensure that the sport hunting of African elephants, as administered by the Government of the United Republic of Tanzania, does not adversely affect the status of the species in Tanzania.

29. Therefore, for calendar year 2012, we find that the import of sport-hunted trophies of African elephants taken in Tanzania will be for purposes that are not detrimental to the survival of the species.

CONCERNS:

Although we are able to make the current non-detriment finding, we still have concerns about the future sustainability of the total levels of off-take from the African elephant populations in Tanzania. These concerns – in part – were also noted by the Panel of Experts (CoP15 Doc. 68, Annex 6a [pages 13-16]):

- A. Efforts have been made by Tanzania to combat poaching in the Selous-Mikumi ecosystem. We also acknowledge that additional resources are now available to promote the conservation status of the African elephant in that country, including: “Tanzania National Elephant Management Plan 2010-2015” (TAWIRI 2012); The Wildlife Conservation Act, 2009 (Government of the United Republic of Tanzania 2009a); The Wildlife Conservation Act, 2009 (Act Supplement No. 5; 20th March, 2009; Government of the United Republic of Tanzania 2009b); and The Wildlife Conservation (Tourist Hunting) Regulations, 2010 (Subsidiary Legislation Supplement No. 25; 2nd July, 2010; Government of the United Republic of Tanzania 2010). The Service is interested in receiving an update on whether the management and regulatory measures mentioned above have been fully implemented, and if not, the targeted time period for full implementation of these measures. In particular, we remain concerned about the availability of future resources to combat poaching in Tanzania, especially in the Selous-Mikumi ecosystem. In this regard, we are interested in receiving updated information on recent initiatives and their effectiveness in combating poaching in Tanzania, particularly in the Selous-Mikumi ecosystem.
- B. It is our understanding that the elephant population in the Selous ecosystem in Tanzania was surveyed in 2011 but that the results have not yet been available. We are interested in receiving these results as soon as they are available.
- C. We note that most of the 23 known elephant corridors in Tanzania are in poor condition (TAWIRI 2012). We recognize that the “Tanzania National Elephant Management Plan 2010-2015” includes a strategic framework for increasing protection for these corridors and for restoring lost corridors and that laws and regulations are available to protect these corridors. Given the importance of protecting these corridors from ongoing threats and the risks to the African elephant populations in the future as a result of human-elephant conflict in and around these areas, we are interested in receiving updated information on Tanzania’s success to date in achieving its objectives related to the protection of African elephant corridors, as laid out in the “Tanzania National Elephant Management Plan 2010-2015” (TAWIRI 2012).

- D. Based on recent information obtained through the National Website of Tanzania, we understand that new procedures have been implemented regarding the allocation of tourist hunting blocks [see: Invitation For Applications For Tourist Hunting Blocks Allocation For The Period 2013 – 2018; (Made under Section 9 (2) of the Wildlife Conservation Act, Cap.283 (Tourist Hunting Regulations of 2010); <http://www.tanzania.go.tz/ministriesf.html>; accessed on March 9, 2011); Government of the United Republic of Tanzania 2011]. These changes deal primarily with the hunting block fees that will be charged by the government. We are interested in receiving information about these changes and how they could affect African elephant harvest quotas or off-take levels associated with the corresponding hunting blocks. Given the recent uncertainty about the total population sizes and trends of African elephant populations in the United Republic of Tanzania, especially in the Selous-Mikumi ecosystem, we will continue to monitor African elephant population survey results, as well as overall harvest quotas and off-take levels for this species in the United Republic of Tanzania.

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United States Department of the Interior

FISH AND WILDLIFE SERVICE
Washington, D.C. 20240

FEE - 4

MEMORANDUM

To: Chief, Division of Management Authority
From: Chief, Division of Scientific Authority *Robert J. Galois*
Subject: General advice for permit renewals and amendments

This general advice supersedes the memorandum on "Scientific Authority review of permit amendments and renewals" issued by our office (then Office of Scientific Authority) on February 14, 1983.

The Division of Management Authority (DMA) receives a large number of requests for renewals and amendments of CITES import and export permits, as well as Certificates of Scientific Exchange (COSE), for which the Division of Scientific Authority (DSA) has previously issued non-detriment findings.

In the case of permits for which DMA has used a general advice from DSA, we have determined that no additional advice from DSA will be necessary provided that the renewal or amendment request still meets the conditions contained in the general advice.

In the case of permits for which DSA has issued permit-specific non-detriment findings, no additional advice from DSA will be needed provided that the renewal or amendment request involves activities affecting the species in question in a way that was contemplated in our original advice. However, additional DSA advice will be needed when:

- 1) there is a change in sender or recipient;
- 2) there is a change in purpose of the activity (e.g., from display to captive breeding purposes in the case of live animals; from research to commercial purposes in the case of tissue



Sport hunted trophy permit re-issuance application.....88593A

Braxton, Ailteas <ailteas_braxton@fws.gov>

Fri, Apr 12, 2013 at 12:40 PM

To: (b)(6)

Ms. Rondeau,

I am currently processing your application. Please forward the original copy of the permit to my attention as soon as possible.

I can not continue to process without this.

Thank you
Ailteas Braxton
Legal Examiner
U.S. Fish and Wildlife Services
Division of Management Authority
ailteas_braxton@fws.gov
703 358-1978 direct dial
703 358-2281 fax

If the information requested above is not received within 45 days, your application will be considered incomplete and will be administratively closed.



Department of the Interior
U.S. Fish and Wildlife Service

OMB No. 1018-0093
Expires 02/28/2014

Federal Fish and Wildlife Permit Application Form

Return to: U.S. Fish and Wildlife Service
(Enter address from pages 4 and 5 of application)

Type of Activity:
REISSUANCE, RENEWAL, OR AMENDMENT OF A PERMIT
(For this application, all permits, registrations, and certificates are referred to as a permit.)

Complete Sections A or B, C, D, and E of this application. U.S. address may be required in Section C, see instructions for details.
See attached instruction pages for information on how to make your application complete and help avoid unnecessary delays.

A. Complete if applying as an individual			
1 a. Last name Rondeau	1 b. First name Catherine	1 c. Middle name or initial Hammond	1 d. Suffix
2 Date of Birth (mm/dd/yyyy) (b)(6)	3 Social Security No. (b)(6)	4 Occupation (b)(6)	5 Affiliation/ Doing business as (see instructions)

(b)(6)

B. Complete if applying on behalf of a business, corporation, public agency, tribe, or institution			
1 a. Name of business, agency, tribe, or institution		1 b. Doing business as (dba)	
2 Tax identification no.	3 Description of business, agency, tribe, or institution		
4 a. Principal officer Last name	4 b. Principal officer First name	4 c. Principal officer Middle name/ initial	4 d. Suffix
5 Principal officer title		6 Primary contact	
7 a. Business telephone number	7 b. Alternate telephone number	7 c. Business fax number	7 d. Business e-mail address

C. All applicants complete address information			
1 a. Physical address (Street address, Apartment #, Suite #, or Room #, no P.O. Boxes) (b)(6)			
1 b. City Leonard	1 c. State MI	1 d. Zip code (b)(6)	1 e. Country USA
2 a. Mailing Address (include if different than physical address, include name of contact person if applicable) Same			
2 b. City	2 c. State	2 d. Zip code/Postal code	2 e. County/Province
2 f. Country			

D. All applicants MUST complete	
1	Attach check or money order payable to the U.S. FISH AND WILDLIFE SERVICE in the amount indicated on pages 6 and 7. Federal, tribal, State, and local government agencies, and those acting on behalf of such agencies, are exempt from the processing fee - attach documentation of fee exempt status as outlined in instructions. (50 CFR 13.11(d))
2	Do you currently have or have you ever had any Federal Fish and Wildlife permits? Yes <input checked="" type="checkbox"/> If yes, list the number of the most current permit you have held or that you are applying to renew/re-issue: attached 12US88593A/9 No <input type="checkbox"/>
3	Certification: I hereby certify that I have read and am familiar with the regulations contained in Title 50, Part 13 of the Code of Federal Regulations and the other applicable parts in subchapter B of Chapter 1 of Title 50, and I certify that the information submitted in this application for a permit is complete and accurate to the best of my knowledge and belief. I understand that any false statement herein may subject me to the criminal penalties of 18 U.S.C. 1001. (b)(6) Signature (in blue ink) of applicant/person responsible for permit (No photocopied or stamped signatures) Date of signature (mm/dd/yyyy) 3-22-2013

E. REISSUANCE, RENEWAL, OR AMENDMENT OF A PERMIT (For this application, all permits, registrations, and certificates are referred to as a permit.)

NOTE 1: Applications must be submitted to the office that issued the initial permit. This form cannot be used for lost or damaged permit. Lost or damaged permit must use form 3-200-66.

NOTE 2: If you are renewing your Designated Port Exemption permit, use form 3-200-2 (<http://www.fws.gov/forms/3-200-2.pdf>). If you are renewing your Import/Export license (required for commercial activities), use form 3-200-3 (<http://www.fws.gov/forms/3-200-3.pdf>) and submit to appropriate Office of Law Enforcement address.

NOTE 3: If you are renewing or amending a master file for multiple shipments or a COSE, use the appropriate form for proposed activity (form 3-200-29 for samples; 3-200-30 for circus/traveling exhibits; 3-200-33 for Artificially Propagated Plants; 3-200-39 for COSEs) (<http://www.fws.gov/forms/display.cfm?number1=200>).

1. **Permit number.** Enter the permit number to be reissued/renewed 12US88593A. The original permit **must** be submitted with this application. 19
2. **Past activities.**
 - a. Provide copies of all cleared documents and form 3-177 (FWS declaration of wildlife) associated with this permit.
 - b. Provide a summary detailing activities conducted under this permit, as well as a brief statement of why you are seeking reissuance/renewal.
3. **Annual Report.** If required by your permit, provide an annual report as conditioned. (Disregard if you have already submitted your annual report.)
4. **Captive-Bred Wildlife Registration (CBW):** If the location of activities has changed, attach a description and photographs or diagrams (no blueprints) of the current facilities. If a change in personnel (e.g., principal officer, curator, and primary animal care staff) has occurred, provide a brief description of their expertise in caring/handling of the species.
5. **Sport-hunted trophies:** If you did not hunt during the hunting season stated in your original application, you are not eligible for a renewal. Please submit a new application form.

6. **Certification.** Complete one of the statements below and supply any additional documentation requested:

a. For **NO CHANGES** to original application:

I certify that the information submitted in support of my original application for the permit indicated above has not changed and is still currently correct. I hereby request reissuance or renewal of this permit.

Permittee's signature: _____ Date: _____

b. For **CHANGES** to original application:

I certify that the information submitted in support of my original application for the permit indicated above is still currently correct EXCEPT for the changes noted on the attached, signed page(s). I hereby request re-issuance or renewal of this permit with the indicated changes.

[On an attached page(s), provide a complete description of any changes (e.g., change in principal officer, personnel, address, location of activities, port location.) Please sign each attached page. Also, please note that we may ask you to submit additional information.]

Permittee's signature: (b) (6) Date: 3-23-2013

7. Address where you wish permit mailed (if different than page 1): Same address

8. If you wish the permit to be sent to you by means other than regular mail, provide an air bill, pre-paid envelope, or billing information: n/a

9. Who should we contact if we have questions about the application? (Include name, phone number, and email):

Catherine Hammond Rondeau

(b)(6)

Catherine Rondeau

(b)(6)

March 23, 2013

RE: CITES permit # 12US88593A/9

Dear Sir or Madam,

Could you please reissue the attached CITES permit? I shot an elephant during the 2012 hunting season, and I am currently making arrangements to have it shipped from the hunting grounds to the United States.

I would like to change the consignee in box 4 to read:

Gerard Pasanisi Safari
PO Box 21880
Dar Es Salaam
Tanzania

I have enclosed the \$50 fee.

If you have any questions, please, please call or email me.

Thank you very much,

(b)(6)

Catherine Rondeau



CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES OF WILD FAUNA AND FLORA

IMPORT PERMIT

Original Permit/Certificate No. 12U888593A/9

Valid until 10/31/2013

3. Permittee (name and address, country)

GATHERINE HAMMOND RONDEAU

(b)(6) (b)(6) LEONARD M. U.S.A.

4. Consignee (name and address, country)

TANGYANIKA WILDLIFE SAFARI UHURU STREET, PLOT 38 NEAR BUGURUNI POLICE POST, P.O. BOX 21880 BUGURUNI KISWANI DAR ES SALAAM TANZANIA

5. Special Conditions

MUST COMPLY WITH ATTACHED GENERAL PERMIT CONDITIONS. SPECIMEN(S) MAY NOT BE SOLD OR TRANSFERRED FOR ANY FINANCIAL REMUNERATION. SEE ATTACHED PAGE 2 FOR ADDITIONAL CONDITIONS.

5a. Purpose of Transaction

H

6. U.S. Management Authority

U.S. FISH AND WILDLIFE SERVICE DIVISION OF MANAGEMENT AUTHORITY 4401 N. FAIRFAX DRIVE ROOM 212 ARLINGTON, VA 22203-3247

[Signature] Management Authority

11/01/2012

Issuing Date

United States Management Authority

AUTHORITY: Endangered Species Act of 1973 (16 USC 1531 et. seq.)

May not be used for commercial purposes. For live animals, only valid if the transport conditions comply with the CITES Guidelines for Transport of Live Animals or, in the case of air transport, with IATA Live Animals Regulations.

7/a. Common Name and Scientific name (genus and species) of Animal or Plant

8. Description of Part or Derivative, including identifying marks or numbers (age/sex if live)

10. Appendix No. and Source

A. Common Name

AFRICAN ELEPHANT

Scientific Name

LOXODONTA AFRICANA

9. IMPORT IMPORT PERSONAL SPORT HUNTED TROPHY (shipment may contain bones, hair, hide, tusks, teeth, or any taxidermied part. DOES NOT include worked, manufactured, or handicraft items such as curios, jewelry, or other utilitarian items.)

10. W

11. Quantity (including units) NO

12. Country of Origin TANZANIA

B. Common Name

LEOPARD

Scientific Name

PANTHERA PARDUS

9. IMPORT PERSONAL SPORT HUNTED TROPHY (shipment may contain bones, claws, hide, skull, teeth, or any taxidermied part. DOES NOT include worked, manufactured, or handicraft items such as curios, jewelry, or other utilitarian items.)

10. W

11. Quantity (including units) NO

12. Country of Origin TANZANIA

C. Common Name

[Redacted]

Scientific Name

[Redacted]

9. [Redacted]

10. [Redacted]

11. Quantity (including units) [Redacted]

12. Country of Origin [Redacted]

D. Common Name

[Redacted]

Scientific Name

[Redacted]

9. [Redacted]

10. [Redacted]

11. Quantity (including units) [Redacted]

12. Country of Origin [Redacted]

E. Common Name

[Redacted]

Scientific Name

[Redacted]

9. [Redacted]

10. [Redacted]

11. Quantity (including units) [Redacted]

12. Country of Origin [Redacted]

(b)(6)

Date 3-22-13

(b)(6)

Pay to the order of US Fish & Wildlife \$ 50.00

Fifty 00/100 Dollars

Flagstar Bank

MEMO

amendment

SIG

(b)(6)

(b)(6)

11:02:52 AM (GMT-07:00) MAR 29 2013



**CONVENTION ON
INTERNATIONAL TRADE IN
ENDANGERED SPECIES OF
WILD FAUNA AND FLORA**

**IMPORT
PERMIT**

Page 1 of 1
1. Original Permit/Certificate No.
13US88593A/9
2. Valid
10/31/2013

3. Permittee (name and address, country)

CATHERINE HAMMOND RONDEAU
(b)(6)
LEONARD, MI (b)(6)
U.S.A.

4. Consignee (name and address, country)

GERARD PASANISI SAFARI
P.O. BOX 21880
DAR ES SALAAM
TANZANIA

5. Special Conditions

MUST COMPLY WITH ATTACHED GENERAL PERMIT CONDITIONS.

SPECIMEN(S) MAY NOT BE SOLD OR TRANSFERRED FOR ANY FINANCIAL REMUNERATION.

SEE ATTACHED PAGE 2 FOR ADDITIONAL CONDITIONS.

THIS AMENDS AND REPLACES 12US88593A/9 ISSUED 11/01/2012.

5a. Purpose of Transaction

H

6. U.S. Management Authority

U.S. FISH AND WILDLIFE SERVICE
DIVISION OF MANAGEMENT AUTHORITY
4401 N. FAIRFAX DRIVE
ROOM 212
ARLINGTON, VA 22203-3247

page 2?

May not be used for commercial purposes. For live animals, only valid if the transport conditions comply with the CITES Guidelines for Transport of Live Animals or, in the case of air transport, with IATA Live Animals Regulations.

09/12/2013

Issuing Date

**U.S. CITES
Management Authority**

United States Management Authority

AUTHORITY: Endangered Species Act of 1973 (16 USC 1531 et. seq.)

7/B. Common Name and Scientific name (genus and species) of Animal or Plant	9. Description of Part or Derivative, including identifying marks or numbers (age/sex if live)	10. Appendix No. and Source
<p>A. Common Name AFRICAN ELEPHANT</p> <p>Scientific Name LOXODONTA AFRICANA</p>	<p>9. IMPORT IMPORT PERSONAL SPORT HUNTED TROPHY (shipment may contain bones, hair, hide, tusks, teeth, or any taxidermied part. DOES NOT include worked, manufactured, or handicraft items such as curios, jewelry, or other utilitarian items.)</p>	<p>10. 1 W</p> <p>11. Quantity (including units) 1 NO</p> <p>12. Country of Origin TANZANIA</p>
<p>B. Common Name LEOPARD</p> <p>Scientific Name PANTHERA PARDUS</p>	<p>9. IMPORT PERSONAL SPORT HUNTED TROPHY (shipment may contain bones, claws, hide, skull, teeth, or any taxidermied part. DOES NOT include worked, manufactured, or handicraft items such as curios, jewelry, or other utilitarian items.)</p>	<p>10. 1 W</p> <p>11. Quantity (including units) 1 NO</p> <p>12. Country of Origin TANZANIA</p>
<p>C. Common Name [REDACTED]</p> <p>Scientific Name [REDACTED]</p>	<p>9. [REDACTED]</p>	<p>10. [REDACTED]</p> <p>11. Quantity (including units) [REDACTED]</p> <p>12. Country of Origin [REDACTED]</p>
<p>D. Common Name [REDACTED]</p> <p>Scientific Name [REDACTED]</p>	<p>9. [REDACTED]</p>	<p>10. [REDACTED]</p> <p>11. Quantity (including units) [REDACTED]</p> <p>12. Country of Origin [REDACTED]</p>
<p>E. Common Name [REDACTED]</p> <p>Scientific Name [REDACTED]</p>	<p>9. [REDACTED]</p>	<p>10. [REDACTED]</p> <p>11. Quantity (including units) [REDACTED]</p> <p>12. Country of Origin [REDACTED]</p>



**CONVENTION ON
INTERNATIONAL TRADE IN
ENDANGERED SPECIES OF
WILD FAUNA AND FLORA**

**IMPORT
PERMIT**

Page 1 of 2
1. Original Permit/Certificate No.
12US88593A/9
2. Valid until
10/31/2013

3 Permittee (name and address, country)
CATHERINE HAMMOND RONDEAU
(b) (6)
LEONARD, MI (b) (6)
U.S.A.

4. Consignee (name and address, country)
TANGYANIKA WILDLIFE SAFARI
UHURU STREET, PLOT 38
NEAR BUGURUNI POLICE POST, P.O. BOX 21880
BUGURUNI KISIWANI
DAR ES SALAAM
TANZANIA

5. Special Conditions
MUST COMPLY WITH ATTACHED GENERAL PERMIT CONDITIONS.

SPECIMEN(S) MAY NOT BE SOLD OR TRANSFERRED FOR ANY FINANCIAL REMUNERATION

SEE ATTACHED PAGE 2 FOR ADDITIONAL CONDITIONS.

5a Purpose of Transaction
H

6. U.S. Management Authority
U.S. FISH AND WILDLIFE SERVICE
DIVISION OF MANAGEMENT AUTHORITY
4401 N. FAIRFAX DRIVE
ROOM 212
ARLINGTON, VA 22203-3247

-May not be used for commercial purposes. For live animals, only valid if the transport conditions comply with the CITES Guidelines for Transport of Live Animals or, in the case of air transport, with IATA Live Animals Regulations

11/01/2012
Issuing Date
U.S. CITES
Management Authority
United States Management Authority
AUTHORITY: Endangered Species Act of 1973 (16 USC 1531 et. seq.)

7/8 Common Name and Scientific name (genus and species) of Animal or Plant	9. Description of Part or Derivative, including identifying marks or numbers (age/sex if live)	10 Appendix No. and Source
A. Common Name AFRICAN ELEPHANT Scientific Name LOXODONTA AFRICANA	9. IMPORT IMPORT PERSONAL SPORT HUNTED TROPHY (shipment may contain bones, hair, hide, tusks, teeth, or any taxidermed part. DOES NOT include worked, manufactured, or handicraft items such as curios, jewelry, or other utilitarian items.)	10. 1 W 11. Quantity (including units) 1 NO 12. Country of Origin TANZANIA
B. Common Name LEOPARD Scientific Name PANTHERA PARDUS	9. IMPORT PERSONAL SPORT HUNTED TROPHY (shipment may contain bones, claws, hide, skull, teeth, or any taxidermed part. DOES NOT include worked, manufactured, or handicraft items such as curios, jewelry, or other utilitarian items.)	10. 1 W 11. Quantity (including units) 1 NO 12. Country of Origin TANZANIA
C. Common Name [Redacted] Scientific Name [Redacted]	9. [Redacted]	10. [Redacted] 11. Quantity (including units) [Redacted] 12. Country of Origin [Redacted]
D. Common Name [Redacted] Scientific Name [Redacted]	9. [Redacted]	10. [Redacted] 11. Quantity (including units) [Redacted] 12. Country of Origin [Redacted]
E. Common Name [Redacted] Scientific Name [Redacted]	9. [Redacted]	10. [Redacted] 11. Quantity (including units) [Redacted] 12. Country of Origin [Redacted]

12US88593A/9

SPECIAL PERMIT CONDITIONS

for TANZANIAN LEOPARD AND ELEPHANT TROPHY IMPORT

MUST IMPORT THROUGH A DESIGNATED PORT AS LISTED IN CONDITION 10 OF GENERAL PERMIT CONDITIONS.

U.S. THREATENED SPECIES: TUSKS MUST BE MARKED AS PER [50 CFR 17.40(e)]. IN ACCORDANCE WITH THE AFRICAN ELEPHANT CONSERVATION ACT, RAW IVORY, INCLUDING SPORT-HUNTED TROPHIES THAT ARE WHOLLY OR PARTIALLY IVORY, MAY NOT BE RE-EXPORTED FROM THE U.S. ELEPHANT MUST NOT HAVE BEEN TAKEN FROM ANY MORATORIUM AREA INCLUDING LONGIDO CONTROLLED HUNTING AREA (CHA).

U.S. THREATENED SPECIES [50 CFR 17.40 (f)]. PERMITTEE MAY ONLY IMPORT TWO LEOPARD TROPHIES PER CALENDAR YEAR. EACH LEOPARD SKIN MUST HAVE A SELF-LOCKING TAG ATTACHED TO IT WHICH INDICATES THE STATE OF EXPORT, THE NUMBER OF THE SPECIMEN IN RELATION TO THE ANNUAL QUOTA, AND THE CALENDAR YEAR TO WHICH THE QUOTA APPLIES. THE EXPORT PERMIT (OR RE-EXPORT CERTIFICATE) MUST CONTAIN THE TAGGING INFORMATION AS OUTLINED ABOVE.

TROPHY MUST HAVE BEEN TAKEN DURING 2012 HUNTING SEASON.

11/01/2012
Date


J.S. OITES
Management Authority
Chief, Branch of Permits
Division of Management Authority

371236



8/16/13
clm

Nichole Shaunta <shaunta_nichols@fws.gov>

CITES permit

cathy rondeau (b) (6)

Tue, Aug 13, 2013 at 4:54 PM

To: "shaunta_nichols@fws.gov" <shaunta_nichols@fws.gov>, (b) (6)
(b) (6)

Hi Shaunta,

How are you? I am hoping you can help me out.

I received a CITES permit (No. 88593A) many months ago. In March, I sent an application to have it changed because a name was wrong on it. On April 1, I received a letter stating that you had received my application. On April 12, I received a request to turn in the original permit. This was surprising as nowhere on the website or in the instructions did it say I needed to turn in the original permit. I sent it in on April 13.

I called 800-358-2104 today to find out about the revised permit and was told that it has been abandoned. I checked with the nice man to see if my email, work address, and phone numbers are correct in your system, and they are. So, I was surprised to hear that my permit was abandoned with no further communication.

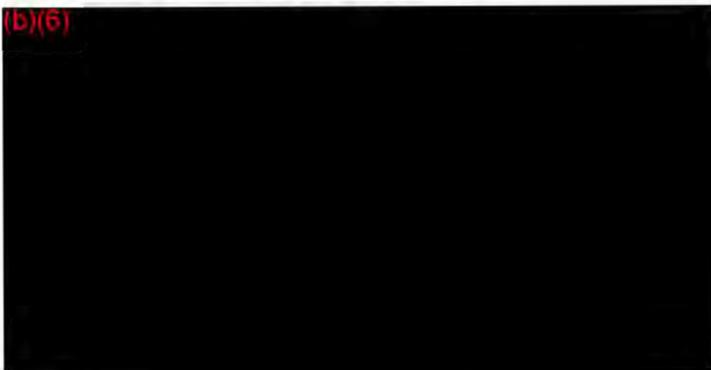
I left a message on Ailteas's voice mail, and I have not heard back from her.

Could you please help me get my revised permit? I really don't have the money to pay again, when I have already paid twice.

Thank you very much,

Cathy Hammond Rondeau

(b) (6)



RCVD JUL 17 2014

7-4-14

DEAR SIR OR Madame,

I am seeking to replace the attached CITES permit for the elephant I shot in 2012 because it is expired.

I had to replace this permit once already because the consignee's name did not match exactly on the CITES & the Tanzania export permit. By the time I got the attached replacement, all the other paperwork had expired.

Neither the first permit nor this one were used in any way. The elephant tusks etc are still in storage in Tanzania.

Thank you,

(b) (6)

RCVD JUL 17 2014

LB



Department of the Interior
U.S. Fish and Wildlife Service

OMB No. 1018-0093
Expires 05/31/2017

Federal Fish and Wildlife Permit Application Form

Return to: U.S. Fish and Wildlife Service
(Enter address from pages 4 and 5 of application)

Type of Activity:
REISSUANCE, RENEWAL, OR AMENDMENT OF A PERMIT
(For this application, all permits, registrations, and certificates are referred to as a permit.)

Complete Sections A or B, C, D, and E of this application. U.S. address may be required in Section C, see instructions for details.
See attached instruction pages for information on how to make your application complete and help avoid unnecessary delays.

A. Complete if applying as an individual			
1. a. Last name Rondeau	1. b. First name Catherine	1. c. Middle name or initial Hammond	1. d. Suffix Mrs.
2. Date of birth (mm/dd/yyyy) (b) (6)	3. Social Security No. (b) (6)	4. Occupation (b) (6)	5. Affiliation/ Doing business as (see instructions)
6. a. Telephone number (b) (6)	6. b. Alternate telephone number	6. c. Fax number	6. d. E-mail address

B. Complete if applying on behalf of a business, corporation, public agency, Tribe, or institution			
1. a. Name of business, agency, Tribe, or institution N/A		1. b. Doing business as (dba)	
2. Tax identification no.		3. Description of business, agency, Tribe, or institution	
4. a. Principal officer Last name	4. b. Principal officer First name	4. c. Principal officer Middle name/ initial	4. d. Suffix
5. Principal officer title		6. Primary contact name	
7. a. Business telephone number	7. b. Alternate telephone number	7. c. Business fax number	7. d. Business e-mail address

C. All applicants complete address information			
1. a. Physical address (Street address, Apartment #, Suite #, or Room #, no P.O. Boxes) (b) (6)			
1. b. City Leonard	1. c. State MI	1. d. Zip code/Postal code (b) (6)	1. e. County/Province (b) (6)
1. f. Country USA			
2. a. Mailing Address (include if different than physical address; include name of contact person if applicable) (b) (6)			
2. b. City Oakland	2. c. State MI	2. d. Zip code/Postal code (b) (6)	2. e. County/Province (b) (6)
2. f. Country USA			

D. All applicants MUST complete	
1. Attach check or money order payable to the U.S. FISH AND WILDLIFE SERVICE in the amount indicated on pages 6 and 7. Federal, Tribal, State, and local government agencies, and those acting on behalf of such agencies, are exempt from the processing fee - attach documentation of fee exempt status as outlined in instructions. (50 CFR 13.11(d))	
2. Do you currently have or have you ever had any Federal Fish and Wildlife permits? Yes <input checked="" type="checkbox"/> If yes, list the number of the most current permit you have held or that you are applying to renew/re-issue: 12US88593A/9	No <input type="checkbox"/>
3. Certification. I hereby certify that I have read and am familiar with the regulations contained in Title 50, Part 13 of the Code of Federal Regulations and the other applicable laws in subchapter B of Chapter 1 of Title 50, and I certify that the information submitted in this application for a permit is complete and accurate to the best of my knowledge and belief. I understand that any false information submitted in this application is subject to the criminal penalties of 18 U.S.C. 1001. (b) (6)	
Signature (in blue ink) of applicant/person responsible for permit (No photocopied or stamped signatures)	Date of signature (mm/dd/yyyy) 7-4-2014

E. REISSUANCE, RENEWAL, OR AMENDMENT OF A PERMIT (For this application, all permits, registrations, and certificates are referred to as a permit.)

NOTE 1: If you are renewing your Designated Port Exemption permit, use form 3-200-2 (<http://www.fws.gov/forms/3-200-2.pdf>) and submit to appropriate Office of Law Enforcement address. If you are renewing your Import/Export license (required for commercial activities), use form 3-200-3 (<http://www.fws.gov/forms/3-200-3.pdf>) and submit to appropriate Office of Law Enforcement address.

NOTE 2: This form **cannot** be used for lost or damaged permit. If you need to replace a lost or damaged permit, please use form 3-200-66, <http://www.fws.gov/international/pdf/permit-application-form-3-200-66-replacement-document.pdf>. The application **must** be submitted to the office that issued the initial permit. Lost or damaged permit

NOTE 3: Some activities, such as all master files for multiple shipments, Certificate of Scientific Exchange (COSE), circus/traveling exhibits, and artificially propagated plants, can only be re-issued, renewed, or amended by submitting a new application for permits for those activities. Please refer to <http://www.fws.gov/international/permits/by-form-number/index.html> to determine if another application form would be more appropriate or contact the Division of Management Authority for more information.

13US88593A/9

1. **Permit number.** Enter the permit number to be reissued/renewed/amend _____.
2. **Submit the original permit with this application.** ✓
3. **Past activities.**
 - a. Provide copies of all cleared documents and form 3-177 (FWS declaration of wildlife) associated with this permit.
 - b. Provide a summary detailing activities conducted under this permit, as well as a brief statement of why you are seeking reissuance/renewal. ✓
4. **Annual Report.** If required by your permit, provide an annual report as conditioned (Please disregard if you have already submitted your annual report.)
5. **Sport-hunted trophies:** If you did not hunt during the hunting season stated in your original application, you are not eligible for a renewal. Please submit a new application form.

6. **Certification** - Complete one of the statements below and supply any additional documentation requested: (original signature is required)

a. For **NO CHANGES** to original application:

I certify that the information submitted in support of my original application for the permit indicated above has not changed and is still currently correct. I hereby request reissuance or renewal of this permit.

Permittee's signature: _____

(b) (6)

Date: _____

7-4-14

b. For **CHANGES** to original application:

On an attached page(s), provide a complete description of any changes (e.g., change in principal officer, personnel, address, location of activities, types of activities). Please sign each attached page. Also note that we need to request additional information regarding the changes after reviewing your initial request.

I certify that the information submitted in support of my original application for the permit indicated above is still currently correct EXCEPT for the changes noted on the attached, signed page(s). I hereby request re-issuance or renewal of this permit with the indicated changes.

Permittee's signature: _____

Date: _____

Please note new mailing address.

7. All international shipment(s) must be through a designated port, unless otherwise authorized. A list of designated ports (where an inspector is posted) is available from <http://www.fws.gov/le/designated-ports.html>. If you wish to use a port not listed, please contact either the Office of Law Enforcement for a Designated Port Exemption Permit (form 3-200-2) or the Division of Management Authority.

8. Name and address where you wish the permit to be mailed, if different from page 1 (All permits will be mailed via the U.S. Postal Service, unless you identify an alternative means below):

N/A

9. If you wish the permit to be delivered by means other than USPS regular mail, provide an air bill, pre-paid envelope, or billing information. If you do not have a pre-paid envelope or air bill and wish to pay for a courier service with your credit card, please check the box below. Please DO NOT include credit card number or other information; you will be contacted for this information.

If a permit is issued, please send it via a courier service to the address on page 1 or question 8. I understand that you will contact me for my credit card information once the application has been processed.

11. Who should we contact if we have questions about the application? (Include name, phone number, and email):

Catherine Hammond Bondeau

(b) (6)

12. **Disqualification Factor.** A conviction, or entry of a plea of guilty or nolo contendere, for a felony violation of the Lacey Act, the Migratory Bird Treaty Act, or the Bald and Golden Eagle Protection Act disqualifies any such person from receiving or exercising the privileges of a permit, unless such disqualification has been expressly waived by the Service Director in response to a written petition. [50 CFR 13.21(c)]. Have you or any of the owners of the business, if applying as a business, been convicted, or entered a plea of guilty or nolo contendere, forfeited collateral, or are currently under charges for any violations of the laws mentioned above?

Yes No If you answered "Yes" provide: a) the individual's name, b) date of charge, c) charge(s), d) location of incident, e) court, and f) action taken for each violation.

(b) (6)





CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES OF WILD FAUNA AND FLORA

IMPORT PERMIT

1. Original Permit/Certificate No. 13US88593A/9
2. Valid 10/31/2013

3. Permittee (name and address, country)

CATHERINE HAMMOND RONDEAU
(b)(6)
LEONARD, MI (b)(6)
U.S.A.

4. Consignee (name and address, country)

GERARD PASANISI SAFARI
P.O. BOX 21880
DAR ES SALAAM
TANZANIA

5. Special Conditions

MUST COMPLY WITH ATTACHED GENERAL PERMIT CONDITIONS.
SPECIMEN(S) MAY NOT BE SOLD OR TRANSFERRED FOR ANY FINANCIAL REMUNERATION
SEE ATTACHED PAGE 2 FOR ADDITIONAL CONDITIONS.
THIS AMENDS AND REPLACES 12US88593A/9 ISSUED 11/01/2012.

5a. Purpose of Transaction

H

6. U.S. Management Authority

U.S. FISH AND WILDLIFE SERVICE
DIVISION OF MANAGEMENT AUTHORITY
4401 N. FAIRFAX DRIVE
ROOM 212
ARLINGTON, VA 22203-3247

May not be used for commercial purposes. For live animals, only valid if the transport conditions comply with the CITES Guidelines for Transport of Live Animals or, in the case of air transport, with IATA Live Animals Regulations.

09/12/2013

Issuing Date

United States Management Authority

AUTHORITY: Endangered Species Act of 1973 (16 USC 1531 et. seq.)

U.S. CITES Management Authority (handwritten signature)

7/B. Common Name and Scientific name (genus and species) of Animal or Plant

9. Description of Part or Derivative, including identifying marks or numbers (age/sex if live)

10. Appendix No. and Source

A. Common Name

AFRICAN ELEPHANT

Scientific Name

LOXODONTA AFRICANA

9. IMPORT IMPORT PERSONAL SPORT HUNTED TROPHY (shipment may contain bones, hair, hide, tusks, teeth, or any taxidermied part. DOES NOT include worked, manufactured, or handicraft items such as curios, jewelry, or other utilitarian items.)

10. 1 W

11. Quantity (including units) 1 NO

12. Country of Origin TANZANIA

B. Common Name

LEOPARD

Scientific Name

PANTHERA PARDUS

9. IMPORT PERSONAL SPORT HUNTED TROPHY (shipment may contain bones, claws, hide, skull, teeth, or any taxidermied part. DOES NOT include worked, manufactured, or handicraft items such as curios, jewelry, or other utilitarian items.)

10. 1 W

11. Quantity (including units) 1 NO

12. Country of Origin TANZANIA

C. Common Name

Scientific Name

9. [Redacted]

10. [Redacted]

11. Quantity (including units) [Redacted]

12. Country of Origin [Redacted]

D. Common Name

Scientific Name

9. [Redacted]

10. [Redacted]

11. Quantity (including units) [Redacted]

12. Country of Origin [Redacted]

E. Common Name

Scientific Name

9. [Redacted]

10. [Redacted]

11. Quantity (including units) [Redacted]

12. Country of Origin [Redacted]

513437

13US88593A/9

SPECIAL PERMIT CONDITIONS

for TANZANIAN LEOPARD AND ELEPHANT TROPHY IMPORT

MUST IMPORT THROUGH A DESIGNATED PORT AS LISTED IN CONDITION 10 OF GENERAL PERMIT CONDITIONS.

U.S. THREATENED SPECIES: TUSKS MUST BE MARKED AS PER [50 CFR 17.40(e)]. IN ACCORDANCE WITH THE AFRICAN ELEPHANT CONSERVATION ACT, RAW IVORY, INCLUDING SPORT-HUNTED TROPHIES THAT ARE WHOLLY OR PARTIALLY IVORY, MAY NOT BE RE-EXPORTED FROM THE U.S. ELEPHANT MUST NOT HAVE BEEN TAKEN FROM ANY MORATORIUM AREA INCLUDING LONGIDO CONTROLLED HUNTING AREA (CHA).

U.S. THREATENED SPECIES [50 CFR 17.40 (f)]. PERMITTEE MAY ONLY IMPORT TWO LEOPARD TROPHIES PER CALENDAR YEAR. EACH LEOPARD SKIN MUST HAVE A SELF-LOCKING TAG ATTACHED TO IT WHICH INDICATES THE STATE OF EXPORT, THE NUMBER OF THE SPECIMEN IN RELATION TO THE ANNUAL QUOTA, AND THE CALENDAR YEAR TO WHICH THE QUOTA APPLIES. THE EXPORT PERMIT (OR RE-EXPORT CERTIFICATE) MUST CONTAIN THE TAGGING INFORMATION AS OUTLINED ABOVE.

TROPHY MUST HAVE BEEN TAKEN DURING 2012 HUNTING SEASON.

09/12/2013

Date

**U.S. CITES
Management Authority**

Chief, Branch of Permits
Division of Management Authority

513416

RCVD JUL 17 2014

(b) (6)

Pay to the Order of US Fish + Wildlife Date 7-4-2014

One hundred 00/100 \$ 100.00

Flagstar Bank
Plymouth, MI 48060
1-800-64-VOICE

(b) (6)

(b) (6)

(b) (6)

RCVD JUL 17 2014

7-4-14

DEAR SIR OR MADAME,

I am seeking to replace the attached CITES permit for the elephant I shot in 2012 because it is expired.

I had to replace this permit once already because the consignee's name did not match exactly on the CITES & the Tanzania export permit. By the time I got the attached replacement, all the other paperwork had expired.

Neither the first permit nor this one were used in any way. The elephant tusks etc are still in storage in Tanzania.

Thank you,

(b) (6)

RCUD JUL 17 2014

LB



Department of the Interior
U.S. Fish and Wildlife Service

OMB No. 1018-0093
Expires 05/31/2017

Federal Fish and Wildlife Permit Application Form

Return to: U.S. Fish and Wildlife Service
(Enter address from pages 4 and 5 of application)

Type of Activity:
REISSUANCE, RENEWAL, OR AMENDMENT OF A PERMIT
(For this application, all permits, registrations, and certificates are referred to as a permit.)

Complete Sections A or B, C, D, and E of this application. U.S. address may be required in Section C, see instructions for details.
See attached instruction pages for information on how to make your application complete and help avoid unnecessary delays.

A. Complete if applying as an individual			
1.a. Last name Rondeau	1.b. First name Catherine	1.c. Middle name or initial Hammond	1.d. Suffix Mrs.
2. Date of birth (mm/dd/yyyy) (b)(6)		3. Social Security Number (b)(6)	
5. Affiliation/ Doing business as (see instructions)			
6.a. Telephone number (b)(6)	6.b. Alternate telephone number	6.c. Fax number	6.d. E-mail address

B. Complete if applying on behalf of a business, corporation, public agency, Tribe, or institution			
1.a. Name of business, agency, Tribe, or institution N/A		1.b. Doing business as (dba)	
2. Tax identification no.		3. Description of business, agency, Tribe, or institution	
4.a. Principal officer Last name	4.b. Principal officer First name	4.c. Principal officer Middle name/ initial	4.d. Suffix
5. Principal officer title		6. Primary contact name	
7.a. Business telephone number	7.b. Alternate telephone number	7.c. Business fax number	7.d. Business e-mail address

C. All applicants complete address information				
1.a. Physical address (Street address; Apartment #, Suite #, or Room #; no P.O. Boxes) (b)(6)				
1.b. City Leonard	1.c. State MI	1.d. Zip code/Postal code: (b)(6)	1.e. County/Province: (b)(6)	1.f. Country USA
2.a. Mailing Address (include if different than physical address; include name of contact person if applicable) (b)(6)				
2.b. City Oakland	2.c. State MI	2.d. Zip code/Postal code: (b)(6)	2.e. County/Province: (b)(6)	2.f. Country USA

D. All applicants MUST complete	
1. Attach check or money order payable to the U.S. FISH AND WILDLIFE SERVICE in the amount indicated on pages 6 and 7. Federal, Tribal, State, and local government agencies, and those acting on behalf of such agencies, are exempt from the processing fee -- attach documentation of fee exempt status as outlined in instructions. (50 CFR 13.11(d))	
2. Do you currently have or have you ever had any Federal Fish and Wildlife permits? Yes <input checked="" type="checkbox"/> If yes, list the number of the most current permit you have held or that you are applying to renew/re-issue: 12US88593A/9 No <input type="checkbox"/>	
3. Certification: I hereby certify that I have read and am familiar with the regulations contained in Title 50, Part 13 of the Code of Federal Regulations and the other applicable parts in subchapter B of Chapter I of Title 50, and I certify that the information submitted in this application for a permit is complete and accurate to the best of my knowledge and belief. I understand that any false statement is subject to the criminal penalties of 18 U.S.C. 1001. (b)(6)	
Signature (in blue ink) of applicant/person responsible for permit (No photocopied or stamped signatures)	Date of signature (mm/dd/yyyy) 7-4-2014

E. REISSUANCE, RENEWAL, OR AMENDMENT OF A PERMIT (For this application, all permits, registrations, and certificates are referred to as a permit.)

NOTE 1: If you are renewing your Designated Port Exemption permit, use form 3-200-2 (<http://www.fws.gov/forms/3-200-2.pdf>) and submit to appropriate Office of Law Enforcement address. If you are renewing your Import/Export license (required for commercial activities), use form 3-200-3 (<http://www.fws.gov/forms/3-200-3.pdf>) and submit to appropriate Office of Law Enforcement address.

NOTE 2: This form **cannot** be used for lost or damaged permit. If you need to replace a lost or damaged permit, please use form 3-200-66, <http://www.fws.gov/international/pdf/permit-application-form-3-200-66-replacement-document.pdf>. The application **must** be submitted to the office that issued the initial permit. Lost or damaged permit

NOTE 3: Some activities, such as all master files for multiple shipments, Certificate of Scientific Exchange (COSE), circus/traveling exhibits, and artificially propagated plants, can only be re-issued, renewed, or amended by submitting a new application for permits for those activities. Please refer to <http://www.fws.gov/international/permits/by-form-number/index.html> to determine if another application form would be more appropriate or contact the Division of Management Authority for more information.

13US88593A/9

1. **Permit number.** Enter the permit number to be reissued/renewed/amend _____.
2. Submit the original permit with this application. ✓
3. **Past activities.**
 - a. Provide copies of all cleared documents and form 3-177 (FWS declaration of wildlife) associated with this permit.
 - b. Provide a summary detailing activities conducted under this permit, as well as a brief statement of why you are seeking reissuance/renewal. ✓
4. **Annual Report.** If required by your permit, provide an annual report as conditioned (Please disregard if you have already submitted your annual report.)
5. **Sport-hunted trophies:** If you did not hunt during the hunting season stated in your original application, you are not eligible for a renewal. Please submit a new application form.

6. **Certification** - Complete one of the statements below and supply any additional documentation requested: (original signature is required)

a. For **NO CHANGES** to original application:

I certify that the information submitted in support of my original application for the permit indicated above has not changed and is still currently correct. I hereby request reissuance or renewal of this permit.

Permittee's signature: _____

(b) (6)

Date: _____

7-14-14

b. For **CHANGES** to original application:

On an attached page(s), provide a complete description of any changes (e.g., change in principal officer, personnel, address, location of activities, types of activities). Please sign each attached page. Also note that we need to request additional information regarding the changes after reviewing your initial request.

I certify that the information submitted in support of my original application for the permit indicated above is still currently correct EXCEPT for the changes noted on the attached, signed page(s). I hereby request re-issuance or renewal of this permit with the indicated changes.

Permittee's signature: _____

Date: _____

Please note new mailing address.

7. All international shipment(s) must be through a designated port, unless otherwise authorized. A list of designated ports (where an inspector is posted) is available from <http://www.fws.gov/le/designated-ports.html>. If you wish to use a port not listed, please contact either the Office of Law Enforcement for a Designated Port Exemption Permit (form 3-200-2) or the Division of Management Authority.

8. Name and address where you wish the permit to be mailed, if different from page 1 (All permits will be mailed via the U.S. Postal Service, unless you identify an alternative means below):

N/A

9. If you wish the permit to be delivered by means other than USPS regular mail, provide an air bill, pre-paid envelope, or billing information. If you do not have a pre-paid envelope or air bill and wish to pay for a courier service with your credit card, please check the box below. Please **DO NOT** include credit card number or other information; you will be contacted for this information.

If a permit is issued, please send it via a courier service to the address on page 1 or question 8. I understand that you will contact me for my credit card information once the application has been processed.

11. Who should we contact if we have questions about the application? (Include name, phone number, and email):

Catherine Hammond Rondeau

(b)(6)

12. **Disqualification Factor.** A conviction, or entry of a plea of guilty or nolo contendere, for a felony violation of the Lacey Act, the Migratory Bird Treaty Act, or the Bald and Golden Eagle Protection Act disqualifies any such person from receiving or exercising the privileges of a permit, unless such disqualification has been expressly waived by the Service Director in response to a written petition. [50 CFR 13.21(c)]. Have you or any of the owners of the business, if applying as a business, been convicted, or entered a plea of guilty or nolo contendere, forfeited collateral, or are currently under charges for any violations of the laws mentioned above?

Yes No If you answered "Yes" provide: a) the individual's name, b) date of charge, c) charge(s), d) location of incident, e) court, and f) action taken for each violation.

(b) (6)

A large black rectangular redaction box covers the response area for question 12. The text "(b) (6)" is printed in red above the top-left corner of the redaction.



CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES OF WILD FAUNA AND FLORA

IMPORT PERMIT

Page 1 of 2
 1. Original Permit/Certificate No. 13US88593A/9
 2. Valid 10/31/2013

3. Permittee (name and address, country)
 CATHERINE HAMMOND RONDEAU
 (b)(6)
 LEONARD, MI (b)(6)
 U.S.A.

4. Consignee (name and address, country)
 GERARD PASANISI SAFARI
 P.O. BOX 21850
 DAR ES SALAAM
 TANZANIA

5. Special Conditions
 MUST COMPLY WITH ATTACHED GENERAL PERMIT CONDITIONS.
 SPECIMEN(S) MAY NOT BE SOLD OR TRANSFERRED FOR ANY FINANCIAL REMUNERATION.
SEE ATTACHED PAGE 2 FOR ADDITIONAL CONDITIONS.
THIS AMENDS AND REPLACES 12US88593A/9 ISSUED 11/01/2012.

5a. Purpose of Transaction
 H
 6. U.S. Management Authority
 U.S. FISH AND WILDLIFE SERVICE
 DIVISION OF MANAGEMENT AUTHORITY
 4401 N. FAIRFAX DRIVE
 ROOM 212
 ARLINGTON, VA 22203-3247

-May not be used for commercial purposes. For live animals, only valid if the transport conditions comply with the CITES Guidelines for Transport of Live Animals or, in the case of air transport, with IATA Live Animals Regulations.

09/12/2013
 Issuing Date
U.S. CITES Management Authority
 United States Management Authority
 AUTHORITY: Endangered Species Act of 1973 (16 USC 1531 et. seq.)

7/8. Common Name and Scientific name (genus and species) of Animal or Plant	9. Description of Part or Derivative, including identifying marks or numbers (age/sex if live)	10. Appendix No. and Source
A. Common Name AFRICAN ELEPHANT Scientific Name LOXODONTA AFRICANA	9. IMPORT IMPORT PERSONAL SPORT HUNTED TROPHY (shipment may contain bones, hair, hide, tusks, teeth, or any taxidermied part. DOES NOT include worked, manufactured, or handicraft items such as curios, jewelry, or other utilitarian items.)	10. 1 W 11. Quantity (including units) 1 NO 12. Country of Origin TANZANIA
B. Common Name LEOPARD Scientific Name PANTHERA PARDUS	9. IMPORT PERSONAL SPORT HUNTED TROPHY (shipment may contain bones, claws, hide, skull, teeth, or any taxidermied part. DOES NOT include worked, manufactured, or handicraft items such as curios, jewelry, or other utilitarian items.)	10. 1 W 11. Quantity (including units) 1 NO 12. Country of Origin TANZANIA
C. Common Name [REDACTED] Scientific Name [REDACTED]	9. [REDACTED]	10. [REDACTED] 11. Quantity (including units) [REDACTED] 12. Country of Origin [REDACTED]
D. Common Name [REDACTED] Scientific Name [REDACTED]	9. [REDACTED]	10. [REDACTED] 11. Quantity (including units) [REDACTED] 12. Country of Origin [REDACTED]
E. Common Name [REDACTED] Scientific Name [REDACTED]	9. [REDACTED]	10. [REDACTED] 11. Quantity (including units) [REDACTED] 12. Country of Origin [REDACTED]

513437

SPECIAL PERMIT CONDITIONS

for TANZANIAN LEOPARD AND ELEPHANT TROPHY IMPORT

MUST IMPORT THROUGH A DESIGNATED PORT AS LISTED IN CONDITION 10 OF GENERAL PERMIT CONDITIONS.

U.S. THREATENED SPECIES: TUSKS MUST BE MARKED AS PER [50 CFR 17.40(e)]. IN ACCORDANCE WITH THE AFRICAN ELEPHANT CONSERVATION ACT, RAW IVORY, INCLUDING SPORT-HUNTED TROPHIES THAT ARE WHOLLY OR PARTIALLY IVORY, MAY NOT BE RE-EXPORTED FROM THE U.S. ELEPHANT MUST NOT HAVE BEEN TAKEN FROM ANY MORATORIUM AREA INCLUDING LONGIDO CONTROLLED HUNTING AREA (CHA).

U.S. THREATENED SPECIES [50 CFR 17.40 (f)]. PERMITTEE MAY ONLY IMPORT TWO LEOPARD TROPHIES PER CALENDAR YEAR. EACH LEOPARD SKIN MUST HAVE A SELF-LOCKING TAG ATTACHED TO IT WHICH INDICATES THE STATE OF EXPORT, THE NUMBER OF THE SPECIMEN IN RELATION TO THE ANNUAL QUOTA, AND THE CALENDAR YEAR TO WHICH THE QUOTA APPLIES. THE EXPORT PERMIT (OR RE-EXPORT CERTIFICATE) MUST CONTAIN THE TAGGING INFORMATION AS OUTLINED ABOVE.

TROPHY MUST HAVE BEEN TAKEN DURING 2012 HUNTING SEASON.

09/12/2013

Date

U.S. CITES
Management Authority



Chief, Branch of Permits
Division of Management Authority

RCVD JUL 17 2014

CASH

(b)(6)

(b)(6)

Pay to the Order of US Fish + Wildlife Date 7-4-2014

One hundred 00/100 \$ 100.00

Flagstar Bank
Flagstar, MI 48206
1-800-247-0028

(b)(6)

(b)(6)



8/16/13
L/m

Nichols, Shaunta <shaunta_nichols@fws.gov>

CITES permit

cathy rondeau (b)(6)

Tue, Aug 13, 2013 at 4:54 PM

To: "shaunta_nichols@fws.gov" <shaunta_nichols@fws.gov>, (b)(6)
(b)(6)

Hi Shaunta,

How are you? I am hoping you can help me out.

I received a CITES permit (No. 88593A) many months ago. In March, I sent an application to have it changed because a name was wrong on it. On April 1, I received a letter stating that you had received my application. On April 12, I received a request to turn in the original permit. This was surprising as nowhere on the website or in the instructions did it say I needed to turn in the original permit. I sent it in on April 13.

I called 800-358-2104 today to find out about the revised permit and was told that it has been abandoned. I checked with the nice man to see if my email, work address, and phone numbers are correct in your system, and they are. So, I was surprised to hear that my permit was abandoned with no further communication.

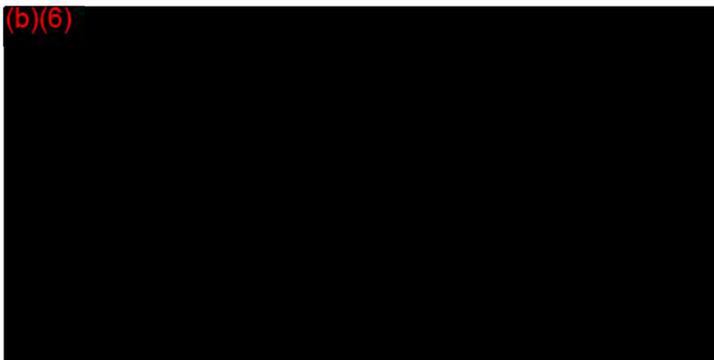
I left a message on Ailteas's voice mail, and I have not heard back from her.

Could you please help me get my revised permit? I really don't have the money to pay again, when I have already paid twice.

Thank you very much,

Cathy Hammond Rondeau

(b)(6)





United States Department of the Interior

FISH AND WILDLIFE SERVICE
Washington, D.C. 20240

FEE -4

MEMORANDUM

To: Chief, Division of Management Authority
From: Chief, Division of Scientific Authority *Robert P. Galicif*
Subject: General advice for permit renewals and amendments

This general advice supersedes the memorandum on "Scientific Authority review of permit amendments and renewals" issued by our office (then Office of Scientific Authority) on February 14, 1983.

The Division of Management Authority (DMA) receives a large number of requests for renewals and amendments of CITES import and export permits, as well as Certificates of Scientific Exchange (COSE), for which the Division of Scientific Authority (DSA) has previously issued non-detriment findings.

In the case of permits for which DMA has used a general advice from DSA, we have determined that no additional advice from DSA will be necessary provided that the renewal or amendment request still meets the conditions contained in the general advice.

In the case of permits for which DSA has issued permit-specific non-detriment findings, no additional advice from DSA will be needed provided that the renewal or amendment request involves activities affecting the species in question in a way that was contemplated in our original advice. However, additional DSA advice will be needed when:

- 1) there is a change in sender or recipient;
- 2) there is a change in purpose of the activity (e.g., from display to captive breeding purposes in the case of live animals; from research to commercial purposes in the case of tissue



Sport hunted trophy permit re-issuance application.....88593A

Braxton, Ailteas <ailteas_braxton@fws.gov>

Fri, Apr 12, 2013 at 12:40 PM

To: (b)(6)

Ms. Rondeau,

I am currently processing your application. Please forward the original copy of the permit to my attention as soon as possible.

I can not continue to process without this.

Thank you
Ailteas Braxton
Legal Examiner
U.S. Fish and Wildlife Services
Division of Management Authority
ailteas_braxton@fws.gov
703 358-1978 direct dial
703 358-2281 fax

If the information requested above is not received within 45 days, your application will be considered incomplete and will be administratively closed.



Department of the Interior
U.S. Fish and Wildlife Service

OMB No. 1018-0093
Expires 02/28/2014

Federal Fish and Wildlife Permit Application Form

Return to: U.S. Fish and Wildlife Service
(Enter address from pages 4 and 5 of application)

Type of Activity:
REISSUANCE, RENEWAL, OR AMENDMENT OF A PERMIT
(For this application, all permits, registrations, and certificates are referred to as a permit.)

Complete Sections A or B, C, D, and E of this application. U.S. address may be required in Section C, see instructions for details.
See attached instruction pages for information on how to make your application complete and help avoid unnecessary delays.

A. Complete if applying as an individual			
1 a. Last name Rondeau	1 b. First name Catherine	1 c. Middle name or initial Hammond	1 d. Suffix
2. (b)(6)	3. Social Security No. (b)(6)	4. Occupation teacher	5. Affiliation/ Doing business as (see instructions)
6 a. Telephone number (b)(6)	6 b. Alternate telephone number	6 c. Fax number (b)(6)	6 d. Fax number (b)(6)

B. Complete if applying on behalf of a business, corporation, public agency, tribe, or institution			
1 a. Name of business, agency, tribe, or institution		1 b. Doing business as (dba)	
2. Tax identification no.		3. Description of business, agency, tribe, or institution	
4 a. Principal officer Last name	4 b. Principal officer First name	4 c. Principal officer Middle name/ initial	4 d. Suffix
5. Principal officer title		6. Primary contact	
7 a. Business telephone number	7 b. Alternate telephone number	7 c. Business fax number	7 d. Business e-mail address

C. All applicants complete address information			
1 a. Physical address (Street address, Apartment #, Suite #, or Room #; no P.O. Boxes) (b)(6)			
1 b. City Leonard	1 c. State MI	1 d. Zip code/Postal code (b)(6)	1 e. Country USA
2 a. Mailing Address (include if different than physical address; include name of contact person if applicable) Same			
2 b. City	2 c. State	2 d. Zip code/Postal code	2 e. County/Province
2 f. Country			

D. All applicants MUST complete	
1	Attach check or money order payable to the U.S. FISH AND WILDLIFE SERVICE in the amount indicated on pages 6 and 7. Federal, tribal, State, and local government agencies, and those acting on behalf of such agencies, are exempt from the processing fee - attach documentation of fee exempt status as outlined in instructions. (50 CFR 13.11(d))
2	Do you currently have or have you ever had any Federal Fish and Wildlife permits? attached Yes <input checked="" type="checkbox"/> If yes, list the number of the most current permit you have held or that you are applying to renew/re-issue: 124588593A/9 No <input type="checkbox"/>
3	Certification: I hereby certify that I have read and am familiar with the regulations contained in Title 50, Part 13 of the Code of Federal Regulations and the other applicable parts in subchapter B of Chapter 1 of Title 50, and I certify that the information submitted in this application for a permit is complete and accurate to the best of my knowledge and belief. I understand that any false statement is subject to the criminal penalties of 18 U.S.C. 1001. (b)(6) Signature (in blue ink) of applicant/person responsible for permit (No photocopied or stamped signatures) 3-22-2013 Date of signature (mm/dd/yyyy)

E. REISSUANCE, RENEWAL, OR AMENDMENT OF A PERMIT (For this application, all permits, registrations, and certificates are referred to as a permit.)

NOTE 1: Applications **must** be submitted to the office that issued the initial permit. This form cannot be used for lost or damaged permit. Lost or damaged permit must use form 3-200-66.

NOTE 2: If you are renewing your Designated Port Exemption permit, use form 3-200-2 (<http://www.fws.gov/forms/3-200-2.pdf>). If you are renewing your Import/Export license (required for commercial activities), use form 3-200-3 (<http://www.fws.gov/forms/3-200-3.pdf>) and submit to appropriate Office of Law Enforcement address.

NOTE 3: If you are renewing or amending a master file for multiple shipments or a COSE, use the appropriate form for proposed activity (form 3-200-29 for samples; 3-200-30 for circus/traveling exhibits; 3-200-33 for Artificially Propagated Plants; 3-200-39 for COSEs) (<http://www.fws.gov/forms/display.cfm?number1=200>).

1. **Permit number.** Enter the permit number to be reissued/renewed 12US88593A/9. The original permit **must** be submitted with this application.
2. **Past activities.**
 - a. Provide copies of all cleared documents and form 3-177 (FWS declaration of wildlife) associated with this permit.
 - b. Provide a summary detailing activities conducted under this permit, as well as a brief statement of why you are seeking reissuance/renewal.
3. **Annual Report.** If required by your permit, provide an annual report as conditioned. (Disregard if you have already submitted your annual report.)
4. **Captive-Bred Wildlife Registration (CBW):** If the location of activities has changed, attach a description and photographs or diagrams (no blueprints) of the current facilities. If a change in personnel (e.g., principal officer, curator, and primary animal care staff) has occurred, provide a brief description of their expertise in caring/handling of the species.
5. **Sport-hunted trophies:** If you did not hunt during the hunting season stated in your original application, you are not eligible for a renewal. Please submit a new application form.

6. **Certification.** Complete one of the statements below and supply any additional documentation requested:

a. For **NO CHANGES** to original application:

I certify that the information submitted in support of my original application for the permit indicated above has not changed and is still currently correct. I hereby request reissuance or renewal of this permit.

Permittee's signature: _____ Date: _____

b. For **CHANGES** to original application:

I certify that the information submitted in support of my original application for the permit indicated above is still currently correct EXCEPT for the changes noted on the attached, signed page(s). I hereby request re-issuance or renewal of this permit with the indicated changes.

[On an attached page(s), provide a complete description of any changes (e.g., change in principal officer, personnel, address, location of activities, port location.) Please sign each attached page. Also, please note that we may ask you to submit additional information.]

Permittee's signature: (b) (6) _____ Date: 3-23-2013

7. Address where you wish permit mailed (if different than page 1): Same address

8. If you wish the permit to be sent to you by means other than regular mail, provide an air bill, pre-paid envelope, or billing information: n/a

9. Who should we contact if we have questions about the application? (Include name, phone number, and email):

Catherine Hammond Rondeau

(b)(6)

Catherine Rondeau

(b)(6)

Leonard, MI (b)(6)

(b)(6)

(b)(6)

March 23, 2013

RE: CITES permit # 12US88593A/9

Dear Sir or Madam,

Could you please reissue the attached CITES permit? I shot an elephant during the 2012 hunting season, and I am currently making arrangements to have it shipped from the hunting grounds to the United States.

I would like to change the consignee in box 4 to read:

Gerard Pasanisi Safari
PO Box 21880
Dar Es Salaam
Tanzania

I have enclosed the \$50 fee.

If you have any questions, please, please call or email me.

Thank you very much,

(b)(6)

Catherine Rondeau



**CONVENTION ON
INTERNATIONAL TRADE IN
ENDANGERED SPECIES OF
WILD FAUNA AND FLORA**

**IMPORT
PERMIT**

1. Original Permit/Certificate No.
12US88593A/9

2. Valid until
10/31/2013

3. Permittee (name and address, country)

CATHERINE HAMMOND RONDEAU
(b)(6)
LEONARD, MI (b)(6)
U.S.A.

4. Consignee (name and address, country)

TANGYANIKA WILDLIFE SAFARI
UHURU STREET, PLOT 38
NEAR BUGURUNI POLICE POST, P.O. BOX 21880
BUGURUNI KISIWANI
DAR ES SALAAM
TANZANIA

5. Special Conditions

MUST COMPLY WITH ATTACHED GENERAL PERMIT CONDITIONS.

SPECIMEN(S) MAY NOT BE SOLD OR TRANSFERRED FOR ANY FINANCIAL REMUNERATION.

SEE ATTACHED PAGE 2 FOR ADDITIONAL CONDITIONS.

5a. Purpose of Transaction

H

6. U.S. Management Authority

U.S. FISH AND WILDLIFE SERVICE
DIVISION OF MANAGEMENT AUTHORITY
4401 N. FAIRFAX DRIVE
ROOM 212
ARLINGTON, VA 22203-3247

May not be used for commercial purposes. For live animals, only valid if the transport conditions comply with the CITES Guidelines for Transport of Live Animals or, in the case of air transport, with IATA Live Animals Regulations.

11/01/2012

Issuing Date

Management Authority

United States Management Authority

AUTHORITY: Endangered Species Act of 1973 (16 USC 1531 et. seq.)

7/8. Common Name and Scientific name (genus and species) of Animal or Plant	9. Description of Part or Derivative, including identifying marks or numbers (age/sex if live)	10. Appendix No. and Source
<p>A. Common Name AFRICAN ELEPHANT</p> <p>Scientific Name LOXODONTA AFRICANA</p>	<p>9. IMPORT IMPORT PERSONAL SPORT HUNTED TROPHY (shipment may contain bones, hair, hide, tusks, teeth, or any taxidermied part. DOES NOT include worked, manufactured, or handcraft items such as curios, jewelry, or other utilitarian items.)</p>	<p>10. I W</p> <p>11. Quantity (including units) NO</p> <p>12. Country of Origin TANZANIA</p>
<p>B. Common Name LEOPARD</p> <p>Scientific Name PANTHERA PARDUS</p>	<p>9. IMPORT PERSONAL SPORT HUNTED TROPHY (shipment may contain bones, claws, hide, skull, teeth, or any taxidermied part. DOES NOT include worked, manufactured, or handcraft items such as curios, jewelry, or other utilitarian items.)</p>	<p>10. I W</p> <p>11. Quantity (including units) NO</p> <p>12. Country of Origin TANZANIA</p>
<p>C. Common Name [REDACTED]</p> <p>Scientific Name [REDACTED]</p>	<p>9. [REDACTED]</p>	<p>10. [REDACTED]</p> <p>11. Quantity (including units) [REDACTED]</p> <p>12. Country of Origin [REDACTED]</p>
<p>D. Common Name [REDACTED]</p> <p>Scientific Name [REDACTED]</p>	<p>9. [REDACTED]</p>	<p>10. [REDACTED]</p> <p>11. Quantity (including units) [REDACTED]</p> <p>12. Country of Origin [REDACTED]</p>
<p>E. Common Name [REDACTED]</p> <p>Scientific Name [REDACTED]</p>	<p>9. [REDACTED]</p>	<p>10. [REDACTED]</p> <p>11. Quantity (including units) [REDACTED]</p> <p>12. Country of Origin [REDACTED]</p>

(b)(6)
(b)(6)

Date 3-22-13

(b)(6)

Pay to the
order of

US Fish & Wildlife

\$ 50.00

Fifty 00/100

Dollars

Flagstar

MEMO

amendment

(b)(6)

SIGNATURE

(b)(6)



United States Department of the Interior

FISH AND WILDLIFE SERVICE
Washington, D.C. 20240

In Reply Refer To:
FWS/AIA/OMA

Memorandum

MAY 6 1997

To: The File

From: Chief, Branch of Permits

Subject: Enhancement Finding for African Elephants Taken as Sport-hunted Trophies in Tanzania

The African elephant (*Loxodonta africana*) is listed as threatened under the U.S. Endangered Species Act with a special rule at 50 CFR 17.40(e). The special rule sets forth requirements for the import of sport-hunted trophies, including marking requirements for ivory. Under paragraph 17.40(e)(3)(iii)(C), the Service must make a finding that the killing of the elephant whose trophy is intended for import would enhance the survival of the species.

Based on available information, the OMA believes that Tanzania is effectively conserving and managing the elephant population throughout the country and finds that the import of sport-hunted elephant trophies from Tanzania enhances the survival of the species. This finding applies to all African elephant trophies lawfully taken in Tanzania, provided they are imported by the person who hunted them for personal use.

Basis for Enhancement Finding:

Population Status: Aerial surveys of elephant populations have been undertaken by the Tanzania Wildlife Conservation Monitoring (TWCM) unit at two- to three-year intervals to monitor population trends, distribution, and recovery. Ground survey techniques have also been incorporated. They involve scientists and field staff undertaking routine monitoring duties during law enforcement patrols to determine population trends, distribution, and age and sex ratios of key populations.

Tanzania has the largest elephant population of any range state. In the early 1970's, the population was estimated at 365,000 elephants. By 1991, a population survey conducted by TWCM showed the population had dropped to approximately 57,334 elephants. This drop was primarily a result of extensive poaching which occurred during the 1970's and 1980's. A secondary factor was a reduction in habitat due to expanding human populations. The current estimates available indicate the population in Tanzania ranges from a low of 87,842 to a high of about 100,000 elephants.



United States Department of the Interior

ADDRESS ONLY THE DIRECTOR
FISH AND WILDLIFE SERVICE

FISH AND WILDLIFE SERVICE
WASHINGTON, D.C. 20240

JUN 10 1982

Memorandum

To: Chief, Federal Wildlife Permit Office
From: Chief, Office of the Scientific Authority
Subject: Importation of Leopard Trophies

The Scientific Authority advises the Management Authority that the importation of sport-hunted leopard (Panthera pardus) trophies taken in the following countries will be for purposes that are not detrimental to the survival of the species involved: Botswana, South Africa (Transvaal only), Tanzania, Zambia, and Zimbabwe. This advice applies to all leopard trophies lawfully taken in these countries on or after July 1, 1975, provided they are to be imported by the persons who hunted them for personal use or display.

Basis for Advice:

1. The factors that were considered in developing advice on leopard trophy imports are set forth in an attachment to our memorandum of March 25, 1982 (copy attached). Advice was developed in accordance with a resolution of the Parties on this subject (Conf. 2.11).
2. Each of the five countries mentioned above: (a) has laws under which the regulated sport-hunting of leopards is allowed, (b) limits the quantity, or spatial or seasonal distribution of the take of leopards, (c) bases these limits on the goal of managing leopards to sustain their populations, (d) maintains a licensing system for persons who take or process leopards (except in South Africa), and (e) implements a permitting system to regulate trade in accordance with CITES.
3. Our advice is based on a comprehensive review of the best available information on the status and management of the leopard in each of the 18 countries where it is classified as a Threatened species under the U.S. Endangered Species Act of 1973. We have information that sport-hunting of leopards is not permitted in 9 of these countries: Angola, Burundi, Gabon, Kenya, Lesotho, Malawi, Rwanda, Swaziland, and Uganda. We do not now have enough information to advise on Namibia. The available information indicates that it would not be appropriate to allow leopard trophy imports from Congo, Mozambique, or Zaire.
4. In the case of those countries not presently allowing the sport-hunting of leopards, we do not find it appropriate to advise in favor of importing specimens that were taken prior to a hunting ban. Circumstances that led to such bans were operating against the conservation of leopards in those countries. A detailed account of such circumstances in Kenya is provided by Patrick Hamilton's 1981 report to the Service on the status of the leopard and cheetah in that country.
5. We will continue to review information on the leopard, and may amend this advice as necessary. Please send us a copy of each permit issued under this advice, so that we can monitor the number of trophies imported from each country.

- 2 -

Richard L. Sachowski
for the Scientific Authority

Concur:

Richard M. Smith
Associate Director-Research

6/13/82
Date

Attachment



United States Department of the Interior

FISH AND WILDLIFE SERVICE
Washington, D.C. 20240



AUG 14 2012

MEMORANDUM

To: Chief, Division of Management Authority

From: Chief, Division of Scientific Authority *Rosemarie Graham*

Subject: General Advice on Importation of Sport-hunted Trophies of African Elephants from Tanzania for the Calendar Year 2012

This responds to your request for a finding as required under the Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES) on various applications for the importation of sport-hunted trophies of African elephants (*Loxodonta africana*) from the United Republic of Tanzania (Tanzania) for calendar year 2012.

Please be advised that, with the information currently available, we are able to find that the importation of sport-hunted trophies of African elephants from Tanzania will be for purposes that are not detrimental to the survival of the species. This General Advice applies only to sport-hunted trophies of African elephants that were lawfully taken in Tanzania during calendar year 2012 (i.e., January 1, 2012, through December 31, 2012), provided that they are to be imported by the persons who hunted them for personal use or personal display.

If new information becomes available during 2012 that suggests that this General Advice is no longer valid, it will be suspended and reconsidered by the Division of Scientific Authority. If, after reconsideration, the Division of Scientific Authority believes that the General Advice is no longer valid, we will issue a new General Advice or require that subsequent permit applications be considered on a case-by-case basis.

BASIS FOR ADVICE:

A significant amount of information relevant to this General Advice was made available at the Fifteenth Meeting of the CITES Conference of the Parties (CoP15), including Tanzania's proposal to transfer its population of African elephants from Appendix I to Appendix II (CoP15 Prop. 4 (Rev. 1)), in addition to the Report of the Panel of Experts on Tanzania's proposal (CoP15 Doc. 68, Annex 6a). Much of the information used in making the current finding was derived from these sources, as noted below.

In addition, since CoP15, a significant amount of new information relevant to this issue has become available, including:

**TAKE PRIDE
IN AMERICA** 

- Tanzania National Elephant Management Plan 2010-2015 (TAWIRI 2012);
- The Wildlife Conservation Act, 2009 (Government of the United Republic of Tanzania 2009a);
- The Wildlife Conservation Act, 2009 (Act Supplement No. 5; 20th March, 2009; Government of the United Republic of Tanzania 2009b);
- The Wildlife Conservation (Tourist Hunting) Regulations, 2010 (Subsidiary Legislation Supplement No. 25; 2nd July, 2010; Government of the United Republic of Tanzania 2010);
- Third African Elephant Meeting (1-3 November 2010; Gigiri, Kenya; various documents); and
- Documents from the 62nd Meeting of the CITES Standing Committee (Geneva 2012).

Much of the information used in making the current finding was derived from these sources, as noted below, as well as previously cited information (sec AOSA106; dated July 23, 2010; [Division of Scientific Authority 2010]).

Conservation and Management

1. African elephants are widely distributed throughout Tanzania, covering 49% of the country's land area. About 37% of the elephant's range in that country is in protected areas (PA). This proportion of protected range is relatively high compared to other African elephant range countries (Blanc *et al.* 2007). These protected areas comprise 26% of the country's land area within six ecosystems throughout the country, including: Tarangire-Manyara, Serengeti, Selous-Mikumi, Ruaha-Rungwa, Katavi-Rukwa, and Moyowosi-Kigosi. The network of PAs includes 15 National Parks (NP) (4% of the country's land surface), Ngorongoro Conservation Area (1%), 28 Game Reserves (GR) (14%), and 33 Game Controlled Areas (GCA) and/or Wildlife Management Areas (WMA) (5%). Some of these areas were designated as recently as 2005 (CoP15 Doc. 68, Annex 6a [page 1]).

2. In Tanzania, the only consumptive use of African elephants is sport hunting [CoP15 Prop. 4 (Rev. 1)], which is covered by The Wildlife Conservation (Tourist Hunting) Regulations, 2010 (Government of the United Republic of Tanzania 2010). These regulations control hunting by concession area, season, minimum trophy sizes (18 kg and 160 cm per tusk), annual quotas, post-hunt reporting, trophy registration, marking, and export requirements [CoP15 Doc. 68 Annex 6a [page 11]; Part V, Regulation 24.-(5)(b)].

3. The trophy quota for African elephants is distributed among approximately 150 hunting concessions/blocks [Ministry of Natural Resources and Tourism (United Republic of Tanzania), in litt., 2008] with the number of trophy permits allotted to each concession determined by elephant survey information (Ministry of Natural Resources and Tourism, in litt., 2005).

4. According to the Ministry of Natural Resources and Tourism (in litt., 2008), 25% of the revenue accrued from the sport hunting of elephants goes to the conservation and protection of

African elephants and other wildlife species through the Tanzania Wildlife Protection Fund (TWPF). In addition, 25% of the game fees received from hunters is given to the local communities in the areas where the sport hunting took place. Apart from being the source of revenue to local communities, sport hunting plays an important role in creating employment for the members of the local communities, for example, as trackers, skimmers, tent and mess attendants, and guards (Ministry of Natural Resources and Tourism, in litt., 2008). The Ministry of Natural Resources and Tourism (in litt., 2008) also reported that the sport hunting program assisted in curbing illegal harvesting and developing infrastructure such as roads, hospitals, and schools, as well as creating a market for local artwork.

5. The 1998 Wildlife Policy of the United Republic of Tanzania was revised in 2007 and provides guidelines for the management of African elephants through the development, review, and updating of specific management plans (CoP15 Doc. 68, Annex 6a [page 4]).

6. This past year, Tanzania published its country-level strategy and action plan, the "Tanzania National Elephant Management Plan 2010-2015." This plan provides updated information on several biological and ecological topics, including: distribution and range, abundance, population trends and demography, elephant corridors, and human-elephant conflicts. It identifies nine different strategic objectives, as well as numerous specific objectives and their associated targets, actions, timelines, actors, and indicators. The strategic objectives include: Human-Elephant Conflict, Elephant Corridors, Law Enforcement, Benefits and Sustainable Utilization, Ivory Stockpile and Management System, Research and Monitoring, Elephant Health and Welfare, Cross-border Cooperation, and Elephant Information Management (TAWIRI 2012).

Population Status and Trends

7. In 2006, the Tanzania Wildlife Research Institute [TAWIRI 2007 (cited in CoP15 Doc. 68, Annex 6a [page 1])] estimated the African elephant populations of Tanzania at $139,915 \pm 12,338$ (SE) animals based on census surveys covering $227,328 \text{ km}^2$ conducted using both total and sample counts. According to the Panel of Experts, this estimate was not significantly different from that of $111,475 \pm 18,728$ (95% CL) elephants estimated in 2000-2003. The Panel of Experts noted that the 2006 estimate did not include 2,873 additional elephants from areas not formally surveyed, which provided a country-wide "best estimate" of $142,788 \pm 12,405$ (SE) elephants in 2006 (CoP15 Doc. 68, Annex 6a [page 1]). In 2006, according to the African Elephant Status Report (Blanc *et al.*, 2007), the "definite" category estimate was 108,816 elephants, in addition to 27,937 "probable," 29,350 "possible," and 900 "speculative" category estimates.

8. In 2009, a similar survey covering $229,318 \text{ km}^2$ across the same six ecosystems produced a total population estimate of $105,439 \pm 6,080$ (SE) African elephants [TAWIRI 2010a (cited in CoP15 Doc. 68, Annex 6a)]. A "best estimate," which included an additional 3,583 elephants, provided a country-wide estimate of $109,022 \pm 6,135$ (SE) elephants in 2009. The Panel of

Experts noted that these results suggested a significant decline compared to the 2006 estimate of 142,788 elephants and that the decline could be attributed largely to a downward population trend recorded in the Selous-Mikumi ecosystem (CoP15 Doc. 68, Annex 6a [page 1]); however, Tanzania later clarified that methodological issues during the 2006 survey is believed to have resulted in an overestimate, and therefore, the two years' results cannot be compared due to different study techniques. Although a survey was also conducted in 2011, the final survey report is not yet available (SC62 Inf. 1).

9. Demographic parameters were calculated between 2009 – 2010 from 2,182 African elephants from six major elephant populations in Tarangire, Serengeti, Ruaha-Rungwa, Selous, Katavi-Rukwa, and Ugalla (TAWIRI 2012). This demographic survey of African elephants revealed that the proportion of the population < 5 years of age varied from 41% in Tarangire to 25% in Ugalla River Game Reserve, with values above 30% (Tarangire, Selous, Serengeti, and Ruaha-Rungwa). These results were indicative of good to high growth rates. The Panel of Experts, citing Foley and Faust (2010), further pointed out that Tarangire had a growth rate of > 6% and was one of the highest growth rates ever recorded for an African elephant population. It was noted that those populations with the proportion of their herds < 5 years of age below 30% (Katavi and Ugalla Game Reserve), which is indicative of low recruitment and growth rates, suggested one or more population stressors, such as higher infant mortality or increased stress associated with human-elephant conflict or illegal activity (i.e., poaching) (CoP15 Doc. 68, Annex 6a [pages 1-2]).

10. The Panel of Experts concluded that while estimates at that time suggested that the Tanzanian African elephant populations were stable or possibly decreasing, elephant populations still remained large (> 100,000 individuals) and were demographically healthy. The Panel of Experts noted that the populations of African elephants were geographically widespread across the country and occupied diverse ecosystems, and that a high proportion of the populations (> 80% individuals) occupied protected areas. In the overall opinion of the Panel of Experts, the African elephant populations in Tanzania were viable at the time of the analysis (CoP15 Doc. 68, Annex 6a [page 2]).

11. The Panel of Experts, however, raised concerns about the future mobility of the African elephant populations in Tanzania. They noted, for example, that associated human settlements were increasing in size and number around protected areas and were accompanied by increasing human-elephant conflicts. These settlements and the associated conflicts were probably the most important factors limiting the elephants' mobility and range. It was the opinion of the Panel of Experts that -- at the rates of habitat change and land conversion at the time -- the corridors that still remained in Tanzania would be converted to unsuitable habitat in less than 5 years (CoP15 Doc. 68, Annex 6a [page 4]).

12. According to Jones et al. (2009), Tanzania was working to minimize risks to African elephants, other wildlife, people, and property through improvements in spatial planning involving the identification, maintenance, and restoration of wildlife corridors. The "Tanzania

National Elephant Management Plan 2010-2015” lays out a strategic objective to restore lost corridors and to increase protection for corridors that are identified to still be in use (TAWIRI 2012).

Sustainability of Off-Take

13. In Tanzania, African elephant deaths occur as a result of several factors, including: 1) natural mortality; 2) trophy hunting; 3) problem animal control; and 4) poaching. In order to evaluate whether off-take from trophy hunting was sustainable, all losses to the African elephant population must be considered.

Legal Off-Take

14. Since 2007, the annual CITES Export Quota for the United Republic of Tanzania has been 400 tusks (sport-hunting quota of 200 elephants). During 2003-2006, the quota was 200 tusks from 100 individuals, while during 1997-2002 the quota was 100 tusks from 50 individuals. Tanzania, however, typically has not exported its full quota allotment in sport-hunted trophies or African elephant tusks. During 1997-2009, for example, annual tusk exports typically were about 40-45% of the allowed quantities and never exceeded the approved annual quota (UNEP-WCMC CITES Trade Database; available on the internet at: <http://www.unep-wcmc.org/citestrade>; accessed on 8 March 2010).

15. The Panel of Experts also assessed the sustainability of legal off-take from African elephant populations in Tanzania. Complete records on natural mortality for the entire country or on the killing of problem elephants were not available, but the Panel of Experts was able to estimate the level of such off-take by analyzing the data from the ivory store databases of Tanzania. Based on 21 years of data for that country, an average of 231 elephants died annually from natural mortality, while another 287 individuals died annually from elephant control measures (CoP15 Doc. 68, Annex 6a [page 5]):

16. Based a sport-hunting quota of 200 African elephants, as well as the estimates cited earlier for natural mortality and problem animal control in Tanzania, the Panel of Experts estimated that the overall legal off-take of African elephants from the several populations in that country was about 718 annually, which was 0.7% of the 2009 elephant population estimate of 109,022 individuals. Even if natural mortalities were considerably higher due, for example, to low carcass detection rates by observers in difficult terrain, the Panel of Experts believed that the legal off-take was still less than the annual population growth rate of 3-5% and, therefore, was sustainable (CoP15 Doc. 68, Annex 6a [page 14]).

17. The Panel of Experts also assessed whether the hunting of trophy-quality males in Tanzania was sustainable. According to an earlier analysis by Martin (1986), an annual harvest rate of 0.5-1.0% of the total African elephant population was sustainable. Based on the available population information, the Panel of Experts estimated that nationwide there was a potential off-take of 325 trophy-quality African elephant males (0.3% of the total population; CoP15 Doc. 68,

Annex 6a [page 14]). The Panel of Experts noted that this value of 0.3% was less than the value of 0.5-1% of total African elephant numbers and concluded that the hunting was sustainable.

Illegal Off-Take

18. According to the Panel of Experts, official poaching statistics provided by the Wildlife Division of Tanzania indicated 258 reported poaching incidents that were detected during 2005-2009, including 82 poaching incidents in 2009, the highest number poached annually during that time period. The Panel of Experts noted, however, that the total number of poaching incidents was likely underestimated given low African elephant carcass detection rates (CoP15 Doc. 68, Annex 6a [page 5]).

19. The Panel of Experts cited the following evidence that poaching has led to elephant population declines in the Selous-Mikumi ecosystem:

a) PIKE values collected at the Selous-Mikumi MIKE site have progressively increased between 2003 and 2009 (CITES Secretariat, 2010).

b) Joint Wildlife Division/Selous Rhino Project aerial observations and foot patrols recorded more than a threefold increase in encounter rates of recently dead elephant carcasses between 2007 and 2008 (TAWIRI, 2010b).

c) Tourism operators operating in the northern Selous reported to the Panel an increase in elephant (and other wildlife) poaching since 2007/8, including several incidents close to tourist camps.

d) A significant proportion of the large seizures of ivory made in Asia in 2006 have been traced by forensic DNA work to elephants killed in the Selous-Niassa area (Wasser et al., 2009).

Note: PIKE = Proportion of illegally killed elephants

20. The Panel of Experts also pointed to evidence that the Selous-Mikumi ecosystem was a "hotspot" for African elephant poaching. They observed that the ivory that had been collected by wildlife enforcement officials at Udzungwa National Park was from confiscations. According to these officials, the confiscations consisted of illegally-sourced ivory coming out of nearby Kilombero Game Controlled Area in the Selous-Mikumi ecosystem. In addition, the highest number of tusks confiscated by field-based Wildlife Division offices originated from Morogoro and Lindi, which are adjacent to the Selous-Mikumi ecosystem (CoP15 Doc. 68, Annex 6a [page 5]).

21. Given the factors discussed above, the Panel of Experts concluded that the level of off-take at that time was not sustainable in the Selous-Mikumi ecosystem, which represents about 40% of the total African elephant population in Tanzania. They also noted, however, that legal and

illegal off-take appeared to be sustainable in the five other elephant ecosystems where the populations were stable or increasing. Expressing concern about the potential for off-take levels in the Selous-Mikumi ecosystem to have a future negative impact on the African elephant population as a whole, the Panel of Experts stated:

“Whilst not unequivocally substantiated, the Selous-Mikumi situation described above could affect long-term population sustainability.”
(CoP15 Doc. 68, Annex 6a [page 3])”

22. Based on a more recent analysis of MIKE data, the levels of illegal killing across the African elephants’ range are of serious and increasing concern. Between 2009 and 2011, PIKE values remained high at the Selous-Mikumi MIKE site (SC62 Inf. 1). Moreover, a March 2012 IUCN African Elephant Specialist Group survey indicated that poaching over the last 12 months had increased in sites in Tanzania (SC62 Doc. 46.1 (Rev. 1).

23. Another concern that the Panel of Experts raised, which relates to the commitment by the Tanzania to combat poaching, was the financial mechanism by which the Wildlife Division was funded. The Wildlife Division’s revenue share is paid directly to the central Treasury, and the Treasury is then supposed to distribute the budgeted monies to the Wildlife Division. The Panel of Experts raised the concern that over a 3-year period (2007-2009), the Wildlife Division had received only 63% (USD 2,634,975 per year) of its approved budget from the central Treasury. The Panel noted that given these funding limitations, the Wildlife Division would not be able to meet its needs and obligations regarding the conservation, management, and protection of African elephants. The Panel of Experts also noted, however, that between 2005 and 2009, the Tanzania Wildlife Protection Fund contributed on average a total of USD 12,894,564 annually to the Wildlife Division. According to the Panel of Experts, these funds, when combined with the Treasury allocations, should have put the Wildlife Division in a “strong position” to meet its enforcement obligations, including containment of threats to elephants in the Selous-Mikumi ecosystem (CoP15 Doc. 68, Annex 6a [page 3]). Recent African elephant poaching levels in the Selous-Mikumi ecosystem, however, suggest that enforcement may have been inadequate.

24. The Panel of Experts also noted that the National Parks and the Ngorongoro Conservation Area Authorities of Tanzania were adequately funded because they generated and retained 100% of their revenue share through park and conservation area fees (CoP15 Doc. 68, Annex 6a [page 3]).

25. Although the Panel of Experts raised concerns over the illegal killing of elephants in the Selous-Mikumi ecosystem, they also acknowledged the efforts by authorities of Tanzania to combat the increases in poaching. For example, in July 2009, a series of planning meetings led to anti-poaching patrols that were joint and cooperative, and involved rangers and scouts from the Selous Game Reserve, the Udzungwa Mountains National Park, and the Mikumi National Park. In December 2009, for example, the commander of special police operations led an anti-poaching operation, code-named “Operation Butterfly,” in the Selous Game Reserve. This operation led to the arrest of 70 poachers and the recovery of elephant and hippopotamus ivory

(Midala 2010, as cited in TAWIRI 2012). Noting these, as well as other anti-poaching efforts by the Tanzanian authorities, the Panel of Experts stated:

“There is a clear indication of concern by the authorities to minimize poaching of elephant and other wildlife species. The various efforts to deploy staff and execute special anti-poaching operations in various parts of the country, particularly in the vast Selous Game Reserve, are noteworthy.”
(CoP15 Doc. 68, Annex 6a [page 14]).

26. The Director of Wildlife of Tanzania informed the Panel of Experts that regulations were being finalized to implement The Wildlife Conservation Act, 2009, which would reportedly increase revenue retention, increase penalties up to 30 years, and provide additional powers to law enforcement personnel (CoP15 Doc. 68, Annex 6a [page 11]). Copies of those laws and regulations were provided to the U.S. Fish and Wildlife Service (Service) at a February 17, 2011, meeting with Tanzanian officials.

27. The “Tanzania National Elephant Management Plan 2010-2015” is based on several new wildlife laws and implementing regulations (TAWIRI 2012): The Wildlife Conservation Act, 2009 (Government of the United Republic of Tanzania 2009a); The Wildlife Conservation Act, 2009 (Act Supplement No. 5; 20th March, 2009; Government of the United Republic of Tanzania 2009b); and The Wildlife Conservation (Tourist Hunting) Regulations, 2010 (Subsidiary Legislation Supplement No. 25; 2nd July, 2010; Government of the United Republic of Tanzania 2010). During the February 2011, meeting, the Service received copies of these measures, but it was not clear when they would be fully implemented. For example, the Subsidiary Legislation (Supplement No. 25; 2nd July, 2010) of The Wildlife Conservation (Tourist Hunting) Regulations, 2010, in Part V, 24.-(5)(b), directs that no person shall hunt an elephant with tusks weighing below 18 kg per tusk or measuring not less than 160 cm per tusk (Government of the United Republic of Tanzania 2010). In another example, the Act Supplement (No. 5; 20th March, 2009) of The Wildlife Conservation Act, 2009, in Part IV, calls for the protection of wildlife corridors, dispersal areas, buffer zones, and migratory routes (Government of the United Republic of Tanzania 2009b). Both of these measures are critically important to the conservation of the African elephant, but it is not clear when they will become operational and backed by the force of law in Tanzania. Until the new laws are fully implemented, these African elephant conservation and management measures, while improving, are still in a transitional phase.

Conclusion

28. With the information currently available, we believe that the status of the African elephant population in Tanzania and ongoing management efforts in that country are adequate to ensure that the sport hunting of African elephants, as administered by the Government of the United Republic of Tanzania, does not adversely affect the status of the species in Tanzania.

29. Therefore, for calendar year 2012, we find that the import of sport-hunted trophies of African elephants taken in Tanzania will be for purposes that are not detrimental to the survival of the species.

CONCERNS:

Although we are able to make the current non-detriment finding, we still have concerns about the future sustainability of the total levels of off-take from the African elephant populations in Tanzania. These concerns – in part -- were also noted by the Panel of Experts (CoP15 Doc. 68, Annex 6a [pages 13-16]):

- A. Efforts have been made by Tanzania to combat poaching in the Selous-Mikumi ecosystem. We also acknowledge that additional resources are now available to promote the conservation status of the African elephant in that country, including: “Tanzania National Elephant Management Plan 2010-2015” (TAWIRI 2012); The Wildlife Conservation Act, 2009 (Government of the United Republic of Tanzania 2009a); The Wildlife Conservation Act, 2009 (Act Supplement No. 5; 20th March, 2009; Government of the United Republic of Tanzania 2009b); and The Wildlife Conservation (Tourist Hunting) Regulations, 2010 (Subsidiary Legislation Supplement No. 25; 2nd July, 2010; Government of the United Republic of Tanzania 2010). The Service is interested in receiving an update on whether the management and regulatory measures mentioned above have been fully implemented, and if not, the targeted time period for full implementation of these measures. In particular, we remain concerned about the availability of future resources to combat poaching in Tanzania, especially in the Selous-Mikumi ecosystem. In this regard, we are interested in receiving updated information on recent initiatives and their effectiveness in combating poaching in Tanzania, particularly in the Selous-Mikumi ecosystem.
- B. It is our understanding that the elephant population in the Selous ecosystem in Tanzania was surveyed in 2011 but that the results have not yet been available. We are interested in receiving these results as soon as they are available.
- C. We note that most of the 23 known elephant corridors in Tanzania are in poor condition (TAWIRI 2012). We recognize that the “Tanzania National Elephant Management Plan 2010-2015” includes a strategic framework for increasing protection for these corridors and for restoring lost corridors and that laws and regulations are available to protect these corridors. Given the importance of protecting these corridors from ongoing threats and the risks to the African elephant populations in the future as a result of human-elephant conflict in and around these areas, we are interested in receiving updated information on Tanzania’s success to date in achieving its objectives related to the protection of African elephant corridors, as laid out in the “Tanzania National Elephant Management Plan 2010-2015” (TAWIRI 2012).

D. Based on recent information obtained through the National Website of Tanzania, we understand that new procedures have been implemented regarding the allocation of tourist hunting blocks [see: Invitation For Applications For Tourist Hunting Blocks Allocation For The Period 2013 – 2018; (Made under Section 9 (2) of the Wildlife Conservation Act, Cap.283 (Tourist Hunting Regulations of 2010); <http://www.tanzania.go.tz/ministriesf.html>; accessed on March 9, 2011); Government of the United Republic of Tanzania 2011]. These changes deal primarily with the hunting block fees that will be charged by the government. We are interested in receiving information about these changes and how they could affect African elephant harvest quotas or off-take levels associated with the corresponding hunting blocks. Given the recent uncertainty about the total population sizes and trends of African elephant populations in the United Republic of Tanzania, especially in the Selous-Mikumi ecosystem, we will continue to monitor African elephant population survey results, as well as overall harvest quotas and off-take levels for this species in the United Republic of Tanzania.

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Department of the Interior
U.S. Fish and Wildlife Service

Expires 11/30/2010
OMB No. 1018-0093

Federal Fish and Wildlife Permit Application Form

Return to: Division of Management Authority (DMA)
U.S. Fish and Wildlife Service
4401 N. Fairfax Drive, Room 212
Arlington, VA 22203
1-800-358-2104 or 703-358-2104

Type of Activity:
Import of Sport-hunted Trophies of
Southern African Leopard, African Elephant, and
Namibian Southern White Rhinoceros

Complete Sections A or B, and C, D, and E of this application. U.S. address may be required in Section C, see instructions for details.
See attached instruction pages for information on how to make your application complete and help avoid unnecessary delays.

A. Complete if applying as an individual			
1.a. Last name Rondeau	1.b. First name Catherine	1.c. Middle name or initial Hammond	1.d. Suffix Mrs
2. Date of birth (mm/dd/yyyy) (b)(6)	3. Social Security No. (b)(6)	4. Occupation (b)(6)	5. Affiliation/ Doing business as (see instructions)
6. Telephone number (b)(6)			

B. Complete if applying on behalf of a business, corporation, public agency or institution			
1.a. Name of business, agency, or institution		1.b. Doing business as (dba)	
2. Tax identification no.		3. Description of business, agency, or institution	
4.a. Principal officer Last name	4.b. Principal officer First name	4.c. Principal officer Middle name/ initial	4.d. Suffix
5. Principal officer title		6. Primary contact	
7.a. Business telephone number	7.b. Alternate telephone number	7.c. Business fax number	7.d. Business e-mail address

C. All applicants complete address information			
1.a. Physical address (Street address, Apartment #, Suite # or Room # or P.O. Boxes) (b)(6)			
1.b. City Leonard	1.c. State MI	1.d. Zip code/Postal code (b)(6)	1.e. Country USA
1.g. Mailing Address (include if different than physical address, include name of contact person if applicable) (b)(6)			
2.b. City Oakland	2.c. State MI	2.d. Zip code/Postal code (b)(6)	2.f. Country USA

D. All applicants MUST complete	
1.	Attach check or money order payable to the U.S. FISH AND WILDLIFE SERVICE in the amount of \$100. Federal, tribal, State, and local government agencies, and those acting on behalf of such agencies, are exempt from the processing fee - attach documentation of fee exempt status as outlined in instructions. (50 CFR 13.11(d))
2.	Do you currently have or have you ever had any Federal Fish and Wildlife permits? <u>10US25986A/9</u> Yes <input checked="" type="checkbox"/> If yes, list the number of the most current permit you have held or that you are applying to renew/re-issue. <u>I had and used a permit in 2010 for an elephant + another in 2010 for a leopard.</u> No <input type="checkbox"/>
3.	Certification. I hereby certify that I have read and am familiar with the regulations contained in <u>Title 50, Part 13 of the Code of Federal Regulations</u> and the other applicable parts in subchapter B of Chapter I of Title 50, and I certify that the information submitted in this application for a permit is complete and accurate to the best of my knowledge and belief. I understand that providing false information is a violation of the criminal penalties of 18 U.S.C. 1001. <u>(b)(6)</u> Signature: _____ Date of signature (mm/dd/yyyy) <u>9-12-2012</u>

Please continue to next page

E. IMPORT OF SPORT-HUNTED TROPHIES OF SOUTHERN AFRICAN LEOPARD, AFRICAN ELEPHANT, AND NAMIBIAN SOUTHERN WHITE RHINOCEROS

Note 1: If you hold an import permit for a trophy/trophies that you did not use, please return the unused original permit. If you are requesting reissuance of a permit because you have taken a trophy, but were unable to import it prior to the expiration of the permit, please use the renewal form, (3-200-52), [www.fws.gov/forms] and return your original permit with that form. ^{NO}
^{VNO}

I am requesting a new elephant a new leopard permit for use

Note 2: The U.S. FWS has determined that a trophy consists of raw or tanned parts of a specimen taken by a hunter during a sport hunt for personal use. It may include the bones, claws, hair, head, hide, hooves, horns, meat, skull, teeth, tusks, or any taxidermied part, including, but not limited to, a rug or taxidermied head, shoulder, or full mount. It does not include articles made from a trophy, such as worked, manufactured, or handicraft items for use as clothing, curios, ornamentation, jewelry, or other utilitarian items. If you wish to import such products, please contact the Division of Management Authority for the proper application form. ^{new use}

Please provide the following information. Complete all pages of the application. Mark questions that are not applicable with "N/A". If applying for more than one trophy, be sure to answer questions 2 and 3 for each trophy. If importing trophies from more than one country, you must submit a separate application for each shipment in order to obtain separate import permits.

1. ENTER the quantity next to the name of the species you are applying to import (you may apply to import specimens of more than one species provided they have been/are being hunted in the same country):

Leopard (*Panthera pardus*) Quantity: 1 (Limited to the import of two per calendar year)

African elephant (*Loxodonta africana*) Quantity: 1 (Import permit is not required for trophies harvested in Botswana, Namibia, South Africa, or Zimbabwe). **Note: once imported, ivory can not be re-exported.**

Southern white rhinoceros (*Ceratotherium simum simum*) Quantity: 0 (Import permit is not required for trophies harvested in South Africa)

2. **IF ANIMAL IS CURRENTLY LIVING IN THE WILD**, please enter the following:

a. Country and place (area, region, or ranch AND nearest city) where wildlife is to be taken from the wild:

Tanzania's Selous Reserve

b. Date wildlife is to be hunted: *Nov. 2 - 24, 2012*

c. Description of the trophy and/or parts you intend to import (e.g., skin, skull, shoulder mount, life size mount, claws, horn): *Leopard = full body skin with claws, skull, teeth. Elephant = 2 tusks, 2 ears, 4 feet, 1 trunk, tail with hair.*

3. **IF THE ANIMAL IS DEAD**, please enter the following: *full body skin, four teeth*

a. Country and place (area, region, or ranch AND nearest city) where wildlife was removed from the wild (provide a map if possible):

b. Date wildlife was hunted:

c. Description and size of the trophy and/or parts (e.g., skin, skull, shoulder mount, life size mount, claws, horn):

d. The current location of the trophy (address and country):

4. **Complete name and address** of overseas person or business shipping the trophy to you (this name will appear on the face of the permit). If you are applying to import a trophy from Namibia, you **must** provide the name and address of the professional hunter listed on your Namibian hunting permit.

Name: Tanganyika Wildlife Safari

Address: Uhuru Street, Plot-38, near Buguruni Police Post
City/Country: P.O. Box 21880

Buguruni Kisiwani, Dar-es-Salaam, Tanzania, East Africa

5. Please be aware that the U.S. Fish and Wildlife Service must make a finding that your activities will enhance or benefit wild populations of the species involved. If you have any information that could support this finding (e.g., how the funds from license/trophy fees will be spent, what portion of the hunting fee will support conservation), please submit such information on a separate page with your application.

CERTIFICATION STATEMENT

6. If you are a broker or taxidermist applying on behalf of a foreign national, provide documentation to show you have a Power of Attorney to act on your client's behalf and sign the following statement (disregard no. 7).

I acknowledge that the sport-hunted trophy/trophies to be imported has been/will be personally hunted by my client and is being imported only for my client's personal use (i.e., not for sale, transfer, donation, or exchange that is reasonably likely to result in economic use, gain, or benefit). I understand that my client may only import two leopard trophies in one calendar year (if applicable). In addition, I have advised my client that raw ivory, once imported into the United States, cannot be re-exported.

Taxidermist/Broker's signature: _____ Date: _____

7. If you are the hunter applying to import your own trophy, please read and sign the following statement.

I acknowledge that the sport-hunted trophy/trophies to be imported has been/will be personally hunted by me and is being imported only for my personal use (i.e., not for sale, transfer, donation, or exchange that is reasonably likely to result in economic use, gain, or benefit). I understand that I may only import two leopard trophies in one calendar year (if applicable). In addition, I understand that raw ivory, once imported into the United States, cannot be re-exported.

Applicant's signature: (b)(6) _____ Date: 9-12-12

8. Have you obtained all required local, Federal, or foreign government approval(s) to conduct the activity you propose? (Be aware that there may be other requirements necessary to conduct this activity such as a hunting license or collection permit.) Yes (provide a copy of the approval(s)) Have applied. Not required

The outfitter in Tanzania is holding my hunting license on my behalf.

9. Enter the U.S. port through which the [export/re-export/import] will occur. New York City
(All wildlife, including parts and products, must be declared to and inspected by a Wildlife Inspector from the U.S. Fish and Wildlife Service Office of Law Enforcement. A list of designated ports (where an inspector is posted) is available from www.fws.gov.)

10. Address where you wish permit mailed (if different than page 1):
(b)(6) Oakland, MI (b)(6) USA
(same as on page 1)

11. If you wish the permit to be sent to you by means other than regular mail, provide an air bill, pre-paid envelope, or billing information:

N/A

12. Who should we contact if we have questions about the application? (Include name, phone number, and email)

Catherine Rondeau (b)(6)
(b)(6)

GATHERINE H. RONDEAU
MIDL (b)(6)
LEONARD, MI (b)(6)

(b)(6)

9-12-12

Date

Pay to the
Order of

U.S. Fish + Wildlife Service

\$ 200⁰⁰

Two hundred ⁰⁰/₁₀₀

Dollars

Security Features Detailed on Back

Flagstar
Bank

Jackson, MI 49201
1-888-24VOICE

(b)(6)

for elephant + leopard CITES

(b)(6)



**CONVENTION ON
INTERNATIONAL TRADE IN
ENDANGERED SPECIES OF
WILD FAUNA AND FLORA**

**IMPORT
PERMIT**

1. Original Permit/Certificate No.
12US88593A/9
2. Valid until
10/31/2013

3. Permittee (name and address, country)

CATHERINE HAMMOND RONDEAU
(b)(6)
LEONARD MI (b)(6)
U.S.A.

4. Consignee (name and address, country)

TANGYANIKA WILDLIFE SAFARI
UHURU STREET, PLOT 38
NEAR BUGURUNI POLICE POST, P.O. BOX 21880
BUGURUNI KISIWANI
DAR ES SALAAM
TANZANIA

5. Special Conditions

MUST COMPLY WITH ATTACHED GENERAL PERMIT CONDITIONS.

SPECIMEN(S) MAY NOT BE SOLD OR TRANSFERRED FOR ANY FINANCIAL REMUNERATION.

SEE ATTACHED PAGE 2 FOR ADDITIONAL CONDITIONS.

5a. Purpose of Transaction

H

6. U.S. Management Authority

U.S. FISH AND WILDLIFE SERVICE
DIVISION OF MANAGEMENT AUTHORITY
4401 N. FAIRFAX DRIVE
ROOM 212
ARLINGTON, VA 22203-3247

May not be used for commercial purposes. For live animals, only valid if the transport conditions comply with the CITES Guidelines for Transport of Live Animals or, in the case of air transport, with IATA Live Animals Regulations

11/01/2012

Issuing Date

[Signature]
Management Authority

United States Management Authority

AUTHORITY: Endangered Species Act of 1973 (16 USC 1531 et seq.)

7/8. Common Name and Scientific name (genus and species) of Animal or Plant

A. Common Name
AFRICAN
ELEPHANT

Scientific Name
LOXODONTA
AFRICANA

9. Description of Part or Derivative, including identifying marks or numbers (age/sex if live)

9. IMPORT IMPORT PERSONAL SPORT HUNTED TROPHY (shipment may contain bones, hair, hide, tusks, teeth, or any taxidermied part. DOES NOT include worked, manufactured, or handcraft items such as curios, jewelry, or other utilitarian items.)

10. Appendix No. and Source

10. 1 W
11. Quantity (including units) 1 NO
12. Country of Origin TANZANIA

B. Common Name

LEOPARD
Scientific Name
PANTHERA
PARDUS

9. IMPORT PERSONAL SPORT HUNTED TROPHY (shipment may contain bones, claws, hide, skull, teeth, or any taxidermied part. DOES NOT include worked, manufactured, or handcraft items such as curios, jewelry, or other utilitarian items.)

10. 1 W
11. Quantity (including units) 1 NO
12. Country of Origin TANZANIA

C. Common Name

Scientific Name

9. [Redacted]

10. [Redacted]
11. Quantity (including units) [Redacted]
12. Country of Origin [Redacted]

D. Common Name

Scientific Name

9. [Redacted]

10. [Redacted]
11. Quantity (including units) [Redacted]
12. Country of Origin [Redacted]

E. Common Name

Scientific Name

9. [Redacted]

10. [Redacted]
11. Quantity (including units) [Redacted]
12. Country of Origin [Redacted]

SPECIAL PERMIT CONDITIONS

for TANZANIAN LEOPARD AND ELEPHANT TROPHY IMPORT

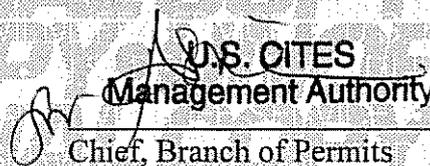
MUST IMPORT THROUGH A DESIGNATED PORT AS LISTED IN CONDITION 10 OF GENERAL PERMIT CONDITIONS.

U.S. THREATENED SPECIES: TUSKS MUST BE MARKED AS PER [50 CFR 17.40(e)]. IN ACCORDANCE WITH THE AFRICAN ELEPHANT CONSERVATION ACT, RAW IVORY, INCLUDING SPORT-HUNTED TROPHIES THAT ARE WHOLLY OR PARTIALLY IVORY, MAY NOT BE RE-EXPORTED FROM THE U.S. ELEPHANT MUST NOT HAVE BEEN TAKEN FROM ANY MORATORIUM AREA INCLUDING LONGIDO CONTROLLED HUNTING AREA (CHA).

U.S. THREATENED SPECIES [50 CFR 17.40 (f)]. PERMITTEE MAY ONLY IMPORT TWO LEOPARD TROPHIES PER CALENDAR YEAR. EACH LEOPARD SKIN MUST HAVE A SELF-LOCKING TAG ATTACHED TO IT WHICH INDICATES THE STATE OF EXPORT, THE NUMBER OF THE SPECIMEN IN RELATION TO THE ANNUAL QUOTA, AND THE CALENDAR YEAR TO WHICH THE QUOTA APPLIES. THE EXPORT PERMIT (OR RE-EXPORT CERTIFICATE) MUST CONTAIN THE TAGGING INFORMATION AS OUTLINED ABOVE.

TROPHY MUST HAVE BEEN TAKEN DURING 2012 HUNTING SEASON.

11/01/2012
Date


U.S. OITES
Management Authority
Chief, Branch of Permits
Division of Management Authority



United States Department of the Interior
FISH AND WILDLIFE SERVICES
Washington, D.C. 20240



FL-1

June 6, 2013

Catherine Hammond Rondeau

(b)(6)

Leonard, MI

(b)(6)

Dear Ms. Hammond Rondeau:

On 04/12/2013, you were notified, and requested to provide additional information in regard to your application PRT- 88593A. In that request, you were informed that you needed to respond within 45 days or your application would be abandoned. Since we have not received the requested information, we have abandoned your application, and your application has been administratively closed.

If, in the future, you wish to pursue the activity for which you originally applied, you will need to submit a new application, along with any appropriate fees, to this office. Please be aware that in most cases, we will not "transfer" information from your old application to a new application. All relevant information would need to be supplied at the time a new application is submitted.

If we can be of assistance, you may contact our office at 703-358-2104 or fax 703-358-2281.

Sincerely,

Division of Management Authority
Branch of Permits



**CONVENTION ON
INTERNATIONAL TRADE IN
ENDANGERED SPECIES OF
WILD FAUNA AND FLORA**

**IMPORT
PERMIT**

1. Original Permit/Certificate No.
13US88593A/9
2. Valid
10/31/2013

3. Permittee (name and address, country)

CATHERINE HAMMOND RONDEAU

(b)(6)
LEONARD, MI (b)(6)
U.S.A.

4. Consignee (name and address, country)

GERARD PASANISI SAFARI
P.O. BOX 21880
DAR ES SALAAM
TANZANIA

5. Special Conditions

MUST COMPLY WITH ATTACHED GENERAL PERMIT CONDITIONS.

SPECIMEN(S) MAY NOT BE SOLD OR TRANSFERRED FOR ANY FINANCIAL REMUNERATION.

SEE ATTACHED PAGE 2 FOR ADDITIONAL CONDITIONS.

THIS AMENDS AND REPLACES 12US88593A/9 ISSUED 11/01/2012.

5a. Purpose of Transaction

H

6. U.S. Management Authority

U.S. FISH AND WILDLIFE SERVICE
DIVISION OF MANAGEMENT AUTHORITY
4401 N. FAIRFAX DRIVE
ROOM 212
ARLINGTON, VA 22203-3247

May not be used for commercial purposes. For live animals, only valid if the transport conditions comply with the CITES Guidelines for Transport of Live Animals or, in the case of air transport, with IATA Live Animals Regulations.

09/12/2013

**U.S. CITES
Management Authority**

Issuing Date

United States Management Authority

AUTHORITY: Endangered Species Act of 1973 (16 USC 1531 et. seq.)

7/8. Common Name and Scientific name (genus and species) of Animal or Plant

9. Description of Part or Derivative, including identifying marks or numbers (age/sex if live)

10. Appendix No. and Source

A. Common Name

AFRICAN
ELEPHANT

Scientific Name

LOXODONTA
AFRICANA

9. IMPORT IMPORT PERSONAL SPORT HUNTED TROPHY (shipment may contain bones, hair, hide, tusks, teeth, or any taxidermied part. DOES NOT include worked, manufactured, or handicraft items such as curios, jewelry, or other utilitarian items.)

10. 1 W

11. Quantity (including units)

1

NO

12. Country of Origin
TANZANIA

B. Common Name

LEOPARD

Scientific Name

PANTHERA
PARDUS

9. IMPORT PERSONAL SPORT HUNTED TROPHY (shipment may contain bones, claws, hide, skull, teeth, or any taxidermied part. DOES NOT include worked, manufactured, or handicraft items such as curios, jewelry, or other utilitarian items.)

10. 1 W

11. Quantity (including units)

1

NO

12. Country of Origin
TANZANIA

C. Common Name

Scientific Name

9. [REDACTED]

10. [REDACTED]

11. Quantity (including units)

12. Country of Origin

D. Common Name

Scientific Name

9. [REDACTED]

10. [REDACTED]

11. Quantity (including units)

12. Country of Origin

E. Common Name

Scientific Name

9. [REDACTED]

10. [REDACTED]

11. Quantity (including units)

12. Country of Origin

13US88593A/9

SPECIAL PERMIT CONDITIONS

for TANZANIAN LEOPARD AND ELEPHANT TROPHY IMPORT

MUST IMPORT THROUGH A DESIGNATED PORT AS LISTED IN CONDITION 10 OF GENERAL PERMIT CONDITIONS.

U.S. THREATENED SPECIES: TUSKS MUST BE MARKED AS PER [50 CFR 17.40(e)]. IN ACCORDANCE WITH THE AFRICAN ELEPHANT CONSERVATION ACT, RAW IVORY, INCLUDING SPORT-HUNTED TROPHIES THAT ARE WHOLLY OR PARTIALLY IVORY, MAY NOT BE RE-EXPORTED FROM THE U.S. ELEPHANT MUST NOT HAVE BEEN TAKEN FROM ANY MORATORIUM AREA INCLUDING LONGIDO CONTROLLED HUNTING AREA (CHA).

U.S. THREATENED SPECIES [50 CFR 17.40 (f)]. PERMITTEE MAY ONLY IMPORT TWO LEOPARD TROPHIES PER CALENDAR YEAR. EACH LEOPARD SKIN MUST HAVE A SELF-LOCKING TAG ATTACHED TO IT WHICH INDICATES THE STATE OF EXPORT, THE NUMBER OF THE SPECIMEN IN RELATION TO THE ANNUAL QUOTA, AND THE CALENDAR YEAR TO WHICH THE QUOTA APPLIES. THE EXPORT PERMIT (OR RE-EXPORT CERTIFICATE) MUST CONTAIN THE TAGGING INFORMATION AS OUTLINED ABOVE.

TROPHY MUST HAVE BEEN TAKEN DURING 2012 HUNTING SEASON.

09/12/2013

Date

U.S. CITES
Management Authority

Chief, Branch of Permits
Division of Management Authority



**CONVENTION ON
INTERNATIONAL TRADE IN
ENDANGERED SPECIES OF
WILD FAUNA AND FLORA**

**IMPORT
PERMIT**

1. Original Permit/Certificate No.
14US88593A/9
2. Valid
09/16/2015

3. Permittee (name and address, country)
CATHERINE HAMMOND RONDEAU
(b)(6)
LEONARD, MI (b)(6)
U.S.A.

4. Consignee (name and address, country)
GERARD PASANISI SAFARI
P.O. BOX 21880
DAR ES SALAAM
TANZANIA

5. Special Conditions
MUST COMPLY WITH ATTACHED GENERAL PERMIT CONDITIONS.
SPECIMEN(S) MAY NOT BE SOLD OR TRANSFERRED FOR ANY FINANCIAL REMUNERATION.
SEE ATTACHED PAGE 2 FOR ADDITIONAL CONDITIONS.
THIS RE-ISSUES AND REPLACES 12US88593A/9 ISSUED 09/12/2013.

5a. Purpose of Transaction
H
6. U.S. Management Authority
U.S. FISH AND WILDLIFE SERVICE
DIVISION OF MANAGEMENT AUTHORITY
BRANCH OF PERMITS, MS:IA
5275 LEESBURG PIKE
FALLS CHURCH VA 22041-3803

-May not be used for commercial purposes. For live animals, only valid if the transport conditions comply with the CITES Guidelines for Transport of Live Animals or, in the case of air transport, with IATA Live Animals Regulations.

09/17/2014

CITES
Management Authority

Issuing Date

United States Management Authority

AUTHORITY: Endangered Species Act of 1973 (16 USC 1531 et. seq.)

7/8. Common Name and Scientific name (genus and species) of Animal or Plant	9. Description of Part or Derivative, including identifying marks or numbers (age/sex if live)	10. Appendix No. and Source
A. Common Name AFRICAN ELEPHANT Scientific Name LOXODONTA AFRICANA	9. IMPORT IMPDRT PERSONAL SPORT HUNTED TROPHY (shipment may contain bones, hair, hide, tusks, teeth, or any taxidermed part. DOES NOT include worked, manufactured, or handicraft items such as curios, jewelry, or other utilitarian items.)	10. 1 W 11. Quantity (Including units) 1 NO 12. Country of Origin TANZANIA
B. Common Name LEOPARD Scientific Name PANTHERA PARDUS	9. IMPORT PERSONAL SPORT HUNTED TROPHY (shipment may contain bones, claws, hide, skull, teeth, or any taxidermed part. DOES NOT include worked, manufactured, or handicraft items such as curios, jewelry, or other utilitarian items.)	10. 1 W 11. Quantity (Including units) 1 NO 12. Country of Origin TANZANIA
C. Common Name [REDACTED] Scientific Name [REDACTED]	9. [REDACTED]	10. [REDACTED] 11. Quantity (including units) [REDACTED] 12. Country of Origin [REDACTED]
D. Common Name [REDACTED] Scientific Name [REDACTED]	9. [REDACTED]	10. [REDACTED] 11. Quantity (including units) [REDACTED] 12. Country of Origin [REDACTED]
E. Common Name [REDACTED] Scientific Name [REDACTED]	9. [REDACTED]	10. [REDACTED] 11. Quantity (including units) [REDACTED] 12. Country of Origin [REDACTED]

SPECIAL PERMIT CONDITIONS

for TANZANIAN LEOPARD AND ELEPHANT TROPHY IMPORT

MUST IMPORT THROUGH A DESIGNATED PORT AS LISTED IN CONDITION 10 OF GENERAL PERMIT CONDITIONS.

U.S. THREATENED SPECIES: TUSKS MUST BE MARKED AS PER [50 CFR 17.40(e)]. IN ACCORDANCE WITH THE AFRICAN ELEPHANT CONSERVATION ACT, RAW IVORY, INCLUDING SPORT-HUNTED TROPHIES THAT ARE WHOLLY OR PARTIALLY IVORY, MAY NOT BE RE-EXPORTED FROM THE U.S. ELEPHANT MUST NOT HAVE BEEN TAKEN FROM ANY MORATORIUM AREA INCLUDING LONGIDO CONTROLLED HUNTING AREA (CHA).

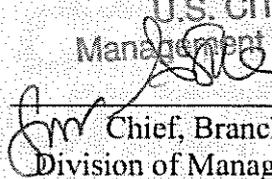
U.S. THREATENED SPECIES [50 CFR 17.40 (f)]. PERMITTEE MAY ONLY IMPORT TWO LEOPARD TROPHIES PER CALENDAR YEAR. EACH LEOPARD SKIN MUST HAVE A SELF-LOCKING TAG ATTACHED TO IT WHICH INDICATES THE STATE OF EXPORT, THE NUMBER OF THE SPECIMEN IN RELATION TO THE ANNUAL QUOTA, AND THE CALENDAR YEAR TO WHICH THE QUOTA APPLIES. THE EXPORT PERMIT (OR RE-EXPORT CERTIFICATE) MUST CONTAIN THE TAGGING INFORMATION AS OUTLINED ABOVE.

TROPHY MUST HAVE BEEN TAKEN DURING 2012 HUNTING SEASON.

09/17/2014

Date

U.S. CITES
Management Authority



Chief, Branch of Permits
Division of Management Authority

Julia Butzler

May 2, 2019

U.S. Fish and Wildlife Service

Division of Management Authority

Branch of Permits, MS: IA

5275 Leesburg Pike, Falls Church, VA 22041

Good Afternoon Julia,

Per our conversation I am sending you a copy of the CITES permit for the import of the elephant ivory from my Zimbabwe hunt September 24, 2018. Please let me know if all is in order or if any additional information or forms are necessary. The tusks are currently at the taxidermist in Bulawayo, Zimbabwe.

The original application and check was received by your office on 11/20/2018 at 9:53am and signed for by Darryl. The check has been processed and I have included a statement from the bank showing check number 12874 was processed electronically on 12/18/2018 for the amount of \$100. The bank stated the method the check was processed prevents them from having a photo copy of the cancelled check.

I appreciate your help in this matter. Please feel free to contact me at any time if I can be of assistance.

Regards,

(b) (6)

James David Strickler

(b) (6)

Wells Fargo Prime Checking

Activity summary

Balance on 12/1	(b) (6)
Deposits/Additions	(b) (6)
Withdrawals/Subtractions	(b) (6)
Balance on 12/31	(b) (6)

Account number (b) (6)
JAMES DAVID STRICKLER
 (b) (6)
 Wells Fargo Bank, N.A. (Member FDIC)
 TEXAS account terms and conditions apply
 Questions about your account. 1-800-742-4932
 Worksheet to balance your account and General Statement Policies can be found towards the end of this statement.

Overdraft protection

Your account is linked to the following for Overdraft Protection:
 (b) (6)

Interest you've earned

Interest paid this statement	(b) (6)
Interest earned this statement period	(b) (6)
Average collected balance	(b) (6)
Annual percentage yield earned	(b) (6)
Interest paid this year	(b) (6)

Transaction history

Date	Description	Check No.	Deposits/ Additions	Withdrawals/ Subtractions	Ending Daily Balance					
	Beginning balance on 12/1				(b) (6)					
(b) (6)	[REDACTED]									
12/18						Fws Region 9 Payment 181217 2874	(b) (6)	^ 2874	100.00	(b) (6)
(b) (6)						[REDACTED]				

Key to symbols: ^ Converted check: Paper check converted to an electronic format by your payee or designated representative
 Converted checks cannot be returned, copied or imaged.

Summary: (b) (6) (Converted checks listed are also displayed in the preceding Transaction history section)

Number	Date	\$ Amount	Number	Date	\$ Amount	Number	Date	\$ Amount
2874	12/18	100.00	(b) (6)					
(b) (6)	[REDACTED]							



**Department of Interior
U.S. Fish and Wildlife Service
Federal Fish and Wildlife Permit Application Form**

U.S. Fish and Wildlife Service
Division of Management Authority
Branch of Permits, MS: IA
5275 Leesburg Pike
Falls Church, VA 22041-3803
1-800-358-2104 or 703-358-2104

IMPORT OF SPORT-HUNTED TROPHIES under Appendix of
the Convention on International Trade in Endangered
Species (CITES) and the U.S. Endangered Species Act/ESA

Complete Sections A or B, and C, D, and E of this application. U.S. address may be required in Section C, see instructions for details.
Instructions on how to make your application complete and help avoid unnecessary delays are attached.

Section A: Complete if applying as an individual

1 a. Last Name Strickler	1 b. First Name James	1 c. Middle Name/Initial David	1 d. Suffix
2. Date of Birth (mm/dd/yyyy)	3. Telephone Number	3 a. Alternate Telephone Number	4. E-mail address

Section B: Complete if applying on behalf of a business, corporation, public agency, Tribe, or institution

1 a. Name of business, agency, Tribe, or institution		1 b. Doing business as (DBA)	
2. Tax identification no.		3. Description of business, agency, Tribe, or institution	
4 a. Principal officer Last name	4 b. Principal officer First Name	4 c. Principal officer Middle name/initial	4 d. Suffix
5. Principal officer title		6. Primary contact name	
7 a. Business telephone number	7 b. Alternate telephone number	7 c. Business fax number	7 d. Business e-mail address

Section C: All applicants complete address information

1 a. Physical address (Street address, apartment #, Suite #, or Room #, no P.O. Boxes)				
(b) (6)				
1 b. City	1 c. State	1 d. Zip code/Postal code	1 e. County/Province	1 f. Country
Magnolia	Texas	(b) (6)		USA
2 a. Mailing address (includes if different than physical address; include name of contact person if applicable)				
(b) (6)				
2 b. City	2 c. State	2 d. Zip code/Postal code	2 e. County/Province	2 f. Country
Magnolia	Texas	(b) (6)		USA

Section D: All applicants MUST complete

1. Attach the nonrefundable application processing fee in the form of a check or money order payable to the U.S. FISH AND WILDLIFE SERVICE in the amount of \$100. Federal, Tribal, State, and local government agencies, and those acting on behalf of such agencies, are exempt from the processing fee—attach documentation of fee exempt status as outlined in instructions [50 CFR 13.11(d)].	
2. Certification: I hereby certify that I have read and am familiar with the regulations contained in Title 50 Part 13 of the Code of Federal Regulations and the other applicable parts in subchapter B of Chapter I of Title 50, and I certify that the information submitted in this application for a permit is complete and accurate to the best of my knowledge and belief. I understand that any false statement herein may subject me to the criminal penalties of 18 U.S.C. 1001.	

Signature of applicant/Principal Officer for permit (No photocopied or stamped signatures) Date of signature (mm/dd/yyyy)

(b) (6)

Please continue to next page

E. IMPORT OF SPORT-HUNTED TROPHIES (Appendix I of CITES and/or ESA)

Note 1: This form should be used to request authorization to import trophies of species listed on Appendix I of CITES and/or as threatened or endangered under the ESA (examples include elephants, lions, cheetahs, wood bison, and markhor).

PLEASE USE FORM 3-200-19 FOR SOUTHERN AFRICAN LEOPARD TROPHIES AND SOUTHERN WHITE RHINOCEROS TROPHIES TAKEN IN NAMIBIA.

Note 2: If you hold an import permit for a trophy that you did not use, please return the unused original permit. If you are requesting reissuance of a permit because you have taken a trophy, but were unable to import it prior to the expiration of the permit, please use the renewal form () and return your original permit with that form.

Note 3: Applications for species listed as endangered under the U.S. Endangered Species Act are published in the Federal Register for a 30-day public comment period. Please allow at least 90 days for the application to be processed.

Note 4: *Sport-hunted trophy* is defined as follows: *Sport-hunted trophy* means a whole dead animal or a readily recognizable part or derivative of an animal specifically identified on the accompanying CITES document that meets the following criteria:

- Is raw, processed, or manufactured;
- Was legally obtained by the hunter through hunting for his or her personal use;
- Is being imported, exported, or re-exported by or on behalf of the hunter as part of the transfer from its country of origin ultimately to the hunter's country of usual residence; and
- Includes worked, manufactured, or handicraft items made from the sport-hunted animal only when:
 - i. Such items are contained in the same shipment as raw or tanned parts of the sport-hunted animal and are for the personal use of the hunter;
 - ii. The quantity of such items is no more than could reasonably be expected given the number of animals taken by the hunter as shown on the license or other documentation of the authorized hunt accompanying the shipment; and
 - iii. The accompanying CITES documents (export document and, if appropriate, import permit) contain a complete itemization and description of all items included in the shipment.

Note 5: Certain hunting trophies, including elephants, are subject to restrictions on their use after import into the United States. Please see 50 CFR 23.55 for more information or contact the Division of Management Authority

Please provide the following information. Complete all questions on the application. Mark questions that are not applicable with "N/A". If needed, use a separate sheet of paper. On all attachments or separate sheets you are submitting; please indicate the application question number you are addressing. If applying for more than one trophy, be sure to answer questions 4-6 for each trophy addressed in this application. If importing trophies from more than one country, you must submit a separate application for each shipment in order to obtain separate import permits.

1. Name and address where you wish the permit to be mailed, if different from page 1. If you would like expedited shipping, please enclose a self-addressed, pre-paid, computer-generated, courier service airway bill. If unspecified, all documents will be mailed via the U.S. Postal Service.

2. Who should we contact if we have questions about the application (name, phone number, and e-mail)?

James David Strickle (b) (6)

3. Disqualification factor. A conviction, or entry of a plea of guilty or nolo contendere, for a felony violation of the Lacey Act, the Migratory Bird Treaty Act, or the Bald and Golden Eagle Protection Act disqualifies any such person from receiving or exercising the privileges of a permit, unless such disqualification has been expressly waived by the Service Director in response to a written petition. (50 CFR 13.21(c)) Have you or any of the owners of the business, if applying as a business, been convicted, or entered a plea of guilty or nolo contendere, forfeited collateral, or are currently under charges for any violations of the laws mentioned above?

No Yes

If you answered "Yes" to Question 3, provide: a) the individual's name, b) date of charge; c) charge(s); d) location of incident; e) court, and f) action taken for each violation. Please be aware that a "Yes" response does not automatically disqualify you from getting a permit

- 4 For each trophy to be imported, provide:

a. Scientific name (genus, species, and, if applicable, subspecies) and common name.

Loxodonta Africana

b. Sex (if known). Male

- 5 IF ANIMAL IS CURRENTLY LIVING IN THE WILD, please enter the following information:

a. Country and place (area, region, GIS coordinates, ranch, conservancy, management area, or hunting block, AND nearest city) where wildlife is to be taken from the wild:

b. Date wildlife is to be hunted:

c. Name of hunting outfitter, safari company, or professional hunter you will be hunting with:

6. IF THE TROPHY HAS ALREADY BEEN TAKEN, please enter the following information

a. Country and place (area, region, GIS coordinates, ranch, conservancy, management area, or hunting block, AND nearest city) where wildlife was removed from the wild:

Zimbabwe, Africa, Masikile Ranch, Matetsi Eastern Block Safari Area in Hwange District, 40 Kms northeast of Hwange and 60 Kms southwest from Victoria Falls on the east side of Hwy A8

b. Date wildlife was hunted:

September 24, 2018

- c. Name of hunting outfitter, safari company, or professional hunter you hunted with.

Tshabezi Safaris: PH Monty Jenkinson (license #162)

- d. The current location of the trophy (address and country) [the U.S. import permit will identify this country as the country of export/re-export and must match with the foreign export/re-export document]:

Masikile Ranch, Matetsi Eastern Block in Hwange District, Zimbabwe, Africa

7. Please provide a copy of any applicable foreign government permits or licenses that were required to remove this animal from the wild (if you have not hunted yet and do not currently hold any such permits or licenses, please indicate so). see Attached
8. Complete name and address of overseas person or business shipping the trophy to you. If you are applying to import a trophy directly from Namibia, you must provide the name and address of the professional hunter listed on your Namibian hunting permit [this name will appear on the face of the export permit].

Name:

Business Name: Outbound Cargo and Trophy Exporters- Contact Person M. Nash

Address: 5 Grantham Road

City: Belmont, Bulawayo

State/Province: Zimbabwe

Country, Postal Code: Africa +263(0) 9 881531-3

9. For species listed under the U.S. Endangered Species Act, please be aware that the U.S. Fish and Wildlife Service (Service) must make a finding that your activities will enhance or benefit wild populations of the species involved. While we will communicate with the range country for the species you will hunt or hunted when making the required findings, if you have any information that could support this finding, it would be helpful for our review if you could provide it. Please submit such information on a separate page with your application.

- a. Do you have any information regarding the population status or trend data on the species hunted?

The population of Elephant is nearly double Zimbabwe's holding capacity-there are about 85,000 elephant and the holding capacity is around 45,000. The bulk of these numbers are in/around this area.

- b. In order to hunt, you likely paid for licenses or trophy fees. What were those fees and do you have any information on how those funds were used by either the landowner, local community, or government?

The area consists of small scale farms that group together to get a quota-when a trophy is taken the fees are then split with 50% going to the farms and 50% for anti-poaching, water development and administration. All the meat and most of the hide is distributed to the community-nothing goes to waste.

- c. Do you have information on other funding activities that are being carried out, or were carried out, by the safari outfitter, professional hunter, concession holder, or landowner that provide a conservation benefit to the species being hunted/species hunted?

The main benefit is the anti-poaching teams that are paid monthly to scout and protect the area from poaching Hunting also funds community employment, helps with problem animal control destroying crops so the animal has value-they want to protect rather than poison or poach the elephant.

CERTIFICATION STATEMENT (original signature must be provided for either 10 or 11 below)

10. If you are a broker or taxidermist applying on behalf of a U.S. hunter or foreign national, provide documentation to show you have a Power of Attorney to act on your client's behalf and sign the following statement:

I acknowledge that the sport-hunted trophy/trophies to be imported has been/will be personally hunted by my client and is being imported only for my client's personal use (i.e., not for sale, transfer, donation, or exchange that is reasonably likely to result in economic use, gain, or benefit). If applying for the import of an elephant trophy, I understand that my client may import no more than two elephant trophies in one calendar year.

Taxidermist/Broker's signature: _____ Date: _____

11. If you are the hunter applying to import your own trophy, please read and sign the following statement:

I acknowledge that the sport-hunted trophy/trophies to be imported has been/will be personally hunted by me and is being imported only for my personal use (i.e., not for sale, transfer, donation, or exchange that is reasonably likely to result in economic use, gain, or benefit). If applying to import an elephant trophy, I understand that I may import no more than two elephant trophies in one calendar year.

Applicant's signature: _____ Date: _____

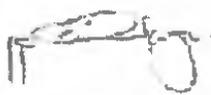
Be aware that there may be additional permitting or approval requirements by your local or state governments, as well as required by other Federal agencies or foreign governments to conduct your propose activity. While the Service will attempt to assist where possible, it is your responsibility to obtain such approval.

12. All international shipments must be through a designated port. A list of designated ports (where an inspector is posted) is available from _____ . If you wish to use a port not listed, please contact the Office of Law Enforcement for a Designated Port Exemption Permit (form 3-200-2).

ZIMBABWE PARKS AND WILDLIFE MANAGEMENT
AUTHORITY

MEMORANDUM

To: Director General

From: Area Manager HQ 

Date: 21 September 2018

RE: AUTHORITY TO PROCESS SPECIAL PERMIT FOR HUNTING OUTSIDE
PARKS ESTATE

Your authority is kindly sought to process the permit for Tshabezi Safaris who have applied for extension of their permit to hunt 1 x elephant with client David Strickler in the following properties:

- Matetsi Eastern Block Safaris in Hwange District Matebeleland North Province.
- Isla Farm in Hwange District Matebeleland North Province.

The original dates were from 17 September 2018 to 22 September 2018 and they want to extend the dates of their hunt to end on 26th of September 2018 under the guidance of professional hunter Monty Jenkinson FFI license #162.

Kindly find attached application from the operator and copies of 2018 hunting permit and quota.

Recommended/ ~~Not Recommended~~..... .....
Investigation and Security Manager

21/09/18
Date

Approved/ ~~Not Approved~~..... .....
Head Management Services
For and on behalf of the Director General

21/09/18
Date

CASH RECEIPT

VAT REG: 10018085

PAGE No:

No. 9 SEP 1990 17 SEP 2010

THE GOVERNMENT OF VICTORIA
WILDLIFE MANAGEMENT AUTHORITY
PAROS AND KALLISTE WILDLIFE SAFARI AREA
MATEYSI SAFARI AREA

P. BAG 5928
VICTORIA FALLS

RECEIPT No. [REDACTED] (b) (6)
 DATE: [REDACTED]
 SPT: [REDACTED]
 CUSTOMER No. [REDACTED]

PRICE	QTY	AMOUNT	VAT	TOTAL AMOUNT
5-00	1	4-35	9-65	5-00
GRAND TOTAL				4-35
				0-65
				5-00

HUNTING APPLICATION

CERTIFICATE OF OWNERSHIP OF IVORY AND RHINOCEROS HORN
(Issued in terms of section 77 of the Parks and Wildlife (General) Regulations, 1900)

R

THE AREA MANAGER
PARKS AND WILDLIFE MANAGEMENT AUTHORITY
MATEESI SAFARI AREA
VICTORIA FALLS

08 OCT 2018
K. P. R. 5028
VICTORIA FALLS

Officer's signature and date-stamp

Cert. No 123642

Name David Strickler

(b) (6)

Address Masopila T (b) (6)

United States

Tusk serial number
ZM 2015 28056

Tusk mass (kg)
7kg

Tusk length (metric)
128 mm

Sex
Male

Notes

This certificate must be returned to the Director, Department of National Parks and Wildlife Management, P.O. Box CY 140, Causeway, in the event of the tusk being cut up for manufacturing purposes, lost, stolen or exported. Transfer/sale of ivory or horn is to be done at National Parks offices.

Exchange
12 August
The Perm
Ministry o
Dear Sir
RE: UTILIS
KIMBARAS
This letter
Trucking Car
The usage
Livelihood.
On behalf o

CERTIFICATE OF OWNERSHIP OF IVORY AND RHINOCEROS HORN
(Issued in terms of section 77 of the Parks and Wildlife (General) Regulations, 1900)

L

THE AREA MANAGER
PARKS AND WILDLIFE MANAGEMENT AUTHORITY
MATEESI SAFARI AREA
VICTORIA FALLS

08 OCT 2018
K. P. R. 5028
VICTORIA FALLS

Specified officer's signature and date-stamp

Cert. No 123642

Name David Strickler

(b) (6)

Address Masopila T (b) (6)

United States

Tusk serial number
ZM 2015-

Tusk mass (kg)
7kg

Tusk length (metric)
131cm

Sex
Male

Notes

This certificate must be returned to the Director, Department of National Parks and Wildlife Management, P.O. Box CY 140, Causeway, in the event of the tusk being cut up for manufacturing purposes, lost, stolen or exported. Transfer/sale of ivory or horn is to be done at National Parks offices.

Exchange
12 August
The Perm
Ministry o
Dear Sir
RE: UTILIS
KIMBARAS
This letter
Trucking Car
The usage
Livelihood.
On behalf o

RCVD MW 8/2011

LB

TAKESHI HAYAKAWA

(b) (6)

May 2, 2019

Ms. Darcy Vargas
U.S. Fish and Wildlife Service
Division of Management Authority (DMA)
Branch of Permits, MS:IA
5275 Leesburg Pike
Falls Church, VA 22041-3803

Dear Darcy,

As I mentioned in my e-mail, I just received the Acknowledgement letter for permit No.38511D on 4/26 and I realized that I forgot to send the \$100 check for the processing fee.

Enclosed please find the check for \$100 for processing fee for PRT identification number US38511D/9.

Please apply this check for the US38511D/9.

If you have any questions, please let me know.
I often travel to Japan, but you can always reach me via e-mail.

Thank you again for your help.

Sincerely,

Takeshi Hayakawa

(b) (6)

25-233 47300
212

DATE May 2, 2019

PAY TO THE ORDER OF U.S. Fish and Wildlife Service \$ 100.00

One hundred and 00/100

DOLLARS



(b) (6)

(b) (6)

(b) (6)

PAY TO THE ORDER OF

CHASE

JPMorgan Chase Bank, N.A.
www.Chase.com

PRT # 385110

MEMO



**Department of Interior
U.S. Fish and Wildlife Service
Federal Fish and Wildlife Permit Application Form**

RECEIVED 04/30/19 *LS*

U.S. Fish and Wildlife Service
Division of Management Authority
Branch of Permits, MS: IA
5275 Leesburg Pike
Falls Church, VA 22041-3603
1-800-358-2104 or 703-358-2104

Type of Activity

IMPORT OF SPORT-HUNTED TROPHIES under Appendix I of the Convention on International Trade in Endangered Species (CITES) and/or U.S. Endangered Species Act (ESA)

Complete Sections A or B, and C, D, and E of this application. U.S. address may be required in Section C, see instructions for details. Instructions on how to make your application complete and help avoid unnecessary delays are attached.

Section A: Complete if applying as an individual

1.a. Last Name ALLEN		1.b. First Name ROBERT		1.c. Middle Name/Initial	1.d. Suffix
2. Date of Birth (mm/dd/yyyy)		3. Telephone Number		3.a. Alternate Telephone Number	
(b) (6)				4. E-mail address	

Section B: Complete if applying on behalf of a business, corporation, public agency, Tribe, or institution

1.a. Name of business, agency, Tribe, or institution		1.b. Doing business as (DBA)			
2. Tax identification no.		3. Description of business, agency, Tribe, or institution			
4.a. Principal officer Last name	4.b. Principal officer First Name	4.c. Principal officer Middle name/initial		4.d. Suffix	
5. Principal officer title		5. Primary contact name			
7.a. Business telephone number	7.b. Alternate telephone number	7.c. Business fax number	7.d. Business e-mail address		

Section C: All applicants complete address information

1.a. Physical address (Street address, Apartment #, Suite #, or Room #; no P.O. Boxes)				
(b) (6)				
1.b. City RUCKERSVILLE	1.c. State VIRGINIA	1.d. Zip code/Postal code (b) (6)	1.e. County/Province	1.f. Country U.S.A.
2.a. Mailing address (include if different than physical address; include name of contact person if applicable)				
2.b. City	2.c. State	2.d. Zip code/Postal code	2.e. County/Province	2.f. Country

Section D: All applicants MUST complete

<p>1. Attach the nonrefundable application processing fee in the form of a check or money order payable to the U.S. FISH AND WILDLIFE SERVICE in the amount of \$100. Federal, Tribal, State, and local government agencies, and those acting on behalf of such agencies, are exempt from the processing fee—attach documentation of fee exempt status as outlined in instructions [50 CFR 13.11(d)].</p>	<p>2. Certification: I hereby certify that I have read and am familiar with the regulations contained in Title 50 Part 13 of the Code of Federal Regulations and the other applicable parts in subchapter B of Chapter I of Title 50, and I certify that the information submitted in this application for a permit is complete and accurate to the best of my knowledge and belief. I understand that any false statement herein may subject me to the criminal penalties of 18 U.S.C. 1001.</p>
---	---

Signature of applicant/Principal Officer for permit (No photocopied or stamped signatures)	Date of signature (mm/dd/yyyy)
(b) (6) <i>any in fact</i>	04/30/2019
Please continue to next page	

E. IMPORT OF SPORT-HUNTED TROPHIES (*Appendix I of CITES and/or ESA*)

Note 1: This form should be used to request authorization to import trophies of species listed on Appendix I of CITES and/or as threatened or endangered under the ESA (examples include elephants, lions, cheetahs, wood bison, and markhor).

PLEASE USE FORM 3-200-19 FOR SOUTHERN AFRICAN LEOPARD TROPHIES AND SOUTHERN WHITE RHINOCEROS TROPHIES TAKEN IN NAMIBIA.

Note 2: If you hold an import permit for a trophy that you did not use, please **return the unused original permit**. If you are requesting reissuance of a permit because you have taken a trophy, but were unable to import it prior to the expiration of the permit, please use the renewal form (3-200-52) and return your original permit with that form.

Note 3: Applications for species listed as endangered under the U.S. Endangered Species Act are published in the Federal Register for a 30-day public comment period. Please allow at least **90 days** for the application to be processed.

Note 4: *Sport-hunted trophy* is defined at 50 CFR 23.74 as follows: *Sport-hunted trophy* means a whole dead animal or a readily recognizable part or derivative of an animal specifically identified on the accompanying CITES document that meets the following criteria:

- Is raw, processed, or manufactured;
- Was legally obtained by the hunter through hunting for his or her personal use;
- Is being imported, exported, or re-exported by or on behalf of the hunter as part of the transfer from its country of origin ultimately to the hunter's country of usual residence; and
- Includes worked, manufactured, or handicraft items made from the sport-hunted animal only when:
 - i. Such items are contained in the same shipment as raw or tanned parts of the sport-hunted animal and are for the personal use of the hunter;
 - ii. The quantity of such items is no more than could reasonably be expected given the number of animals taken by the hunter as shown on the license or other documentation of the authorized hunt accompanying the shipment; and
 - iii. The accompanying CITES documents (export document and, if appropriate, import permit) contain a complete itemization and description of all items included in the shipment.

Note 5: Certain hunting trophies, including elephants, are subject to restrictions on their use after import into the United States. Please see 50 CFR 23.55 for more information or contact the Division of Management Authority.

Please provide the following information. Complete all questions on the application. Mark questions that are not applicable with "N/A". If needed, use a separate sheet of paper. On all attachments or separate sheets you are submitting; please indicate the application question number you are addressing. If applying for more than one trophy, be sure to answer questions 4-6 for each trophy addressed in this application. If importing trophies from more than one country, you must submit a separate application for each shipment in order to obtain separate import permits.

1. Name and address where you wish the permit to be mailed, if different from page 1. If you would like expedited shipping, please enclose a self-addressed, pre-paid, computer-generated, courier service airway bill. If unspecified, all documents will be mailed via the U.S. Postal Service.

PRO CARGO USA
15619 MORALES ROAD
HOUSTON, TEXAS 77032

SELF ADDRESSED FEDEX ENVELOPE ENCLOSED
ATTN.CINDI RULON

2. Who should we contact if we have questions about the application (name, phone number, and e-mail)?

CINDI RULON AT PRO CARGO
PHONE 281-220-3176

CINDI.RULON@PROCARGOUSA.COM

3. Disqualification factor. A conviction, or entry of a plea of guilty or nolo contendere, for a felony violation of the Lacey Act, the Migratory Bird Treaty Act, or the Bald and Golden Eagle Protection Act disqualifies any such person from receiving or exercising the privileges of a permit, unless such disqualification has been expressly waived by the Service Director in response to a written petition. (50 CFR 13.21(c)) Have you or any of the owners of the business, if applying as a business, been convicted, or entered a plea of guilty or nolo contendere, forfeited collateral, or are currently under charges for any violations of the laws mentioned above?

No Yes

If you answered "Yes" to Question 3, provide: a) the individual's name; b) date of charge; c) charge(s); d) location of incident; e) court; and f) action taken for each violation. Please be aware that a "Yes" response does not automatically disqualify you from getting a permit.

4. For each trophy to be imported, provide:

a. Scientific name (genus, species, and, if applicable, subspecies) and common name.

AFRICAN ELEPHANT LOXODANTA AFRICANA

b. Sex (if known). MALE

5. **IF ANIMAL IS CURRENTLY LIVING IN THE WILD**, please enter the following information:

a. Country and place (area, region, GIS coordinates, ranch, conservancy, management area, or hunting block, AND nearest city) where wildlife is to be taken from the wild:

b. Date wildlife is to be hunted:

c. Name of hunting outfitter, safari company, or professional hunter you will be hunting with:

6. **IF THE TROPHY HAS ALREADY BEEN TAKEN**, please enter the following information:

a. Country and place (area, region, GIS coordinates, ranch, conservancy, management area, or hunting block, AND nearest city) where wildlife was removed from the wild:

MASHI CONSERVANCY IN THE CAPRIVI STRIP NEAR KONGOLA NAMIBIA

b. Date wildlife was hunted:

OCTOBER 5, 2018

- c. Name of hunting outfitter, safari company, or professional hunter you hunted with:

OMUJEVE HUNTING SAFARIS PROFESSIONAL HUNTER G. CLOEFE

- d. The current location of the trophy (address and country) [the U.S. import permit will identify this country as the country of export/re-export and must match with the foreign export/re-export document]:

OMUJEVE SAFARIS ONGOMBO WEST SECTION2 NUMBER 56 WINDHOEK NAMIBIA

7. Please provide a copy of any applicable foreign government permits or licenses that were required to remove this animal from the wild (if you have not hunted yet and do not currently hold any such permits or licenses, please indicate so). ATTACHED

8. Complete name and address of overseas person or business shipping the trophy to you. If you are applying to import a trophy directly from Namibia, you must provide the name and address of the professional hunter listed on your Namibian hunting permit [this name will appear on the face of the export permit].

Name: J.A. DE KLERK
Business Name: OMUJEVE HUNTING SAFARIS
Address: PO BOX 9453
City: WINDHOEK
State/Province: NAMIBIA
Country, Postal Code:

9. For species listed under the U.S. Endangered Species Act, please be aware that the U.S. Fish and Wildlife Service (Service) must make a finding that your activities will enhance or benefit wild populations of the species involved. While we will communicate with the range country for the species you will hunt or hunted when making the required findings, if you have any information that could support this finding, it would be helpful for our review if you could provide it. Please submit such information on a separate page with your application.

- a. Do you have any information regarding the population status or trend data on the species hunted?

SEE ATTACHED

- b. In order to hunt, you likely paid for licenses or trophy fees. What were those fees and do you have any information on how those funds were used by either the landowner, local community, or government?

1 PAID 10,000USD FOR THE TROPHY FEE. MY HUNT PROVIDED FOR MANY JOBS IN THE COMMUNITY(GUIDES, SKINNERS, TRACKERS, DRIVERS, LODGE STAFF) A TOTAL OF MORE THAN 40 PEOPLE FROM MULTIPLE VILLAGES. THE MEAT FROM THE ELEPHANT PROVIDED PROTEIN FOR MORE THAN 150 VILLAGERS IN THE MASHI CONSERVANCY

- c. Do you have information on other funding activities that are being carried out, or were carried out, by the safari outfitter, professional hunter, concession holder, or landowner that provide a conservation benefit to the species being hunted/species hunted?

OMUJEVE SPENDS OVER 35,000 PER YEAR ON ANTIPOACHING EFFORTS THROUGH HIRING OF GUARDS, PROVIDING EQUIPMENT SUCH AS RADIOS, VEHICLES, FIRE ARMS, ETC. OVER 2,000USD OF EACH HUNT GOES TO THESE EFFORTS.

CERTIFICATION STATEMENT (original signature must be provided for either 10 or 11 below)

- 10. If you are a broker or taxidermist applying on behalf of a U.S. hunter or foreign national, provide documentation to show you have a Power of Attorney to act on your client's behalf and sign the following statement:

I acknowledge that the sport-hunted trophy/trophies to be imported has been/will be personally hunted by my client and is being imported only for my client's personal use (i.e., not for sale, transfer, donation, or exchange that is reasonably likely to result in economic use, gain, or benefit). If applying for the import of an elephant trophy, I understand that my client may import no more than two elephant trophies in one calendar year.

Taxidermist/Broker's signature: _____

(b) (6)



Very in fact Date: 04/30/2019

- 11. If you are the hunter applying to import, please read and sign the following statement:

I acknowledge that the sport-hunted trophy/trophies to be imported has been/will be personally hunted by me and is being imported only for my personal use (i.e., not for sale, transfer, donation, or exchange that is reasonably likely to result in economic use, gain, or benefit). If applying to import an elephant trophy, I understand that I may import no more than two elephant trophies in one calendar year.

Applicant's signature: _____ Date: _____

Be aware that there may be additional permitting or approval requirements by your local or state governments, as well as required by other Federal agencies or foreign governments to conduct your propose activity. While the Service will attempt to assist where possible, it is your responsibility to obtain such approval.

- 12. All international shipments must be through a designated port. A list of designated ports (where an inspector is posted) is available from the list of designated ports. If you wish to use a port not listed, please contact the Office of Law Enforcement for a Designated Port Exemption Permit (form 3-200-2).

MINISTRY OF ENVIRONMENT AND TOURISM

Directorate Scientific Services
 Private Bag 13306
 Windhoek, Namibia
 Enquiries: Permit Office
 Tel +264-61-284 2538/2506



PERMIT No.:	183516
VALID FROM:	02.10.18
VALID TO:	18.10.18
RECEIPT No.:	SP12002

PERMIT TO HUNT FOR TROPHIES

Permission is hereby granted in terms of the Nature Conservation Ordinance 1975 (Ord. 4 of 1975)

Name of Trophy Hunter: R. Allen

Residential Address: _____

Country: USA

To hunt the specified number of game for the sake of trophies:

SPECIES: COMMON NAME	NUMBER	SPECIES: COMMON NAME	NUMBER	SPECIES: COMMON NAME	NUMBER	SPECIES: COMMON NAME	NUMBER
Blesbok	/	Impala Common	/	Springbok	/	Other	/
Buffalo	/	Klipspringer	/	Steenbok	/	Report within 48 hours after hunt	/
Crocodile	/	Kudu	IX	Warthog	/		/
Dik-Dik	/	Lechwe	/	Waterbuck	/		/
Eland	/	Oryx (Gemsbok)	/	Wildebeest, Black	/		/
Elephant	IX	Ostrich	/	Wildebeest, Blue	IX		/
Giraffe	/	Reedbuck	/	Zebra Burchell's	/		/
Grey Duiker	IX	Rhinoceros, Black	/	Zebra Hartmann's	/		/
Hartebeest	/	Rhinoceros, White	/	Cheetah	/		/
Hippopotamus	/	Roan	/	Leopard	/		/
Impala Black-Faced	/	Sable	/	Lion	/		/

On the following farms / hunting concessions / safari / guestfarm: Moshi Conservancy

Guided by (name of guides): G. Clode

MINISTRY OF ENVIRONMENT & TOURISM
Alches
 ISSUING OFFICER (NAME & SIGNATURE)
 UNDER THE AUTHORITY OF THE MINISTER
 POHAMBASHIFETA IN TERMS OF SECTION
 78 (L) OF THE NATURE CONSERVATION
 ORDINANCE, 1975 (ORDINANCE NO. 4
 OF 1975) AS AMENDED.

Official MET date stamp
 18.10.18

IMPORTANT: This permit is not valid if altered in any way and is not transferable.

Namibia Elephant Fact sheet- 2018

Namibia's total elephant population has increased from an estimated 7500 in 1995 to 22711 in 2017 based on Namibian Ministry of Environment and Tourism study. This represents a 5.1% increase in population each year. It is important to note that these numbers include the impact of both legal and illegal offtakes. Namibia cannot support more elephants than its current numbers meaning the relocation to neighboring countries and offtake must offset exiting growth estimates. 2017 legal offtake numbers were 175 which still allowed for increase in total numbers of over 1400 if the 5.1% average increase was maintained. Relocation to neighboring countries is revenue negative and must be funded. If legal offtake at current rates is increased, significant funding could be used to provide relocation services and prevent overpopulation which degrades habitat for all wildlife and is not sustainable. Total relocation and offtake numbers should be in the area of 1000 elephants per year to maintain current population levels. If a conservative legal offtake of 250 elephants is used, the estimated increase in conservancies is US\$ 1,937,460.



John Rulon CHB

15619 Morales Rd. Houston, Texas 77032 281-220-3158

BELOW IS A POWER OF ATTORNEY FORM WHICH IS REQUIRED BY U.S. CUSTOMS, TO ALLOW US TO TRANSACT U.S. CUSTOMS CLEARANCE ON YOUR BEHALF.
U.S. Customs Services 141.32 C.R.

CUSTOMS POWER OF ATTORNEY

SS# (b) (6)
Cell Phone (b) (6)
Email address: (b) (6)

Individual

KNOW ALL MEN BY THESE PRESENTS: That, Robert Allen (Full Name of Person), residing at (b) (6) Ruckersville, VA (b) (6) hereby constitutes and appoints each of the following persons - John Rulon CHB

may act through any of its offices or any employees with Power of Attorney on file with this licensed broker as required by the Regional Commission of Customs as a true and lawful agent and attorney of the grantor named above for and in the name, place, stead of said grantor from this date and in all Customs Districts, and in no other name, to make, endorse, sign, declare, or swear to any entry, withdrawal, declaration, certificate, bill of lading, or other document required by law or regulation in connection with the importation, transportation, or exportation of any merchandise shipped or cosigned by or to said grantor; to perform any act or condition which may be required by law or regulation in connection with such merchandise; to receive any merchandise deliverable to said grantor: To make endorsements on bills of lading conferring authority to transfer title, make entry or collect drawback, and to make, sign, declare, or swear to any statement, supplemental statement, schedule, supplemental schedule, certificate of delivery, certificate of manufacture, certificate, and delivery abstract of manufacturing records, declaration of proprietor on drawback entry, declaration of exporter on drawback entry, or any other affidavit or document which may be required by law or regulation for drawback purposes, regardless of whether such bill of lading, sworn statement, schedule, certificate, abstract, declaration, or other affidavit or document is intended for filing in any customs district. To sign, seal, and deliver for and as the act of said grantor any bond required by law or regulation in connection with the entry or withdrawal of imported merchandise or merchandise exported with or without benefit of drawback, or in connection with the entry, clearance, lading, unloading or navigation of any vessel or other means of conveyance owned or operated by said grantor, and any and all bonds which may be voluntarily given and accepted under applicable laws and regulations, consignee's and owners declarations provided for in section 485, Tariff Act of 1930, as amended, or affidavits in connection with the entry of merchandise: To sign and swear to any document, and to perform any act that may be necessary or required by law or regulation in connection with the entering, clearing, lading, unloading, or operation of any vessel or other means of conveyance owned or operated said grantor. To authorize other Customs Brokers to act as grantor's agent; to receive, endorse and collect checks issued for Customs duty refunds in grantors name drawn on the Treasurer of the United States; if the grantor is a nonresident of the United States, to accept service of process on behalf of the grantor. And generally to transact at the customhouses in said district any and all customs business, including making, signing, and filling of protests under section 514 of the Tariff Act of 1930. In which said grantor is or may or may be concerned or interested and which may properly be transacted or performed by an agent and attorney, giving to said agent attorney full power and authority to do anything whatever requisite and necessary to be done in the premises as fully as said grantor could do if present and acting, hereby ratifying and confirming all that the said agent and attorney shall lawfully do by virtue of these presents; the forgoing power of attorney to remain in full force and effect until notice of revocation in writing is duly given to and received by the District Director of customs of the district aforesaid. If the donor of this power of attorney is a partnership, the said the power shall in no case have any force or effect after the expiration of 2 years from the date of its execution. Or until revoked. Pursuant to 19 CFR 111.36(a), election is made waiving the right to receive invoices, supporting documents and correspondences from John Rulon CHB, who is directed to forward all documents and inquiries concerning our imports to their customs brokerage services, to our freight forwarder, who will pay John Rulon CHB, for duties, and services performed on our behalf. It is understood the right to revoke said waiver is continuing.

Customs Rules on Discharge of Importers Liability for Duties

The U.S. Customs Service has issued a final amendment of the regulations to provide an alternative procedure for an importer record to pay duties on imported merchandise through a licensed customhouse broker. Present rules provide for the payment of duties to Customs by a broker on behalf of the importer. Under the alternative procedure, the importer may elect to submit to the broker a separate check or bank draft for the duties payable to the "U.S. Customs Service". The broker would then deliver the importer's check or bank draft to Customs. The new rule also requires brokers to provide written notification to their clients, advising that if the client is an importer of record, payment to the broker will not relieve the client of liability for Customs charges in the event that the charges are not paid by the broker. Brokers will be required to provide this notification to all active clients annually during the month of February. Additionally, brokers will be required to provide such information on a power of attorney executed on or after the effective date of the new rule. These amendments are effective as of September 27, 1982

(Print name) Robert Allen
has caused these pr (b) (6)
(Signature) (b) (6)
(Date) 1/14/2019



**Department of Interior
U.S. Fish and Wildlife Service
Federal Fish and Wildlife Permit Application Form**

Type of Activity

U.S. Fish and Wildlife Service
Division of Management Authority
Branch of Permits, MS: IA
5275 Leesburg Pike
Falls Church, VA 22041-3803
1-800-358-2104 or 703-358-2104

**IMPORT OF SPORT-HUNTED TROPHIES under Appendix I of
the Convention on International Trade in Endangered
Species (CITES) and/or U.S. Endangered Species Act (ESA)**

Complete Sections A or B, and C, D, and E of this application. U.S. address may be required in Section C, see instructions for details.
Instructions on how to make your application complete and help avoid unnecessary delays are attached.

Section A: Complete if applying as an individual

1.a. Last Name Caffey		1.b. First Name Christopher		1.c. Middle Name/initial		1.d. Suffix	
2. Date of Birth (mm/dd/yyyy)		3. Telephone Number		3.a. Alternate Telephone Number		4. E-mail address	
(b) (6)							

Section B: Complete if applying on behalf of a business, corporation, public agency, Tribe, or institution

1.a. Name of business, agency, Tribe, or institution				1.b. Doing business as (DBA)			
2. Tax identification no.				3. Description of business, agency, Tribe, or institution			
4.a. Principal officer Last name		4.b. Principal officer First Name		4.c. Principal officer Middle name/initial		4.d. Suffix	
5. Principal officer title				6. Primary contact name			
7.a. Business telephone number		7.b. Alternate telephone number		7.c. Business fax number		7.d. Business e-mail address	

Section C: All applicants complete address information

1.a. Physical address (Street address; Apartment #, Suite #, or Room #; no P.O. Boxes)					
(b) (6)					
1.b. City Summerfield		1.c. State NC		1.d. Zip code/Postal code (b) (6)	
1.e. County/Province				1.f. Country USA	
2.a. Mailing address (include if different than physical address; include name of contact person if applicable)					
2.b. City		2.c. State		2.d. Zip code/Postal code	
2.e. County/Province				2.f. Country	

Section D: All applicants MUST complete

1. Attach the nonrefundable application processing fee in the form of a check or money order payable to the U.S. FISH AND WILDLIFE SERVICE in the amount of \$100. Federal, Tribal, State, and local government agencies, and those acting on behalf of such agencies, are exempt from the processing fee—attach documentation of fee exempt status as outlined in instructions [50 CFR 13.11(d)].	
2. Certification: I hereby certify that I have read and am familiar with the regulations contained in Title 50 Part 13 of the Code of Federal Regulations and the other applicable regulations, and I certify that the information submitted in this application for a permit is complete and accurate to the best of my knowledge and belief, and that any false statement herein may subject me to the criminal penalties of 18 U.S.C. 1001.	
05/08/2019	
Signature of applicant	Date of signature (mm/dd/yyyy)
Please continue to next page	

E. IMPORT OF SPORT-HUNTED TROPHIES (Appendix I of CITES and/or ESA)

Note 1: This form should be used to request authorization to import trophies of species listed on Appendix I of CITES and/or as threatened or endangered under the ESA (examples include elephants, lions, cheetahs, wood bison, and markhor).

PLEASE USE FORM 3-200-19 FOR SOUTHERN AFRICAN LEOPARD TROPHIES AND SOUTHERN WHITE RHINOCEROS TROPHIES TAKEN IN NAMIBIA.

Note 2: If you hold an import permit for a trophy that you did not use, please **return the unused original permit**. If you are requesting reissuance of a permit because you have taken a trophy, but were unable to import it prior to the expiration of the permit, please use the renewal form (3-200-52) and return your original permit with that form.

Note 3: Applications for species listed as endangered under the U.S. Endangered Species Act are published in the Federal Register for a 30-day public comment period. Please allow at least **90 days** for the application to be processed.

Note 4: *Sport-hunted trophy* is defined at 50 CFR 23.74 as follows: *Sport-hunted trophy* means a whole dead animal or a readily recognizable part or derivative of an animal specifically identified on the accompanying CITES document that meets the following criteria:

- Is raw, processed, or manufactured;
- Was legally obtained by the hunter through hunting for his or her personal use;
- Is being imported, exported, or re-exported by or on behalf of the hunter as part of the transfer from its country of origin ultimately to the hunter's country of usual residence; and
- Includes worked, manufactured, or handicraft items made from the sport-hunted animal only when:
 - i. Such items are contained in the same shipment as raw or tanned parts of the sport-hunted animal and are for the personal use of the hunter;
 - ii. The quantity of such items is no more than could reasonably be expected given the number of animals taken by the hunter as shown on the license or other documentation of the authorized hunt accompanying the shipment; and
 - iii. The accompanying CITES documents (export document and, if appropriate, import permit) contain a complete itemization and description of all items included in the shipment.

Note 5: Certain hunting trophies, including elephants, are subject to restrictions on their use after import into the United States. Please see 50 CFR 23.55 for more information or contact the Division of Management Authority.

Please provide the following information. Complete all questions on the application. Mark questions that are not applicable with "N/A". If needed, use a separate sheet of paper. On all attachments or separate sheets you are submitting; please indicate the application question number you are addressing. If applying for more than one trophy, be sure to answer questions 4-6 for each trophy addressed in this application. If importing trophies from more than one country, you must submit a separate application for each shipment in order to obtain separate import permits.

1. Name and address where you wish the permit to be mailed, if different from page 1. If you would like expedited shipping, please enclose a self-addressed, pre-paid, computer-generated, courier service airway bill. If unspecified, all documents will be mailed via the U.S. Postal Service.

2. Who should we contact if we have questions about the application (name, phone number, and e-mail)?

Chris Caffey, (b) (6)

3. Disqualification factor. A conviction, or entry of a plea of guilty or nolo contendere, for a felony violation of the Lacey Act, the Migratory Bird Treaty Act, or the Bald and Golden Eagle Protection Act disqualifies any such person from receiving or exercising the privileges of a permit, unless such disqualification has been expressly waived by the Service Director in response to a written petition. (50 CFR 13.21(c)) Have you or any of the owners of the business, if applying as a business, been convicted, or entered a plea of guilty or nolo contendere, forfeited collateral, or are currently under charges for any violations of the laws mentioned above?

No Yes

If you answered "Yes" to Question 3, provide: a) the individual's name; b) date of charge; c) charge(s); d) location of incident; e) court; and f) action taken for each violation. Please be aware that a "Yes" response does not automatically disqualify you from getting a permit.

4. For each trophy to be imported, provide:

a. Scientific name (genus, species, and, if applicable, subspecies) and common name.

Loxidonta Africana x 2 / Panthera Pardus x 1 / Panthera Leo x1

b. Sex (if known). ALL MALE

5. **IF ANIMAL IS CURRENTLY LIVING IN THE WILD**, please enter the following information:

a. Country and place (area, region, GIS coordinates, ranch, conservancy, management area, or hunting block, AND nearest city) where wildlife is to be taken from the wild:

Zimbabwe / Save Valley Conservancy / Msaize Ranch 133000 acres continuous .20,08'14" S / 32,14'36"
E
Birchenough Bridge , Masvingo Province +

b. Date wildlife is to be hunted:

August 2020

c. Name of hunting outfitter, safari company, or professional hunter you will be hunting with:

Dale DesFountain , Professional Hunters License # 90 , Zimbabwe Professional Hunters and Guides Association ZPWMA No: 090

6. **IF THE TROPHY HAS ALREADY BEEN TAKEN**, please enter the following information:

a. Country and place (area, region, GIS coordinates, ranch, conservancy, management area, or hunting block, AND nearest city) where wildlife was removed from the wild:

b. Date wildlife was hunted:

CERTIFICATION STATEMENT (original signature must be provided for either 10 or 11 below)

- 10. If you are a broker or taxidermist applying on behalf of a U.S. hunter or foreign national, provide documentation to show you have a Power of Attorney to act on your client's behalf and sign the following statement:

I acknowledge that the sport-hunted trophy/trophies to be imported has been/will be personally hunted by my client and is being imported only for my client's personal use (i.e., not for sale, transfer, donation, or exchange that is reasonably likely to result in economic use, gain, or benefit). If applying for the import of an elephant trophy, I understand that my client may import no more than two elephant trophies in one calendar year.

Taxidermist/Broker's signature: _____ Date: _____

- 11. If you are the hunter applying to import your own trophy, please read and sign the following statement:

I acknowledge that the sport-hunted trophy/trophies to be imported has been/will be personally hunted by me and is being imported only for my personal use (i.e., not for sale, transfer, donation, or exchange that is reasonably likely to result in economic use, gain, or benefit). If applying to import an elephant trophy, I understand that I may import no more than two elephant trophies in one calendar year.

Applicant's signature: (b) (6) _____ Date: 5/8/2019

Be aware that there may be additional permitting or approval requirements by your local or state governments, as well as required by other Federal agencies or foreign governments to conduct your propose activity. While the Service will attempt to assist where possible, it is your responsibility to obtain such approval.

- 12. All international shipments must be through a designated port. A list of designated ports (where an inspector is posted) is available from [the list of designated ports](#). If you wish to use a port not listed, please contact the Office of Law Enforcement for a Designated Port Exemption Permit (form 3-200-2).

- c. Name of hunting outfitter, safari company, or professional hunter you hunted with:

- d. The current location of the trophy (address and country) [the U.S. import permit will identify this country as the country of export/re-export and must match with the foreign export/re-export document]:

7. Please provide a copy of any applicable foreign government permits or licenses that were required to remove this animal from the wild (if you have not hunted yet and do not currently hold any such permits or licenses, please indicate so). Issued at the Time Safari takes place

8. Complete name and address of overseas person or business shipping the trophy to you. If you are applying to import a trophy directly from **Namibia**, you must provide the name and address of the professional hunter listed on your Namibian hunting permit [this name will appear on the face of the export permit].

Name:
 Business Name:
 Address:
 City:
 State/Province:
 Country, Postal Code:

9. For species listed under the U.S. Endangered Species Act, please be aware that the U.S. Fish and Wildlife Service (Service) must make a finding that your activities will enhance or benefit wild populations of the species involved. While we will communicate with the range country for the species you will hunt or hunted when making the required findings, if you have any information that could support this finding, it would be helpful for our review if you could provide it. Please submit such information on a separate page with your application.

a. Do you have any information regarding the population status or trend data on the species hunted?

All species requiring import are in Abundance in the Save Valley Conservancy

b. In order to hunt, you likely paid for licenses or trophy fees. What were those fees and do you have any information on how those funds were used by either the landowner, local community, or government?

150,000 usd approx , these fees help in maintaining good wildlife conservation , and fund Anti-Poaching patrols

c. Do you have information on other funding activities that are being carried out, or were carried out, by the safari outfitter, professional hunter, concession holder, or landowner that provide a conservation benefit to the species being hunted/species hunted?

RCVD MAY 14 2015

(b) (6)

(b) (6)

May 8, 2014

Date

Pay to the
Order of

U.S. Fish + Wildlife

\$ 100⁰⁰

One hundred and 00/100

Dollars



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Safe
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FARGO

Wells Fargo Bank, N.A.
North Carolina
wellsfargo.com

(b) (6)

For

(b) (6)

MP



**Department of Interior
U.S. Fish and Wildlife Service
Federal Fish and Wildlife Permit Application Form**

U.S. Fish and Wildlife Service
Division of Management Authority
Branch of Permits, MS: IA
5275 Leesburg Pike
Falls Church, VA 22041-3803
1-800-358-2104 or 703-358-2104

Type of Activity

**IMPORT OF SPORT-HUNTED TROPHIES under Appendix I of
the Convention on International Trade in Endangered
Species (CITES) and/or U.S. Endangered Species Act (ESA)**

Complete Sections A or B, and C, D, and E of this application. U.S. address may be required in Section C, see instructions for details.
Instructions on how to make your application complete and help avoid unnecessary delays are attached.

Section A: Complete if applying as an individual

1.a. Last Name ALDERETTE	1.b. First Name PAUL	1.c. Middle Name/Initial EVERETTE	1.d. Suffix
2. Date of Birth (mm/dd/yyyy) (b) (6)	3. Telephone Number	3.a. Alternate Telephone Number	4. E-mail address

Section B: Complete if applying on behalf of a business, corporation, public agency, Tribe, or institution

1.a. Name of business, agency, Tribe, or institution NA		1.b. Doing business as (DBA)	
2. Tax identification no.		3. Description of business, agency, Tribe, or institution	
4.a. Principal officer Last name	4.b. Principal officer First Name	4.c. Principal officer Middle name/initial	4.d. Suffix
5. Principal officer title		6. Primary contact name	
7.a. Business telephone number	7.b. Alternate telephone number	7.c. Business fax number	7.d. Business e-mail address

Section C: All applicants complete address information

1. (b) (6)					
1.b. City GLENDORA	1.c. State CA	1.d. Zip code/Postal code (b) (6)	1.e. County/Province	1.f. Country USA	
2.a. Mailing address (include if different than physical address; include name of contact person if applicable) SAME AS ABOVE					
2.b. City	2.c. State	2.d. Zip code/Postal code	2.e. County/Province	2.f. Country	

Section D: All applicants MUST complete

1. Attach the nonrefundable application processing fee in the form of a check or money order payable to the U.S. FISH AND WILDLIFE SERVICE in the amount of \$100 . Federal, Tribal, State, and local government agencies, and those acting on behalf of such agencies, are exempt from the processing fee—attach documentation of fee exempt status as outlined in instructions [50 CFR 13.11(d)].	
2. Certification: I hereby certify that I have read and am familiar with the regulations contained in Title 50 Part 13 of the Code of Federal Regulations and the other applicable parts in subchapter B of Chapter I of Title 50 , and I certify that the information submitted in this application for a permit is complete and accurate to the best of my knowledge and belief. I understand that any false statement herein may subject me to the criminal penalties of 18 U.S.C. 1001. (b) (6)	
Signature of applicant/Principal Officer for permit (No photocopied or stamped signatures)	Date of signature (mm/dd/yyyy) 05-15-2019

Please continue to next page

E. IMPORT OF SPORT-HUNTED TROPHIES (Appendix I of CITES and/or ESA)

Note 1: This form should be used to request authorization to import trophies of species listed on Appendix I of CITES and/or as threatened or endangered under the ESA (examples include elephants, lions, cheetahs, wood bison, and markhor).

PLEASE USE FORM 3-200-19 FOR SOUTHERN AFRICAN LEOPARD TROPHIES AND SOUTHERN WHITE RHINOCEROS TROPHIES TAKEN IN NAMIBIA.

Note 2: If you hold an import permit for a trophy that you did not use, please **return the unused original permit**. If you are requesting reissuance of a permit because you have taken a trophy, but were unable to import it prior to the expiration of the permit, please use the renewal form (3-200-52) and return your original permit with that form.

Note 3: Applications for species listed as endangered under the U.S. Endangered Species Act are published in the Federal Register for a 30-day public comment period. Please allow at least **90 days** for the application to be processed.

Note 4: *Sport-hunted trophy* is defined at 50 CFR 23.74 as follows: *Sport-hunted trophy* means a whole dead animal or a readily recognizable part or derivative of an animal specifically identified on the accompanying CITES document that meets the following criteria:

- Is raw, processed, or manufactured;
- Was legally obtained by the hunter through hunting for his or her personal use;
- Is being imported, exported, or re-exported by or on behalf of the hunter as part of the transfer from its country of origin ultimately to the hunter's country of usual residence; and
- Includes worked, manufactured, or handcraft items made from the sport-hunted animal only when:
 - i. Such items are contained in the same shipment as raw or tanned parts of the sport-hunted animal and are for the personal use of the hunter;
 - ii. The quantity of such items is no more than could reasonably be expected given the number of animals taken by the hunter as shown on the license or other documentation of the authorized hunt accompanying the shipment; and
 - iii. The accompanying CITES documents (export document and, if appropriate, import permit) contain a complete itemization and description of all items included in the shipment.

Note 5: Certain hunting trophies, including elephants, are subject to restrictions on their use after import into the United States. Please see 50 CFR 23.55 for more information or contact the Division of Management Authority.

Please provide the following information. Complete all questions on the application. Mark questions that are not applicable with "N/A". If needed, use a separate sheet of paper. On all attachments or separate sheets you are submitting; please indicate the application question number you are addressing. If applying for more than one trophy, be sure to answer questions 4-6 for each trophy addressed in this application. If importing trophies from more than one country, you must submit a separate application for each shipment in order to obtain separate import permits.

1. Name and address where you wish the permit to be mailed, if different from page 1. If you would like expedited shipping, please enclose a self-addressed, pre-paid, computer-generated, courier service airway bill. If unspecified, all documents will be mailed via the U.S. Postal Service.

REFER TO PAGE ONE.

2. Who should we contact if we have questions about the application (name, phone number, and e-mail)?

PAUL E. ALDERETTE

(b) (6)

3. Disqualification factor. A conviction, or entry of a plea of guilty or nolo contendere, for a felony violation of the Lacey Act, the Migratory Bird Treaty Act, or the Bald and Golden Eagle Protection Act disqualifies any such person from receiving or exercising the privileges of a permit, unless such disqualification has been expressly waived by the Service Director in response to a written petition. (50 CFR 13.21(c)) Have you or any of the owners of the business, if applying as a business, been convicted, or entered a plea of guilty or nolo contendere, forfeited collateral, or are currently under charges for any violations of the laws mentioned above?

No Yes

If you answered "Yes" to Question 3, provide: a) the individual's name; b) date of charge; c) charge(s); d) location of incident; e) court; and f) action taken for each violation. Please be aware that a "Yes" response does not automatically disqualify you from getting a permit.

4. For each trophy to be imported, provide:

a. Scientific name (genus, species, and, if applicable, subspecies) and common name.

LOXODONTA AFRICANA / AFRICAN ELEPHANT

b. Sex (if known). *MALE*

5. **IF ANIMAL IS CURRENTLY LIVING IN THE WILD**, please enter the following information:

a. Country and place (area, region, GIS coordinates, ranch, conservancy, management area, or hunting block, AND nearest city) where wildlife is to be taken from the wild:

*COUNTRY: NAMIBIA
REGION: ZAMBEZI REGION (FORMERLY CAPRIVE STRIP)
NEAREST CITY: KATIMA MULILO*

b. Date wildlife is to be hunted: *8-18-2019 - 8-31-2019*

c. Name of hunting outfitter, safari company, or professional hunter you will be hunting with:

JAMY TRAUT HUNTING SAFARIS

6. **IF THE TROPHY HAS ALREADY BEEN TAKEN**, please enter the following information:

a. Country and place (area, region, GIS coordinates, ranch, conservancy, management area, or hunting block, AND nearest city) where wildlife was removed from the wild:

NA

b. Date wildlife was hunted: *NA*

- c. Name of hunting outfitter, safari company, or professional hunter you hunted with:

NA

- d. The current location of the trophy (address and country) [the U.S. import permit will identify this country as the country of export/re-export and must match with the foreign export/re-export document]:

NA

7. Please provide a copy of any applicable foreign government permits or licenses that were required to remove this animal from the wild (if you have not hunted yet and do not currently hold any such permits or licenses, please indicate so). ANIMAL HAS NOT BEEN HUNTED YET

8. Complete name and address of overseas person or business shipping the trophy to you. If you are applying to import a trophy directly from **Namibia**, you must provide the name and address of the professional hunter listed on your Namibian hunting permit [this name will appear on the face of the export permit].

Name: JAMY TRAUT

Business Name: JAMY TRAUT HUNTING SAFARIS

Address: P.O. BOX 4890

City: REHO BOTH

State/Province: CENTRAL NAMIBIA

Country, Postal Code: NAMIBIA, AFRICA 10001

9. For species listed under the U.S. Endangered Species Act, please be aware that the U.S. Fish and Wildlife Service (Service) must make a finding that your activities will enhance or benefit wild populations of the species involved. While we will communicate with the range country for the species you will hunt or hunted when making the required findings, if you have any information that could support this finding, it would be helpful for our review if you could provide it. Please submit such information on a separate page with your application.

- a. Do you have any information regarding the population status or trend data on the species hunted?

NO CURRENT INFORMATION. I AM ALSO RETURNING A 2017 ELEPHANT IMPORT PERMIT FOR WHICH I NEVER USED. THE PERMIT WAS GRANTED FOR THE EXACT SAME AREA I WILL BE HUNTING IN 2019.

- b. In order to hunt, you likely paid for licenses or trophy fees. What were those fees and do you have any information on how those funds were used by either the landowner, local community, or government?

PLEASE SEE ATTACHED INFORMATION SHEET PROVIDED BY JAMY TRAUT SAFARIS

- c. Do you have information on other funding activities that are being carried out, or were carried out, by the safari outfitter, professional hunter, concession holder, or landowner that provide a conservation benefit to the species being hunted/species hunted?

PLEASE SEE ATTACHED INFORMATION SHEET PROVIDED BY JAMY TRAUT SAFARIS.

CERTIFICATION STATEMENT (original signature must be provided for either 10 or 11 below)

- 10. If you are a broker or taxidermist applying on behalf of a U.S. hunter or foreign national, provide documentation to show you have a Power of Attorney to act on your client's behalf and sign the following statement:

I acknowledge that the sport-hunted trophy/trophies to be imported has been/will be personally hunted by my client and is being imported only for my client's personal use (i.e., not for sale, transfer, donation, or exchange that is reasonably likely to result in economic use, gain, or benefit). If applying for the import of an elephant trophy, I understand that my client may import no more than two elephant trophies in one calendar year.

Taxidermist/Broker's signature: _____ Date: _____

- 11. If you are the hunter applying to import your own trophy, please read and sign the following statement:

I acknowledge that the sport-hunted trophy/trophies to be imported has been/will be personally hunted by me and is being imported only for my personal use (i.e., not for sale, transfer, donation, or exchange that is reasonably likely to result in economic use, gain, or benefit). If applying to import an elephant trophy, I understand that I may import no more than two elephant trophies in one calendar year.

Applicant's signature: (b) (6) _____ Date: 05-15-2019

Be aware that there may be additional permitting or approval requirements by your local or state governments, as well as required by other Federal agencies or foreign governments to conduct your propose activity. While the Service will attempt to assist where possible, it is your responsibility to obtain such approval.

- 12. All international shipments must be through a designated port. A list of designated ports (where an inspector is posted) is available from **the list of designated ports**. If you wish to use a port not listed, please contact the Office of Law Enforcement for a Designated Port Exemption Permit (form 3-200-2).

HOUSTON, TEXAS
(b) (6)
SHENANDOAH, TEXAS (b) (6)

RCVD MAY 26 2019

GRIZZLY BEAR

(b) (6)

5-15-19

(b) (6)

PAY TO THE ORDER OF

U.S. FISH AND WILDLIFE SERVICE

\$ 100.00

ONE hundred and NO/100

Dollars



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CDS/Cutter
Printed on Recycled Paper



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11024 Concord Street, El Monte, CA 91731
(682) 898-8328 or visit www.ccsouca.org

(b) (6)

(b) (6)

† BARON BERTRAND DES CLERS, PH.D.
† JAMES G. TEER, PH.D.
† BART O'GARA, PH.D.
† DON LINDSAY
† BERT KLINEBURGER

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May 22, 2019

RCVD MAY 30 20

U. S Fish and Wildlife Service
Division of Management Authority (DMA)
Branch of Permits, MS: IA
5275 Leesburg Pike, Falls Church, Virginia 22041

RE: Import Application of Namibia African Elephant Trophy for Donald Robillard

Dear Chief Cogliano:

Enclosed please find the permit application to import an elephant trophy from Namibia for Donald Robillard. Also attached to the permit application is enhancement information provided by Hunt Africa Namibia. Please refer to the hundreds of pages of enhancement information Conservation Force previously submitted to the U.S. Fish and Wildlife Service, as well as information submitted by Namibia authorities, outfitters, and others, in making an enhancement finding for Elephant trophy imports.

Please do not hesitate to contact us if you have questions about this permit application.

Sincerely,



John J. Jackson III

encl:

- Donald Robillard's Elephant Permit Application, 100.00 Check and Notice of Representation

NOTICE OF REPRESENTATION

This is a notice to the U.S. Fish and Wildlife Service that I have appointed John J. Jackson, III of the non-profit firm Conservation Force as my attorney and legal representative for all matters concerning my application for a permit to import a lawfully hunted AFRICAN ELEPHANT trophy.

This authority is inclusive and extends to all applications and filings, whether administrative or judicial, including but not limited to any request for reconsideration, appeal, and litigation.

In the case of a permit an ESA endangered listed species this is also a request for Ten Days Notice before the permit is issued so I and my attorney can know of approval as soon as those that may have opposed the issuance of the permit.

Conservation Force
3240 S. I-10 Service Road W., Suite 200
Metairie, Louisiana 70001 USA
T: (504) 837-1233
F: (504) 837-1145
E: cf@conservationforce.org

Signed

(b) (6)

Name:

DONALD F. ROBILLARD

(b) (6)

Date:

05-01-2019



Department of the Interior
U.S. Fish and Wildlife Service

Federal Fish and Wildlife Permit Application Form

Return to: U.S. Fish and Wildlife Service
Division of Management Authority (DMA)
Branch of Permits, MS: IA
5275 Leesburg Pike
Falls Church, VA 22041-3803
1-800-358-2104 or 703-358-2104

Type of Activity: African Elephant - Namibia

IMPORT OF SPORT-HUNTED TROPHIES
(Appendix I of CITES and/or ESA)

RCVD MAY 30 2019

Complete Sections A or B, and C, D, and E of this application. U.S. address may be required in Section C, see instructions for details. See attached instruction pages for information on how to make your application complete and help avoid unnecessary delays.

A. Complete if applying as an individual			
1.a. Last name Robillard	1.b. First name Donald	1.c. Middle name or initial Francis	1.d. Suffix (b) (6)
2. Date of birth (mm/dd/yyyy) (b) (6)	3. Telephone number	3.a. Alternate telephone number	4. E-mail address

B. Complete if applying on behalf of a business, corporation, public agency, Tribe, or institution			
1.a. Name of business, agency, Tribe, or institution		1.b. Doing business as (dba)	
2. Tax identification no.		3. Description of business, agency, Tribe, or institution	
4.a. Principal officer Last name	4.b. Principal officer First name	4.c. Principal officer Middle name/initial	4.d. Suffix
5. Principal officer title		6. Primary contact name	
7.a. Business telephone number	7.b. Alternate telephone number	7.c. Business fax number	7.d. Business e-mail address

C. All applicants complete address information					
1.a. Physical address (Street address; Apartment #, Suite #, or Room #; no P.O. Boxes) (If Broker or Taxidermist is applying on behalf of a foreign national, provide Broker or Taxidermist's name and address in this block; U.S. hunters must provide their U.S. address) (b) (6)					
1.b. City Dallas	1.c. State Texas	1.d. Zip code/Postal code: (b) (6)	1.e. County/Province	1.f. Country USA	
2.a. Mailing Address (include if different than physical address; include name of contact person if applicable)					
2.b. City	2.c. State	2.d. Zip code/Postal code:	2.e. County/Province	2.f. Country	

D. All applicants MUST complete	
1. Attach check or money order payable to the U.S. FISH AND WILDLIFE SERVICE in the amount of \$100, nonrefundable processing fee. Federal, Tribal, State, and local government agencies, and those acting on behalf of such agencies, are exempt from the processing fee - attach documentation of fee exempt status as outlined in instructions. (50 CFR 13.11(d))	
2. Certification: I hereby certify that I have read and am familiar with the regulations contained in Title 50, Part 13 of the Code of Federal Regulations and the other applicable parts in subchapter B of Chapter I of Title 50 , and I certify that the information submitted in this application for a permit is complete and accurate to the best of my knowledge and belief. I understand that any false statement herein may subject me to the criminal penalties (b) (6)	
Signature of applicant/Principal Officer for permit (No photocopied or stamped signatures)	Date of signature (mm/dd/yyyy) 05-10-2019

Please continue to next page

E. IMPORT OF SPORT-HUNTED TROPHIES (Appendix I of CITES and/or ESA)

Note 1: This form should be used to request authorization to import trophies of species listed on Appendix I of CITES and/or as threatened or endangered under the ESA (examples include elephants, lions, cheetahs, wood bison, and markhor).

PLEASE USE FORM 3-200-19 FOR SOUTHERN AFRICAN LEOPARD TROPHIES AND SOUTHERN WHITE RHINOCEROS TROPHIES TAKEN IN NAMIBIA.

Note 2: If you hold an import permit for trophy/trophies that you did not use, please **return the unused original permit**. If you are requesting reissuance of a permit because you have taken a trophy, but were unable to import it prior to the expiration of the permit, please use the renewal form (3-200-52) and return your original permit with that form.

Note 3: Applications for species listed as endangered under the U.S. Endangered Species Act are published in the **Federal Register** for a 30-day public comment period. Please allow at least **90 days** for the application to be processed.

Note 4: *Sport-hunted trophy* is defined at 50 CFR 23.74 as follows: *Sport-hunted trophy* means a whole dead animal or a readily recognizable part or derivative of an animal specifically identified on the accompanying CITES document that meets the following criteria:

- (1) Is raw, processed, or manufactured;
- (2) Was legally obtained by the hunter through hunting for his or her personal use;
- (3) Is being imported, exported, or re-exported by or on behalf of the hunter as part of the transfer from its country of origin ultimately to the hunter's country of usual residence; and
- (4) Includes worked, manufactured, or handicraft items made from the sport-hunted animal only when:
 - (i) Such items are contained in the same shipment as raw or tanned parts of the sport-hunted animal and are for the personal use of the hunter;
 - (ii) The quantity of such items is no more than could reasonably be expected given the number of animals taken by the hunter as shown on the license or other documentation of the authorized hunt accompanying the shipment; and
 - (iii) The accompanying CITES documents (export document and, if appropriate, import permit) contain a complete itemization and description of all items included in the shipment.

Note 5: Certain hunting trophies, including elephants, are subject to restrictions on their use after import into the United States. Please see 50 CFR 23.55 for more information or contact the Division of Management Authority.

Please provide the following information. Complete all questions on the application. Mark questions that are not applicable with "N/A". If needed, use a separate sheet of paper. On all attachments or separate sheets you are submitting; please indicate the application question number you are addressing. If applying for more than one trophy, be sure to answer questions 1-6 for each trophy addressed in this application. If importing trophies from more than one country, you must submit a separate application for each shipment in order to obtain separate import permits.

1. Name and address where you wish the permit to be mailed, if **different from page 1**. If you would like expedited shipping, please enclose a self-addressed, pre-paid, computer-generated, courier service airway bill. If unspecified, all documents will be mailed via regular mail through the U.S. Postal Service.

N/A

2. Who should we contact if we have questions about the application (name, phone number, and e-mail)?

Donald Robillard (b) (6)

3. Have you or your client (if a broker applying on behalf of your client), been assessed a civil penalty or convicted of any criminal provision of any statute or regulation relating to the activity for which the

application is filed; been convicted, or entered a plea of guilty or nolo contendere, for a felony violation of the Lacey Act, the Migratory Bird Treaty Act, or the Bald and Golden Eagle Protection Act; forfeited collateral; OR are currently under charges for any violation of the laws mentioned above?

No X Yes _____

If you answered "Yes" to Question 3, provide: a) the individual's name; b) date of charge; c) charge(s); d) location of incident; e) court, and f) action taken for each violation. Please be aware that a "Yes" response does not automatically disqualify you from getting a permit.

N/A

4. For each trophy to be imported, provide:

a. Scientific name (genus, species, and, if applicable, subspecies) and common name.

Lexodanta Africana (African Elephant)

b. Sex (if known). Male

5. IF ANIMAL IS CURRENTLY LIVING IN THE WILD, please enter the following information:

a. Country and place (area, region, GIS coordinates, ranch, conservancy, management area, or hunting block, AND nearest city) where wildlife is to be taken from the wild:

Rep of Namibia: Kavango East, Beabwata West; S18 09 162 E021 42 495; Divundu

b. Date wildlife is to be hunted: June 8 to July 3, 2019

b. Name of hunting outfitter, safari company, or professional hunter you will be hunting with:

Hunt Africa Namibia CC; Jacobus Hugo Pienaar

6. IF THE TROPHY HAS ALREADY BEEN TAKEN, please enter the following:

a. Country and place (area, region, GIS coordinates, ranch, conservancy, management area, or hunting block, AND nearest city) where trophy was removed from the wild:

N/A

b. Date wildlife was hunted: N/A

b. Name of hunting outfitter, safari company, or professional hunter you hunted with:

N/A

c. The current location of the trophy (address and country) [the U.S. import permit will identify this country as the country of export/re-export and must match with the export/re-export document]:

N/A

7. Please provide a copy of any applicable foreign government permits or licenses that were required to remove this animal from the wild (if you have not hunted yet and do not currently hold any such permits or licenses, please indicate so). **Permits will be issued prior to hunt. Not yet issued at date of application.**

8. Complete name and address of overseas person or business shipping the trophy to you. If you are applying to import a trophy directly from Namibia, you must provide the name and address of the professional hunter listed on your Namibian hunting permit [this name will appear on the face of the export permit].

Name:

Business Name:

Address:

City:

State/Province:

Country, Postal Code:

Jacobus Hugo Pienaar, Hunt Africa Namibia CC
P.O. Box 1100
Gobabis, Omaheke, Namibia

9. For species listed under the Endangered Species Act, please be aware that the U.S. Fish and Wildlife Service must make a finding that your activities will enhance or benefit wild populations of the species involved. While we will communicate with the range country for the species you will hunt or hunted when making the required findings, if you have any information that could support this finding, it would be helpful to our review if you could provide it. Please submit such information on a separate page with your application.

- a. Do you have any information regarding the population status or trend data on the species hunted?

Population of elephant in this area (October 2017) more than 2000 and increasing. Country population approximately 26,000

- b. In order to hunt, you likely paid for licenses or trophy fees. What were those fees and do you have any information on how those funds were used by either the landowner, community, or government?

Hunting Daily Fees US\$43,757. Trophy Fee US\$70478. 50% of trophy fees go to the Namibia Government-game Products Trust Fund (repairs, maintenance, salaries of rangers in areas where elephants roam. 50% to the community. The community uses the money to pay game rangers and for support of the KWE people.

- c. Do you have information on other funding activities that are being carried out, or were carried out, by the safari outfitter, professional hunter, concession holder, or land owner that provide a conservation benefit to the species being hunted/species hunted?

Safari company has agents that are paid for anti-poaching information The Professional Hunter, Jacobus Pienaar, is a trustee on the HUAP Trust (Hunters United Against Poaching). HUAP Trust has raised over N\$4million to fight elephant poaching.

Note; Information for question E9 provided by Hunt Africa Namibia CC.

CERTIFICATION STATEMENT (original signature must be provided for either 10 or 11 below)

10. If you are a broker or taxidermist applying on behalf of a U.S. hunter or foreign national, provide documentation to show you have a Power of Attorney to act on your client's behalf and sign the following statement.

I acknowledge that the sport-hunted trophy/trophies to be imported has been/will be personally hunted by my client and is being imported only for my client's personal use (i.e., not for sale, transfer, donation, or exchange that is reasonably likely to result in economic use, gain, or benefit). I understand that my client may only import two leopard trophies and two elephant trophies within the same calendar year (if applicable). In addition, I have advised my client that raw ivory, once imported into the United States, cannot be re-exported.

Taxidermist/Broker's signature: _____ Date: _____

11. If you are the hunter applying to import your own trophy, please read and sign the following statement.

I acknowledge that the sport-hunted trophy/trophies to be imported has been/will be personally hunted by me and is being imported only for my personal use (i.e., not for sale, transfer, donation, or exchange that is reasonably likely to result in economic use, gain, or benefit). I understand that I may only import two leopard trophies and two elephant trophies within the same calendar year. In addition, I understand that raw ivory, once imported into the United States, cannot be re-exported.

Applicant's signature: (b) (6) _____ Date: 05-10-2019

Be aware that there may be additional permitting or approval requirements by your local or state governments, as well as required by other Federal agencies or foreign government to conduct your propose activity. While the Service will attempt to assist where possible, it is your responsibility to obtain such approval.

All international shipment(s) must be imported through a designated port. A list of designated ports (where an inspector is posted) is available from <http://www.fws.gov/le/designated-ports.html>. If you wish to use a port not listed, please contact the Office of Law Enforcement for a Designated Port Exemption Permit (form 3-200-2).

**Conservation Force
Operator Enhancement Questionnaire**

v.5/8/18

1. Name of area operator: Huntafrica Namibia Safaris
 - a. Years in business: 32 years
 - b. Name of company conducting hunt: Huntafrica Namibia Safaris
2. Name of concession: Bwabwata West National park Size in km²: 3800
 - a. Land tenure: Government lease
 - b. Length of concession agreement: 5 years Can it be renewed? Yes
 - c. Are there any special obligations under the concession agreement such as annual reporting, poaching control, community investment, community employment, etc.? If so, please explain:
 1. Yes, Annual Reporting: Detailed report to the Ministry of Environment and Tourism of each client and every animal that was hunted.
 2. Poaching control. Yes. By the government as well as the Namibia Defense force and the community rangers
 3. Community employment – all staff employed out of the community
 4. Training - the operator provide training in different fields. Eg -drivers, teachers, computer courses, building of training center etc.
 - d. Please provide the concession location and nearest city. Please attach a map or maps with the boundaries marked and showing the nearest city: Rundu (bigger town) Divundu(very small town)
 - e. If the concession borders a national park, please provide the park name: Inside the Bwabwata National Park
 - f. Description of general and distinctive habitat features and any water features:

Bushveld . The Okavango River forms the Western border.
3. Are there any communities living in the concession? Yes
 - a. If so, estimated number of people or villages in the concession? One village Omega 1000 people
4. Are there communities bordering this concession? Yes
 - a. If so, estimated number of people or villages around the concession? Muchiko area 500

**Conservation Force
Operator Enhancement Questionnaire**

v.5/8/18

5. Relevant game populations in the concession:¹

Species name	Relative Abundance (dense/avg./sparse)	Est. population	3-Year trend (up/down/stable)	How monitored?
Elephant	Dense 2500		Stable	By counts
Lion	Sparse		Up	
Leopard	Dense		Stable	
Prey species	Buffalo, Sable, Roan, Kudu, Impala, Warthog, Waterbuck, Reedbuck, Buschbuck & Duiker			

6. Total employment number: 40

a. Please identify the number and type of all employees:

Type	Total number of this type	Number of this type who are local/from nearby communities
Professional Hunters	2	
Trackers	11	11
Anti-poaching scouts/rangers	20	20
Other anti-poaching	NDF	
Cooks/housekeepers	4	4
Community coordinators	2	2
Managers	1	
Biologists	1	
Others: _____		

7. Number of staff employed in the off-season: 11

a. Please identify the number and type of staff that are engaged when the season is not open:

Type	Total number of this type	Number of locals/from nearby communities	When employed?
Anti-poaching staff	Full staff		
Managers	1		
Maintenance	0		
Road opening/construction	30 people		
Community relations personnel	1		
Others: _____			

8. Anti-poaching efforts:²

¹ The new FWS import permit application form asks the applicant: "9. a. Do you have any information regarding the population status or trend on the species hunted?"

**Conservation Force
Operator Enhancement Questionnaire**

v.5/8/18

- a. Number of anti-poaching staff: _____
- b. Are anti-poaching patrols conducted during the hunting season, off-season, or both?
___ Both ___
- c. Months during which anti-poaching patrols are conducted: _____ Year round _____
- d. Number of anti-poaching patrols per month: _____
- e. Description of anti-poaching equipment, vehicles, etc.: _____
- f. Who is responsible for organizing and coordinating anti-poaching from prevention through successful prosecution? _____ Ministry of Environment _____
- g. Do you offer rewards to poaching informants leading to arrest? ___ Yes ___
- h. Do you offer rewards to poaching informants upon conviction? ___ No
- i. Please describe the company's anti-poaching expenditures below. What currency are the expenditures given in? ___ Nam Dollar _____

Type of expense	Spending 2015	Spending 2016	Spending 2017	Remarks
Salaries for anti-poaching scouts	31200	31200	31200	
Equipment				
Vehicles	4x4 vehicle	"		
Petrol	As needed			
Rewards paid				For each person that is arrested the informer receives N\$5000 per case
Other: _____				
Other: _____				
TOTALS				

9. Anti-poaching results:

Category	2015	2016	2017	Remarks
Poaching incidents discovered				
Ivory poachers arrested				
Meat poachers arrested				
Other poachers arrested				
Poachers convicted				
Snares/gin traps collected				
Firearms confiscated				
Vehicles confiscated				
Bicycles confiscated				

² The new FWS import permit application asks for activities that provide a "conservation benefit" to the species. The FWS considers anti-poaching a "conservation benefit."

**Conservation Force
Operator Enhancement Questionnaire**

v.5/8/18

Boats/nets confiscated				
Tusks recovered				
Carcasses observed				
Other: _____				

10. Community investment:³

- a. Does the company contribute money or goods/services to local communities? Yes
- b. If so, what percentage or amount is shared, how often, and why 2 Miljlion Nam Dollars (e.g., required by law, required by contract, voluntary contribution)?

Part of the contract and voluntary _____

- c. Does the company provide game meat to nearby communities?
- d. If so, please estimate the amount and/or value of meat contributed in prior year and describe the meat contribution program: 45 ton meat distributed by the community themselves
- e. If the company performs problem animal activities for surrounding communities (e.g., patrolling fields during the harvest, chasing away problem animals, conducting PAC hunts or PAC killings), please describe. NOT
- f. Please describe any consolation payments made to local residents damaged by game species. What currency are the expenditures reported in? Not Applicable in the Park

Species	Damage caused	Consolation paid 2015	Consolation paid 2016	Consolation paid 2017
Elephant				
Lion				
Leopard				
Other				

- g. Please describe any community projects paid for by the company. What currency are the expenditures reported in? _____

Type of project	Spending 2015	Spending 2016	Spending 2017	Remarks
Education (e.g., classrooms, offices)	80,000	95,000	110,000	
Education (e.g., school fees, supplies)				
Health (e.g., clinics, offices)	-			
Health (e.g., medical	-			

³ The new FWS import permit application asks for activities that provide a "conservation benefit" to the species. The FWS considers community investment a "conservation benefit."

**Conservation Force
Operator Enhancement Questionnaire**

v.5/8/18

salaries, equipment)				
Community scout salaries				
Other community anti-poaching				
Local government activities				
Water infrastructure improvements	1. Borehole and water installation Vaulue : N\$ 40,000			
Sports teams funded	11 teams funded every year and a big tournament Vulue N\$90,000/year			
Construction materials donated	N\$500,000			
Other: _____				
Other: _____				
TOTALS				

11. How many U.S. clients did the company have in the past three years, and what percentage of the company's clients were from the U.S.?

	2015 (number and percentage of U.S. clients)	2016 (number and percentage of U.S. clients)	2017 (number and percentage of U.S. clients)
U.S. clients (total)			
-- hunting lion	-	-	-
-- hunting elephant	6 100%	6 100%	6 100%
-- hunting leopard	2 100%	2 100%	2 100%

12. Dollar amount and percentage of the company's revenue from U.S. clients: 90 %

13. Other information: Please provide a narrative of other enhancement information about the company, such as any special hunting policies (e.g., aging, size, resting areas), concession improvements (e.g., digging and maintaining boreholes - by Ministry of Enviornment and Tourismn , restocking - through Natural breeding), management activities, Research - by Ministry of Enviornment and Tourism.

14. I declare that the foregoing is true and correct.

Signature: _____

Name: _____ Title: _____

MAY 30 2019

(b) (6)

32-61/1110

(b) (6)

DATE 05-01-2019

PAY TO THE ORDER OF US Fish and Wildlife Service \$ 100.00 —
One Hundred and ^{no} / 100 DOLLARS

Heat Reactive Ink

J.P.Morgan

Founded 1799
JPMorgan Chase Bank, N.A.
Dallas, Texas

(b) (6)

MEMO PERMIT REC

(b) (6)

† BARON BERTRAND DES CLERS, PH.D.
† JAMES G. TEER, PH.D.
† BART O'GARA, PH.D.
† DON LINDSAY
† BERT KLINEBURGER

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May 22, 2019

U. S Fish and Wildlife Service
Division of Management Authority (DMA)
Branch of Permits, MS: IA
5275 Leesburg Pike, Falls Church, Virginia 22041

RCVD MAY 30 2019

RE: Import Application of Zimbabwe African Elephant Trophy for John Miller

Dear Chief Cogliano:

Enclosed please find the permit application to import an elephant trophy from Zimbabwe for John Miller. Also attached to the permit application is enhancement information provided by Shangani River Safaris. Please refer to the hundreds of pages of enhancement information Conservation Force previously submitted to the U.S. Fish and Wildlife Service, as well as information submitted by Zimbabwe authorities, outfitters, and others, in making an enhancement finding for Elephant trophy imports.

Please do not hesitate to contact us if you have questions about this permit application.

Sincerely,



John J. Jackson III

encl:

- John Miller's Elephant Permit Application, \$100.00 Check and Notice of Representation

NOTICE OF REPRESENTATION

This is a notice to the U.S. Fish and Wildlife Service that I have appointed John J. Jackson, III of the non-profit firm Conservation Force as my attorney and legal representative for all matter concerning my applications for a permit to import a lawfully hunted African elephant (*Loxodonta africana*) trophy.

This authority is inclusive and extends to all applications and filings, whether administrative or judicial, including but not limited to any request for reconsideration, appeal, and litigation.

I also request that this attorney, through the address for Conservation Force below, be copied with all correspondence, acknowledgements, notices, and decisions concerning my application to import my trophy at the following address:

Conservation Force
3240 S. I-10 Service Road W., Suite 200
Metairies, Louisiana 70001 USA
T: (504) 837-1233
F: (504) 837-1145
E: cf@conservationforce.org

Signed:

(b) (6)

05/07/2019

Printed Name: John Loren Miller

Date: May 7, 2019



Department of Interior
U.S. Fish and Wildlife Service
Federal Fish and Wildlife Permit Application Form

Type of Activity

Zimbabwe - Elephant

U.S. Fish and Wildlife Service
Division of Management Authority
Branch of Permits, MS: IA
5275 Leesburg Pike
Falls Church, VA 22041-3803
1-800-358-2104 or 703-358-2104

IMPORT OF SPORT-HUNTED TROPHIES under Appendix I of the Convention on International Trade in Endangered Species (CITES) and/or U.S. Endangered Species Act (ESA)

Complete Sections A or B, and C, D, and E of this application. U.S. address may be required in Section C, see instructions for details. Instructions on how to make your application complete and help avoid unnecessary delays are attached.

Section A: Complete if applying as an individual

1.a. Last Name Miller	1.b. First Name John	1.c. Middle Name/Initial Loren	1.d. Suffix
2. Date of Birth (mm/dd/yyyy) (b) (6)	3. Telephone Number	3.a. Alternate Telephone Number	4. E-mail address

Section B: Complete if applying on behalf of a business, corporation, public agency, Tribe, or institution

1.a. Name of business, agency, Tribe, or institution		1.b. Doing business as (DBA)	
2. Tax identification no.		3. Description of business, agency, Tribe, or institution	
4.a. Principal officer Last name	4.b. Principal officer First Name	4.c. Principal officer Middle name/initial	4.d. Suffix
5. Principal officer title		6. Primary contact name	
7.a. Business telephone number	7.b. Alternate telephone number	7.c. Business fax number	7.d. Business e-mail address

Section C: All applicants complete address information

1.a. Physical address (Street address; Apartment #, Suite #, or Room #; no P.O. Boxes) (b) (6)				
1.b. City Seguin	1.c. State Texas	1.d. Zip code/Postal code (b) (6)	1.e. County/Province	1.f. Country USA
2.a. Mailing address (include if different than physical address; include name of contact person if applicable) (b) (6)				
2.b. City (b) (6)	2.c. State	2.d. Zip code/Postal code (b) (6)	2.e. County/Province	2.f. Country USA

Section D: All applicants MUST complete

1. Attach the nonrefundable application processing fee in the form of a check or money order payable to the U.S. FISH AND WILDLIFE SERVICE in the amount of \$100. Federal, Tribal, State, and local government agencies, and those acting on behalf of such agencies, are exempt from the processing fee—attach documentation of fee exempt status as outlined in Instructions [50 CFR 13.11(d)].
2. Certification: I hereby certify that I have read and am familiar with the regulations contained in Title 50 Part 13 of the Code of Federal Regulations and the other applicable parts in subchapter B of Chapter I of Title 50, and I certify that the information submitted in this application for a permit is complete and accurate to the best of my knowledge and belief. I understand that any false statement herein may subject me to the criminal penalties of 18 U.S.C. 1001.
Sig (b) (6) photocopied or stamped signatures) Date of signature (mm/dd/yyyy) 05/07/2019
Please continue to next page

E. IMPORT OF SPORT-HUNTED TROPHIES (~~Appendix I of CITES and/or ESA~~)

Note 1: This form should be used to request authorization to import trophies of species listed on Appendix I of CITES and/or as threatened or endangered under the ESA (examples include elephants, lions, cheetahs, wood bison, and markhor).

PLEASE USE FORM 3-200-19 FOR SOUTHERN AFRICAN LEOPARD TROPHIES AND SOUTHERN WHITE RHINOCEROS TROPHIES TAKEN IN NAMIBIA.

Note 2: If you hold an import permit for a trophy that you did not use, please **return the unused original permit**. If you are requesting reissuance of a permit because you have taken a trophy, but were unable to import it prior to the expiration of the permit, please use the renewal form ([3-200-52](#)) and return your original permit with that form.

Note 3: Applications for species listed as endangered under the U.S. Endangered Species Act are published in the Federal Register for a 30-day public comment period. Please allow at least **90 days** for the application to be processed.

Note 4: *Sport-hunted trophy* is defined at [50 CFR 23.74](#) as follows: *Sport-hunted trophy* means a whole dead animal or a readily recognizable part or derivative of an animal specifically identified on the accompanying CITES document that meets the following criteria:

- Is raw, processed, or manufactured;
- Was legally obtained by the hunter through hunting for his or her personal use;
- Is being imported, exported, or re-exported by or on behalf of the hunter as part of the transfer from its country of origin ultimately to the hunter's country of usual residence; and
- Includes worked, manufactured, or handicraft items made from the sport-hunted animal only when:
 - i. Such items are contained in the same shipment as raw or tanned parts of the sport-hunted animal and are for the personal use of the hunter;
 - ii. The quantity of such items is no more than could reasonably be expected given the number of animals taken by the hunter as shown on the license or other documentation of the authorized hunt accompanying the shipment; and
 - iii. The accompanying CITES documents (export document and, if appropriate, import permit) contain a complete itemization and description of all items included in the shipment.

Note 5: Certain hunting trophies, including elephants, are subject to restrictions on their use after import into the United States. Please see 50 CFR 23.55 for more information or contact the Division of Management Authority.

Please provide the following information. Complete all questions on the application. Mark questions that are not applicable with "N/A". If needed, use a separate sheet of paper. On all attachments or separate sheets you are submitting; please indicate the application question number you are addressing. If applying for more than one trophy, be sure to answer questions 4-6 for each trophy addressed in this application. If importing trophies from more than one country, you must submit a separate application for each shipment in order to obtain separate import permits.

1. Name and address where you wish the permit to be mailed, if different from page 1. If you would like expedited shipping, please enclose a self-addressed, pre-paid, computer-generated, courier service airway bill. If unspecified, all documents will be mailed via the U.S. Postal Service.

2. Who should we contact if we have questions about the application (name, phone number, and e-mail)?

Conservation Force – Attention: John J. Jackson *JJJ*
(504) 837-1233; cf@conservationforce.org

3. Disqualification factor. A conviction, or entry of a plea of guilty or nolo contendere, for a felony violation of the Lacey Act, the Migratory Bird Treaty Act, or the Bald and Golden Eagle Protection Act disqualifies any such person from receiving or exercising the privileges of a permit, unless such disqualification has been expressly waived by the Service Director in response to a written petition. (50 CFR 13.21(c)) Have you or any of the owners of the business, if applying as a business, been convicted, or entered a plea of guilty or nolo contendere, forfeited collateral, or are currently under charges for any violations of the laws mentioned above?

No Yes

If you answered "Yes" to Question 3, provide: a) the individual's name; b) date of charge; c) charge(s); d) location of incident; e) court; and f) action taken for each violation. Please be aware that a "Yes" response does not automatically disqualify you from getting a permit.

4. For each trophy to be imported, provide:

a. Scientific name (genus, species, and, if applicable, subspecies) and common name.

Loxodonta africana; African elephant

b. Sex (if known). Male

5. **IF ANIMAL IS CURRENTLY LIVING IN THE WILD**, please enter the following information:

a. Country and place (area, region, GIS coordinates, ranch, conservancy, management area, or hunting block, AND nearest city) where wildlife is to be taken from the wild:

[Red diagonal lines crossing out the text area for question 5a]

b. Date wildlife is to be hunted:

c. Name of hunting outfitter, safari company, or professional hunter you will be hunting with:

6. **IF THE TROPHY HAS ALREADY BEEN TAKEN**, please enter the following information:

a. Country and place (area, region, GIS coordinates, ranch, conservancy, management area, or hunting block, AND nearest city) where wildlife was removed from the wild:

Zimbabwe; S 19°30.298, E 029°07.316; Debshan Ranch; nearest city – Bulawayo.

b. Date wildlife was hunted:

August 27, 2018

c. Name of hunting outfitter, safari company, or professional hunter you hunted with:

Declin Safaris (Pvt) Ltd t/a Shangani River Safaris

d. The current location of the trophy (address and country) [the U.S. import permit will identify this country as the country of export/re-export and must match with the foreign export/re-export document]:

Trophy Consultants International
4 Josiah Chinamano Road, Belmont, Bulawayo, Zimbabwe

7. Please provide a copy of any applicable foreign government permits or licenses that were required to remove this animal from the wild (if you have not hunted yet and do not currently hold any such permits or licenses, please indicate so). Kindly find attached.

8. Complete name and address of overseas person or business shipping the trophy to you. If you are applying to import a trophy directly from **Namibia**, you must provide the name and address of the professional hunter listed on your Namibian hunting permit [this name will appear on the face of the export permit].

Name:

Business Name: Maple Freight
Address: 4 Josiah Chinamano Road – Belmont
City: Bulawayo
State/Province: Zimbabwe
Country, Postal Code:

9. For species listed under the U.S. Endangered Species Act, please be aware that the U.S. Fish and Wildlife Service (Service) must make a finding that your activities will enhance or benefit wild populations of the species involved. While we will communicate with the range country for the species you will hunt or hunted when making the required findings, if you have any information that could support this finding, it would be helpful for our review if you could provide it. Please submit such information on a separate page with your application.

a. Do you have any information regarding the population status or trend data on the species hunted?

Kindly find attached.

b. In order to hunt, you likely paid for licenses or trophy fees. What were those fees and do you have any information on how those funds were used by either the landowner, local community, or government?

The cost of the safari with all government licenses, government and hunting operating trophy fees, and daily rates was \$70,000. Fund utilization is attached.

c. Do you have information on other funding activities that are being carried out, or were carried out, by the safari outfitter, professional hunter, concession holder, or landowner that provide a conservation benefit to the species being hunted/species hunted?

Kindly find attached.

CERTIFICATION STATEMENT (original signature must be provided for either 10 or 11 below)

- 10. If you are a broker or taxidermist applying on behalf of a U.S. hunter or foreign national, provide documentation to show you have a Power of Attorney to act on your client's behalf and sign the following statement:

I acknowledge that the sport-hunted trophy/trophies to be imported has been/will be personally hunted by my client and is being imported only for my client's personal use (i.e., not for sale, transfer, donation, or exchange that is reasonably likely to result in economic use, gain, or benefit). If applying for the import of an elephant trophy, I understand that my client may import no more than two elephant trophies in one calendar year.

Taxidermist/Broker's signature: _____ Date: _____

- 11. If you are the hunter applying to import your own trophy, please read and sign the following statement:

I acknowledge that the sport-hunted trophy/trophies to be imported has been/will be personally hunted by me and is being imported only for my personal use (i.e., not for sale, transfer, donation, or exchange that is reasonably likely to result in economic use, gain, or benefit). If applying to import an elephant trophy, I understand that I may import no more than two elephant trophies in one calendar year.

Applicant's signature: (b) (6) _____ Date: 05/07/2019

Be aware that there may be additional permitting or approval requirements by your local or state governments, as well as required by other Federal agencies or foreign governments to conduct your propose activity. While the Service will attempt to assist where possible, it is your responsibility to obtain such approval.

- 12. All international shipments must be through a designated port. A list of designated ports (where an inspector is posted) is available from [the list of designated ports](#). If you wish to use a port not listed, please contact the Office of Law Enforcement for a Designated Port Exemption Permit (form 3-200-2).

Conservation Force
Operator Enhancement Questionnaire

1. Name of area operator: SEAN GRANT
- a. Years in business: 8 YEARS
- b. Name of company conducting hunt: SHANGANI RIVER SAFRIS
2. Name of concession: DEBSMAN RANCH Size in km²: 65 km²
- a. Land tenure: Government lease Community Private ranch Private conservancy
- b. Length of concession agreement: 5 YEARS Can it be renewed? Yes No
- c. Are there any special obligations under the concession agreement such as annual reporting, poaching control, community investment, community employment, etc.? If so, please explain:
ASSISTANCE WITH ANTI POACHING, RESEARCH PROJECTS AND P.A.C
- d. Please provide the concession location and nearest city. Please attach a map or maps with the boundaries marked and showing the nearest city:
GWERN
- e. If the concession borders a national park, please provide the park name: N/A
- f. Description of general and distinctive habitat features and any water features:
WOODED SAVANNA SEE ATTACHED MAP
3. Are there any communities living in the concession? Yes No ONLY WORKERS + FAMILIES
- a. If so, estimated number of people or villages in the concession? N/A
4. Are there communities bordering this concession? Yes No
- a. If so, estimated number of people or villages around the concession? EXACT LARGE BUT NUMBERS UNDETERMINED
5. Relevant game populations in the concession:¹

Species name	Relative Abundance (dense/avg./sparse)	Est. population	3-Year trend (up/down/stable)	How monitored?
Elephant	DENSE	250 (Males)	STABLE	AERIAL SURVEY ANNUALLY
Lion	NIL	NIL	NIL	N/A
Leopard	DENSE	35	STABLE	CAMERA TRAP SURVEYS
Prey species	DENSE	2000+	STABLE	AERIAL SURVEY ANNUALLY

6. Total employment number: 250
- a. Please identify the number and type of all employees:

Type	Total number of this type	Number of this type who are local/from nearby communities
Professional Hunters	1	NIL

¹ The new FWS import permit application form asks the applicant: "9. a. Do you have any information regarding the population status or trend on the species hunted?"

**Conservation Force
Operator Enhancement Questionnaire**

Trackers	1	NIL
Anti-poaching scouts/rangers	30	NIL
Other anti-poaching		N/A
Cooks/housekeepers	4	N/A
Community coordinators	NIL	N/A
Managers	6	NIL
Biologists	1	NIL
Others: <u>General Hands</u>	200	+/- 100

7. Number of staff employed in the off-season: 250

a. Please identify the number and type of staff that are engaged when the season is not open:

Type	Total number of this type	Number of locals/from nearby communities	When employed?
Anti-poaching staff	30	NIL	ALL YEAR
Managers	6	NIL	" "
Maintenance			
Road opening/construction			
Community relations personnel			
Others: <u>General Hands</u>	200	+/- 100	ALL YEAR

8. Anti-poaching efforts:²

a. Number of anti-poaching staff: 30

b. Are anti-poaching patrols conducted during the hunting season, off-season, or both? Both

c. Months during which anti-poaching patrols are conducted: ALL

d. Number of anti-poaching patrols per month: +/- 90

e. Description of anti-poaching equipment, vehicles, etc.: 1 PICK-UP, 2 ATVs, 4 SL. 223 RIFLES, 6 12 GAUGE SHOT GUNS

f. Who is responsible for organizing and coordinating anti-poaching from prevention through successful prosecution? A.P. UNIT LEADER (MANAGER) 15 HAND HELD RADIOS

g. Do you offer rewards to poaching informants leading to arrest? Yes No

h. Do you offer rewards to poaching informants upon conviction? Yes No

i. Please describe the company's anti-poaching expenditures below. What currency are the expenditures given in? _____ ✓

Type of expense	Spending 2015	Spending 2016	Spending 2017	Remarks
-----------------	---------------	---------------	---------------	---------

² The new FWS import permit application asks for activities that provide a "conservation benefit" to the species. The FWS considers anti-poaching a "conservation benefit."

**Conservation Force
Operator Enhancement Questionnaire**

Salaries for anti-poaching scouts	\$ 49 100.00	\$ 102 000.00	\$ 115 400.00	
Equipment	\$ 13 000.00	\$ 13 000.00	\$ 6 000.00	
Vehicles	\$ 17 600.00	\$ 10 800.00	\$ 20 000.00	
Petrol	\$ 22 100.00	\$ 19 400.00	\$ 21 300.00	
Rewards paid	\$ 1 000.00	\$ 2 500.00	\$ 1 000.00	
Other: _____				
Other: _____				
TOTALS	\$ 105 600.00	\$ 162 000.00	\$ 191 200.00	

9. Anti-poaching results:

Category	2015	2016	2017	Remarks
Poaching incidents discovered				
Elephant carcasses observed	4	NIL	NIL	
Lion carcasses observed	N/A	N/A	N/A	
Leopard carcasses observed	1	0 NIL	NIL	
Ivory poachers arrested	NIL	NIL	NIL	
Meat poachers arrested	12	15	20	
Other poachers arrested	NIL	NIL	NIL	
Poachers convicted	2	3	2	
Snares/gin traps collected	300	280	200	
Firearms confiscated	NIL	NIL	NIL	
Vehicles confiscated	NIL	NIL	NIL	
Bicycles confiscated	NIL	NIL	3	
Boats/nets confiscated	NETS 2	3	3	
Tusks recovered	NIL	NIL	NIL	
Other: _____				

10. Community investment:³

- Does the company contribute money or goods/services to local communities? Yes No
- If so, what percentage or amount is shared, how often, and why (e.g., required by law, required by contract, voluntary contribution)? VOLUNTARY CONTRIBUTIONS, SCHOOL CLINICS ETC
MAINLY INFRASTRUCTURE
- Does the company provide game meat to nearby communities? Yes No GIVEN TO RANGELAND EMPLOYEES
- If so, please estimate the amount and/or value of meat contributed in prior year and describe the meat contribution program: NA
- If the company performs problem animal activities for surrounding communities (e.g., patrolling fields during the harvest, chasing away problem animals, conducting PAC hunts or PAC killings), please describe. P.A.C WORK - MAINLY LEOP RAINING ELEPHANTS.

³ The new FWS import permit application asks for activities that provide a "conservation benefit" to the species. The FWS considers community investment a "conservation benefit."

**Conservation Force
Operator Enhancement Questionnaire**

f. Please describe any consolation payments made to local residents damaged by game species. What currency are the expenditures reported in? N/A

Species	Damage caused	Consolation paid 2015	Consolation paid 2016	Consolation paid 2017
Elephant				
Lion				
Leopard		N/A		
Other				

g. Please describe any community projects paid for by the company. What currency are the expenditures reported in? US\$

Type of project	Spending 2015	Spending 2016	Spending 2017	Remarks
Education (e.g., classrooms, offices)	\$ 13,000.00	\$ 34,000.00	\$ 11,000.00	
Education (e.g., school fees, supplies)	\$ 17,000.00	\$ 23,000.00	\$ 21,600.00	
Health (e.g., clinics, offices)			\$ 22,400.00	
Health (e.g., medical salaries, equipment)				
Community scout salaries				
Other community anti-poaching				
Local government activities				
Water infrastructure improvements				
Sports teams funded				
Construction materials donated				
Other: _____				
Other: _____				
TOTALS	\$ 30,000.00	\$ 26,400.00	\$ 45,100.00	

11. How many U.S. clients did the company have in the past three years, what percentage of the company's clients were from the U.S., and what fees did they pay?

	Hunting elephant	Hunting lion	Hunting leopard	Hunting all species
Number of U.S. clients	2015:	2015:	2015:	2015:
	2016:	2016:	2016:	2016:
	2017:	2017:	2017:	2017:

Shangani game Count

	1993	1995	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017
Aardvark	0	0	0	0	2	0	0	0	0	0	0	1
Aardwolf	0	0	0	0	0	0	0	0	0	0	0	0
Baboon	8	12	22	13	12	10	12	10	6	10	4	8
Brown Hyena	0	0	0	0	0	2	0	0	0	0	0	0
Bushbuck	0	0	0	6	12	5	9	6	5	2	9	2
Bushpig	35	32	17	42	47	21	18	10	40	15	26	49
Caracal	2	0	1	0	0	0	0	0	0	0	0	0
Cheetah	1	6	0	0	0	0	0	0	0	0	0	0
Duiker	232	169	209	171	330	271	275	226	158	193	223	232
Eland	0	0	47	73	107	74	147	94	79	162	124	111
Elephant	0	17	144	151	254	139	264	231	174	198	217	223
Giraffe	1	2	83	127	80	91	88	108	84	119	109	112
Ground Hornbill	8	11	7	16	6	18	5	25	6	0	3	0
Guinea Fowl	42	20	27	25	9	24	12	7	18	14	12	17
Hippo	0	0	0	0	0	0	0	0	0	0	0	0
Honey Badger	0	0	0	0	1	0	0	0	0	0	0	0
Impala	2541	1767	1859	1894	2047	1852	2301	2514	2457	2169	2073	2572
Jackal	31	32	11	10	7	5	6	1	2	2	1	0
Kori Bustard	0	8	8	1	3	2	5	1	0	0	0	1
Kudu	906	661	586	459	547	469	535	415	363	448	513	452
Leopard	1	2	9	0	4	2	1	4	1	5	2	3
Ostrich	79	80	10	8	13	5	12	11	9	5	2	5
Reedbuck	152	71	3	21	15	13	20	18	21	17	15	12
Sable	105	98	65	33	81	84	76	114	131	157	129	219
Serval	0	0	0	0	0	0	0	0	0	0	0	0
Steenbuck	106	63	115	89	138	133	87	105	88	84	106	85
Tsessebe	1701	1167	14	15	12	8	3	7	12	11	12	9
Wildebeest	0	0	1	0	0	0	0	0	4	0	17	21
Warthog	1131	821	275	215	320	312	296	208	185	324	299	524
Waterbuck	6	0	1	12	16	9	6	6	11	11	4	6
Zebra	69	82	341	419	374	343	448	615	661	648	756	920
Total	7157	5121	3855	3800	4437	3892	4626	4736	4515	4594	4656	5584

HUNTING RETURN FORM - TRAS2 APPLICATION FOR HUNTING NP/CITES

Issued in terms of Exchange Control Act (Chapter 22:05),
The Parks and Wild Life Act (Chapter 20:14) and the Tourism Act (Chapter 14:20)

0000080833
HUNT_FUNDS_CAPTURED

1. DETAILS OF THE SAFARI OPERATOR

Name of Operator	Declin Safaris (Pvt) Ltd t/a Shangani River Safaris	Client's Full Name	John Loren Miller
ZTA (HOP) No.	HOP0285	Passport Number	(b) (6)
Address	18 Hoopoe Road Burnside Bulawayo	Country of Issue	UNITED STATES
Name of Professional Hunter	Brian Van Blerk	Address	(b) (6) Segun, Tava, (b) (6) USA
Telephone		Licence No.	380
Number of Accompanying Guests		Fax	09 243321
Are the trophies being exported after tanning and mounting?		0	
Are the trophies being exported after primary treatment?			
Name of Processing Agent or Taxidermist	TROPHY CONSULTANTS INTERNATIONAL	Address	4 JOSIAH CHINAMANO RD BELMONT BULAWAYO
Name of Shipping Agent	Maple freight	Address	4th Josiah Chinamano Road Belmont Bulawayo Zimbabwe
Name of Foreign Agent		Address	

2. HUNTING AREAS

	Hunting Area Name	Province	Signature of Land Authority
1	Debshan Ranch	Metabeleland South	
2			
3			
4			
5			
6			
7			
8			
9			
10			
11			
12			
13			
14			
15			

Date of Safari From	20-08-2018
Date of Safari To	04-09-2018
Daily Rate Charged For Big Game USD	700.00
Daily Rate Charged For Plains Game USD	.00
Daily Rate Charged for Non hunting guests USD	.00
Number of Accompanying Guests	0

ZPWMA
Date Stamp

RCVD MAY 30

(b) (6)	32-61 1110 454	(b) (6)
	DATE 5-7-19	
PAY TO THE ORDER OF	U. S. Fish and Wildlife Service # \$ 100. ⁰⁰	
	One hundred dollars & ⁰⁰ / ₁₀₀ 's — DOLLARS	
CHASE JPMorgan Chase Bank, N.A. www.Chase.com	(b) (6)	
MEMO		MF
(b) (6)		