

# American Ginseng Export Program

**U.S. Fish and Wildlife Service  
Division of Management Authority  
Branch of Operations  
Branch of Permits**





# CITES

- Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES)
- CITES regulates international trade through a system of permits (three Appendices)
- Currently 175 member Parties
- The U.S. Management Authority for CITES is the Division of Management Authority



# Ginseng and CITES

**1975:** *Panax quinquefolius*

including whole and sliced roots and parts of roots, excluding manufactured parts or derivatives

**2000:** *Panax ginseng*

populations of Russia; same annotation as for *P. quinquefolius*



# Ginseng Management in the U.S.

- 50 CFR Part 23, Subpart E – International Trade in Certain Specimens
  - Ginseng managed at State level
  - States certify wild or cultivated origin and legal acquisition
  - Annual data from preceding harvest season should be made available to DMA by May 31 of each year



# Export Requirements

- American ginseng (*Panax quinquefolius*) is listed in Appendix II of CITES
- Non-detriment finding
- Legal acquisition finding
- CITES permits required for export of wild and cultivated American ginseng



# Wild vs. Artificially Propagated

- Artificially propagated is:
  - Grown under controlled conditions;
  - Cultivated parental stock used for artificial propagation must be:
    - Established in accordance with CITES and national laws and not detrimental to the survival of the species; and
    - Managed to guarantee long-term maintenance of stock.



# Wild American Ginseng Export

- A CITES export permit must be obtained from Branch of Permits (BOP)
- Export permits are valid for six months and issued for one time use
- Original State Certificates of Origin required to demonstrate legal harvest
- Wild ginseng collected during different harvest seasons require a separate Master File to be established for each season (valid for one year)







**CONVENTION ON  
INTERNATIONAL TRADE IN  
ENDANGERED SPECIES OF  
WILD FAUNA AND FLORA**

<input checked="" type="checkbox"/> EXPORT PERMIT	1. Original Permit/Certificate No.
<input type="checkbox"/> RE-EXPORT CERTIFICATE	
<input type="checkbox"/> OTHER CERTIFICATE (see block 9)	2. Valid until

3. Permittee (name and address, country)

4. Consignee (name and address, country)

5. Special Conditions  
MUST COMPLY WITH ATTACHED SPECIAL CONDITIONS FOR EXPORT OF WILD AMERICAN GINSENG.

EXPORT MUST BE THROUGH A USDA DESIGNATED PORT

PERMITTEE MUST COMPLETE BLOCKS 4 AND 11 PRIOR TO EACH SHIPMENT

AUTHORIZED TO EXPORT WILD AND WILD-SIMULATED ROOTS 5 YEARS OF AGE OR OLDER HARVESTED IN THE 2006 SEASON.

5a. Purpose of Transaction

5b. Species No.

193158

6. U.S. Management Authority

DIVISION OF MANAGEMENT AUTHORITY  
U.S. FISH AND WILDLIFE SERVICE  
DEPARTMENT OF THE INTERIOR  
WASHINGTON, D.C. 20240  
UNITED STATES OF AMERICA

**U.S. CITES  
Management Authority**

Issuing Date

United States Management Authority

AUTHORITY: Endangered Species Act of 1973 (16 USC 1531 et. seq.)

For live animals, only valid if the transport conditions comply with the CITES Guidelines for Transport of Live Animals or, in the case of air transport, with IATA Live Animals Regulations

7a. Common Name and Scientific name (genus and species) of Animal or Plant	8. Description of Part or Derivative, including identifying marks or numbers (age/sex if live)	10. Appendix No. and Source
A. Common Name AMERICAN GINSENG	B. EXPORT: 2006 HARVEST SEASON, WILD ROOTS; AUTHORIZED UNDER MASTER FILE # 204361.	10: 2 W
Scientific Name PANAX QUINQUEFOLIUS		11. Quantity (including units)
		11a. Total Exported/Quota
12. Country of Origin	Permit/Certificate No.	Date of Issue
U.S.A		
12a. Country of Last Re-export	Re-export Certificate No.	Date of Issue
12b. Breeding Operation No.		
12c. Pre-Convention Date of Acquisition		

B. Common Name	9.	10.
Scientific Name		11. Quantity (including units)
		11a. Total Exported/Quota
12. Country of Origin	Permit/Certificate No.	Date of Issue
12a. Country of Last Re-export	Re-export Certificate No.	Date of Issue
12b. Breeding Operation No.		
12c. Pre-Convention Date of Acquisition		

13. Export / Re-export Endorsement: The official who inspects shipment upon exportation / re-exportation must enter the total quantities of specimens being exported / re-exported in this block.	14. Bill of Lading/Air Way-Bill Number	15. This document valid only with inspecting official's ORIGINAL stamp, signature and date in this block.
Sex Block 7	Quantity	Part of Exportation / Re-exportation
A		
B		
Total No. of Strapping Containers		Inspecting Official's Stamp, Signature and Date

193158

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# Cultivated American Ginseng Export

- A CITES Certificate for Artificially Propagated Plants (CAPP) must be obtained from BOP
- Certificates are valid for six months and issued for one time use
- Original State Certificates of Origin required to demonstrate legally harvest
- Master Files established for cultivated root are valid for three years





**CONVENTION ON INTERNATIONAL TRADE IN ENDANGERED SPECIES OF WILD FAUNA AND FLORA**

- EXPORT PERMIT
- RE-EXPORT CERTIFICATE
- OTHER CERTIFICATE (see block 9)

1. Original Permit/Certificate No.

2. Valid until

3. Permittee (name and address, country)

4. Consignee (name and address, country)

6. Special Conditions

MUST COMPLY WITH ATTACHED SPECIAL CONDITIONS FOR EXPORT OF CULTIVATED AMERICAN GINSENG.

EXPORT MUST BE THROUGH A USDA DESIGNATED PORT.

PERMITTEE MUST COMPLETE BLOCKS 4 AND 11 PRIOR TO EACH SHIPMENT.

5a. Purpose of Transaction

T

5b. Security No.

208712

5. U.S. Management Authority

DIVISION OF MANAGEMENT AUTHORITY  
U.S. FISH AND WILDLIFE SERVICE  
DEPARTMENT OF THE INTERIOR  
WASHINGTON, D.C. 20240  
UNITED STATES OF AMERICA

U.S. CITES  
Management Authority

For live animals, only valid if the transport conditions comply with the CITES Guidelines for Transport of Live Animals or, in the case of air transport, with IATA Live Animals Regulations

Issuing Date

United States Management Authority

AUTHORITY: Endangered Species Act of 1973 (16 USC 1531 et. seq.)

7(a). Common Name and Scientific name (genus and species) of Animal or Plant

9. Description of Part or Derivative, including identifying marks or numbers (age/sex if live)

10. Appendix No. and Source

A. Common Name  
AMERICAN  
GINSENG

9. CERTIFICATE FOR ARTIFICIALLY PROPAGATED PLANTS, ROOTS; AUTHORIZED UNDER MASTER FILE # 675862

10. 2 - A

Scientific Name  
PANAX  
QUINQUEFOLIUS

11. Quantity (including units)

11a. Total Exported Quota

12. Country of Origin  
U.S.A.

Permit/Certificate No.

Date of Issue

12b. Breeding Operation No.

12a. Country of Last Re-export

Re-export Certificate No.

Date of Issue

12c. Pre-Convention Date of Acquisition

B. Common Name

9.

10.

Scientific Name

11. Quantity (including units)

11a. Total Exported Quota

12. Country of Origin

Permit/Certificate No.

Date of Issue

12b. Breeding Operation No.

12a. Country of Last Re-export

Re-export Certificate No.

Date of Issue

12c. Pre-Convention Date of Acquisition

13. Export / Re-export Endorsement

The official who inspects shipment upon exportation / re-exportation must enter the total quantities of specimens being exported / re-exported in this block

See Block 7	Quantity
A	
B	

14. Bill of Lading/Air Way-Bill Number

Port of Exportation/ Re-exportation

Total No. of Shipping Containers

15. This document valid only with inspecting official's ORIGINAL stamp, signature and date in this block.

Inspecting Officer's Stamp, Signature and Date

208712 / 23-08

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# Applying for a CITES Permit

- Submit a completed application (Form 3-200-34) to BOP
- Copy of valid State ginseng permit or license, and valid copy of the USDA Protected Plant Permit must be presented with application
- Allow 45 days for processing



# Applying for a CITES Permit

(continued)

- For upcoming harvest season of wild ginseng, applications should be submitted to BOP in July
- Applications for wild ginseng from past harvest seasons may be submitted any time
- Applications for certificates to export cultivated ginseng may be submitted any time





Department of the Interior  
U.S. Fish and Wildlife Service

Expires 11/30/2016  
OMB No. 2028-0087

### Federal Fish and Wildlife Permit Application Form

**Return to:** Division of Management Authority (DMA)  
U.S. Fish and Wildlife Service  
4401 N. Fairfax Drive, Room 212  
Arlington, VA 22203  
1-800-358-2104 or 703-358-2104

**Type of Activity:**  
**EXPORT OF AMERICAN GINSENG (Multiple Commercial Shipments)**  
 Wild, taken the \_\_\_\_\_ harvest season  
 Artificially Propagated  
 Renewal/ Permit Number \_\_\_\_\_

Complete Sections A or B, and C, D, and E of this application. U.S. address may be required in Section C, see instructions for details.  
See attached instruction page for information on how to make your application complete and help avoid unnecessary delays.

A. Complete if applying as an individual					
1.a. Last name	1.b. First name	1.c. Middle name or initial	1.d. Suffix		
2. Date of birth (mm/dd/yyyy)	3. Social Security No.	4. Occupation	5. Affiliation/Doing business in (see instructions)		
6.a. Telephone number	6.b. Alternate telephone number	6.c. Fax number	6.d. E-mail address		

B. Complete if applying on behalf of a business, corporation, public agency or institution					
1.a. Name of business, agency, or institution			1.b. Doing business as (dba)		
2. Tax identification no.		3. Description of business, agency, or institution			
4.a. Principal officer last name	4.b. Principal officer first name	4.c. Principal officer middle name or initial	4.d. Suffix		
5. Principal officer title		6. Primary contact			
7.a. Business telephone number	7.b. Alternate telephone number	7.c. Business fax number	7.d. Business e-mail address		

C. All applicants complete address information					
1.a. Physical address (Street address, Apartment #, Suite #, or Room #, no P.O. boxes)					
1.b. City	1.c. State	1.d. Zip code/Postal code	1.e. County/Province	1.f. Country	
2.a. Mailing Address (include if different than physical address, include name of contact person if applicable)					
2.b. City	2.c. State	2.d. Zip code/Postal code	2.e. County/Province	2.f. Country	

D. All applicants MUST complete	
1.	Attach check or money order payable to the U.S. FISH AND WILDLIFE SERVICE in the amount identified on page 2. Federal, tribal, State, and local government agencies, and those acting on behalf of such agencies, are exempt from the processing fee – attach documentation of fee exemption as outlined in instructions. (50 CFR 11.176)
2.	Do you currently have or have you ever had any Federal Fish and Wildlife permits? Yes <input type="checkbox"/> If yes, list the number of the most current permit you have held or that you are applying to renew/replace: _____ No <input type="checkbox"/>
3.	Certification: I hereby certify that I have read and am familiar with the regulations contained in Title 50, Part 11 of the Code of Federal Regulations and the other applicable parts in subchapter B of Chapter I of Title 50, and I certify that the information submitted in this application for a permit is complete and accurate to the best of my knowledge and belief. I understand that any false statement herein may subject me to the criminal penalties of 18 U.S.C. 1001.
Signatures (the Max links of applicant/person responsible for permit. Use photocopied or stamped signatures) _____ Date of signature (mm/dd/yyyy) _____	

Please continue to next page

### E. EXPORT OF AMERICAN GINSENG (Multiple Commercial Shipments)

Note: This application should be used to request authorization to export multiple commercial shipments of artificially propagated and wild-collected American ginseng. For a single shipment of American ginseng, submit form 3-200-32.

This application allows you to establish a Master File, valid for up to three years for artificially propagated American ginseng and one year for wild-collected American ginseng (both are renewable), for commercial exports. Once the Master File is approved, you must obtain single-use permits, valid for 6 months for each export (50 CFR 13.11, <http://ecfr.gpoaccess.gov>).

**PROCESSING FEES:** \$200 for a three-year artificially propagated authorization  
\$100 for renewal of a three-year artificially propagated authorization; permit # \_\_\_\_\_  
\$50 for a one-year wild authorization  
\$50 for renewal of a one-year wild authorization; permit # \_\_\_\_\_  
\$5 for each single-use export permit issued from these authorizations.

How many single-use permits do you wish to request (anticipate using in the next 6 months) upon completion of this Master File review? \_\_\_\_\_ (Provide \$5 for each 6-month permit)  
Additional permits may be requested via form 3-200-74 (<http://www.fws.gov/forms/>).

Complete either Part I (Wild collected American ginseng) or Part II (Artificially propagated American ginseng), as appropriate, and Part III. If both artificially propagated and wild ginseng are to be exported, submit a separate application for each. For wild ginseng, submit a separate application for each harvest season. Complete all pages of the application.

#### Part I. Complete if exporting WILD American ginseng (*Panax quinquefolius*).

- Harvest season in which ginseng was/will be collected (give the year harvest season began): \_\_\_\_\_
- List State(s) from which ginseng was/will be harvested: \_\_\_\_\_
- Approximate number of pounds expected to be exported during the time your Master File is valid: \_\_\_\_\_
- ENTER an "X" in the box that applies. Type of goods to be exported:  
 Dried roots  Green roots  Other (ENTER a description: \_\_\_\_\_)
- Please attach a copy of your valid State license(s) or permit(s) authorizing you to deal in ginseng (check with your State) and a copy of your USDA General Permit.
- CERTIFICATION STATEMENT:**

I understand that all wild American ginseng to be exported must be accompanied by valid State documents which certify that it was legally harvested in the State(s) listed above, for which the U.S. Scientific Authority has approved export and the U.S. Management Authority has approved the State's management program.

Applicant's signature: \_\_\_\_\_ Date: \_\_\_\_\_

**Part II. Complete if exporting ARTIFICIALLY PROPAGATED American ginseng (*Panax quinquefolius*).**

1. List State(s) from which ginseng was or will be harvested: \_\_\_\_\_  
\_\_\_\_\_

2. Approximate number of pounds expected to be exported during the time your Master File is valid: \_\_\_\_\_  
\_\_\_\_\_

3. ENTER an "X" in the box that applies. Type of goods to be exported:

Dried roots     Green roots     Other (ENTER a description: \_\_\_\_\_)

4. If applicable, please attach a copy of your valid State license(s) or permit(s) authorizing you to deal in ginseng (check with your State), and a copy of your USDA General Permit.

5. CERTIFICATION STATEMENT:

I understand that all artificially propagated American ginseng to be exported must be accompanied by valid State documents which certify that it was artificially propagated in the State(s) listed above, for which the U.S. Scientific Authority has approved export and the U.S. Management Authority has approved the State's management program.

Applicant's signature: \_\_\_\_\_ Date: \_\_\_\_\_

**Part III (completed by ALL applicants)**

1. Address where you wish permit mailed (if different than page 1):  
\_\_\_\_\_  
\_\_\_\_\_

2. If you wish the permit to be sent to you by means other than regular mail, provide us air bill, pre-paid envelope, or billing information:  
\_\_\_\_\_

3. Who should we contact if we have questions about the application? (Include name, phone number, and email):  
\_\_\_\_\_

# Re-export of American Ginseng

- Submit a completed application (Form 3-200-32) to BOP
- Application may be submitted any time
- Upon re-export, copy of canceled foreign CITES document used to import ginseng must be presented
- Re-export certificates are valid for six months and issued for one time use







Department of the Interior  
U.S. Fish and Wildlife Service  
**Federal Fish and Wildlife Permit Application Form**

Expires 11/30/2010  
OMB No. 1018-0001

Return to: Division of Management Authority (DMA)  
U.S. Fish and Wildlife Service  
4401 N. Fairfax Drive, Room 212  
Arlington, VA 22203  
1-800-358-2104 or 703-358-2104

Type of Activity:  
**EXPORT/RE-EXPORT OF PLANTS (CITES)**  
 Renewal of Permit # \_\_\_\_\_  
(check box if applicable)

Complete Sections A or B, and C, D, and E of this application. U.S. address may be required in Section C; see instructions for details. See attached instruction pages for information on how to make your application complete and help avoid unnecessary delays.

A. Complete if applying as an individual			
1. a. Last name	1. b. First name	1. c. Middle name or initial	1. d. Suffix
2. Title or title (include suffix)	3. Social Security No.	4. Occupation	5. Affiliation (Using business as per instructions)
6. a. Telephone number	6. b. Alternate telephone number	6. c. Fax number	6. d. E-mail address

B. Complete if applying on behalf of a business, corporation, public agency or institution			
1. a. Name of business, agency, or institution		1. b. Doing business as (dba)	
2. Tax identification no.		3. Description of business, agency, or institution	
4. a. Principal officer Last name	4. b. Principal officer First name	4. c. Principal officer Middle name or initial	4. d. Suffix
5. Principal officer title		6. Primary contact	
7. a. Business telephone number	7. b. Alternate telephone number	7. c. Business fax number	7. d. Business e-mail address

C. All applicants complete address information				
1. a. Physical address (Street address, Apartment #, Suite #, or Room #, or P.O. Box#)				
1. b. City	1. c. State	1. d. Zip code/Postal code	1. e. County/Province	1. f. Country
2. a. Mailing Address (include if different than physical address; include name of contact person if applicable)				
2. b. City	2. c. State	2. d. Zip code/Postal code	2. e. County/Province	2. f. Country

D. All applicants MUST complete	
1.	Attach check or money order payable to the U.S. FISH AND WILDLIFE SERVICE in the amount identified on page 2. Federal, tribal, State, and local government agencies, and those acting on behalf of such agencies, are exempt from the processing fee - attach documentation of fee exempt status as outlined in instructions. (50 CFR 17.111(d))
2.	Do you currently have or have you ever had any Federal Fish and Wildlife permits? Yes <input type="checkbox"/> No <input type="checkbox"/> If yes, list the number of the most current permit you have held or that you are applying to renew to issue: _____
3.	Certification: I hereby certify that I have read and am familiar with the regulations contained in Title 50, Part 17 of the Code of Federal Regulations and the other applicable parts in subchapter B of Chapter I of Title 50, and I certify that the information submitted in this application for a permit is complete and accurate to the best of my knowledge and belief. I understand that any false statements herein may subject me to the criminal penalties of 18 U.S.C. 1001.
Signature (in blue ink) of applicant/person responsible for permit (Use photocopied or stamped signatures) _____ Date of signature (mm/dd/yyyy) _____	

Please continue to next page

**E. EXPORT/RE-EXPORT OF PLANTS (CITES)**

You may use this form for a single shipment, personal or household plants, or to establish a Master File. If you wish to request authorization for multiple commercial exports of artificially propagated plants, please use form 3-200-33 ([www.fws.gov/forms](http://www.fws.gov/forms)).

Provide the following information. Complete all questions on the application. If needed, use a separate sheet of paper. On all attachments or separate sheets you submit, indicate the number of the application question you are addressing. Mark questions that are not applicable with "N/A". Indicate which plants are being addressed in each response.

Electronic submission of inventories, photographs, and receipts: Some applications contain long inventories and/or a large number of photographs or receipts. You may provide electronic versions of these documents. Such a submission may assist to expedite the processing of your application since it will eliminate the need for data entry by U.S. Fish and Wildlife Service. You may include a disk containing your information or, if applicable, please check the following:  I would like you to contact me at the email address above in order to get an electronic inventory.

- What activity are you requesting?
  - SINGLE SHIPMENT for export/re-export (processing Fee = \$100)
  - PRE-CONVENTION/SINGLE SHIPMENT (processing Fee = \$75)
  - PERSONAL/HOUSEHOLD PLANTS/SINGLE SHIPMENT (processing Fee = \$50)
  - ESTABLISHMENT OF A MASTER FILE (processing Fee = \$200) (This application allows you set up a Master File, valid for three years and is renewable, for exports of plant specimens under CITES. Once the Master File is approved you would need to obtain single-use permits, valid for 6 months, for each export (50 CFR 13.11))
  - Renewal of an Established Master File, # \_\_\_\_\_ (processing Fee = \$100)
- If establishing a new master file or renewing a previous master file, how many single-use permits do you anticipate using in the next 6 months? \_\_\_\_\_ (Provide \$5 for each 6-month permit.) For future shipments, additional permits may be requested using form 3-200-74; [www.fws.gov/forms](http://www.fws.gov/forms).
- On a separate page, please list all specimens, sorted by Appendices (I, II, or III) and sorted by native species versus non-native species. For EACH plant specimen, indicate:
  - Scientific name (genus, species, and if applicable, hybrid, variety, cultivar, or subspecies) and common name;
  - General description (e.g., whole plant, seeds, raw product, parts and derivatives; size)\*  
\* For Plant Products (e.g., timber, medicinal products, nutritional supplements), the general description (example: bottles of Hoodia gordonii, 30 capsules in each bottle, each capsule contains 100 mg of *H. gordonii*) should include:
    - Form of product (e.g., plywood, tablet, capsule, fruit bar);
    - Form of packaging (if applicable);
    - Quantity, including the amount/percentage of listed plant species in each package, in metric units; and
    - Product name, if applicable.
  - Quantity;
  - Country where the plant was acquired; and
  - Source of the specimen (e.g., removed from the wild or artificially propagated).
- If you are requesting a single shipment, provide recipient's foreign address: \_\_\_\_\_
- Current location of specimens \_\_\_\_\_

6. What is the purpose of the export? \_\_\_\_\_
7. Is this shipment a sample collection being exported for temporary exhibition or display purposes and accompanied by a valid ATA carnet?  NO  YES; If available, provide ATA carnet document number \_\_\_\_\_
8. For **Plants Collected From the Wild in the United States**, on a separate page, provide the following for each species collected from the wild:
- Number of specimens collected;
  - Specific location, and date of collection for each specimen;
  - Who (name and address) collected the specimens;
  - Copies of documents that indicate that the plants were legally collected (e.g., State permits or licenses, landowner's permission). Be sure to correlate each document to the corresponding plant;
  - Approximate density (such as number of plants per acre) and distribution of the species at the collection site(s);
  - Collection methodology (e.g., whether the specimens were removed from one clump in an area of several clumps or from more than one clump or patch at a specific location); AND
  - Ratio of how many collected to how many remain.
  - If you purchased the plants/seeds, provide the invoice or other document that shows the name, address and telephone number of the person from whom you purchased the plants and the date of purchase for each specimen (such documentation should trace back to the original collector).
9. For **wild-collected Appendix-I plants**, provide a copy of the CITES import permit issued by the Management Authority of the country to which you plan to export the plant.
10. For **Artificially Propagated Plants** provide:
- If you purchased the plants from a propagator that is currently holding a valid Certificate of Artificially Propagated Plants (CAPP), provide a signed statement from the propagator attesting that the specimens were artificially propagated that their facility AND provide the invoice or other document that shows the name, address and telephone number of the person from whom you purchased the plants and the date of purchase for each specimen.
  - If you purchased the plants from a propagator that is not currently holding a valid CAPP, you will need to provide sufficient information to determine if the specimens meet the criteria under Resolution Conf. 11.11 (rev. CoP13) to qualify as Artificially Propagated under CITES. You may either contact our office or refer to Section E, Parts I, II, and III, of application form 3-200-33 ([www.fws.gov/forms](http://www.fws.gov/forms)).
11. For **Re-Export** (the export of plants that were previously imported), provide evidence the specimen(s) was legally imported:
- Copies of canceled CITES export or re-export document issued by the appropriate CITES office in the country from which the plant was imported. The copies must be stamped by Customs and Border Protection or USDA's Animal and Plant Health Inspection Service (APHIS); AND
  - If you did not make the original import, a copy of the invoice or other document that shows you purchased the plant from the original importer or history of transactions. (Be sure to correlate each document to the corresponding plant.)
12. For **Plants Obtained Prior to their Listing under CITES** (i.e., pre-Convention) (no CITES import permit is required for an Appendix-I specimen that qualifies as pre-Convention), provide documents, signed statement or other evidence that the plant was obtained prior to the date CITES applied to it (e.g., bill of sale, USDA or foreign phytosanitary certificate). The listing date can be found in the CITES Species Database at <http://www.cites.org/eng/resources/species.html>.

13. **Brazilian rosewood (*Dalbergia nigra*)** Complete one of the following certification statements:
- a. Vintage guitar purchaser and exporter.** Provide a catalog or inventory of your pre-Convention Brazilian rosewood stock of guitars, if applicable.

I certify that all the guitars to be exported are made from pre-convention Brazilian rosewood (*Dalbergia nigra*), harvested prior to 6/11/92, as documented by the guitars' serial numbers. If applying for multiple shipments, I anticipate that I will need to export \_\_\_\_\_ guitars in the next three years.

Applicant's signature: \_\_\_\_\_ Date: \_\_\_\_\_

- b. Guitar manufacturer/exporter/lumber exporter.** Provide a catalog or inventory of your pre-Convention Brazilian rosewood stock of wood and/or guitars.

I certify that all the guitars/guitar pieces/lumber to be re-exported, and identified in my catalog or inventory, are made from my pre-Convention supply of Brazilian rosewood (*Dalbergia nigra*), harvested prior to 6/11/92. If applying for multiple shipments, I anticipate that I will need to export \_\_\_\_\_ guitars/pieces of wood in the next four years.

Applicant's signature: \_\_\_\_\_ Date: \_\_\_\_\_

14. For **Export of plants by a Plant Society for Exhibition** provide:
- A list of the names of the plant society members who would be exporting the society's plants;
  - The names and dates of the show(s) and the approximate dates of export and re-import of the plants; AND
  - A signed and dated certification statement from the current society president similar to: "This certifies that the plants, transported to [country where show occurs] through the port of [USDA designated port], under a CITES permit to be issued to [your society's name], are for exhibition at [name of plant show]. None of the plants being exported will be sold. These same plants will be returned to the United States through the port of [USDA designated port] at the conclusion of the show."
15. For **Commercial shipments**, provide a copy of your State nursery license and U. S. Department of Agriculture (USDA) Protected Plant Permit (formerly known as General Permit).
16. For living plants: (a) describe type of shipping container and (b) arrangements for care during shipping: \_\_\_\_\_
17. Address where you wish permit mailed (if different than page 1): \_\_\_\_\_
18. If you wish the permit to be sent to you by means other than regular mail, provide an air bill, pre-paid envelope, or billing information: \_\_\_\_\_
19. Who should we contact if we have questions about the application? (Include name, phone number, and email): \_\_\_\_\_

# USDA Requirements for Export

- Export must occur at ports designated by the U.S. Department of Agriculture (USDA)
- At the time of export, shipments must be presented to a USDA inspector for clearance



# What to Present for USDA Clearance

- Ginseng being exported
- One fully completed original permit or certificate
- Two copies of the completed CITES permit or certificate
- Original State Certificates of Origin OR copies of canceled foreign CITES export documents for re-export
- One completed export report
- One shipper's invoice





## United States Department of the Interior

FISH AND WILDLIFE SERVICE  
Washington, D.C. 20240

### SPECIAL CONDITIONS FOR THE EXPORT OF WILD AMERICAN GINSENG

- Enclosed sections of Title 50 CFR Part 13 (see attached) are also conditions of this permit.
- All applicable foreign, state, local, tribal or Federal laws, including those requiring permits, must be observed.
- Permittee shall allow an authorized USDA agent to enter his premises at any reasonable hour to inspect any specimen held, or to inspect any records maintained under State and Federal requirements.
- Each ginseng export shipment must contain the following and be presented to a port inspector of the USDA Animal and Plant Health Inspection Service, Division of Plant Protection and Quarantine (APHIS/PPQ), at a designated port, per Permit Block 13, for endorsement:
  - The ginseng being exported;
  - One fully completed **original** Permit to be endorsed by APHIS/PPQ and accompany the export shipment; permittee must make certain to (1) fill in consignee in Permit Block 4 and (2) complete Block 11 showing ginseng weight.
  - One fully completed photocopied Permit, Wild Ginseng Export Report (form on the bottom of this page), original State Certificates, and shipper's Invoice. These will be examined, endorsed, and sent to the Division of Management Authority by APHIS/PPQ.
  - One fully completed photocopied Permit that will be endorsed by APHIS/PPQ and is for the exporter's records.

### WILD GINSENG EXPORT REPORT FOR 2008 HARVEST SEASON

NOTE: permittee must supply the following information and attach original State Certificates for the ginseng in this shipment.

PORT OF EXPORT \_\_\_\_\_  
 DATE OF EXPORT \_\_\_\_\_  
 CONSIGNEE \_\_\_\_\_

U.S. PERMIT # \_\_\_\_\_  
 MASTER FILE # \_\_\_\_\_

(PERMITTEE'S SIGNATURE)

#### States Authorized for 2008 Export:

State	Weight	State	Weight
Alabama	_____	New York	_____
Arkansas	_____	N. Carolina	_____
Georgia	_____	Ohio	_____
Illinois	_____	Pennsylvania	_____
Indiana	_____	Tennessee	_____
Iowa	_____	Vermont	_____
Kentucky	_____	Virginia	_____
Maryland	_____	West Virginia	_____
Minnesota	_____	Wisconsin	_____
Missouri	_____		

Total Weight of the Shipment \_\_\_\_\_

Revised October 2008 (GINW)

Endorsement:
_____ Signature of APHIS/PPQ Inspector
_____ Official APHIS/PPQ Stamp



## United States Department of the Interior

FISH AND WILDLIFE SERVICE  
Washington, D.C. 20240

### SPECIAL CONDITIONS FOR THE EXPORT OF CULTIVATED AMERICAN GINSENG

- Enclosed sections of Title 50 CFR Part 13 (see attached) are also conditions of this permit.
- All applicable foreign, state, local, tribal or Federal laws, including those requiring permits, must be observed.
- Permittee shall allow an authorized USDA agent to enter his premises at any reasonable hour to inspect any specimen held, or to inspect any records maintained under State and Federal requirements.
- Each ginseng export shipment must contain the following and be presented to a port inspector of the USDA Animal and Plant Health Inspection Service, Division of Plant Protection and Quarantine (APHIS/PPQ), at a designated port, per Permit Block 13, for endorsement:
  - The ginseng being exported;
  - One fully completed **original** Certificate to be endorsed by APHIS/PPQ and accompany the export shipment; permittee must make certain to (1) fill in consignee in Permit Block 4 and (2) complete Block 11 showing ginseng weight.
  - One fully completed photocopied Certificate, Cultivated Ginseng Export Report (form on the bottom of this page), original State Certificates, and shipper's Invoice. These will be examined, endorsed, and sent to the Division of Management Authority by APHIS/PPQ.
  - One fully completed photocopied Certificate that will be endorsed by APHIS/PPQ and is for the exporter's records.

### CULTIVATED GINSENG EXPORT REPORT

NOTE: permittee must supply the following information and attach original State Certificates for the ginseng in this shipment.

PORT OF EXPORT \_\_\_\_\_  
 DATE OF EXPORT \_\_\_\_\_  
 CONSIGNEE \_\_\_\_\_

U.S. PERMIT # \_\_\_\_\_  
 MASTER FILE # \_\_\_\_\_

(PERMITTEE'S SIGNATURE)

#### States Authorized for Cultivated Ginseng Export:

State	Weight	State	Weight
Alabama	_____	New York	_____
Arkansas	_____	N. Carolina	_____
Georgia	_____	N. Dakota	_____
Idaho	_____	Ohio	_____
Illinois	_____	Oregon	_____
Indiana	_____	Pennsylvania	_____
Iowa	_____	Tennessee	_____
Kentucky	_____	Vermont	_____
Maine	_____	Virginia	_____
Maryland	_____	Washington	_____
Michigan	_____	West Virginia	_____
Minnesota	_____	Wisconsin	_____
Missouri	_____		

Total Weight of this Shipment \_\_\_\_\_

Endorsement:
_____ Signature of APHIS/PPQ Inspector
_____ Official APHIS/PPQ Stamp

Revised 9/15/2005

# Exports of wild American ginseng

- 2002 - 31,671 kg root
- 2003 - 34,084 kg root
- 2004 - 27,302 kg root
- 2005 - 23,551 kg root
- 2006 - 28,042 kg root
- 2007 - 24,581 kg root
- 2008 - 10,801 kg root



# Exports of cultivated American ginseng

- 2002 - 240,528 kg root
- 2003 - 202,547 kg root
- 2004 - 225,173 kg root
- 2005 - 128,861 kg root
- 2006 - 168,637 kg root
- 2007- 263,264 kg root
- 2008 -150,238 kg root



# Contact Information

- Division of Management Authority
  - Telephone: 1-703-358-2104
  - Fax: 1-703-358-2281
  - E-mail: [management\\_authority@fws.gov](mailto:management_authority@fws.gov)
  - Internet: <http://fws.gov/international>

