American Ginseng Export Program

U.S. Fish and Wildlife Service
Division of Management Authority
Branch of Operations
Branch of Permits
CITES

- Convention on International Trade in Endangered Species of Wild Fauna and Flora (CITES)
- CITES regulates international trade through a system of permits (three Appendices)
- Currently 175 member Parties
- The U.S. Management Authority for CITES is the Division of Management Authority
Ginseng and CITES

1975: *Panax quinquefolius*
   including whole and sliced roots and parts of roots, excluding manufactured parts or derivatives

2000: *Panax ginseng*
   populations of Russia; same annotation as for *P. quinquefolius*
Ginseng Management in the U.S.

• 50 CFR Part 23, Subpart E – International Trade in Certain Specimens
  – Ginseng managed at State level
  – States certify wild or cultivated origin and legal acquisition
  – Annual data from preceding harvest season should be made available to DMA by May 31 of each year
Export Requirements

• American ginseng (*Panax quinquefolius*) is listed in Appendix II of CITES
• Non-detriment finding
• Legal acquisition finding
• CITES permits required for export of wild and cultivated American ginseng
Wild vs. Artificially Propagated

• Artificially propagated is:
  – Grown under controlled conditions;
  – Cultivated parental stock used for artificial propagation must be:
    • Established in accordance with CITES and national laws and not detrimental to the survival of the species; and
    • Managed to guarantee long-term maintenance of stock.
Wild American Ginseng Export

• A CITES export permit must be obtained from Branch of Permits (BOP)
• Export permits are valid for six months and issued for one time use
• Original State Certificates of Origin required to demonstrate legal harvest
• Wild ginseng collected during different harvest seasons require a separate Master File to be established for each season (valid for one year)
Cultivated American Ginseng Export

• A CITES Certificate for Artificially Propagated Plants (CAPP) must be obtained from BOP
• Certificates are valid for six months and issued for one time use
• Original State Certificates of Origin required to demonstrate legally harvest
• Master Files established for cultivated root are valid for three years
Applying for a CITES Permit

- Submit a completed application (Form 3-200-34) to BOP
- Copy of valid State ginseng permit or license, and valid copy of the USDA Protected Plant Permit must be presented with application
- Allow 45 days for processing
Applying for a CITES Permit
(continued)

• For upcoming harvest season of wild ginseng, applications should be submitted to BOP in July
• Applications for wild ginseng from past harvest seasons may be submitted any time
• Applications for certificates to export cultivated ginseng may be submitted any time
E. EXPORT OF AMERICAN GINSENG (Multiple Commercial Shipments)

Note: This application should be used to request authorization to export multiple commercial shipments of artificially propagated and wild-collected American ginseng. For a single shipment of American ginseng, submit form 3-200-7. This application allows you to establish a Master File, valid for up to three years for artificially propagated American ginseng and one year for wild-collected American ginseng (both are renewable, for commercial exports). Once the Master File is approved, you must obtain single-use permits, valid for 6 months for each export (30 CFR 13.11, http://www.fws.gov/forms).

PROCESSING FEES:
- $50 for a three-year artificially propagated authorization
- $100 for renewal of a three-year artificially propagated authorization; permit #
- $50 for a one-year wild authorization
- $50 for renewal of a one-year wild authorization; permit #
- $5 for each single-use export permit issued from these authorizations.

How many single-use permits do you wish to request (see the next 6 months) upon completion of this Master File review? (Provide $5 for each 6-month permit), Additional permits may be requested via form 3-200-7A (http://www.fws.gov/forms).

Complete either Part I (Wild collected American Ginseng) or Part II (Artificially propagated American Ginseng), as appropriate, and Part III. If both artificially propagated and wild ginseng are to be exported, submit a separate application for each. For wild ginseng, submit a separate application for each harvest season. Complete all pages of the application.

Part I. Complete if exporting WILD American ginseng (Panax quinquefolius).

1. Harvest season in which ginseng was/will be collected (give the year harvest season began):

2. List State(s) from which ginseng was/will be harvested:

3. Approximate number of pounds expected to be exported during the time your Master File is valid:

4. ENTER an “X” in the box that applies. Type of goods to be exported:
   - Dried roots
   - Green roots
   - Other (ENTER a description):

5. Please attach a copy of your valid State license/permit(s) authorizing you to deal in ginseng (check with your State) and a copy of your URDA General Permit.

6. CERTIFICATION STATEMENT:
   I understand that all wild American ginseng to be exported must be accompanied by valid State documents which certify that it was legally harvested in the States listed above, for which the U.S. Scientific Authority has approved export and the U.S. Management Authority has approved the State's management program.

   Applicant's signature: ____________________________

   Date: ____________________________
Part II. Complete if exporting ARTIFICIALLY PROPAGATED American ginseng (Panax quinquefolius).

1. List State(s) from which ginseng was or will be harvested: ____________________________

2. Approximate number of pounds expected to be exported during the time your Master File is valid: ________

3. ENTER an “X” in the box that applies. Type of goods to be exported:
   Dried roots  Green roots  Other (ENTER a description: ____________________________)

4. If applicable, please attach a copy of your valid State license(s) or permit(s) authorizing you to deal in ginseng (check with your State), and a copy of your USDA General Permit.

5. CERTIFICATION STATEMENT:
   I understand that all artificially propagated American ginseng to be exported must be accompanied by valid State documents which certify that it was artificially propagated in the State(s) listed above, for which the U.S. Scientific Authority has approved expert and the U.S. Management Authority has approved the State’s management program.
   Applicant's signature: ____________________________ Date: __________

Part III (completed by ALL applicants)

1. Address where you wish permit mailed (if different than page 1): ____________________________

2. If you wish the permit to be sent to you by means other than regular mail, provide an air bill, pre-paid envelope, or billing information:

3. Who should we contact if we have questions about the application? (Include name, phone number, and email):

__________________________
Re-export of American Ginseng

- Submit a completed application (Form 3-200-32) to BOP
- Application may be submitted any time
- Upon re-export, copy of canceled foreign CITES document used to import ginseng must be presented
- Re-export certificates are valid for six months and issued for one time use
Federal Fish and Wildlife Permit Application Form

A. Complete if applying as an individual

Date of Birth (MM/DD/YY) Social Security No. Tax Identification No.
First Name Middle Initial Last Name (if applicable)

B. Complete if applying on behalf of a business, corporation, public agency or institution

Business Name, Address, City, State, and ZIP Code

C. Principal point of contact

Name Phone Number Fax Number
Principal or alternate:

D. Business address:

Street Address City, State, and ZIP Code

E. Export/Import of Plants (CITES)

You may use this form for a single shipment, personal or household plants, or to establish a Master File. If you wish to request authorization for multiple commercial exports of artificially propagated plants, please use form 3-200-33 (www.fws.gov/permits).

Provide the following information. Complete all questions on the application. If needed, use a separate sheet of paper. On all attachments or separate sheets you submit, indicate the number of the application you are addressing. Mark questions that are not applicable with "N/A". Indicate which plants are being addressed in each response.

Electronic submission of inventories, photographs, and receipts: Some applications contain long inventories and/or a large number of photographs or receipts. You may provide electronic versions of these documents. Such a submission may assist in the processing of your application since it will eliminate the need for data entry by U.S. Fish and Wildlife Service. You may include a disk containing your information or, if applicable, please check the following: I would like you to contact me at the email address above in order to get an electronic inventory.

1. What activity are you requesting?
   a. SINGLE SHIPMENT for export-import (processing fee = $500)
   b. PRE-CONVENTION SINGLE SHIPMENT (processing fee = $750)
   c. PERIODICAL/HOUSEHOLD PLANTS/SINGLE SHIPMENT (processing fee = $150)
   d. ESTABLISHMENT OF A MASTER FILE (processing fee = $200) (This application allows you to set up a Master File, valid for three years, and is renewable, for exports of plant specimens under CITES. Once the Master File is approved, we would need to obtain single-use permits, valid for 6 months, for each export (50 CFR 13.11)).
   e. Renewal of an Established Master File (processing fee = $100)

2. If establishing a new master file or renewing a previous master file, how many single-use permits do you anticipate using in the next 6 months?
   (Provide $5 for each 6-month permit). For future shipments, additional permits may be requested using form 3-200-34: www.fws.gov/forms)

3. On a separate page, please list all specimens, sorted by Appendices I, II, or III and sorted by native species versus non-native species. For EACH plant specimen, indicate:
   a. Scientific name (genus, species, and, if applicable, hybrid, variety, cultivar, or subspecies) and common name
   b. General description (e.g., whole plant, seeds, raw product, parts and derivatives, size) * For Plant Products (e.g., timber, medicinal products, nutritional supplements), the general description (example: bottles of Hoslitz gardenia, 20 capsules in each bottle, each capsule contains 100 mg of H. gardenia) should include:
      i. Form of product (e.g., plywood, tablet, capsule, fruit burl)
      ii. Form of packaging (if applicable)
      iii. Quantity, including the amount/percentage of listed plant species in each package, in metric units
   c. Product name, if applicable
   d. Country of origin
   e. Country where the plant was acquired
   f. Sources of the specimen (e.g., removed from the wild or artificially propagated)

4. If you are requesting a single shipment, provide recipient's foreign address:

5. Current location of specimens:

Form 3-200-32 Rev. 11/07 Page 2 of 6
6. What is the purpose of the export? 

7. Is this shipment a sample collection being reported for temporary exhibition or display purposes and accompanied by a valid ATA carnet? *YES* *NO* If available, provide ATA carneter document number 

8. For Plants Collected From the Wild in the United States, on a separate page, provide the following for each species collected from the wild:
   a. Number of specimens collected;
   b. Specific location and date of collection for each specimen;
   c. Who (name and address) collected the specimens;
   d. Copies of documents that indicate that the plants were legally collected (e.g., state permits or licenses, landowner's permission). Be sure to correlate each document to the corresponding plant;
   e. Approximate density (such as number of plants per acre) and distribution of the species at the collection site(s);
   f. Collection methodology (e.g., whether the specimens were removed from one clump in an area of several clumps or from more than one clump or patch at a specific location); and
   g. Ratio of how many collected to how many remain.
   h. If you purchased the plants, provide the invoice or other document that shows the seller's address and telephone number of the person from whom you purchased the plants and the date of purchase for each specimen (such documentation should trace back to the original collector).

9. For wild-collected Appendix I plants, provide a copy of the CITES import permits issued by the Management Authority of the country to which you plan to export the plant.

10. For Artificially Propagated Plants provide:
   a. If you purchased the plants from a propagator that is currently holding a valid Certificate of Artificially Propagated Plants (CAPP), provide a signed statement from the propagator attesting that the specimens were artificially propagated that their facility and provide the invoice or other document that shows the name, address and telephone number of the person from whom you purchased the plants and the date of purchase for each specimen.
   b. If you purchased the plants from a propagator that is not currently holding a valid CAPP, you will need to provide sufficient information to determine if the specimens meet the criteria under Resolution Conf. 11.11 (rev. CuP11) to qualify as Artificially Propagated under CITES. You may either contact our office or refer to Section F, Parts I, II, and III, of application form 3-200-13 (www.fws.gov/forms)

11. For Re-Export (the export of plants that were previously imported), provide evidence the specimen(s) was legally imported:
   a. Copies of canceled CITES export or re-export document issued by the appropriate CITES office in the country from which the plant was imported. The copies must be stamped by Customs and Border Protection or USDA's Animal and Plant Health Inspection Service (APHIS); AND
   b. If you did not make the original import, a copy of the invoice or other document that shows you purchased the plant from the original importer or history of transactions. (Be sure to correlate each document to the corresponding plant.)

12. For Plants Obtained Prior to their Listing Under CITES (i.e., pre-Convention) (no CITES import permit is required for an Appendix I specimen that qualifies as pre-Convention), provide documents, signed statement or other evidence that the plant was obtained prior to the date CITES applied to it (e.g., bill of sale, USDA or foreign phyto sanitary certificate). The listing date can be found in the CITES Species Database at http://www.cites.org/eng/resources/species.html
USDA Requirements for Export

• Export must occur at ports designated by the U.S. Department of Agriculture (USDA)
• At the time of export, shipments must be presented to a USDA inspector for clearance
What to Present for USDA Clearance

• Ginseng being exported
• One fully completed original permit or certificate
• Two copies of the completed CITES permit or certificate
• Original State Certificates of Origin OR copies of canceled foreign CITES export documents for re-export
• One completed export report
• One shipper’s invoice
SPECIAL CONDITIONS FOR THE EXPORT OF WILD AMERICAN GINSENG

1. Enclosed sections of Title 50 CFR Part 13 (see attached) are also conditions of this permit.
2. All applicable foreign, state, local, tribal or Federal laws, including those requiring permits, must be observed.
3. Permittee shall allow an authorized USDA agent to enter his premises at any reasonable hours to inspect any specimens held, or to inspect any records maintained under State and Federal requirements.
4. Each ginseng export shipment must contain the following and be presented to a port inspector of the USDA, Animal and Plant Health Inspection Service, Division of Plant Protection and Quarantine (APHIS/PPQ), at a designated port, per Permit Block 13, for endorsement:
   a. The ginseng being exported;
   b. One fully completed original Permit to be endorsed by APHIS/PPQ and accompany the export shipment; permittee must make certain to (1) fill in consignee in Permit Block 4 and (2) complete Block 11 showing ginseng weight.
   c. One fully completed photocopy of Permit, Wild Ginseng Export Report (form on the bottom of this page), original State Certificates, and shipper's invoice. These will be examined, endorsed, and sent to the Division of Management Authority by APHIS/PPQ.
   d. One fully completed photocopy of Permit that will be endorsed by APHIS/PPQ and is for the exporter's records.

WILD GINSENG EXPORT REPORT FOR 208 HARVEST SEASON

NOTE: permittee must supply the following information and attach original State Certificates for the ginseng in this shipment.

PORT OF EXPORT

DATE OF EXPORT

CONSIGNEE

U.S. PERMIT #

MASTER FILE #

(PERMITTEE'S SIGNATURE)

States Authorized for 2008 Export:

- Alabama
- Arizona
- Arkansas
- California
- Colorado
- Connecticut
- Delaware
- Florida
- Georgia
- Hawaii
- Idaho
- Illinois
- Indiana
- Iowa
- Kansas
- Kentucky
- Louisiana
- Maine
- Maryland
- Massachusetts
- Michigan
- Minnesota
- Mississippi
- Missouri
- Montana
- Nebraska
- Nevada
- New Hampshire
- New Jersey
- New Mexico
- New York
- North Carolina
- North Dakota
- Ohio
- Oklahoma
- Oregon
- Pennsylvania
- Rhode Island
- South Carolina
- South Dakota
- Tennessee
- Texas
- Utah
- Vermont
- Virginia
- Washington
- West Virginia
- Wisconsin
- Wyoming

Total Weight of the Shipment

Revised October 2008 (OO/WS)

CULTIVATED GINSENG EXPORT REPORT

SPECIAL CONDITIONS FOR THE EXPORT OF CULTIVATED AMERICAN GINSENG

1. Enclosed sections of Title 50 CFR Part 13 (see attached) are also conditions of this permit.
2. All applicable foreign, state, local, tribal or Federal laws, including those requiring permits, must be observed.
3. Permittee shall allow an authorized USDA agent to enter his premises at any reasonable hours to inspect any specimens held, or to inspect any records maintained under State and Federal requirements.
4. Each ginseng export shipment must contain the following and be presented to a port inspector of the USDA, Animal and Plant Health Inspection Service, Division of Plant Protection and Quarantine (APHIS/PPQ), at a designated port, per Permit Block 13, for endorsement:
   a. The ginseng being exported;
   b. One fully completed original Certificate to be endorsed by APHIS/PPQ and accompany the export shipment; permittee must make certain to (1) fill in consignee in Permit Block 4 and (2) complete Block 11 showing ginseng weight.
   c. One fully completed photocopy of Certificate, Cultivated Ginseng Export Report (form on the bottom of this page), original State Certificates, and shipper's invoice. These will be examined, endorsed, and sent to the Division of Management Authority by APHIS/PPQ.
   d. One fully completed photocopy of Certificate that will be endorsed by APHIS/PPQ and is for the exporter's records.

CULTIVATED GINSENG EXPORT REPORT

PORT OF EXPORT

DATE OF EXPORT

U.S. PERMIT #

CONSIGNEE

MASTER FILE #

(PERMITTEE'S SIGNATURE)

States Authorized for Cultivated Ginseng Export:

- Alabama
- Arkansas
- Delaware
- Florida
- Georgia
- Hawaii
- Idaho
- Illinois
- Indiana
- Iowa
- Kentucky
- Louisiana
- Maryland
- Massachusetts
- Michigan
- Minnesota
- Mississippi
- Missouri
- Montana
- Nebraska
- Nevada
- New Hampshire
- New Jersey
- New Mexico
- New York
- North Carolina
- North Dakota
- Ohio
- Oklahoma
- Oregon
- Pennsylvania
- Rhode Island
- South Carolina
- South Dakota
- Tennessee
- Texas
- Utah
- Vermont
- Virginia
- Washington
- West Virginia
- Wisconsin
- Wyoming

Total Weight of the Shipment

Revised 5/15/05
Exports of wild American ginseng

- 2002 - 31,671 kg root
- 2003 - 34,084 kg root
- 2004 - 27,302 kg root
- 2005 - 23,551 kg root
- 2006 - 28,042 kg root
- 2007 - 24,581 kg root
- 2008 - 10,801 kg root
Exports of cultivated American ginseng

- 2002 - 240,528 kg root
- 2003 - 202,547 kg root
- 2004 - 225,173 kg root
- 2005 - 128,861 kg root
- 2006 - 168,637 kg root
- 2007 - 263,264 kg root
- 2008 - 150,238 kg root
Contact Information

• Division of Management Authority
  - Telephone: 1-703-358-2104
  - Fax: 1-703-358-2281
  - E-mail: management_authority@fws.gov
  - Internet: http://fws.gov/international