



FEDERAL FISH AND WILDLIFE PERMIT APPLICATION FORM

Native Endangered and Threatened Species - Enhancement of Survival Permits Associated with Safe Harbor Agreements And Candidate Conservation Agreements with Assurances

Return to: U.S. Fish and Wildlife Service (USFWS)
[click here for return addresses](#)

Complete Sections A or B, and C, D, and E of this application. U.S. address may be required in Section C, see instructions for details.
See attached instruction pages for information on how to make your application complete and help avoid unnecessary delays.

A. Complete if applying as an individual					
1.a. Last name		1.b. First name		1.c. Middle name or initial	1.d. Suffix
2. Date of birth (mm/dd/yyyy)	3. Occupation		4.a. Affiliation/Doing business as (see instructions)	4.b. Website URL (if applicable)	
5.a. Telephone number	5.b. Alternate telephone number	6. E-mail address			
B. Complete if applying on behalf of a business, corporation, public agency, Tribe, or institution					
1.a. Name of business, agency, Tribe, or institution			1.b. Doing business as (dba)		
2. Tax identification no.	3.a. Description of business, agency, Tribe, or institution			3.b. Website URL (if applicable)	
4.a. Principal officer (P.O.) last name	4.b. P.O. first name	4.c. P.O. middle initial	4.d. P.O. e-mail address		
5. P.O. title		6. Primary contact name			
7.a. P.O. telephone number	7.b. Alternate phone no.	8.a. Primary contact telephone no.	8.b. Primary contact e-mail address		
C. All applicants complete address information					
1.a. Physical address (Street address; Apartment #, Suite #, or Room #; no P.O. Boxes)					
1.b. City	1.c. State	1.d. Zip code/Postal code	1.e. County/Province	1.f. Country	
2.a. Mailing address (include if different than physical address; include name of contact person if applicable)					
2.b. City	2.c. State	2.d. Zip code/Postal code	2.e. County/Province	2.f. Country	
D. All applicants MUST complete					
1. Check or money order payable to the U.S. FISH AND WILDLIFE SERVICE in the amount indicated on page 2-3 (nonrefundable processing fee). Federal, Tribal, State, and local government agencies, and those acting on behalf of such agencies, are exempt from the processing fee – attach documentation of fee exempt status as outlined in instructions. (50 CFR 13.11(d))					
2. Do you currently have or have you ever had any Federal Fish and Wildlife permits? <input type="checkbox"/> Yes If yes, list the number of the most current permit you have held or that you are applying to renew or amend: _____ <input type="checkbox"/> No					
3. Certification: I hereby certify that I have read and am familiar with the regulations contained in Title 50, Part 13 of the Code of Federal Regulations and the other applicable parts in subchapter B of Chapter I of Title 50 , and I certify that the information submitted in this application for a permit is complete and accurate to the best of my knowledge and belief. I understand that any false statement herein may subject me to the criminal penalties of 18 U.S.C. 1001.					
_____ Signature (in blue ink) of applicant/person responsible for permit (No photocopied or stamped signatures)				_____ Date (mm/dd/yyyy)	

*See page 14 for additional instructions on completing the above form. See page 16 for information on the Paperwork Reduction Act, Privacy Act, and Freedom of Information Act aspects of this application form.

Section E. ALL APPLICANTS COMPLETE SECTION E. Provide the information outlined in Section E. on the following pages. Be as complete and descriptive as possible. Please do not send pages that are over 8.5" x 11", videotapes, or DVDs.

**ENHANCEMENT OF SURVIVAL PERMITS ASSOCIATED WITH SAFE HARBOR AGREEMENTS &
CANDIDATE CONSERVATION AGREEMENTS WITH ASSURANCES**

What type of agreement are you requesting?

Safe Harbor Agreement

OR

Candidate Conservation Agreement with Assurances

Have you obtained all required Federal, tribal, State, county, municipal or foreign government approval to conduct the activity you propose?

Please be aware that there may be other requirements necessary to conduct this activity such as an import permit, collection permit, permission to work on Federal or tribal lands, Federal bird banding permit, Corps of Engineers permits, Environmental Protection Agency NPDES permits, tribal, State, county or municipal permits, etc.

Yes. Provide a copy of the approval(s). List the Federal agency, tribe, State, county, municipality or foreign countries involved and type of document required. Include a copy of these documents with the application.

I have applied. List the Federal agency, tribe, State, county, municipality or foreign countries involved and type of documents required. Provide the reasons why the permits have not been issued.

Not required. The proposed activity is not regulated.

APPLICATION PROCESSING FEES

You may update your name, address, telephone number, fax number, or e-mail address in your current application package on file at any time. These changes are considered an administrative change, and no application processing fee is required. If you wish to make an administrative change, please fill out page 1 and indicate the information that you are updating. Then check the box below, provide your permit number, and send the completed pages 1-2 to the appropriate Regional Office (see attached list).

Administrative change for permit number: _____.

If you wish to make changes other than an administrative change, then an application processing fee is required as described below.

The application processing fee for a new Enhancement of Survival permit, or to renew/substantively amend an existing valid permit (*with major changes*) is \$50. If permit amendment (*with minor changes*) is required at a time other than renewal, the processing fee is \$25. For additional information on the application processing fee and the requirements to qualify for a fee exemption, please see the instructions for section D. on page 14.

If the information in your current application package on file has changed in a manner that triggers a substantive amendment or a change not otherwise specified in the permit or the Safe Harbor Agreement/Candidate Conservation Agreement with Assurances, then you must apply for a substantive amendment to your valid permit. For example, such major changes may include changes in location, activity, amount or type of take, or species to be covered by the permit. Please contact our Ecological Services Field Office located closest to your proposed activity for technical assistance in making this determination. The contact information for our Ecological Services Field Offices can be found on the U.S. Fish and Wildlife Service's (USFWS) office directory web page at: <http://www.fws.gov/offices/>.

Check the appropriate box below and enclose check or money order payable to the *U.S. Fish and Wildlife Service* in the amount of:

\$50 [or fee exempt (attach justification if required)] for a **new** permit. Use Option I. below to provide the required information.

OR

- \$50 [or fee exempt (attach justification if required)] to **renew or substantively amend** my existing valid permit (*with major changes*) using my current application package on file. Use Option II. below to provide the required information. Please indicate the information that you are changing.

OR

- \$50 [or fee exempt (attach justification if required)] to **renew/re-issue** my existing valid permit (*without changes*) using my current application package on file. Use Option III. below to provide the requested information.

OR

- \$25 [or fee exempt (attach justification if required)] to **amend** my existing valid permit (*with minor changes*) at a time other than permit renewal. Use Option II. below to provide the required information. Please indicate the information that you are changing.

OR

- \$0 to **transfer** my existing valid permit. Use Option IV. Below to provide the required information.

Please check the **type of amendment** you are requesting –

- add species (specify) _____
- add new activity with previously permitted species (specify) _____
- add a geographic area _____
- change in personnel _____
- other (specify) _____

If this application includes **transfer or succession** of a valid Enhancement of Survival permit, please check the box below:

- Transfer or succession of a valid Enhancement of Survival permit associated with a Safe Harbor Agreement or Candidate Conservation Agreement with Assurances using the current application package on file. No application fee is required. Note all transfers or successions must include an application form signed by the “new” owner(s) and, in some cases, an assumption agreement (see instructions for Option IV below).

APPLICATION PROCESSING

To expedite a final decision on your application, you are urged to coordinate with us as soon as possible for guidance in assembling a complete application package, and to send us your complete permit application package at least three months prior to the start of your proposed activities. If you are renewing or amending a valid permit, your complete application package must be received at least 30 days prior to the expiration of the valid permit. These time periods begin with our acceptance of a complete permit application package, and does not include any time required for requesting clarification or additional information about your application, or the length of time between our request and your response.

The information provided in your permit application will be used to evaluate your application for compliance with the Endangered Species Act, its implementing regulations (which may require a 30 day public comment period), and with U.S. Fish and Wildlife Service policy. Receipt and possession of a permit under the Endangered Species Act should be regarded as a privilege, as we must balance permit issuance with our duties to protect and recover listed species.

Up-to-date annual reports and any other required reports under your valid permit(s) must be on file before a permit will be considered for renewal, re-issuance or amendment.

If your activities may affect species under the authority of the National Marine Fisheries Service (NMFS/NOAA Fisheries), then you may need to obtain a separate permit from that agency. In addition we share jurisdiction with NMFS/NOAA Fisheries for sea turtles (e.g., we evaluate applications for permits to conduct activities impacting sea turtles on land, and NMFS/NOAA Fisheries evaluates applications for permits to

conduct activities impacting sea turtles in the marine environment). To apply for a permit to conduct activities with sea turtles in the marine environment or other species under NMFS/NOAA Fisheries jurisdiction, please contact them via their permit web page at <http://www.nmfs.noaa.gov/pr/permits/>.

Our general permit regulations at 50 CFR 13.12(a)(9) allow us to collect such other information as we determine that is relevant to the processing of a permit application. Before you submit an application for an Enhancement of Survival permit, we may require that you conduct biological surveys to determine which species and/or habitat would be impacted by the activities sought to be covered under the permit. Biological surveys provide information necessary to develop an adequate Safe Harbor Agreement or Candidate Conservation Agreement with Assurances, and to assess the biological impacts of the proposed activities. In addition, the information provided in a biological survey can reduce the applicant's risk of take under Section 9 of the Endangered Species Act by ensuring that affected species and/or habitat are identified and appropriately covered under the permit.

Permits for Biological Surveys

Option 1: You are required to obtain a Scientific Purposes, Enhancement of Propagation or Survival permit (commonly called a Recovery permit) from us before engaging in any biological survey activities that would take listed species. Contact our Ecological Services Field Office closest to the location of your activity to obtain technical assistance in determining the need for both a biological survey and a Recovery permit for your survey activity. The contact information for our Ecological Services Field Offices can be found on the U.S. Fish and Wildlife Service's office directory web page at <http://www.fws.gov/offices/>

If a biological survey is required, you will need to send us your complete Recovery permit application package and have it accepted at least 3 months prior to commencement of survey activities to facilitate processing of your Recovery permit application. The Recovery permit application is designated as U.S. Fish and Wildlife Service form # 3-200-55 and can be found on our Endangered Species permit web page at <http://www.fws.gov/forms/3-200-55.pdf>.

Option 2: You may hire consultants who already possess Recovery Permits to conduct biological surveys. We maintain a list of Recovery permittees (such as biological consultants) who have authorized the release of their contact information to third parties for conducting biological surveys on a contract basis. This list is provided to the public at the discretion of each U.S. Fish and Wildlife Service Regional Office as time and workload allow. Please be aware that this list does not represent an endorsement by us of any particular permittee.

If you are not applying as an individual but as a business, corporation, tribe, institution, or non-Federal public agency (block B. on page 1 of the application), the person to whom the permit will be issued (e.g., the landowner, president, director, executive director, or executive officer) is legally responsible for implementing the permit. Although other people under the direct control of the permittee (e.g., employees, contractors, consultants) receive third party take authorization in their capacity as designees of the permittee, the individual named as the permittee ultimately is legally responsible for the permit and any activities carried out under the permit except as otherwise limited in the case of permits issued to State or local government entities under 50 CFR 13.25(e).

If you wish to coordinate the processing of this permit application through an **authorized agent**, and to have that agent represent you as the primary contact with us, check the box below. Sign (in blue ink) and date the authorization statement, and provide contact information for your authorized agent.

- I hereby authorize the following person to act as an authorized agent on my behalf in the processing of this permit application and to furnish, upon request, supplemental information in support of this permit application.

signature (in blue ink)

please print name legibly

date

Your Authorized Agent's Contact Information (please print legibly)

Name: _____

Address: _____

City: _____ State: _____ Zip Code: _____

Telephone: _____ Cell Phone: _____

E-mail: _____ Fax: _____

ENHANCEMENT OF SURVIVAL PERMIT APPLICATION INSTRUCTIONS

You have 4 options for providing the required information for an Enhancement of Survival permit application.

Enhancement of Survival Permit Application: Option I. New Enhancement of Survival Permit & Supplementary Information for Renewal or Amendment of an Existing Valid Permit (With Changes).

General permit regulations for the U.S. Fish and Wildlife Service can be found at 50 CFR 13. Regulations for an Enhancement of Survival permit associated with a Safe Harbor Agreement under the Endangered Species Act can be found at 50 CFR 17.22(c)(1) for endangered wildlife species and 50 CFR 17.32(c)(1) for threatened wildlife species. Regulations for an Enhancement of Survival permit associated with a Candidate Conservation Agreement with Assurances under the Endangered Species Act can be found at 50 CFR 17.22(d)(1) for endangered wildlife species and 50 CFR 17.32(d)(1) for threatened wildlife species.

Each landowner who wishes to be covered under a new or amended Enhancement of Survival permit associated with a Safe Harbor Agreement or Candidate Conservation Agreement with Assurances must also sign (in blue ink) and date the Enhancement of Survival Permit Application Certification Notice at the end of this application, unless the landowner will be covered under this U.S. Fish and Wildlife Service Enhancement of Survival permit via another vehicle, such as a certificate of inclusion (50 CFR 13.25(d)). Any change in the language of the Certification Notice must be reviewed by the Department of the Interior, Office of the Solicitor and approved by the U.S. Fish and Wildlife Service. The same person who signs in box D. on page 1 of the application must sign the certification.

If the information in items A. - B. below is already provided in your final Safe Harbor Agreement or final Candidate Conservation Agreement with Assurances, then you do not have to provide it here. Instead, check the box below and use the spaces provided in items A. - B. to indicate the page numbers in your Agreement that provide the requested information.

- I am not providing the following information for items A. - B. as part of my Enhancement of Survival permit application, because it is already provided in my final Safe Harbor Agreement or final Candidate Conservation Agreement with Assurances (copy attached or already submitted).

If the requested information in items A. - B. is not provided in your final Safe Harbor Agreement or final Candidate Conservation Agreement with Assurances, then attach separate pages for the missing information. In order to assist us in processing your request, please provide the item number (A. 1.a., etc.) of the required information before each of your responses. Thank you.

Please ensure that your final Safe Harbor Agreement or Candidate Conservation Agreement with Assurances is attached if it has not been previously submitted.

If you have previously submitted a final draft Safe Harbor Agreement or Candidate Conservation Agreement with Assurances, please indicate the document's date.

Date of final draft Safe Harbor Agreement _____

Date of final draft Candidate Conservation Agreement with Assurances _____

Applications for an Enhancement of Survival permit associated with a Safe Harbor Agreement or Candidate Conservation Agreement with Assurances must provide the following specific information (relevant to the activity) under items A.- B. below in addition to the general information on pages 1- 5 of this application.

A. Identify species and activity:

1. Provide the common and scientific names of the species requested for coverage in the permit and their status (endangered (E), threatened (T), proposed endangered (PE), proposed threatened (PT), candidate for listing (C), or species likely to become a candidate (LC)).
2. Provide the number, age and sex of such species to the extent known.
3. Quantify the anticipated effects to their habitat.
4. Describe each activity associated with your project that would result in the incidental take of each species.
5. If you are applying for an Enhancement of Survival permit under a Safe Harbor Agreement, please provide a brief description of the baseline population and habitat conditions for each listed species proposed for coverage under the Safe Harbor Agreement. (Note: Baseline conditions must be summarized in a manner appropriate for each covered species, generally in terms of numbers of individuals present or amount of suitable habitat.)

B. Identify location of the proposed activity:

1. Provide the name of the State, county, tribal land, and the specific location of the proposed activity site(s). Include a formal legal description, section/township/range information, county tax parcel number, local address, or any other identifying property designation that will precisely place the location of the proposed activity site(s).
2. Provide the total number of acres covered by the Agreement _____
Is this the total acreage of the parcel? (check one) Yes No
3. Provide the approximate number of acres to be impacted _____
4. Provide the approximate number of acres to be protected _____
5. Provide a complete description, including timeframes, for implementation of proposed voluntary management activities to enhance, restore, or maintain habitat benefiting federally listed, proposed or candidate species, or other species likely to become candidates.

Page(s) & source document: _____

Enhancement of Survival Permit Application: Option II. Renewal or Amendment of an Existing Valid Enhancement of Survival Permit (With Changes)

Up-to-date annual reports and any other required reports under your valid permit(s) must be on file before a permit will be considered for renewal or amendment.

Sign the following statement if you are proposing to renew or amend an existing valid Enhancement of Survival permit with changes, including making major changes. Such major changes may include changes in location, activity, amount or type of take, or species to be covered by the permit.

The individual signing box D. on page 1 of the application must also sign (in blue ink) the following statement. This certification language is required under 50 CFR 13.22(a).

I certify that the statements and information submitted in support of my original application for a U.S. Fish and Wildlife Service Enhancement of Survival permit # _____ are still current and correct, except for the changes listed below, and hereby request (please check either renewal or amendment) of that permit.

Signature (in blue ink)

Please print name legibly

Date

Provide a brief description of the changes to your valid permit by answering the *appropriate* questions for these changes below. Please submit completed pages 1-9 of this application form to our Regional Office (see attached list) covering the location of your proposed activity.

A. Change in covered species:

1. Identify the species to be added to your valid permit (provide both the scientific, to the most specific taxonomic level, and common names), as well as the species' status (see 1.a. above).
2. Provide the number, age and sex of such species to the extent known.
3. Describe each activity associated with your project that would result in the incidental take of each added species.
4. Quantify any anticipated effects to the habitat of each added species.
5. Identify any species to be deleted from your valid permit and the reason(s) for the deletion.

Page(s) & source document: _____

B. Change in covered activities and/or conservation activities:

1. Identify any new activities to be added to your valid permit.
2. If any activities requested in this application differ from those in your valid permit, then for each species state the current activity, the requested new activity, and how the new activity will impact each species.
3. Provide a complete description, including timeframes, for implementation of proposed changes to voluntary conservation activities to enhance, restore, or maintain habitat benefiting federally listed, proposed or candidate species, or other species likely to become candidates.
4. Identify any activities to be deleted from your valid permit and the reason(s) for the deletion.

C. Change in location of the proposed activity:

1. Provide the original location of the permit, including the name of the State, county, tribal land, and the specific location of the proposed activity site(s). Include a formal legal description, section/township/range information, county tax parcel number, local address, or any other identifying property designation that will precisely place the location of the proposed activity site(s).
2. Describe any change, expansion, or contraction in the location of the renewed or amended permit or location of the proposed activity site(s).

3. Provide the total number of acres to be covered by the new Agreement _____

Is this the total acreage of the parcel? (check one) Yes No

4. Provide the approximate number of acres to be impacted _____

5. Provide the approximate number of acres to be protected _____

Page(s) & source document: _____

D. Additional changes or revisions:

1. Describe any additional proposed changes or revisions to your valid permit.

Enhancement of Survival Permit Application: Option III. Renewal/Re-issue of an Existing Valid Enhancement of Survival Permit (Without Changes) Using My Current Application Package on File.

Up-to-date annual reports and any other required reports under your valid permit(s) must be on file before a permit will be considered for renewal or re-issue.

Sign the following statement if you are applying to renew or re-issue an existing valid Enhancement of Survival permit without changes. If you are proposing changes to your Enhancement of Survival permit, you must use Option II. above.

The individual signing box D. on page 1 of the application must also sign (in blue ink) the following statement. This certification language is required under 50 CFR 13.22(a).

I certify that the statements and information submitted in support of my original application for a U.S. Fish and Wildlife Service Enhancement of Survival permit # _____ are still current and correct and hereby request (please check either Renewal or Re-issuance) of that permit without changes.

signature (in blue ink)

please print name legibly

date

* Please note: If you have signed the above statement, then your renewal/re-issue request is complete. Please submit this page and completed pages 1 - 5 of this application to our Regional Office (see attached list) covering the location of your proposed activity. Requests for renewals/re-issuance must be complete and accepted by the Service no later than 30 days prior to permit expiration to ensure that your current permit remains in effect while we process your request.

Enhancement of Survival Permit Application: Option IV. Permit Transfer, Partial Permit Transfer, or Succession of a Permit

Complete the following if you are applying for transfer or partial transfer of an existing valid Enhancement of Survival permit to you or obtaining rights of succession of an existing valid Enhancement of Survival permit. In addition, you and the current permit holder may also need to sign an Assumption Agreement. Please contact our Ecological Services Field Office nearest your activity to determine whether you and the current permit holder need to execute an Assumption Agreement. The contact information for our Ecological Services Field Offices can be found on the U.S. Fish and Wildlife Service's office directory web page at <http://www.fws.gov/offices/>

Please indicate the name of the Safe Harbor Agreement or Candidate Conservation Agreement with Assurances to be transferred or succeeded, and the document's date.

Name of Safe Harbor Agreement _____

Date _____

Name of Candidate Conservation Agreement with Assurances _____

Date _____

What type of transfer are you requesting?

Full permit transfer or succession of a permit

OR

Partial permit transfer

An Assumption Agreement

is **is not** (USFWS Ecological Services Field Office to circle one)

required as part of the transfer or succession permit application for the Safe Harbor Agreement or Candidate Conservation Agreement with Assurances.

**ENHANCEMENT OF SURVIVAL PERMIT
APPLICATION CERTIFICATION NOTICE**

The same person who signs in box D. on page 1 of the application must sign (in blue ink) the following certification.

By submitting this application and receiving an Enhancement of Survival permit pursuant to Section 10(a)(1)(A) of the Endangered Species Act, I

_____ (print name (s)) attest that I/we own the lands indicated in this application, or have sufficient authority or rights over these lands to implement the measures of the Safe Harbor Agreement and/or Candidate Conservation Agreement with Assurances covered by the Enhancement of Survival permit. Further, upon receipt of the Enhancement of Survival permit, I/we agree to conduct the activities as specified in the Safe Harbor Agreement and/or Candidate Conservation Agreement with Assurances according to the terms and conditions of the Enhancement of Survival permit and its supporting documents.

signature (in blue ink)

date

please print name legibly

Signature (in blue ink)

date

Please print name legibly

USFWS REGIONAL CONTACTS FOR NATIVE ENDANGERED AND THREATENED SPECIES PERMITS

Pacific Region (Region 1): HI, ID, OR, WA, American Samoa, Commonwealth of the Northern Mariana Islands, Guam, and the Pacific Trust Territories

U.S. Fish and Wildlife Service, Endangered Species Permit Office
911 NE 11th Avenue
Portland, Oregon 97232-4181
<http://www.fws.gov/pacific/ecoservices/endangered/index.html>
Phone: (503) 231-6131
Email: permitsR1ES@fws.gov
Fax: (503) 231-6243

Southwest Region (Region 2): AZ, NM, OK, and TX

U.S. Fish and Wildlife Service, Endangered Species Permit Office
500 Gold Avenue S.W. (street address)
P.O. Box 1306 (mailing address)
Albuquerque, New Mexico 87103-1306
http://www.fws.gov/southwest/es/EndangeredSpecies_Main.html
Phone: (505) 248-6649
Email: permitsR2ES@fws.gov
Fax: (505) 248-6788

Midwest Region (Region 3): IA, IL, IN, MI, MN, MO, OH, and WI

U.S. Fish and Wildlife Service, Endangered Species Permit Office
5600 American Blvd. West, Suite 990
Bloomington, MN 55437-1458
<http://www.fws.gov/midwest/Endangered/>
Phone: (612) 713-5343
Email: permitsR3ES@fws.gov
Fax: (612) 713-5292

Southeast Region (Region 4): AL, AR, FL, GA, KY, LA, MS, NC, PR, SC, TN, and U.S. Virgin Islands

U.S. Fish and Wildlife Service,
Endangered Species Permit Office
Ecological Services
1875 Century Blvd.
Atlanta, Georgia 30345
<http://www.fws.gov/southeast/es/>
Phone: (404) 679-7097 (10(a)(1)(A) permit coordinator) or
(404) 679-7140 (R4 Endangered Species main office)
Email: permitsR4ES@fws.gov
Fax: (404) 679-7081

Northeast Region (Region 5): CT, DC, DE, MA, MD, ME, NH, NJ, NY, PA, RI, VA, VT, and WV

U.S. Fish and Wildlife Service, Endangered Species Permit Office
300 Westgate Center Drive
Hadley, MA 01035-9589
<http://www.fws.gov/northeast/EcologicalServices/endangeredspecies.html>
Phone: (413) 253-8628
Email: permitsR5ES@fws.gov
Fax: (413) 253-8482

Mountain-Prairie Region (Region 6): CO, KS, MT, NE, ND, SD, UT, and WY

U.S. Fish and Wildlife Service, Endangered Species Permit Office
Denver Federal Center
P.O. Box 25486
Denver, Colorado 80225-0489
<http://www.fws.gov/mountain-prairie/endspp/>
Phone: (303) 236-4256
Email: permitsR6ES@fws.gov
Fax: (303) 236-0027

Alaska Region (Region 7): AK

U.S. Fish and Wildlife Service, Endangered Species Permit Office
1011 E. Tudor Road
Anchorage, Alaska 99503-6199
<http://alaska.fws.gov/fisheries/endangered/index.htm>
Phone: (907) 786-3323
Email: permitsR7ES@fws.gov
Fax: (907) 786-3350

Pacific Southwest Region (Region 8): CA, NV and Klamath Basin

U.S. Fish and Wildlife Service,
Endangered Species Permit Office
2177 Salk Avenue, Suite 250
Carlsbad, California 92008
<http://www.fws.gov/cno/es/>
Phone: (760) 431-9440
Email: permitsR8ES@fws.gov

APPLICATION FORM INSTRUCTIONS

The following instructions pertain to U.S. Fish and Wildlife Service (USFWS) permit applications. The General Permit Procedures in 50 CFR 13 address the permitting process. For simplicity, all licenses, permits, registrations, and certificates are referred to as a permit.

GENERAL INSTRUCTIONS:

- Complete all relevant questions in Sections A or B, C, D, and E.
- **An incomplete application may cause delays in processing or may be returned to the applicant. Be sure you are filling in the appropriate application form for the proposed activity.**
- Print clearly or type in the information. Illegible applications may cause delays.
- Sign the application in [blue](#) ink. Faxes or copies of the original signature will not be accepted.
- Mail the original application to the address at the top of page 1 of the application or, if applicable, on the attached address list.
- **Keep a copy of your completed application.**
- **Please plan ahead. Allow at least 60 days for your application to be processed; however, some applications may take longer than 90 days to process (50 CFR 13.11).**
- Applications are processed in the order in which they are received.

SECTION A OR SECTION B:

Section A. **Complete if applying as an individual [do not complete this section if applying for Import/Export License (3-200-3)]:**

- Enter the complete name of the responsible individual who will be the permittee if a permit is issued. Enter personal information that identifies the applicant. **Fax and e-mail, while helpful in processing, are not required.**
- If you are applying on behalf of a client, the personal information must pertain to the client, and a document evidencing power of attorney must be included with the application.
- **Affiliation/Doing business as (dba):** business, agency, organizational, tribe, or institutional affiliation directly related to the activity requested in the application (e.g., a taxidermist is an individual whose business can directly relate to the requested activity). The Division of Management Authority (DMA) and the Office of Law Enforcement (OLE) do not accept doing business as affiliations for individuals (complete Section B).

Section B. **Complete if applying as a business, corporation, public agency, tribe, or institution:**

- Enter the complete name of the business, agency, tribe, or institution that will be the permittee if a permit is issued. Give a brief description of the type of business the applicant is engaged in. Provide contact phone number(s) of the business. If you are applying on behalf of a client, a document evidencing power of attorney must be included with the application.
- **Principal Officer** is the person in charge of the listed business, corporation, public agency, tribe, or institution. The principal officer is the person responsible for the application and any permitted activities. Often the principal officer is a Director or President. **Primary Contact** is the person at the business, corporation, public agency, tribe, or institution who will be available to answer questions about the application or permitted activities. Often this is the preparer of the application.

ALL APPLICANTS COMPLETE SECTION C:

- For all applications submitted to the DMA and OLE, a physical U.S. address is required. Province and Country blocks are provided for those USFWS programs that use foreign addresses and are not required by DMA.
- **Mailing address** is the address to which communications from USFWS should be mailed if different from the applicant's physical address.

ALL APPLICANTS COMPLETE SECTION D:

Section D.1 **Application processing fee:**

- An application processing fee is required at the time of application, unless exempted under 50 CFR13. The application processing fee is assessed to partially cover the cost of processing a request. **The fee does not guarantee the issuance of a permit, nor will fees be refunded for applications for which processing has begun.**
- **Documentation of fee exempt status is not required for applications submitted Federal, tribal, State, or local government agencies, but must be supplied by those applicants acting on behalf of such agencies.** Such applications must include a letter on agency letterhead and signed by

the head of the unit of government for which the applicant is acting on behalf, confirming that the applicant will be carrying out the permitted activity for the agency.

Section D.2 Federal Fish and Wildlife permits:

- List the number(s) of your most recently issued USFWS permit. If applying for re-issuance of a Conference on International Trade in Endangered Species (CITES) permit, the original permit must be returned with this application.

Section D.3 CERTIFICATION:

- **The individual identified in Section A, the principal officer named in Section B, or person with a valid power of attorney (documentation must be included in the application) must sign and date the application [in blue ink](#).** This signature legally binds the applicant to the statement of certification. You are certifying that you have read and understand the regulations that apply to the permit. You are also certifying that all information included in the application is true to the best of your knowledge. Be sure to read the statement and re-read the application and your answers before signing.

NOTICES

PRIVACY ACT STATEMENT

Authority: The information requested is authorized by the following: the Bald and Golden Eagle Protection Act (16 U.S.C. 668), 50 CFR 22; the Endangered Species Act (16 U.S.C. 1531-1544), 50 CFR 17; the Migratory Bird Treaty Act (16 U.S.C. 703-712), 50 CFR 21; the Marine Mammal Protection Act (16 U.S.C. 1361, et seq.), 50 CFR 18; the Wild Bird Conservation Act (16 U.S.C. 4901-4916), 50 CFR 15; the Lacey Act: Injurious Wildlife (18 U.S.C. 42), 50 CFR 16; Convention on International Trade in Endangered Species of Wild Fauna and Flora (TIAS 8249), 50 CFR 23; General Provisions, 50 CFR 10; General Permit Procedures, 50 CFR 13; and Wildlife Provisions (Import/export/transport), 50 CFR 14.

Purpose: The collection of contact information is to verify the individual has an eligible permit to conduct activities which affect protected species. This helps FWS monitor and report on protected species and assesses the impact of permitted activities on the conservation and management of species and their habitats.

Routine Uses: The collected information may be used to verify an applicant's eligibility for a permit to conduct activities with protected wildlife; to provide the public and the permittees with permit related information; to monitor activities under a permit; to analyze data and produce reports to monitor the use of protected wildlife; to assess the impact of permitted activities on the conservation and management of protected species and their habitats; and to evaluate the effectiveness of the permit programs. More information about routine uses can be found in the System of Records Notice, Permits System, FWS-21.

Disclosure: The information requested in this form is voluntary. However, submission of requested information is required to process applications for permits authorized under the listed authorities. Failure to provide the requested information may be sufficient cause for the U.S. Fish & Wildlife Service to deny the request.

PAPERWORK REDUCTION ACT STATEMENT

We are collecting this information subject to the Paperwork Reduction Act (44 U.S.C. 3501) to provide the U.S. Fish and Wildlife Service the information needed to decide whether or not to allow the requested use and to respond to requests made under the Freedom of Information Act and the Privacy Act of 1974. The information that you provide is voluntary; however, submission of the requested information is required to evaluate the qualifications, determine eligibility, and document permit applicants. Failure to provide all required information is sufficient cause for the U.S. Fish and Wildlife Service to deny a permit. We may not conduct or sponsor, and you are not required to respond to a collection of information, unless it displays a currently valid OMB control number. OMB has approved this collection of information and assigned OMB Control No. 1018-0094.

ESTIMATED BURDEN STATEMENT

Public reporting for this collection of information is estimated to average 3 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Service Information Clearance Officer, U.S. Fish and Wildlife Service, 5275 Leesburg Pike, MS: BPHC, Falls Church, VA 22041-3803. Please do not mail your completed form to this address.

FREEDOM OF INFORMATION ACT NOTICE

For organizations, businesses, or individuals operating as a business (i.e., permittees not covered by the Privacy Act), we request that you identify any information that should be considered privileged and confidential business information to allow the Service to meet its responsibilities under the Freedom of Information Act (FOIA). Confidential business information must be clearly marked "Business Confidential" at the top of the letter or page and each succeeding page and must be accompanied by a non-confidential summary of the confidential information. The non-confidential summary and remaining documents may be made available to the public under FOIA [43 CFR 2.23 and 43 CFR 2.24].