



Federal Fish and Wildlife License/Permit Application Form

Return to: *Click here for addresses*

Endangered Species Permits
U.S. Fish and Wildlife Service

Endangered Species Permits - USFWS
P.O. Box 1306
Albuquerque, NM 87103-1306

Type of Activity: Native Endangered & Threatened Species -
Enhancement of Survival Permits associated with Safe Harbor Agreements,
and Candidate Conservation Agreements With Assurances

A. Complete if applying as an individual					
1.a. Last name:		1.b. First name:		1.c. Middle name or initial:	1.d. Suffix
1.e. Doing business as (dba):		2.a. Street Address (line 1):		2.b. Street Address (line 2):	
2.c. Street address (line 3):		3.a. City:	3.b. County:	3.c. Province:	3.d. State:
3.e. Zip code or postal code:	3.f. Country (only for non-commercial):	4. Date of birth (mm/dd/yyyy):		5. Social Security No:	
6. Occupation:		7. List of any business, agency, organizational, or institutional affiliation associated with the wildlife or plants to be covered by this license or permit:			
8. Home telephone number:	9. Work telephone number:	10. Fax number:	11. E-mail address:		

B. Complete if applying as a business, corporation, public agency or institution					
1.a. Name of business, agency, or institution: City of Phoenix		1.b. Doing business as (dba): Parks and Recreation Department, Rio Salado Habitat Restoration Area		2. Tax identification no.: 86000256	
3.a. Street address (line 1): 200 W Washington Street, 16th Floor		3.b. Street address (line 2):		3.c. Street address (line 3):	
4.a. City: Phoenix	4.b. County: Maricopa		4.c. State: Arizona	4.d. Zip code: 85003	
5.a. Principal officer - Last name: Larsen		5.b. First name: Dale		5.c. Middle name or initial: L	5.d. Suffix: Mr.
5.e. Principal officer title: Parks and Recreation Director		6. Describe the type of business, agency, or institution:			
7. Home telephone number:	8. Work telephone number: 602-262-4986	9. Fax number: 602-534-3787	10. E-mail address: dale.larsen@phoenix.gov		

C. All applicants complete	
1. Do you currently have or have you had any Federal Fish and Wildlife License or Permit? Yes <input type="checkbox"/> If yes, list the number of the most recent license or permit you hold: _____ No <input checked="" type="checkbox"/>	
2. Have you obtained any required state or foreign government approval to conduct the activity you propose? Yes <input type="checkbox"/> If yes, provide a copy of the license or permit. _____ No <input checked="" type="checkbox"/> Not Required <input checked="" type="checkbox"/>	
3. Attachments: Complete the additional pages of this application. Application will not be considered complete without these pages. Incomplete applications may be returned.	
4. Enclose check or money order payable to the U.S. FISH AND WILDLIFE SERVICE in the amount of \$25. Institutions which qualify under 50 CFR 13.11(d)(3) may be exempt from fees.	
5. Certification: I hereby certify that I have read and am familiar with the regulations contained in Title 50, Part 13, of the Code of Federal Regulations and the other applicable parts in subchapter B of Chapter I of Title 50, and I further certify that the information submitted in this application for a license or permit is complete and accurate to the best of my knowledge and belief. I understand that any false statement herein may subject me to the criminal penalties of 18 U.S.C. 1001.	
6. Signature (in ink) of applicant or person responsible for permit in Block A or B	7. Date (mm/dd/yyyy):

**NATIVE ENDANGERED & THREATENED SPECIES
APPLICATION FOR PERMIT**

**ENHANCEMENT OF SURVIVAL PERMITS
ASSOCIATED WITH
SAFE HARBOR AGREEMENTS AND
CANDIDATE CONSERVATION AGREEMENTS WITH ASSURANCES**

INSTRUCTIONS TO APPLICANTS

To allow for processing time, and to ensure the timely issuance of a permit should one be granted, you are urged to apply for a permit **at least three months** prior to the start of your proposed activities. If you are renewing or amending an existing permit, your application must be received at least 30 days prior to the expiration of the existing permit. The information provided in your permit application will be used to process your application in accordance with the Endangered Species Act, its implementing regulations (which may include the solicitation of public comments on the application for 30 days), and with U.S. Fish and Wildlife Service policy. Receipt and possession of a permit under the Endangered Species Act should be regarded as a privilege, as we must balance permit issuance with our duties to protect and recover listed species.

Before you submit an application for an Enhancement of Survival permit, we may require you to conduct biological surveys to determine which species and/or habitat would be impacted by the activities sought to be covered under the permit. Our general permit regulations at 50 CFR 13.12(a)(9) allow us to collect such other information as we determine that is relevant to the processing of a permit application. These biological surveys provide information that the applicant needs to develop an adequate Safe Harbor Agreement or Candidate Conservation Agreement with Assurances, and that we need to assess the biological effects. In addition, the information provided in a biological survey can reduce the applicant's risk of take under Section 9 of the Endangered Species Act by ensuring that affected species and/or habitat will be identified and thus covered under the permit.

Prior to conducting the biological survey, you may wish to obtain a permit from us for Scientific Purposes, Enhancement of Propagation or Survival (commonly called a Recovery permit) which will authorize any taking of listed species that would result from the survey. Contact the nearest Service Field office to discuss the need for a biological survey and a corresponding Recovery permit, as we will evaluate this on a case-by-case basis. If a biological survey is required, we urge you to apply for the corresponding Recovery permit at least 3 months prior to the desired start of the survey to allow for processing time.

If you are not applying as an individual but as a business, corporation, institution, or non-Federal

public agency (block B. on page 1), the person to whom the permit will be issued (e.g., the landowner, president, director, executive director, or executive officer) is legally responsible for implementing the permit. Although other people under the direct control of the permittee (e.g., employees, contractors, consultants) receive third party take authorization in their capacity as designees of the permittee, the individual named as the permittee ultimately is legally responsible for the permit and any activities carried out under the permit except as otherwise limited in the case of permits issued to State or local government entities under 50 CFR 13.25(d).

Up-to-date annual reports and any other required reports under your existing permit(s) must be on file before a permit will be considered for renewal or amendment.

If your activities may affect species under the authority of the National Marine Fisheries Service (NMFS), then you may need to obtain a separate permit from NMFS. NMFS and the Fish and Wildlife Service (Service) share jurisdiction for sea turtles. The Service issues permits to conduct activities impacting sea turtles on land, and the NMFS issues permits to conduct activities impacting sea turtle in the marine environment. To apply for a permit to conduct activities with sea turtles in the marine environment, please contact the NMFS via the Internet at http://www.nmfs.noaa.gov/prot_res/PR3/Permits/ESAPermit.html

Please check one:

New application for an Enhancement of Survival permit associated with a Safe Harbor Agreement or Candidate Conservation Agreement with Assurances.

Renewal of existing an Enhancement of Survival permit associated with a Safe Harbor Agreement or Candidate Conservation Agreement with Assurances using my current application package on file. Note: if the information in your current application package has changed in a manner that triggers a major amendment or a change not otherwise specified in the Safe Harbor Agreement/Candidate Conservation Agreement with Assurances, then you must apply for an amendment to your existing permit. Such changes may include changes in location, activity, amount or type of take, or species to be covered by the permit.

Amendment of existing Enhancement of Survival permit associated with a Safe Harbor Agreement or Candidate Conservation Agreement with Assurances.

Transfer or succession of an existing Enhancement of Survival permit associated with an Safe Harbor Agreement or Candidate Conservation Agreement with Assurances.

General permit regulations for the U.S. Fish and Wildlife Service can be found at 50 CFR 13. Regulations for an Enhancement of Survival permit associated with a Safe Harbor Agreement can be found at 50 CFR 17.22(c)(1) for endangered wildlife species and 50 CFR 17.32(c)(1) for

threatened wildlife species. Regulations for an Enhancement of Survival permit associated with a Candidate Conservation Agreement with Assurances can be found at 50 CFR 17.22(d)(1) for endangered wildlife species and 50 CFR 17.32(d)(1) for threatened wildlife species. For Enhancement of Survival permit applications, the following specific information (relevant to the activity) under items 1 - 3 below must be provided in addition to the general information on page 1 of this application. In addition, each landowner who wishes to be covered under the Enhancement of Survival permit must sign (in ink) and date the Enhancement of Survival Permit Application Certification Notice on page 8, unless the landowner will be covered under this U.S. Fish and Wildlife Service Enhancement of Survival permit via another vehicle, such as a certificate of inclusion (50 CFR 13.25(d)).

You have 4 options for providing the specific information for items 1 - 3 below. Choose only one option.

Option I. Renewal of Existing Enhancement of Survival Permit

If you are applying for renewal of your existing valid renewable Enhancement of Survival permit with no changes, excepting changes allowed under the existing permit such as minor amendments, you may sign the following statement. If you have any changes to your Enhancement of Survival permit, you must use Option II. The same person who signs in box C.6 on page 1 should sign the statement below.

I certify that the statements and information submitted in support of my original application for a U.S. Fish and Wildlife Service Enhancement of Survival permit # _____ are still current and correct and hereby request renewal of that permit. This certification language is required under 50 CFR 13.22(a).

signature

date

please print name legibly

* Please note: If you have signed the above statement, then your renewal request is complete. Please submit this renewal request to the return address on page 1 of the application. Requests for renewals must be received no later than 30 days prior to permit expiration to ensure that your current permit remains in effect while we process your request for permit renewal.

Option II. New or Amended Enhancement of Survival Permit

If the information is already provided in your existing Safe Harbor Agreement or existing

Candidate Conservation Agreement with Assurances, then you do not have to provide it here. Instead, check the box below and indicate after each item the page numbers in your Agreement that provide the requested information. If the information is not in your existing Safe Harbor Agreement or existing Candidate Conservation Agreement with Assurances, then use Option III below.

- I am not providing the following information for items 1 - 3 as part of my permit application, because it is already provided in my existing Safe Harbor Agreement or existing Candidate Conservation Agreement with Assurances (copy attached or already submitted) on the pages indicated below.

If you have already submitted a final draft Safe Harbor Agreement or Candidate Conservation Agreement with Assurances, please indicate the document's date below.

Date of final draft Safe Harbor Agreement or Candidate Conservation Agreement with Assurances November 2008

1. Identify property and activity:

- a. Provide the physical address(es) of covered properties. Include a formal legal description such as local address, Section/Township/Range, County tax parcel number, or other formal legal description. Fill in below, or provide page references where the requested information is located.

Page(s): 2,3,4 and figure 1

- b. Provide the total number of acres covered by the Agreement 595

Is this the total acreage of the parcel? (circle one) yes no

- c. Provide the approximate number of acres planned to be impacted 595

- d. Provide the approximate number of acres planned to be protected 595

- e. Provide a complete description, including timeframes for implementation, of proposed voluntary management activities to enhance, restore, or maintain habitat benefitting federally listed, proposed or candidate species, or other species likely to become candidates.

Page(s): 3 - 26 and attachment A

2. Identify species and activity:

a. For a new permit:

Provide the common and scientific names of the species sought to be covered by the permit, as well as the species' status (federally classified as endangered (E), federally classified as threatened (T), proposed for federal classification as endangered (PE), proposed for federal classification as threatened (PT), federal candidate for listing (C), or species likely to become a candidate (LC)). Also include the number, age and sex of such species, if known. Also, please quantify any anticipated effects to the habitat of each covered species.

If you are applying for an Enhancement of Survival permit under a Safe Harbor Agreement, please provide a brief description of the baseline population and habitat conditions for each federally listed species proposed for coverage under the Safe Harbor Agreement. (Note: Baseline conditions should be summarized in a manner appropriate for each covered species, generally in terms of numbers of individuals present or amount of suitable habitat.

b. For an amended permit:

Identify the additional species sought to be covered by the amendment (provide both the scientific, to the most specific taxonomic level, and common names), as well as the species' status (see a. above),

Provide the number, age and sex of such species (if known).

Identify the activity sought to be authorized for each species.

Identify the species on your existing permit and the activities authorized for each species. If any activities requested in this application differ from those authorized in your existing permit, then state the current activity and the requested new activity for each species.

Identify species to be deleted from your existing permit.

Quantify any anticipated effects to the habitat of each added species.

Page(s): _____

3. Identify any additional permits currently held or needed for the proposed activities (i.e., permission to work on Federal lands, Federal bird banding permit, State permits, etc).

- a. Attach a copy or give agency name, permit number, if any, date of signature, and duration of permit.
- b. If you have already applied for these additional permits/authorizations and are awaiting issuance of the permits/authorizations, then state it here. *[If you do not have this permission at this time, please provide an explanation.]*

Option III. If any of the above information in items 1-3 is not in your Safe Harbor Agreement or Candidate Conservation Agreement with Assurances, then attach separate pages. In order to assist us in processing your application, please provide the item number (1.a., etc.) of the required information before each of your responses. Thank you.

Option IV. Permit Transfer or Succession of a Permit

If you are applying for an existing permit to be transferred to you or obtaining rights of succession of an existing permit, please fill out the following information. You and the current permit holder may also need to sign an assumption agreement.

Please indicate the name of the Safe Harbor Agreement or Candidate Conservation Agreement with Assurances to be transferred or succeeded and indicate the document's date.

Name of Safe Harbor Agreement or Candidate Conservation Agreement with Assurances

Date of Safe Harbor Agreement or Candidate Conservation Agreement with Assurances

An Assumption Agreement

is **is not** (FWS Field Office to circle one)

required as part of the transfer or succession permit application for the Safe Harbor Agreement or Candidate Conservation Agreement with Assurances.

Regardless of which Option you choose to provide the required information, all applicants must sign the following Certification. This language may be altered only under certain circumstances, such as a permit transfer; any changes in the language must be reviewed by the Department of Interior, Office of the Solicitor and approved by the Service. The same person who signs in box C.6 on page 1 should sign the certification.

**Enhancement of Survival Permit Application
Certification Notice**

By submitting this application and receiving an Enhancement of Survival permit pursuant to Section 10(a)(1)(A) of the Endangered Species Act, I

Dale L. Larsen (print name (s))

attest that I/we own the lands indicated in this application, or have sufficient authority or rights over these lands to implement the measures of the Safe Harbor Agreement and/or Candidate Conservation Agreement with Assurances covered by the Enhancement of Survival permit. Further, upon receipt of the permit, I/we agree to conduct the activities as specified in the Safe Harbor Agreement and/or Candidate Conservation Agreement with Assurances according to the terms and conditions of the Enhancement of Survival permit and its supporting documents.

signature

date

please print name legibly

signature

date

please print name legibly

The public reporting burden for completing this application is estimated to be less than 2.5 hours, including time for reviewing instructions, gathering and maintaining application data, and completing and reviewing the forms. Comments regarding the burden estimate or any other aspect of the reporting requirement(s) should be directed to the Service Information Collection Clearance Officer, MS 222 ARLSQ, Fish and Wildlife Service, Washington, DC 20240.

An agency may not conduct and a person is not required to respond to a collection of information unless a currently valid OMB control number is displayed.