

Service receives allegations of Service Law Enforcement misconduct from any source (public, Service employees, Congressional inquiries, OIG/OLES referrals, etc.). Allegations sent to PRU.

Acronyms

- AD: Assistant Director
- AI: Administrative Inquiry
- CNO: California/Nevada Operations Office
- OIG: Office of Inspector General
- OLE: Service Office of Law Enforcement
- OLES: Dept. Office of Law Enforcement and Security
- OPF: Official Personnel File
- PRU: Professional Responsibility Unit
- RD: Regional Director
- ROI: Report of Investigation
- SAC: Special Agent in Charge

PRU evaluates allegation to ensure jurisdiction

Yes

No

Referred to Proper Authority

1. PRU opens case
2. Enters case into DOI Internal Affairs Case Tracking System
3. Refers case to DOI OIG Program Integrity
4. PRU tells complainant it received the allegation

OIG Declines Case
Refers case back to PRU

1. OIG **assumes** matter
2. Conducts Investigation

OIG refers investigative results to Chief, OLE

Chief, OLE & SAC PRU
Make investigative disposition

Administrative Inquiry

PRU Investigation

Referral from Chief, OLE to Service Manager (SAC, AD, RD, CNO MGR) to conduct Administrative Inquiry (A.I.) w/60 day turnaround

PRU Conducts Investigation

Service Manager Assigns Field Supervisor to conduct A.I.

AI Returned to PRU for review

1. SAC PRU approves investigative content and quality of ROI
2. Sends ROI to Chief, OLE for concurrence

Chief OLE sends memo w/Report of Investigation to appropriate Service Manager for consideration of corrective action w/120 day turnaround

Chief, OLE Reviews OIG Investigative Results

Service Manager sends PRU corrective action decision to include, if applicable: proposal letter, decision letter, or SF-50
If no corrective action taken, Service Manager must send employee and PRU letter stating such and that nothing will be put into OPF concerning matter

1. PRU updates DOI OLES IA database to reflect findings of Service Manager
2. Closes PRU file
3. PRU sends closure info to OIG
4. Notifies Service HQ Personnel Security Officer, if applicable